

RESEARCH PLAN

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In basic terms, the candidate's research in *algebraic combinatorics* concerns nonnegative integers which occur in algebraic settings. Scientific considerations of symmetry, linear systems of equations, and simple counting guarantee that nonnegative integers and algebra have applications in many disciplines. More specifically, the candidate's recent research and future plans concern character evaluations studied from four points of view. After presenting definitions and basic open problems in Section 1, we consider explicit character evaluations in Sections 2 – 3, symmetric generating functions in Section 4, coordinate ring generating functions in Section 5, and applications to total nonnegativity in Section 6.

1. HECKE ALGEBRAS AND BASIC OPEN PROBLEMS

Given Coxeter group W with generator set S , define its *Hecke algebra* $H = H(W)$ to be the $\mathbb{Z}[q^{\frac{1}{2}}, q^{-\frac{1}{2}}]$ -span of $\{T_w \mid w \in W\}$ with multiplicative unit T_e and multiplication defined by

$$T_s T_w = \begin{cases} qT_{sw} + (q-1)T_w & \text{if } sw <_W w, \\ T_{sw} & \text{if } sw >_W w, \end{cases}$$

where $s \in S$, $w \in W$, and $<_W$ is the Bruhat order on W . (See [4] for definitions.) This formula guarantees that for $w \in W$ and any reduced expression $s_{i_1} \cdots s_{i_\ell} \in S^\ell$ for w , we have $T_w = T_{s_{i_1}} \cdots T_{s_{i_\ell}}$. Call $\ell = \ell(w)$ the *length of w* and call $\{T_w \mid w \in W\}$ the *natural basis* of H . The specialization of H at $q = 1$ is isomorphic to $\mathbb{Z}[W]$. Many results in Coxeter groups concern subsets of W . A subgroup of W generated by a subset of the generators S is called *parabolic*. Other subsets are defined in terms of a certain *one-line notation* of elements of W and *pattern avoidance* or *signed pattern avoidance* within the one-line notation. (See [3].)

A second basis [17] of H is the (modified, signless) *Kazhdan–Lusztig basis* $\{\tilde{C}_w(q) \mid w \in W\}$, related to the natural basis by

$$\tilde{C}_w(q) = \sum_{v \leq_W w} P_{v,w}(q)T_v,$$

where $\{P_{v,w}(q) \mid v, w \in W\} \subseteq \mathbb{Z}[q]$ are the *Kazhdan–Lusztig polynomials* whose recursive definition appears in [17]. When $W = \mathfrak{S}_n$ or \mathfrak{B}_n and $w \in W$ avoids the patterns 3412 and 4231, all polynomials $\{P_{v,w}(q) \mid v \leq_W w\}$ are identically 1 [17, Thm. A.2], [19].

Representations of W and H are often studied in terms of *characters*, the traces of matrix representations. Define the *trace spaces* $\mathcal{T}(W)$ and $\mathcal{T}_q(W)$ to be the \mathbb{Z} -span of W -characters and $\mathbb{Z}[q^{\frac{1}{2}}, q^{-\frac{1}{2}}]$ -span of H -characters, respectively. When $W = \mathfrak{S}_n$, each module has rank equal to the number of *integer partitions* of n , weakly decreasing positive integer sequences summing to n . Let $\lambda \vdash n$ or $|\lambda| = n$ denote that λ is a partition of n . Five well-studied bases of $\mathcal{T}(\mathfrak{S}_n)$ are the irreducible characters $\{\chi^\lambda \mid \lambda \vdash n\}$, induced sign characters $\{\epsilon^\lambda \mid \lambda \vdash n\}$, induced trivial characters $\{\eta^\lambda \mid \lambda \vdash n\}$, power sum traces $\{\psi^\lambda \mid \lambda \vdash n\}$, and monomial traces

and eight similar networks $F_{4312}, F_{4213}, F_{4132}, F_{4123}, F_{3124}, F_{1423}, F_{3142}, F_{2413}$,

$$(2.2) \quad \begin{array}{cccccccc} \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \\ \hline \end{array} & \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \\ \hline \end{array} & \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \\ \hline \end{array} & \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \\ \hline \end{array} & \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \\ \hline \end{array} & \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \\ \hline \end{array} & \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \\ \hline \end{array} & \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \\ \hline \end{array} \end{array}.$$

Careful study of zig-zag networks led the candidate to reduce some trace evaluations to the special case of evaluations $\theta_q(\tilde{C}_v(q))$ for v avoiding the pattern 312 [7, Thm. 3.6, Thm. 4.6].

