

# CHARACTERS AND CHROMATIC SYMMETRIC FUNCTIONS

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ABSTRACT. Let  $P$  be a poset,  $\text{inc}(P)$  its incomparability graph, and  $X_{\text{inc}(P)}$  the corresponding chromatic symmetric function, as defined by Stanley in *Adv. Math.*, **111** (1995) pp. 166–194. Certain conditions on  $P$  imply that the expansions of  $X_{\text{inc}(P)}$  in standard symmetric function bases yield coefficients which have simple combinatorial interpretations. By expressing these coefficients as character evaluations, we extend several of these interpretations to *all* posets  $P$ . Consequences include new combinatorial interpretations of the permanent and other immanants of totally nonnegative matrices, and of the sum of elementary coefficients in the Shareshian-Wachs chromatic quasisymmetric function  $X_{\text{inc}(P),q}$  when  $P$  is a unit interval order.

## 1. INTRODUCTION

The Frobenius isomorphism from the space  $\mathcal{T}_n$  of symmetric group traces to the space  $\Lambda_n$  of homogeneous degree- $n$  symmetric functions,

$$(1.1) \quad \begin{aligned} \text{Frob} : \mathcal{T}_n &\rightarrow \Lambda_n \\ \theta &\mapsto \frac{1}{n!} \sum_{w \in \mathfrak{S}_n} \theta(w) p_{\text{ctype}(w)}, \end{aligned}$$

where  $\text{ctype}(w)$  is the cycle type of  $w$ , allows one to translate statements about the representation theory of the symmetric group  $\mathfrak{S}_n$  to the language of symmetric functions. Conversely, one may use the inverse of the Frobenius isomorphism to study symmetric functions, such as Stanley's chromatic symmetric functions  $X_G$  [27], in terms of  $\mathfrak{S}_n$ -class functions. In particular, for  $G$  the incomparability graph  $\text{inc}(P)$  of a poset  $P$ , we will expand  $X_G$  in the standard symmetric function bases, and we will use the inverse Frobenius isomorphism to interpret the resulting coefficients. Our main tool is reminiscent of the Cauchy and dual Cauchy identities [21, §I.4] for symmetric functions in two sets of variables,

$$(1.2) \quad \begin{aligned} \prod_{i,j \geq 1} \frac{1}{1 - y_i x_j} &= \sum_{\lambda} e_{\lambda}(y) f_{\lambda}(x) = \sum_{\lambda} h_{\lambda}(y) m_{\lambda}(x) = \sum_{\lambda} \frac{p_{\lambda}(y)}{z_{\lambda}} p_{\lambda}(x) \\ &= \sum_{\lambda} s_{\lambda}(y) s_{\lambda}(x) = \sum_{\lambda} m_{\lambda}(y) h_{\lambda}(x) = \sum_{\lambda} f_{\lambda}(y) e_{\lambda}(x), \end{aligned}$$

$$(1.3) \quad \begin{aligned} \prod_{i,j \geq 1} (1 + y_i x_j) &= \sum_{\lambda} e_{\lambda}(y) m_{\lambda}(x) = \sum_{\lambda} h_{\lambda}(y) f_{\lambda}(x) = \sum_{\lambda} \frac{(-1)^{n-\ell(\lambda)} p_{\lambda}(y)}{z_{\lambda}} p_{\lambda}(x) \\ &= \sum_{\lambda} s_{\lambda^{\top}}(y) s_{\lambda}(x) = \sum_{\lambda} m_{\lambda}(y) e_{\lambda}(x) = \sum_{\lambda} f_{\lambda}(y) h_{\lambda}(x), \end{aligned}$$

with the inverse Frobenius isomorphism applied only to the symmetric functions in  $y$ .

In Section 2 we present standard bases of the trace space of the Hecke algebra  $H_n(q)$ , the trace space of the symmetric group algebra  $\mathbb{Z}[\mathfrak{S}_n]$ , and the space  $\Lambda_n$  of homogeneous degree- $n$  symmetric functions. We show that the expansion of any symmetric function in any standard basis of  $\Lambda_n$  yields coefficients which are trace evaluations. In Section 3 we apply this result to the standard expansions of chromatic symmetric functions of the form  $X_{\text{inc}(P)}$ . We obtain combinatorial interpretations of the resulting coefficients of  $X_{\text{inc}(P)}$  for *all* posets  $P$ , thus extending previous results which hold only for special classes of posets. The trace evaluations allow for very simple proofs of our results. In Section 4 we apply our results to functions of totally nonnegative matrices, obtaining new interpretations of these, including two new interpretations of the permanent of a totally nonnegative matrix. This leads to a new expression in Section 5 for the sum of elementary coefficients of the Shareshian-Wachs chromatic quasisymmetric function  $X_{\text{inc}(P),q}$  when  $P$  is a unit interval order. Our interpretations of the permanent (Theorem 4.14) play an important role in the evaluation of hyperoctahedral group characters at elements of the type- $BC$  Kazhdan-Lusztig basis. This will be described in [26].

## 2. SYMMETRIC FUNCTIONS AND TRACES

Let  $\Lambda$  be the ring of symmetric functions in  $x = (x_1, x_2, \dots)$  having integer coefficients, and let  $\Lambda_n$  be the  $\mathbb{Z}$ -submodule of homogeneous functions of degree  $n$ . This submodule has rank equal to the number of integer partitions of  $n$ , the weakly decreasing positive integer sequences  $\lambda = (\lambda_1, \dots, \lambda_\ell)$  satisfying  $\lambda_1 + \dots + \lambda_\ell = n$ . The  $\ell = \ell(\lambda)$  components of  $\lambda$  are called its *parts*, and we let  $|\lambda| = n$  and  $\lambda \vdash n$  denote that  $\lambda$  is a partition of  $n$ . Given  $\lambda \vdash n$ , we define the *transpose* partition  $\lambda^\top = (\lambda_1^\top, \dots, \lambda_{\lambda_1}^\top)$  by