Theorem 7. *For each element $w \in \mathfrak{S}_n$ avoiding the patterns 3412 and 4231, there is an element $v \in \mathfrak{S}_n$ avoiding the pattern 312 such that for all traces $\theta_q \in \mathcal{T}_q(\mathfrak{S}_n)$, we have $\theta_q(\tilde{C}_w(q)) = \theta_q(\tilde{C}_v(q))$.*

Theorem 5 extends to some Kazhdan–Lusztig basis elements indexed by permutations not avoiding the patterns 3412 and 4231. Specifically, $\tilde{C}_{3412}(q)$ and $\tilde{C}_{4231}(q)$ can be encoded by

$$\begin{array}{c} \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \\ \hline \end{array} \quad \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \\ \hline \end{array}, \\ \begin{array}{c} \diagup \quad \diagdown \\ \diagdown \quad \diagup \\ \hline \end{array} \end{array}$$

respectively. Call arbitrary concatenations of zig-zag networks *star networks*. This led the candidate to pose the problem [29, Question 4.5] of improving the hypotheses of Theorem 5.

Problem 8. State conditions on $w \in \mathfrak{S}_n$ which guarantee the Kazhdan–Lusztig basis element $\tilde{C}_w(q)$ to factor or not to factor as in Theorem 5.

The candidate and a vertically integrated team of assistants made progress on this problem by using [12] to prove the following negative result [27].

Theorem 9. *For each element $w \in \mathfrak{S}_n$ avoiding the pattern 4231 and containing the pattern 3412, if all subwords matching the pattern 3412 have first and last letters that differ by at least 2, then $\tilde{C}_w(q)$ has no reversal factorization.*

Additional work of the candidate and others [1], [9], suggests that avoidance of the pattern 45312 is an important part of the solution to Problem 8.

The combinatorial interpretations $\{F_w \mid w \in \mathfrak{S}_n \text{ avoids the patterns 3412 and 4231}\}$ of Kazhdan–Lusztig basis elements play a crucial role in the evaluation of $H(\mathfrak{S}_n)$ traces. In particular, the candidate and assistants [7] used families of paths in zig-zag networks and objects called F_w -tableaux to answer a special case of Problem 1(b) as in Theorems 10 – 11.

Theorem 10. *For $w \in \mathfrak{S}_n$ avoiding the patterns 3412 and 4231 and any trace θ_q in the bases $\{\chi_q^\lambda \mid \lambda \vdash n\}$, $\{\eta_q^\lambda \mid \lambda \vdash n\}$, $\{\epsilon_q^\lambda \mid \lambda \vdash n\}$, $\{\psi_q^\lambda \mid \lambda \vdash n\}$ of $\mathcal{T}_q(\mathfrak{S}_n)$, there exists a set $\mathcal{S}(\theta_q, w)$ of F_w -tableaux and a function $\text{STAT} : \mathcal{S}(\theta_q, w) \rightarrow \mathbb{N}$ such that we have*

$$(2.3) \quad \theta_q(\tilde{C}_w(q)) = \sum_{U \in \mathcal{S}(\theta_q, w)} q^{\text{STAT}(U)}.$$

Haiman [13] conjectured that the monomial traces satisfy $\phi_q^\lambda(\tilde{C}_w(q)) \in \mathbb{N}[q]$ for all w . This extends the conjecture of Stanley and Stembridge [39], now proved by Hikita [15], that $\phi^\lambda(\tilde{C}_w(1)) \in \mathbb{N}$ when w avoids the patterns 3412 and 4231. No formula analogous to (2.3) has been conjectured for general $\lambda \vdash n$, even for $q = 1$ and w avoiding the patterns 3412 and 4231. On the other hand, Wolfgang [44, Thm. 2.5.1] proved nonnegativity of $\phi^\lambda(\tilde{C}_w(1))$ for $\lambda_1 \leq 2$ and w avoiding the patterns 3412 and 4231. The candidate [7, Thm. 10.3] then found a combinatorial interpretation of $\phi_q^\lambda(\tilde{C}_w(q))$ in this case.

Theorem 11. For $w \in \mathfrak{S}_n$ avoiding the patterns 3412 and 4231 and $\lambda \vdash n$ satisfying $\lambda_1 \leq 2$, there exists a set $\mathcal{S}(\phi_q^\lambda, w)$ of F_w -tableaux and a function $\text{STAT} : \mathcal{S}(\phi_q^\lambda, w) \rightarrow \mathbb{N}$ such that we have

$$(2.4) \quad \phi_q^\lambda(\tilde{C}_w(q)) = \sum_{U \in \mathcal{S}(\phi_q^\lambda, w)} q^{\text{STAT}(U)}.$$

Solving Problem 3 for $\theta_q = \epsilon_q^\lambda$ (and generalizing Theorems 6 and 10), the candidate and A. Clearwater found formulas for the natural expansions of certain products of Kazhdan–Lusztig basis elements which arise in the study of total nonnegativity [41, §5], and for the evaluations of induced sign characters at these products. Both involve *families* π of n left-to-right paths in star networks, and the *type* of each in \mathfrak{S}_n , i.e., the permutation of right endpoints of the paths relative to left endpoints [8, Cor. 5.3, Thm. 6.5].

Theorem 12. Let $w^{(1)}, \dots, w^{(r)}$ be a sequence of maximal parabolic elements in \mathfrak{S}_n , and let $F = F_{w^{(1)}} \circ \dots \circ F_{w^{(r)}}$ be the corresponding concatenation of zig-zag networks. Then there are functions dfct , CR on path families in F , and a function INVNC on F -tableaux such that

$$(2.5) \quad \tilde{C}_{w^{(1)}}(q) \cdots \tilde{C}_{w^{(r)}}(q) = \sum_{v \in \mathfrak{S}_n} \left(\sum_{\pi} q^{\text{dfct}(\pi)} \right) T_v,$$

where the inner sum is over path families of type v in F , and

$$(2.6) \quad \epsilon_q^\lambda(\tilde{C}_{w^{(1)}}(q) \cdots \tilde{C}_{w^{(r)}}(q)) = \sum_{\pi} q^{\frac{\text{CR}(\pi)}{2}} \sum_W q^{\text{INVNC}(W)},$$

where the sums are over path families of type e in F , and certain F -tableaux W .

These results provide progress toward the problem of finding a combinatorial interpretation of the Stembridge–Haiman result [13], [40] that we have

$$(2.7) \quad \chi_q^\lambda(\tilde{C}_{w^{(1)}}(q) \cdots \tilde{C}_{w^{(r)}}(q)) \in \mathbb{N}[q]$$

for all sequences $w^{(1)}, \dots, w^{(r)}$ of maximal parabolic elements of \mathfrak{S}_n .

3. REPRESENTATIONS OF THE HYPEROCTAHEDRAL GROUP AND ITS HECKE ALGEBRA

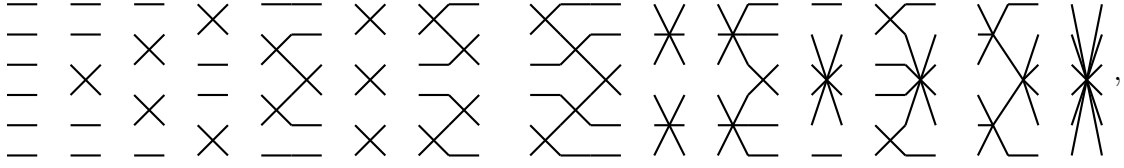
Motivated by known connections between type-A trace evaluations and type-A Hessenberg varieties, and suspected connections between type-BC trace evaluations and (the distinct) type-B and C Hessenberg varieties, the candidate extended some results from Section 2 to the hyperoctahedral group and its Hecke algebra.

Theorems 5 – 6 extend as follows [33, Thm. 5.18 – Thm. 5.21].

Theorem 13. Each element $w \in \mathfrak{B}_n$ avoiding the patterns 3412 and 4231 factors as a product $w = w^{(1)} \cdots w^{(r)}$ of maximal elements of parabolic subgroups, with $\tilde{C}_w(q) \in H(\mathfrak{B}_n)$ similarly factoring as $\tilde{C}_w(q) = \frac{1}{p(q)} \tilde{C}_{w^{(1)}}(q) \cdots \tilde{C}_{w^{(r)}}(q)$ for some polynomial $p \in \mathbb{N}[q]$. Furthermore, a certain “zig-zag” network F_w combinatorially encodes the natural expansion of $\tilde{C}_w(q)$ in $H(\mathfrak{B}_n)$. The network F_w is a “descending star network” if w avoids the signed patterns $\overline{12}$, $\overline{21}$, $\overline{2\overline{1}}$, $3\overline{12}$.

Type-BC zig-zag networks for \mathfrak{B}_n and $H(\mathfrak{B}_n)$ are essentially type-A zig-zag networks of order $2n$ which are symmetric about a horizontal line. For example, when $n = 3$ the twenty-two Kazhdan–Lusztig basis elements $\tilde{C}_w(q) \in H(\mathfrak{B}_n)$ indexed by $w \in \mathfrak{B}_n$ avoiding the patterns 3412 and 4231 can be encoded by zig-zag networks of order 6, including fourteen

type-BC descending star networks (in which stars descend from left to right in the upper half) $F_{123}, F_{\bar{1}23}, F_{213}, F_{132}, F_{2\bar{1}3}, F_{\bar{1}32}, F_{231}, F_{23\bar{1}}, F_{321}, F_{32\bar{1}}, F_{\bar{1}23}, F_{\bar{1}32}, F_{3\bar{1}2}, F_{\bar{1}23}$,