$$\lambda_i^\top = \#\{j \mid \lambda_j \geq i\}.$$

Sometimes it is convenient to name a partition with exponential notation, omitting parentheses and commas, so that  $4^21^6 := (4, 4, 1, 1, 1, 1, 1)$ . We define a *composition* of  $n$  to be any rearrangement of a partition of  $n$  and write  $\alpha \vDash n$  to denote that  $\alpha$  is a composition of  $n$ . Six standard bases of  $\Lambda_n$  consist of the monomial  $\{m_\lambda \mid \lambda \vdash n\}$ , elementary  $\{e_\lambda \mid \lambda \vdash n\}$ , (complete) homogenous  $\{h_\lambda \mid \lambda \vdash n\}$ , power sum  $\{p_\lambda \mid \lambda \vdash n\}$ , Schur  $\{s_\lambda \mid \lambda \vdash n\}$ , and forgotten  $\{f_\lambda \mid \lambda \vdash n\}$  symmetric functions. (See, e.g., [29, Ch. 7] for definitions.) An involutive automorphism  $\omega : \Lambda \rightarrow \Lambda$  defined by  $\omega(e_k) = h_k$  for all  $k$  acts on these bases of  $\Lambda_n$  by

$$\omega(s_\lambda) = s_{\lambda^\top}, \quad \omega(m_\lambda) = f_\lambda, \quad \omega(e_\lambda) = h_\lambda, \quad \omega(p_\lambda) = (-1)^{n-\ell(\lambda)} p_\lambda.$$

Let  $H_n(q)$  be the (type  $A$ ) Hecke algebra, generated over  $\mathbb{Z}[q^{\frac{1}{2}}, q^{-\frac{1}{2}}]$  by  $T_{s_1}, \dots, T_{s_{n-1}}$  subject to relations

$$\begin{aligned} T_{s_i}^2 &= (q-1)T_{s_i} + q && \text{for } i = 1, \dots, n-1, \\ T_{s_i}T_{s_j} &= T_{s_j}T_{s_i} && \text{for } |i-j| \geq 2, \\ T_{s_i}T_{s_j}T_{s_i} &= T_{s_j}T_{s_i}T_{s_j} && \text{for } |i-j| = 1. \end{aligned}$$

For each  $w \in \mathfrak{S}_n$  and  $w = s_{i_1} \cdots s_{i_\ell}$  a reduced expression, define the natural basis element  $T_w = T_{s_{i_1}} \cdots T_{s_{i_\ell}}$  (which does not depend upon the choice of a reduced expression). (See, e.g., [3].) The (modified) *Kazhdan-Lusztig basis* of  $H_n(q)$  as a  $\mathbb{Z}[q^{\frac{1}{2}}, q^{-\frac{1}{2}}]$ -module consists of

elements  $\{\tilde{C}_w(q) \mid w \in \mathfrak{S}_n\}$  related to the natural basis by

$$(2.1) \quad \tilde{C}_w(q) = \sum_{v \leq w} P_{v,w}(q) T_v,$$

where  $\leq$  is the Bruhat order on  $\mathfrak{S}_n$ , and where  $\{P_{v,w}(q) \mid v, w \in \mathfrak{S}_n\}$  are the recursively defined *Kazhdan-Lusztig polynomials*. (Our basis element  $\tilde{C}_w(q)$  is  $q^{\frac{\ell(w)}{2}}$  times the basis element  $C'_w$  in [17].) When  $w$  avoids the patterns 3412 and 4231 (the one-line notation  $w_1 \cdots w_n$  contains no subword  $w_{i_1} w_{i_2} w_{i_3} w_{i_4}$  whose letters have values appearing in the same relative order as 4231 or 3412), each polynomial  $P_{v,w}(q)$  is identically 1.

Let  $\mathcal{T}_{n,q}$  be the  $\mathbb{Z}[q^{\frac{1}{2}}, q^{-\frac{1}{2}}]$ -module of  $H_n(q)$ -traces, linear functionals  $\theta_q : H_n(q) \rightarrow \mathbb{Z}[q^{\frac{1}{2}}, q^{-\frac{1}{2}}]$  satisfying  $\theta_q(gh) = \theta_q(hg)$  for all  $g, h \in H_n(q)$ . For any trace  $\theta_q : T_w \mapsto a(q)$  in  $\mathcal{T}_{n,q}$ , the  $q^{\frac{1}{2}} = 1$  specialization  $\theta : w \mapsto a(1)$  belongs to the space  $\mathcal{T}_n := \mathcal{T}_{n,1}$  of  $\mathbb{Z}[\mathfrak{S}_n]$ -traces from  $\mathbb{Z}[\mathfrak{S}_n] \rightarrow \mathbb{Z}$  ( $\mathfrak{S}_n$  class functions). Like the  $\mathbb{Z}$ -module  $\Lambda_n$ , the trace spaces  $\mathcal{T}_{n,q}$  and  $\mathcal{T}_n$  have dimension equal to the number of integer partitions of  $n$ . The Frobenius  $\mathbb{Z}$ -module isomorphism (1.1) and its  $q$ -extension,  $\text{Frob}_q : \mathcal{T}_{n,q} \rightarrow \Lambda_n$ ,  $\theta_q \mapsto \text{Frob}(\theta)$ , define bijections between standard bases of  $\Lambda$ ,  $\mathcal{T}_n$ , and  $\mathcal{T}_{n,q}$ . Schur functions correspond to irreducible characters,

$$s_\lambda \leftrightarrow \chi^\lambda \leftrightarrow \chi_q^\lambda,$$

while elementary and homogeneous symmetric functions correspond to induced sign and trivial characters,

$$\begin{aligned} e_\lambda \leftrightarrow \epsilon^\lambda &= \text{sgn} \uparrow_{\mathfrak{S}_\lambda}^{\mathfrak{S}_n} \leftrightarrow \epsilon_q^\lambda = \text{sgn}_q \uparrow_{H_\lambda(q)}^{H_n(q)}, \\ h_\lambda \leftrightarrow \eta^\lambda &= \text{triv} \uparrow_{\mathfrak{S}_\lambda}^{\mathfrak{S}_n} \leftrightarrow \eta_q^\lambda = \text{triv}_q \uparrow_{H_\lambda(q)}^{H_n(q)}, \end{aligned}$$