and eight similar networks $F_{\bar{2}13}, F_{312}, F_{\bar{3}12}, F_{\bar{2}31}, F_{3\bar{1}2}, F_{\bar{3}21}, F_{\bar{1}32}, F_{\bar{3}21}$,

$$(3.1) \quad \begin{array}{cccccccc} \text{---} & \text{---} & \text{---} & \text{---} & \text{---} & \text{---} & \text{---} & \text{---} \\ \text{---} & \text{---} & \text{---} & \text{---} & \text{---} & \text{---} & \text{---} & \text{---} \\ \text{---} & \text{---} & \text{---} & \text{---} & \text{---} & \text{---} & \text{---} & \text{---} \\ \text{---} & \text{---} & \text{---} & \text{---} & \text{---} & \text{---} & \text{---} & \text{---} \end{array}$$

These results allowed the candidate to prove BC-extensions of the $q = 1$ specializations of Theorems 7 and 10. Trace evaluation equalities were established in [33, Thm. 10.5].

Theorem 14. *For each element $w \in \mathfrak{B}_n$ avoiding the patterns 3412 and 4231, there is an element $v \in \mathfrak{B}_n$ avoiding the signed patterns $\bar{1}2, \bar{2}1, \bar{2}\bar{1}, 312, 3\bar{1}2$ such that for all traces $\theta \in \mathcal{T}(\mathfrak{B}_n)$, we have $\theta(\tilde{C}_w(1)) = \theta(\tilde{C}_v(1))$.*

Again, combinatorial interpretations involve paths in networks F_w , but now paths are placed into pairs of tableaux which we call *marked F_w -bitableaux* [33, Thm. 9.6 – Cor. 9.10].

Theorem 15. *For $w \in \mathfrak{B}_n$ avoiding the patterns 3412 and 4231 and any trace θ in the bases $\{(\chi\chi)^{\lambda,\mu} \mid (\lambda, \mu) \vdash n\}$, $\{(\eta\eta)^{\lambda,\mu} \mid (\lambda, \mu) \vdash n\}$, $\{(\eta\epsilon)^{\lambda,\mu} \mid (\lambda, \mu) \vdash n\}$, $\{(\epsilon\eta)^{\lambda,\mu} \mid (\lambda, \mu) \vdash n\}$, $\{(\epsilon\epsilon)^{\lambda,\mu} \mid (\lambda, \mu) \vdash n\}$, $\{(\psi\psi)^{\lambda,\mu} \mid (\lambda, \mu) \vdash n\}$ of $\mathcal{T}(\mathfrak{B}_n)$, there exists a set $\mathcal{S}(\theta, w)$ of marked F_w -bitableaux such that we have $\theta(\tilde{C}_w(1)) = |\mathcal{S}(\theta, w)|$.*

Theorem 13 also has allowed the candidate and a vertically integrated team of assistants to extend Theorem 12 (2.5) to products in $H(\mathfrak{B}_n)$ [16].

Theorem 16. *Let $w^{(1)}, \dots, w^{(r)}$ be a sequence of maximal parabolic elements in \mathfrak{B}_n , and let $F = F_{w^{(1)}} \circ \dots \circ F_{w^{(r)}}$ be the corresponding concatenation of zig-zag networks. Then there is a function dfct^{BC} on path families in F such that we have*

$$(3.2) \quad \tilde{C}_{w^{(1)}}(q) \cdots \tilde{C}_{w^{(r)}}(q) = \sum_{v \in \mathfrak{B}_n} \left(\sum_{\pi} q^{\text{dfct}^{\text{BC}}(\pi)} \right) T_v,$$

where the inner sum is over BC-path families of type v in F .

4. SYMMETRIC GENERATING FUNCTIONS FOR CHARACTER EVALUATIONS

Like traces in $\mathcal{T}(H(\mathfrak{S}_n))$, elements of the module Λ_n of homogeneous degree- n symmetric functions in $x = (x_1, x_2, \dots)$ are usually expressed in terms of bases indexed by partitions of n . (See, e.g., [38, Ch. 7].) Common bases are the *Schur* basis $\{s_\lambda \mid \lambda \vdash n\}$, *monomial* basis $\{m_\lambda \mid \lambda \vdash n\}$, *elementary* basis $\{e_\lambda \mid \lambda \vdash n\}$, *homogeneous* basis $\{h_\lambda \mid \lambda \vdash n\}$, *power sum* basis $\{p_\lambda \mid \lambda \vdash n\}$, and *forgotten* basis $\{f_\lambda \mid \lambda \vdash n\}$. It is therefore natural to define a symmetric generating function for the character evaluations of each element $D \in H(\mathfrak{S}_n)$,

$$Y_q(D) := \sum_{\lambda \vdash n} \epsilon_q^\lambda(D) m_\lambda = \sum_{\lambda \vdash n} \phi_q^\lambda(D) e_\lambda = \sum_{\lambda \vdash n} \chi_q^{\lambda^\top}(D) s_\lambda = \sum_{\lambda \vdash n} b_\lambda \psi_q^\lambda(D) p_\lambda = \sum_{\lambda \vdash n} \eta_q^\lambda(D) f_\lambda,$$

where equalities follow from transition matrices for the bases, and where $\{b_\lambda \mid \lambda \vdash n\}$ are certain rational numbers. This function has the following universal property [30, Prop. 2.3].

Proposition 17. *Every element of $\mathbb{Z}[q] \otimes \Lambda_n$ has the form $Y_q(D)$ for some $D \in \mathbb{Q}(q) \otimes H(\mathfrak{S}_n)$.*

A well-known problem concerns *chromatic* symmetric functions $X_{P,q} \in \mathbb{Z}[q] \otimes \Lambda_n$ defined in terms of posets P called *natural unit interval orders* in [28]. (See also [37], [39].) The expansion of $X_{P,q}$ as a polynomial in q with symmetric function coefficients describes an action of \mathfrak{S}_n on an algebraic variety called the type-A *Hessenberg variety* associated to P . (See [42]–[43].) These functions are conjectured [28], [37], [39] to be $\mathbb{N}[q]$ -linear combinations of elementary symmetric functions. The candidate and graduate student B. Shelton proved that the expansion of $X_{P,q}$ in each standard symmetric function basis yields coefficients which are equal to $H(\mathfrak{S}_n)$ -trace evaluations at Kazhdan–Lusztig basis elements [7, Sec. 7].

Theorem 18. *For each n -element unit interval order P , there exists a 312-avoiding permutation $w \in \mathfrak{S}_n$ such that $X_{P,q} = Y_q(\tilde{C}_w(q))$.*

It is natural to ask how Theorem 18 or its $q = 1$ specialization can be extended to combinatorially describe type-BC character evaluations. In particular it is possible to define for each element $D \in H(\mathfrak{B}_n)$ a type-BC symmetric function which is a generating function

$$(4.1) \quad Y_q^{\text{BC}}(D) = \sum_{(\lambda, \mu)} (\epsilon \epsilon)_q^{\lambda, \mu}(D) m_\lambda(x) m_\mu(y)$$

for character evaluations at D , where $x = (x_1, x_2, \dots)$ and $y = (y_1, y_2, \dots)$ are two sets of variables. It is also possible to define type-BC chromatic symmetric functions X_P^{BC} for type-BC analogs of posets. The candidate has extended Proposition 17 and Theorem 18 to the following type-BC analogs [33, Prop. 11.3, Thm. 11.4].

Proposition 19. *Every degree- n homogeneous type-BC symmetric function with integer coefficients is $Y_q^{\text{BC}}(D)$ for some element $D \in \mathbb{Q}(q) \otimes H(\mathfrak{B}_n)$.*

Theorem 20. *For each type-BC unit interval order P there exists an element $w \in \mathfrak{B}_n$ avoiding the signed patterns $1\bar{2}$, $\bar{2}1$, $2\bar{1}$, 312 , $3\bar{1}2$ such that we have $X_P^{\text{BC}} = Y_1^{\text{BC}}(\tilde{C}_w(1))$.*

It would be interesting to prove a q -analog of this result [33, Prob. 11.5].

Problem 21. Define a q -extension $X_{P,q}^{\text{BC}}$ of the type-BC chromatic symmetric function X_P^{BC} which satisfies $X_{P,q}^{\text{BC}} = Y_q^{\text{BC}}(\tilde{C}_w(q))$ when P and w correspond as in Theorem 20.

It would be even more interesting if this extension $X_{P,q}^{\text{BC}}$ were related to type-B or C Hessenberg varieties as $X_{P,q}$ is related to type-A Hessenberg varieties [6].

A second well-known problem concerns symmetric functions $\text{LLT}_{P,q} \in \mathbb{Z}[q] \otimes \Lambda_n$. (See [20].) These functions are known to be $\mathbb{N}[q]$ -linear combinations of Schur functions, but no combinatorial rule for the resulting coefficients is known. The candidate and coauthors [25] found an algebraic description of these coefficients which may eventually lead to a combinatorial description.