where  $\mathfrak{S}_\lambda$  is the Young subgroup of  $\mathfrak{S}_n$  indexed by  $\lambda$  and  $H_\lambda(q)$  is the corresponding parabolic subalgebra of  $H_n(q)$ . The power sum, monomial, and forgotten bases of  $\Lambda_n$  correspond to bases of  $\mathcal{T}_n$  ( $\mathcal{T}_{n,q}$ ) which are not characters. We call these the *power sum*  $\{\psi^\lambda \mid \lambda \vdash n\}$  ( $\{\psi_q^\lambda \mid \lambda \vdash n\}$ ), *monomial*  $\{\phi^\lambda \mid \lambda \vdash n\}$  ( $\{\phi_q^\lambda \mid \lambda \vdash n\}$ ), and *forgotten*  $\{\gamma^\lambda \mid \lambda \vdash n\}$  ( $\{\gamma_q^\lambda \mid \lambda \vdash n\}$ ) traces, respectively. These are the bases related to the irreducible character bases by the same matrices of character evaluations and inverse Koskta numbers that relate power sum, monomial, and forgotten symmetric functions to Schur functions,

$$(2.2) \quad \begin{aligned} p_\lambda &= \sum_{\mu} \chi^\mu(\lambda) s_\mu, & \psi^\lambda &= \sum_{\mu} \chi^\mu(\lambda) \chi^\mu, & \psi_q^\lambda &= \sum_{\mu} \chi^\mu(\lambda) \chi_q^\mu, \\ m_\lambda &= \sum_{\mu} K_{\lambda,\mu}^{-1} s_\mu, & \phi^\lambda &= \sum_{\mu} K_{\lambda,\mu}^{-1} \chi^\mu, & \phi_q^\lambda &= \sum_{\mu} K_{\lambda,\mu}^{-1} \chi_q^\mu, \\ f_\lambda &= \sum_{\mu} K_{\lambda,\mu^\top}^{-1} s_\mu, & \gamma^\lambda &= \sum_{\mu} K_{\lambda,\mu^\top}^{-1} \chi^\mu, & \gamma_q^\lambda &= \sum_{\mu} K_{\lambda,\mu^\top}^{-1} \chi_q^\mu, \end{aligned}$$

where  $\chi^\mu(\lambda) = \chi^\mu(w)$  for any  $w \in \mathfrak{S}_n$  having  $\text{ctype}(w) = \lambda$ . The power sum traces in  $\mathcal{T}_n$  also have the natural definition

$$(2.3) \quad \psi^\lambda(w) := \begin{cases} z_\lambda & \text{if } \text{ctype}(w) = \lambda, \\ 0 & \text{otherwise,} \end{cases}$$

where  $z_\lambda = \lambda_1 \cdots \lambda_\ell \alpha_1! \cdots \alpha_n!$  and  $\alpha_i$  is the number of parts of  $\lambda$  equal to  $i$ .

It can be useful to record trace evaluations in a symmetric generating function. In particular, for  $g \in \mathbb{Q}(q) \otimes H_n(q)$ , we record induced sign character evaluations by defining

$$(2.4) \quad Y_q(g) = \sum_{\lambda \vdash n} \epsilon_q^\lambda(g) m_\lambda \in \mathbb{Q}(q) \otimes \Lambda_n.$$

This symmetric generating function in fact gives us all of the standard trace evaluations.

**Proposition 2.1.** *The symmetric function  $Y_q(g)$  is equal to*

$$\sum_{\lambda \vdash n} \eta_q^\lambda(g) f_\lambda = \sum_{\lambda \vdash n} \frac{(-1)^{n-\ell(\lambda)} \psi_q^\lambda(g)}{z_\lambda} p_\lambda = \sum_{\lambda \vdash n} \chi_q^{\lambda^\top}(g) s_\lambda = \sum_{\lambda \vdash n} \phi_q^\lambda(g) e_\lambda = \sum_{\lambda \vdash n} \gamma_q^\lambda(g) h_\lambda;$$

equivalently,  $\omega Y_q(g)$  is equal to

$$(2.5) \quad \sum_{\lambda \vdash n} \epsilon_q^\lambda(g) f_\lambda = \sum_{\lambda \vdash n} \eta_q^\lambda(g) m_\lambda = \sum_{\lambda \vdash n} \frac{\psi_q^\lambda(g)}{z_\lambda} p_\lambda = \sum_{\lambda \vdash n} \chi_q^\lambda(g) s_\lambda = \sum_{\lambda \vdash n} \phi_q^\lambda(g) h_\lambda = \sum_{\lambda \vdash n} \gamma_q^\lambda(g) e_\lambda.$$

While this follows from (1.2) – (1.3), we provide a short proof.

*Proof.* Consider the second and fourth sums in (2.5), in which the symmetric functions and traces satisfy

$$(2.6) \quad s_\lambda = \sum_{\mu \vdash n} K_{\lambda, \mu} m_\mu, \quad \eta_q^\mu = \sum_{\lambda \vdash n} K_{\lambda, \mu} \chi_q^\lambda.$$

Using (2.6) to expand the fourth sum in the monomial symmetric function basis, we have

$$\sum_{\lambda \vdash n} \chi_q^\lambda(g) \sum_{\mu \vdash n} K_{\lambda, \mu} m_\mu = \sum_{\mu \vdash n} \sum_{\lambda \vdash n} K_{\lambda, \mu} \chi_q^\lambda(g) m_\mu = \sum_{\mu \vdash n} \eta_q^\mu(g) m_\mu,$$

i.e., it is equal to the second sum. Similarly, for each of the remaining sums  $\sum_{\lambda} \theta_q^\lambda(g) t_\lambda$  in (2.5), there is a matrix  $(M_{\lambda, \mu})_{\lambda, \mu \vdash n}$  and equations

$$s_\lambda = \sum_{\mu \vdash n} M_{\lambda, \mu} t_\mu, \quad \theta_q^\mu = \sum_{\lambda \vdash n} M_{\lambda, \mu} \chi_q^\lambda,$$

relating it to the fourth sum. In particular,  $M_{\lambda, \mu} = K_{\lambda^\top, \mu}, \chi^\lambda(\mu), K_{\mu, \lambda}^{-1}, K_{\mu, \lambda^\top}^{-1}$ , respectively. (See [2, §2].)  $\square$

Since each symmetric function is a quasisymmetric function, researchers sometimes express elements of  $\Lambda_n$  in terms of bases of the  $\mathbb{Z}$ -module  $\text{QSym}_n$  of degree- $n$  quasisymmetric functions. (See [29, §7.19] for definitions). The coefficients arising in such expansions also can be viewed as trace evaluations. In particular, let  $\{F_{n, S} \mid S \subseteq [n-1]\}$  be the fundamental quasisymmetric function basis of  $\text{QSym}_n$ . For any Young tableau  $U$  of shape  $\lambda = (\lambda_1, \dots, \lambda_r)$ , let  $U_1, \dots, U_r$  denote its rows, and let  $\circ$  denote concatenation of rows. Define the *inverse descent set* of  $U$  by

$$\text{IDES}(U) = \{i \in [n-1] \mid i+1 \text{ appears before } i \text{ in } U_r \circ \dots \circ U_1\}.$$

Now we have the following fundamental quasisymmetric expansion of  $Y_q(g)$ .

**Corollary 2.2.** For  $\lambda = (\lambda_1, \dots, \lambda_r) \vdash n$  and  $S \subseteq [n-1]$ , define  $b(\lambda, S)$  to be the number of standard Young tableaux  $U$  of shape  $\lambda$  with  $\text{IDES}(U) = S$ . Then we have

$$Y_q(g) = \sum_{S \subseteq [n-1]} \sum_{\lambda \vdash n} b(\lambda, S) \chi_q^{\lambda^\top}(g) F_{n,S}; \quad \omega Y_q(g) = \sum_{S \subseteq [n-1]} \sum_{\lambda \vdash n} b(\lambda, S) \chi_q^\lambda(g) F_{n,S}.$$

*Proof.* By [11, Thm. 11], the coefficients  $\{c_\lambda \mid \lambda \vdash n\}$  and  $\{d_S \mid S \subseteq [n-1]\}$  appearing in the Schur and fundamental expansions

$$\sum_{\lambda \vdash n} c_\lambda s_\lambda = \sum_{S \subseteq [n-1]} d_S F_{n,S}$$

of a symmetric function satisfy

$$d_S = \sum_{\lambda \vdash n} b(\lambda, S) c_\lambda.$$

The result now follows from Proposition 2.1.  $\square$

To say that the functions  $\{Y_q(g) \mid g \in H_n(q)\}$  arise often in the study of symmetric functions would be an understatement; in fact *every* element of  $\mathbb{Z}[q] \otimes \Lambda_n$  has this form.

**Proposition 2.3.** Every symmetric function in  $\mathbb{Z}[q] \otimes \Lambda_n$  has the form  $Y_q(g)$  for some element  $g \in \mathbb{Q}(q) \otimes H_n(q)$ .

*Proof.* Fix a symmetric function in  $\mathbb{Z}[q] \otimes \Lambda_n$ , express it in the elementary basis as  $\sum_{\lambda \vdash n} a_\lambda e_\lambda$ , and define the  $H_n(q)$  element

$$g = \sum_{\mu \vdash n} \frac{a_\mu}{[\mu_1]_q! \cdots [\mu_{\ell(\mu)}]_q!} \tilde{C}_{w_\mu}(q),$$

where

$$[b]_q := \begin{cases} 1 + q + \cdots + q^{b-1} & \text{if } b \geq 1, \\ 0 & \text{if } b = 0; \end{cases} \quad [b]_q! := \begin{cases} [1]_q [2]_q \cdots [b]_q & \text{if } b \geq 1, \\ 1 & \text{if } b = 0; \end{cases}$$

and  $w_\mu$  is the maximal element of the Young subgroup  $\mathfrak{S}_\mu$  of  $\mathfrak{S}_n$ . By [14, Prop. 4.1], we have

$$\phi_q^\lambda(\tilde{C}_{w_\mu}(q)) = \begin{cases} [\mu_1]_q! \cdots [\mu_{\ell(\mu)}]_q! & \text{if } \lambda = \mu, \\ 0 & \text{otherwise.} \end{cases}$$

Thus  $Y_q(g)$  is equal to

$$\sum_{\lambda \vdash n} \phi_q^\lambda \left( \sum_{\mu \vdash n} \frac{a_\mu}{[\mu_1]_q! \cdots [\mu_{\ell(\mu)}]_q!} \tilde{C}_{w_\mu}(q) \right) e_\lambda = \sum_{\lambda \vdash n} \sum_{\mu \vdash n} a_\mu \frac{\phi_q^\lambda(\tilde{C}_{w_\mu}(q))}{[\mu_1]_q! \cdots [\mu_{\ell(\mu)}]_q!} e_\lambda = \sum_{\lambda \vdash n} a_\lambda e_\lambda. \quad \square$$

Of course, for  $g \in \mathbb{Q}[\mathfrak{S}_n]$ , the  $q^{\frac{1}{2}} = 1$  specialization  $Y(g) := Y_1(g)$  of (2.4) satisfies the  $q^{\frac{1}{2}} = 1$  specializations of Proposition 2.1, Corollary 2.2, and Proposition 2.3.