Theorem 22. *In all standard expansions of $\text{LLT}_{P,q}$, the resulting coefficients are evaluations of certain (nonstandard) $H(\mathfrak{S}_n)$ -traces at Kazhdan–Lusztig basis elements indexed by 312-avoiding permutations.*

5. GENERATING FUNCTIONS IN COORDINATE RINGS

Often it is useful to have a generating function which records values $\{\theta(w) \mid w \in \mathfrak{S}_n\}$ for some \mathfrak{S}_n -character θ , i.e.,

$$(5.1) \quad \text{Imm}_\theta(t) := \sum_{w \in \mathfrak{S}_n} \theta(w) t_{1,w_1} \cdots t_{n,w_n},$$

where $t = (t_{i,j})$ may be thought of as an $n \times n$ matrix of variables. In particular, a simple expression for such a generating function can serve as a satisfactory formula for $\theta(w)$. The candidate and Konvalinka stated generating functions for the $H(\mathfrak{S}_n)$ -characters $\{\epsilon_q^\lambda \mid \lambda \vdash n\}$, $\{\eta_q^\lambda \mid \lambda \vdash n\}$ [18, Thm. 5.4] in a certain quotient $\mathcal{A}_n(q)$ of the noncommutative ring $\mathbb{Z}\langle t \rangle$. Each generating function is expressed in terms of submatrices of t , polynomials called the q -determinant and q -permanent, and *ordered set partitions of type* $\lambda = (\lambda_1, \dots, \lambda_r) \vdash n$, sequences (I_1, \dots, I_r) of disjoint subsets of $[1, n] := \{1, \dots, n\}$ satisfying $|I_j| = \lambda_j$. Our formulas are q -extensions of the Littlewood–Merris–Watkins generating functions [22], [24] in $\mathbb{Z}[t]$ for \mathfrak{S}_n -characters.

Theorem 23. *Fix partition $\lambda = (\lambda_1, \dots, \lambda_r) \vdash n$. We have in $\mathcal{A}_n(q)$ that*

$$(5.2) \quad \text{Imm}_{\epsilon_q^\lambda}(t) = \sum_{(I_1, \dots, I_r)} \det_q(t_{I_1, I_1}) \cdots \det_q(t_{I_r, I_r}),$$

$$(5.3) \quad \text{Imm}_{\eta_q^\lambda}(t) = \sum_{(I_1, \dots, I_r)} \text{per}_q(t_{I_1, I_1}) \cdots \text{per}_q(t_{I_r, I_r}),$$

where $t_{K,K} := (t_{i,j})_{i,j \in K}$, and sums are over ordered set partitions of $[1, n]$ of type λ .

The candidate also generalized the identities (5.2) – (5.3) to create generating functions for induced one-dimensional characters of wreath products $\mathcal{G}_{n,d} := \mathbb{Z}/d\mathbb{Z} \wr \mathfrak{S}_n$ [31, Thm. 3.1]. Such characters correspond to d -tuples $\beta^\lambda = (\beta_0^{\lambda^0}, \dots, \beta_{d-1}^{\lambda^{d-1}})$ of symmetric group characters with $\beta_i \in \{\eta, \epsilon\}$ and $\lambda = (\lambda^0, \dots, \lambda^{d-1})$ a d -tuple of partitions with $|\lambda^0| + \dots + |\lambda^{d-1}| = n$. Elements of $\mathcal{G}_{n,d}$ have the form $g = (g_1, \dots, g_n) = (\zeta^{\gamma_1} w_1, \dots, \zeta^{\gamma_n} w_n)$ for ζ a d th root of unity, $w_1 \cdots w_n \in \mathfrak{S}_n$, and $(\gamma_1, \dots, \gamma_n) \in \mathbb{Z}/d\mathbb{Z}^n$. The new generating functions belong to the ring $\mathbb{C}[t]$ in dn^2 indeterminates $t = \{t_{i,\zeta^k p} \mid i, p \in [1, n], k \in \mathbb{Z}/d\mathbb{Z}\}$ and are defined by

$$\text{Imm}_{\beta^\lambda}^{\mathcal{G}_{n,d}}(t) := \sum_{g \in \mathcal{G}_{n,d}} \beta^\lambda(g) t_{1,g_1} \cdots t_{n,g_n}.$$

Each is a sum of products of $\mathfrak{S}_{|\lambda^0|}, \dots, \mathfrak{S}_{|\lambda^{d-1}|}$ character immanants (specializations of (5.2) – (5.3) at $q = 1$) of matrices $Q_0(t), \dots, Q_{d-1}(t)$ defined by $Q_k(t) = (q_{i,j,k}(t))_{i,j \in [1, n]}$, where

$$q_{i,j,k}(t) = t_{i,j} + \zeta^{-k} t_{i,\zeta j} + \zeta^{-2k} t_{i,\zeta^2 j} + \cdots + \zeta^{-(d-1)k} t_{i,\zeta^{(d-1)j}}.$$

Theorem 24. *Fix $\mathcal{G}_{n,d}$ -character $\beta^\lambda = (\beta_0^{\lambda^0}, \dots, \beta_{d-1}^{\lambda^{d-1}})$. We have in $\mathbb{C}[t]$ that*

$$(5.4) \quad \text{Imm}_{\beta^\lambda}^{\mathcal{G}_{n,d}}(t) = \sum_{(I_0, \dots, I_{d-1})} \text{Imm}_{\beta_0^{\lambda^0}}(Q_0(t)_{I_0, I_0}) \cdots \text{Imm}_{\beta_{d-1}^{\lambda^{d-1}}}(Q_{d-1}(t)_{I_{d-1}, I_{d-1}}),$$

where the sum is over all ordered set partitions of $[1, n]$ of type $(|\lambda^0|, \dots, |\lambda^{d-1}|)$.