## 3. CHROMATIC SYMMETRIC FUNCTIONS

Closely related to symmetric generating functions for  $\mathbb{Z}[\mathfrak{S}_n]$ -traces are symmetric generating functions for graph colorings. Define a *proper coloring* of a (simple undirected) graph  $G = (V, E)$  to be an assignment  $\kappa : V \rightarrow \{1, 2, \dots\}$  of colors (positive integers) to  $V$  such that adjacent vertices have different colors. For  $G$  on  $|V| = n$  vertices and any composition  $\alpha = (\alpha_1, \dots, \alpha_\ell) \vDash n$ , say that a coloring  $\kappa$  of  $G$  has *type*  $\alpha$  if  $\alpha_i$  vertices have color  $i$  for  $i = 1, \dots, \ell$ . Let  $c(G, \alpha)$  be the number of proper colorings of  $G$  of type  $\alpha$ . Stanley [27] defined the *chromatic symmetric function* of  $G$  to be

$$(3.1) \quad X_G = \sum_{\kappa} x_{\kappa(1)} \cdots x_{\kappa(n)} = \sum_{\lambda \vdash n} c(G, \lambda) m_{\lambda},$$

where the first sum is over all proper colorings of  $G$ . By Proposition 2.3 we see that for each graph  $G$  on  $n$  vertices, there exists an element  $g \in \mathbb{Q}[\mathfrak{S}_n]$  such that  $X_G = Y(g)$ . Such an element  $g$  is not uniquely determined by  $G$ , and is not in general easily described in terms of the structure of  $G$ . On the other hand, the evaluations of traces at such elements are easily described in terms of  $G$ .

**Observation 3.1.** *Let  $G$  be a graph on  $n$  vertices and let  $g \in \mathbb{Q}[\mathfrak{S}_n]$  satisfy  $Y(g) = X_G$ . Then for each trace  $\theta = \sum_{\lambda \vdash n} a_{\lambda} \epsilon^{\lambda} \in \mathcal{T}_n$ , we have  $\theta(g) = \sum_{\lambda \vdash n} a_{\lambda} c(G, \lambda)$ .*

*Proof.* For each  $\lambda \vdash n$  we have  $\epsilon^{\lambda}(g) = c(G, \lambda)$ . □

For every trace  $\theta \in \mathcal{T}_n$ , Proposition 2.3 and Observation 3.1 allow us to define

$$\theta(G) := \theta(g),$$

where  $g$  is any element in  $\mathbb{Q}[\mathfrak{S}_n]$  satisfying  $Y(g) = X_G$ . By Proposition 2.1, we have that  $X_G = \sum_{\lambda \vdash n} \epsilon^{\lambda}(G) m_{\lambda}$  is equal to

$$(3.2) \quad \sum_{\lambda \vdash n} \eta^{\lambda}(G) f_{\lambda} = \sum_{\lambda \vdash n} \frac{(-1)^{n-\ell(\lambda)} \psi^{\lambda}(G)}{z_{\lambda}} p_{\lambda} = \sum_{\lambda \vdash n} \chi^{\lambda^T}(G) s_{\lambda} = \sum_{\lambda \vdash n} \phi^{\lambda}(G) e_{\lambda} = \sum_{\lambda \vdash n} \gamma^{\lambda}(G) h_{\lambda};$$

equivalently,  $\omega X_G$  is equal to

$$(3.3) \quad \sum_{\lambda \vdash n} \epsilon^{\lambda}(G) f_{\lambda} = \sum_{\lambda \vdash n} \eta^{\lambda}(G) m_{\lambda} = \sum_{\lambda \vdash n} \frac{\psi^{\lambda}(G)}{z_{\lambda}} p_{\lambda} = \sum_{\lambda \vdash n} \chi^{\lambda}(G) s_{\lambda} = \sum_{\lambda \vdash n} \phi^{\lambda}(G) h_{\lambda} = \sum_{\lambda \vdash n} \gamma^{\lambda}(G) e_{\lambda}.$$

Some conditions on graphs  $G$  and traces  $\theta$  imply the numbers  $\theta(G)$  to be positive, and sometimes the resulting positive numbers have nice combinatorial interpretations, particularly when  $G$  is the incomparability graph of a poset. (See, e.g., [7], [24], [27].) Given a poset  $P$ , define its *incomparability graph*  $\text{inc}(P)$  to be the graph having a vertex for each element of  $P$  and an edge  $(i, j)$  for each incomparable pair of elements of  $P$ . For positive integers  $a, b$ , call a poset  $(\mathbf{a} + \mathbf{b})$ -free if it has no induced subposet isomorphic to a disjoint sum of an  $a$ -element chain and a  $b$ -element chain. If we cannot interpret  $\theta(\text{inc}(P))$  for *all* posets  $P$ , sometimes we can do so when  $P$  is  $(\mathbf{3} + \mathbf{1})$ -free.

We remark that for a poset  $P$  which is  $(\mathbf{3} + \mathbf{1})$ -free and  $(\mathbf{2} + \mathbf{2})$ -free, also called a *unit interval order*, a simple procedure produces an element  $g$  satisfying  $Y(g) = X_{\text{inc}(P)}$ . Explicitly, for each element  $y \in P$ , compute

$$\beta(y) := \#\{x \in P \mid x \leq_P y\} - \#\{z \in P \mid z \geq_P y\}$$

and label the poset elements  $1, \dots, n$  so that we have

$$(3.4) \quad \beta(1) \leq \dots \leq \beta(n).$$

Then define  $w = w(P) = w_1 \cdots w_n$  by

$$(3.5) \quad w_j = \max(\{i \mid i \not\prec_P j\} \setminus \{w_1, \dots, w_{j-1}\}).$$

This map  $P \mapsto w(P)$  is a bijection from  $n$ -element unit interval orders to the  $\frac{1}{n+1} \binom{2n}{n}$  312-avoiding permutations in  $\mathfrak{S}_n$ , and gives us the following result [7, Cor. 7.5].