Theorem 23 is an essential ingredient in the proofs of Theorems 15 and 18. Similarly, since we have $\mathfrak{B}_n \cong \mathcal{G}_{n,2}$, Theorem 24 is essential in the proof of Theorem 20. To solve Problem 21, it would be helpful to state a q -extension of the $d = 2$ case of Theorem 24.

Problem 25. State formulas in an appropriate ring for $\text{Imm}_{(\epsilon\epsilon)_q}^{\mathcal{G}_{n,2}^{\lambda,\mu}}(t)$, $\text{Imm}_{(\epsilon\eta)_q}^{\mathcal{G}_{n,2}^{\lambda,\mu}}(t)$, $\text{Imm}_{(\eta\epsilon)_q}^{\mathcal{G}_{n,2}^{\lambda,\mu}}(t)$, $\text{Imm}_{(\eta\eta)_q}^{\mathcal{G}_{n,2}^{\lambda,\mu}}(t)$ to provide type-BC analogs of Theorem 23.

Such a result will also lead to a BC-analog of Theorem 12, combinatorially interpreting evaluations of $(\epsilon\epsilon)_q^{\lambda,\mu}$ at products of Kazhdan–Lusztig basis elements of $H(\mathfrak{B}_n)$.

Yet another generalization [25] of the identities (5.2) – (5.3) provides generating functions in $\mathcal{A}_n(q)$ for evaluations of the nonstandard traces mentioned in Theorem 22. Two such nonstandard trace bases $\{\epsilon_{q,\text{LLT}}^\lambda \mid \lambda \vdash n\}$, $\{\eta_{q,\text{LLT}}^\lambda \mid \lambda \vdash n\}$ are analogous to induced sign and trivial characters. Given square submatrix $t_{I,J}$ of t , define $\text{ldprod}_q(t_{I,J})$ to be the left-to-right product of its diagonal entries, and define $\text{rdprod}_q(t_{I,J})$ to be the right-to-left product of its diagonal entries. (These are not in general equal in $\mathcal{A}_n(q)$.)

Theorem 26. Fix partition $\lambda = (\lambda_1, \dots, \lambda_r) \vdash n$. We have in $\mathcal{A}_n(q)$ that

$$(5.5) \quad \text{Imm}_{\epsilon_{q,\text{LLT}}^\lambda}(t) = \sum_{(I_1, \dots, I_r)} \text{ldprod}_q(t_{I_1, I_1}) \cdots \text{ldprod}_q(t_{I_r, I_r}),$$

$$(5.6) \quad \text{Imm}_{\eta_{q,\text{LLT}}^\lambda}(t) = \sum_{(I_1, \dots, I_r)} \text{rdprod}_q(t_{I_1, I_1}) \cdots \text{rdprod}_q(t_{I_r, I_r}),$$

where sums are over ordered set partitions of $[1, n]$ of type λ .

6. APPLICATIONS TO TOTAL NONNEGATIVITY

Call a matrix $A = (a_{i,j})$ *totally nonnegative* if each square submatrix $A_{I,J} := (a_{i,j})_{i \in I, j \in J}$ satisfies $\det(A_{I,J}) \geq 0$. Such matrices appear in many areas of mathematics, and in particular, the polynomial inequalities satisfied by their entries (Problem 4) interest researchers in the area of quantum groups. Results in Sections 2 – 5 have applications to the study of such inequalities. For instance Theorem 11 allowed the candidate and D. Soskin [34] to extend earlier work of Barrett and Johnson [2] on positive semidefinite matrices.

Theorem 27. Given partitions $\lambda \vdash n$, $\mu \vdash n$, the inequality

$$\frac{\text{Imm}_{\epsilon^\lambda}(A)}{\epsilon^\lambda(e)} \leq \frac{\text{Imm}_{\epsilon^\mu}(A)}{\epsilon^\mu(e)}$$

holds for all totally nonnegative matrices A if and only if we have $\mu \preceq \lambda$ in the majorization order, i.e., $\mu_1 + \cdots + \mu_i \leq \lambda_1 + \cdots + \lambda_i$ for all i .

Similarly, Theorem 10 allowed the candidate to state two new combinatorial interpretations of the permanent of a TNN matrix. It is known that a matrix $A = (a_{i,j})$ is TNN if and only if it may be interpreted as the path matrix of a weighted planar network F , with $a_{i,j}$ being the sum of weights of all paths in F between vertices called *source* i and *sink* j [5]. The following [30] is a permanent analog of Lindström’s Lemma [21].