**Proposition 3.2.** *Let  $P$  be an  $n$ -element unit interval order and  $w = w(P)$  the corresponding 312-avoiding permutation in  $\mathfrak{S}_n$ . Then we have  $X_{\text{inc}(P)} = Y(\widetilde{C}_w(1))$ .*

Combinatorial interpretations of numbers  $\theta(\text{inc}(P))$  often involve structures called  $P$ -tableaux. Define a  $P$ -tableau of shape  $\lambda \vdash |P|$  to be a filling of a (French) Young diagram of shape  $\lambda$  with the elements of  $P$ , one per box. Given such a  $P$ -tableau  $U$ , let  $U_i$  be the  $i$ th row of  $U$ , and let  $U_{i,j}$  be the  $j$ th entry in row  $i$ . If  $P$ -tableau  $U$  consists of a single row, we will call it a  $P$ -permutation. Define a  $P$ -descent in  $U$  to be a pair  $(i, j)$  such that  $U_{i,j} >_P U_{i,j+1}$ , and let  $\text{des}_P(U)$  be the number of  $P$ -descents in  $U$ . Define  $\overline{U}_{i,j}$  to be the entry of  $U_i$  whose label is  $j$ th-smallest (as an integer). Define a  $P$ -excedance in  $U$  to be a pair  $(i, j)$  such that  $U_{i,j} >_P \overline{U}_{i,j}$ , and let  $\text{exc}_P(U)$  be the number of  $P$ -excedances in  $U$ . Define a  $P$ -record in  $U$  to be a pair  $(i, j)$  such that  $U_{i,1}, \dots, U_{i,j-1} <_P U_{i,j}$ , and call the record *nontrivial* if  $j \neq 1$ .

Call a  $P$ -tableau  $U$  of shape  $\lambda$

- (1)  $P$ -descent-free or *row-semistrict* if  $\text{des}_P(U) = 0$ ,
- (2) *column-strict* if the entries of each column satisfy  $U_{i,j} <_P U_{i+1,j}$ ,
- (3) *standard* if it is column-strict and row-semistrict,
- (4) *cyclically row-semistrict* if it is row-semistrict and if  $U_{i,\lambda_i} \not\prec_P U_{i,1}$  for all  $i$ .
- (5)  $P$ -excedance-free if  $\text{exc}_P(U) = 0$ .
- (6)  $P$ -record-free if it has no nontrivial  $P$ -records.

If the elements of a poset are  $[n] := \{1, \dots, n\}$ , we will sometimes write a  $P$ -permutation as an ordinary permutation  $v_1 \cdots v_n \in \mathfrak{S}_n$ .

**3.1. Induced sign characters / monomial coefficients of  $X_{\text{inc}(P)}$ .** By definition, the induced sign characters satisfy

$$(3.6) \quad \begin{aligned} \epsilon^\lambda(G) &= c(G, \lambda), \\ \epsilon^\lambda(\text{inc}(P)) &= \# \text{ column-strict } P\text{-tableaux of shape } \lambda^\top \end{aligned}$$

for all graphs  $G = (V, E)$  and posets  $P$ . Since  $e^n(G) = 1$  if  $G$  has no edges and is 0 otherwise, we can easily express  $\epsilon^\lambda(G)$  in terms of subgraphs of  $G$ . For  $J \subseteq V = [n]$ , let  $\overline{J} = [n] \setminus J$ , and define

$$\begin{aligned} G_J &= \text{subgraph of } G \text{ induced by vertices } J, \\ P_J &= \text{subposet of } P \text{ induced by elements } J. \end{aligned}$$

Given  $\alpha = (\alpha_1, \dots, \alpha_r) \vDash n$ , call a sequence  $(I_1, \dots, I_r)$  of subsets of  $[n]$  an *ordered set partition of  $[n]$  of type  $\alpha$*  if we have

- (1)  $|I_i| = \alpha_i$  for  $i = 1, \dots, r$ ,
- (2)  $I_i \cap I_j = \emptyset$  for  $i \neq j$ ,
- (3)  $I_1 \cup \dots \cup I_r = [n]$ .

Using (3.6) and the language of ordered set partitions, we can decompose some trace evaluations  $\theta(G)$  as follows.

**Lemma 3.3.** *Let  $G$  be a graph on  $n$  vertices.*

- (1) *If  $\lambda = (\lambda_1, \dots, \lambda_r) \vdash n$  is the weakly decreasing rearrangement of the parts of  $\mu \vdash k$  and  $\nu \vdash n - k$ , then we have*

$$(3.7) \quad \epsilon^\lambda(G) = \sum_{(I_1, \dots, I_r)} \epsilon^{\lambda_1}(G_{I_1}) \cdots \epsilon^{\lambda_r}(G_{I_r}) = \sum_{J \subseteq [n]} \epsilon^\mu(G_J) \epsilon^\nu(G_{\bar{J}}),$$

where the first sum is over ordered set partitions of  $[n]$  of type  $\lambda$ .

- (2) *Let symmetric functions  $t_1 \in \Lambda_k$ ,  $t_2 \in \Lambda_{n-k}$ ,  $t_1 t_2 \in \Lambda_n$  correspond by the Frobenius isomorphism to traces  $\theta_1 \in \mathcal{T}_k$ ,  $\theta_2 \in \mathcal{T}_{n-k}$ ,  $\theta = \theta_1 \otimes \theta_2 \uparrow_{\mathfrak{S}_k \times \mathfrak{S}_{n-k}}^{\mathfrak{S}_n} \in \mathcal{T}_n$ . Then we have*

$$(3.8) \quad \theta(G) = \sum_{\substack{J \subseteq [n] \\ |J|=k}} \theta_1(G_J) \theta_2(G_{\bar{J}}).$$

*Proof.* (1)  $c(G, \lambda)$  equals the number of ordered set partitions  $(I_1, \dots, I_r)$  of  $[n]$  of type  $\lambda$  such that  $G_{I_j}$  is an independent set for all  $j$ , and also the number of ordered set partitions of  $[n]$  of type  $(\mu_1, \dots, \mu_\ell(\mu), \nu_1, \dots, \nu_\ell(\nu))$  having the same property.

- (2) Express  $t_1, t_2$  in the elementary bases of  $\Lambda_k, \Lambda_{n-k}$  as

$$(3.9) \quad t_1 = \sum_{\mu \vdash k} a_\mu e_\mu, \quad t_2 = \sum_{\nu \vdash n-k} b_\nu e_\nu$$

and let  $\lambda(\mu, \nu) \vdash n$  be the weakly decreasing rearrangement of the parts of  $\mu$  and  $\nu$ . Then we have

$$t_1 t_2 = \sum_{\substack{\mu \vdash k \\ \nu \vdash n-k}} a_\mu b_\nu e_{\lambda(\mu, \nu)}, \quad \theta(G) = \sum_{\substack{\mu \vdash k \\ \nu \vdash n-k}} a_\mu b_\nu \epsilon^{\lambda(\mu, \nu)}(G).$$

By (3.7) and (3.9),  $\theta(G)$  equals

$$\sum_{\substack{\mu \vdash k \\ \nu \vdash n-k}} a_\mu b_\nu \sum_{\substack{J \subseteq [n] \\ |J|=k}} \epsilon^\mu(G_J) \epsilon^\nu(G_{\bar{J}}) = \sum_{\substack{J \subseteq [n] \\ |J|=k}} \sum_{\mu \vdash k} a_\mu \epsilon^\mu(G_J) \sum_{\nu \vdash n-k} b_\nu \epsilon^\nu(G_{\bar{J}}) = \sum_{\substack{J \subseteq [n] \\ |J|=k}} \theta_1(G_J) \theta_2(G_{\bar{J}}).$$

□

We will use this fact to prove similar formulas for induced trivial characters and power sum traces.

**3.2. Irreducible characters / Schur coefficients of  $X_{\text{inc}(P)}$ .** While  $\chi^\lambda(\text{inc}(P))$  is negative for some posets  $P$ , Stanley and Stembridge [30, Conj. 5.1] conjectured it to be nonnegative for  $(\mathbf{3} + \mathbf{1})$ -free posets  $P$ . Gasharov [12] proved this by showing that for these posets we have

$$(3.10) \quad \chi^\lambda(\text{inc}(P)) = \# \text{ standard } P\text{-tableaux of shape } \lambda.$$

Kaliszewski [15, Prop. 4.3] extended this result to all posets  $P$  when  $\lambda$  is a hook shape. We give an alternate proof of this fact using (3.6) and the inverse Kostka numbers, which satisfy

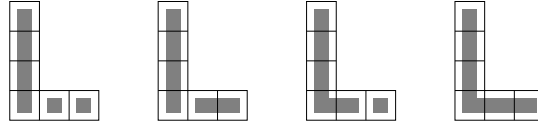
$$(3.11) \quad \chi^\mu = \sum_{\lambda \vdash n} K_{\lambda, \mu}^{-1} \epsilon^\lambda.$$



For partitions  $\lambda, \mu$  with  $|\mu| < |\lambda| = n$  and  $\mu_i \leq \lambda_i$  for all  $i$ , define a (*skew*) *Young diagram of shape*  $\lambda/\mu$  to be the diagram obtained from a Young diagram of shape  $\lambda$  by removing the  $\mu_i$  leftmost boxes in row  $i$  for all  $i$ . Call a Young diagram a *border strip* if it contains no  $2 \times 2$  subdiagram of boxes. Define a *special ribbon diagram* of shape  $\mu \vdash n$  and type  $\lambda = (\lambda_1, \dots, \lambda_\ell) \vdash n$  to be a Young diagram of shape  $\mu$  subdivided into border strips (ribbons) of sizes  $\lambda_1, \dots, \lambda_\ell$ , each of which contains a cell from the first row of  $\mu$ . Given a special ribbon diagram  $Q$ , define  $\text{sgn}(Q)$  to be  $-1$  to the number of pairs of boxes in  $Q$  which are horizontally adjacent and which belong to the same ribbon. It is known that we have

$$(3.12) \quad K_{\lambda, \mu}^{-1} = \sum_Q \text{sgn}(Q),$$

where the sum is over all special ribbon diagrams  $Q$  of shape  $\mu$  and type  $\lambda$ . (See [2, §2].) For example, to expand  $s_{3111}$  in the elementary basis, we draw a Young diagram of shape 3111 and fill it with special ribbon diagrams



to obtain  $s_{3111} = e_{411} - e_{42} - e_{51} + e_6$ .

**Proposition 3.4.** *For any  $n$ -element poset  $P$  and hook shape  $k1^{n-k} \vdash n$ , the evaluation  $\chi^{k1^{n-k}}(\text{inc}(P))$  equals the number of standard  $P$ -tableaux of shape  $k1^{n-k}$ .*

*Proof.* Fix  $\mu = k1^{n-k}$ , let  $a(\mu)$  be the number of semistandard  $P$ -tableaux of shape  $\mu$ , and for each subset  $S \subseteq [r-1]$ , let  $b(\mu, S)$  be the number of column-strict  $P$ -tableaux  $U$  of shape  $\mu$  which satisfy

$$(3.13) \quad U_{1,j} >_P U_{1,j+1} \quad \text{for all } j \in S.$$

By the principle of inclusion-exclusion, these are related by

$$(3.14) \quad a(\mu) = \sum_{S \subseteq [r-1]} (-1)^{|S|} b(\mu, S).$$

Each subset  $S \subseteq [r-1]$  corresponds to a special ribbon diagram  $Q$  of shape  $\mu$  by joining cells in a Young diagram of shape  $\mu$  as follows.

- (1) Join cells  $(i, 1)$  and  $(i+1, 1)$  for  $i = 1, \dots, n-k$ .
- (2) Join cells  $(1, j)$  and  $(1, j+1)$  for all  $j \in S$ .

If the type of the ribbon diagram  $Q$  is  $\lambda = \lambda(S)$ , then we have  $|S| = r - \ell(\lambda)$ .

We claim that  $b(\mu, S)$  is also the number of pairs  $(Q, T)$  with  $Q$  a special ribbon diagram of shape  $\mu$  and type  $\lambda(S)$  and  $T$  a semistandard  $P$ -tableau of shape  $\lambda^{\bar{1}}$ . Given  $(S, U)$  with  $S \subseteq [r-1]$  and  $U$  a column-strict  $P$ -tableau of shape  $\mu$  satisfying (3.13), form  $Q$  as above and form  $T$  by juxtaposing  $Q$  onto  $U$ . That is, consider the ribbons from left to right, and place the cells corresponding to each ribbon into the leftmost unused column of  $T$  which has the correct size. To see that this map is a bijection, observe that to invert it starting from  $(Q, T)$ , we simply juxtapose the columns of  $T$  onto the ribbons of  $Q$ , choosing the leftmost unused ribbon of the correct size whenever there is a choice.