Theorem 28. Let A be the path matrix of planar network F . Then $\text{per}(A)$ equals the number, over path families $\pi = (\pi_1, \dots, \pi_n)$ of type e in F , of path rearrangements $\pi_{w_1} \cdots \pi_{w_n}$ having the “descent-free” property [30], or alternatively, the “excedance-free” property [30].

Theorem 28 may be useful in proving a permanent analog of Theorem 27.

Problem 29. Decide if for partitions $\lambda \vdash n$, $\mu \vdash n$, the inequality

$$\frac{\text{Imm}_{\eta^\lambda}(A)}{\eta^\lambda(e)} \geq \frac{\text{Imm}_{\eta^\mu}(A)}{\eta^\mu(e)}$$

holds for all totally nonnegative matrices A if and only if $\mu \preceq \lambda$ in the majorization order.

A characterization of pairs (λ, μ) of partitions of n which satisfy analogous inequalities for irreducible characters and totally nonnegative matrices,

$$\frac{\text{Imm}_{\chi^\lambda}(A)}{\chi^\lambda(e)} \geq \frac{\text{Imm}_{\chi^\mu}(A)}{\chi^\mu(e)},$$

has been considered by Stembridge [41] and appears to be more difficult. Nevertheless, the candidate has used his previous results in [30] to extend earlier work of Heyfron [14] and Merris [23] on equalities which hold for positive semidefinite matrices [32].

Theorem 30. *The Heyfron–Merris inequalities*

$$\text{per}(A) = \frac{\text{Imm}_{\chi^n}(A)}{\chi^n(e)} \geq \frac{\text{Imm}_{\chi^{n-1,1}}(A)}{\chi^{n-1,1}(e)} \geq \frac{\text{Imm}_{\chi^{n-2,1,1}}(A)}{\chi^{n-2,1,1}(e)} \geq \dots \geq \frac{\text{Imm}_{\chi^{1,\dots,1}}(A)}{\chi^{1,\dots,1}(e)} = \det(A),$$

hold for all $n \times n$ totally nonnegative matrices A .

The candidate has also used his previous results in [10] to extend work on ratios of minors [11], [36] to characterize ratios of permanents which are bounded on the set of totally positive matrices [35]. Given square submatrix $t_{I,J}$ of t , define $(t_{I,J})^{e,e}$ to be the product of its diagonal entries.

Theorem 31. *Given the rational function*

$$(6.1) \quad R(t) = \frac{\text{per}(t_{I_1, I'_1}) \text{per}(t_{I_2, I'_2}) \cdots \text{per}(t_{I_r, I'_r})}{\text{per}(t_{J_1, J'_1}) \text{per}(t_{J_2, J'_2}) \cdots \text{per}(t_{J_q, J'_q})},$$

define matrices $C = (c_{i,j})$, $D = (d_{i,j})$, $C^* = (c_{i,j}^*)$, $D^* = (d_{i,j}^*)$ by

$$(t_{I_1, I'_1})^{e,e} \cdots (t_{I_r, I'_r})^{e,e} = \prod t_{i,j}^{c_{i,j}}, \quad (t_{J_1, J'_1})^{e,e} \cdots (t_{J_q, J'_q})^{e,e} = \prod t_{i,j}^{d_{i,j}},$$

$$c_{i,j}^* = \sum_{k=1}^i \sum_{\ell=1}^j c_{k,\ell}, \quad d_{i,j}^* = \sum_{k=1}^i \sum_{\ell=1}^j d_{k,\ell}.$$

Then $R(t)$ is bounded above on the set of totally positive matrices if and only if

- (1) C and D have identical row sums and identical column sums,
- (2) $C^* \leq D^*$ in the componentwise order.

In this case, $R(t)$ is bounded above by $|I_1|! \cdots |I_r|!$.

Theorem 28 may also be useful in proving permanental analogs of the Fallat–Gekhtman–Johnson inequalities [11] for products $\det(A_{I,I}) \det(A_{\bar{I},\bar{I}})$, where $\bar{I} := [1, n] \setminus I$.

Problem 32. Decide if for $k = 1, \dots, n-1$, the permanental inequalities

$$\text{per}(A_{[1,n] \cap 2\mathbb{Z}, [1,n] \cap 2\mathbb{Z}}) \text{per}(A_{[1,n] \setminus 2\mathbb{Z}, [1,n] \setminus 2\mathbb{Z}}) \leq \text{per}(A_{[1,k], [1,k]}) \text{per}(A_{[k+1,n], [k+1,n]})$$

hold for all TNN matrices A .

The candidate and coauthors have verified these inequalities to hold when A is the antiadjacency matrix of a unit interval order [26].

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