Now we may rewrite (3.14) by summing over pairs  $(S, U)$  and  $(Q, T)$  satisfying the above conditions,

$$a(\mu) = \sum_{(S,U)} (-1)^{|S|} = \sum_{(Q,T)} (-1)^{r-\ell(\lambda)},$$

where  $\lambda = \text{type}(Q)$ . Summing over  $\lambda$  first and special ribbon diagrams  $Q$  of shape  $\mu$  and type  $\lambda$  second, we then have that  $a(\mu)$  is

$$\sum_{\lambda \vdash n} \sum_Q (-1)^{r-\ell(\lambda)} (\#\text{column-strict } P\text{-tableaux of shape } \lambda^{\top}) = \sum_{\lambda \vdash n} \sum_Q \text{sgn}(Q) \epsilon^\lambda(\text{inc}(P)).$$

By (3.12) and (3.11), this is

$$\sum_{\lambda \vdash n} K_{\lambda, \mu}^{-1} \epsilon^\lambda(\text{inc}(P)) = \chi^\mu(\text{inc}(P)).$$

□

**3.3. Induced trivial characters / monomial coefficients of  $\omega X_{\text{inc}(P)}$ .** Given graph  $G = (V, E)$  call a directed graph  $O = (V, E')$  an *orientation* of  $G$  if  $O$  is obtained from  $G$  by replacing each (undirected) edge  $\{i, j\}$  with exactly one of the directed edges  $(i, j)$  or  $(j, i)$ . Call  $O$  *acyclic* if it has no directed cycles. By [27] we have for all graphs  $G$  that

$$(3.15) \quad \eta^n(G) = \#\text{acyclic orientations of } G,$$

and as a consequence (or by Proposition 3.4) we have for all posets  $P$  that

$$(3.16) \quad \eta^n(\text{inc}(P)) = \#\text{\textit{P}-descent-free } P\text{-permutations}.$$

When  $P$  is a unit interval order we also have [7, Thm. 4.7]

$$(3.17) \quad \eta^\lambda(\text{inc}(P)) = \#\text{\textit{P}-descent-free } P\text{-tableaux of shape } \lambda.$$

We will extend this result to all posets in Theorem 3.6 and will include combinatorial interpretations related to  $P$ -excedance-free  $P$ -tableaux and acyclic orientations. To do so, we consider some straightforward extensions of permutation statistics to  $P$ -permutations.

Let  $w$  be a  $P$ -permutation, and let  $\text{exc}_P(w)$  and  $\text{aexc}_P(w)$  be the numbers of  $P$ -excedances and  $P$ -antiexcedances in  $w$ ,

$$\text{exc}_P(w) = \#\{i \mid w_i >_P i\}, \quad \text{aexc}_P(w) = \#\{i \mid w_i <_P i\}.$$

Let  $\text{des}_P(w)$  and  $\text{asc}_P(w)$  be the numbers of  $P$ -descents and  $P$ -ascents in  $w$ ,

$$\text{des}_P(w) = \#\{i \mid w_i >_P w_{i+1}\}, \quad \text{asc}_P(w) = \#\{i \mid w_i <_P w_{i+1}\}.$$

Let  $\sigma : \mathfrak{S}_n \rightarrow \mathfrak{S}_n$  be the bijection defined by setting  $\sigma(w)$  equal to the permutation whose one-line notation is obtained by erasing parentheses from the standard cycle notation of  $w$ , as defined in [28, §1.3]. For example, to compute  $\sigma(5243761)$ , we write 5243761 in standard cycle notation as  $(2)(4, 3)(6)(7, 1, 5)$ , since the greatest elements of the cycles satisfy  $2 < 4 < 6 < 7$ . Then we erase parentheses to obtain 2436715. For  $w = w_1 \cdots w_n \in \mathfrak{S}_n$ , define the standard maps  $w \mapsto w^R$  ( $w$  “reverse”),  $w \mapsto w^U$  ( $w$  “upside down”) by

$$w^R = w_n \cdots w_1, \quad w^U = (n+1-w_1)(n+1-w_2) \cdots (n+1-w_n).$$

The following result is a strengthening of [28, Exercise 3.60c].

**Proposition 3.5.** *For any poset  $P$  the  $P$ -permutation statistics  $\text{des}_P$ ,  $\text{asc}_P$ ,  $\text{exc}_P$ ,  $\text{aexc}_P$  are equally distributed on the set of all  $P$ -permutations.*

*Proof.* ( $\text{des}_P \sim \text{asc}_P$ ) We have  $\text{asc}_P(w) = \text{des}_P(w^R)$ .

( $\text{exc}_P \sim \text{aexc}_P$ ) We have  $\text{aexc}_P(w) = \text{exc}_P(w^{-1})$ .

( $\text{des}_P \sim \text{aexc}_P$ ) We claim that  $\text{des}_P(\sigma(w)) = \text{aexc}_P(w)$ . Write  $w$  in standard cycle notation and  $\sigma(w)$  in one-line notation as

$$w = (a_1, a_2, \dots, a_{i_1})(a_{i_1+1}, a_{i_1+2}, \dots, a_{i_2}) \cdots (a_{i_{k-1}+1}, a_{i_{k-1}+2}, \dots, a_{i_k} = a_n),$$

$$\sigma(w) = a_1, a_2, \dots, a_{i_1}, a_{i_1+1}, a_{i_1+2}, \dots, a_{i_2}, \dots, a_{i_{k-1}+1}, a_{i_{k-1}+2}, \dots, a_{i_k} = a_n.$$

Suppose that  $j$  is a  $P$ -descent of  $\sigma(w)$ . Then  $a_j > a_{j+1}$ , and  $a_j$  can not appear last in its cycle in the standard cycle notation for  $w$ . Thus  $a_j > w(a_j)$ , and position  $a_j$  is a  $P$ -antiexcedance of  $\sigma(w)$ . Now suppose that  $j$  is not a  $P$ -descent of  $w$ . Then we have either that  $a_j$  appears last in its cycle in the standard cycle notation for  $w$  and satisfies  $a_j \leq w(a_j)$ , or that  $a_j, a_{j+1}$  appear consecutively in a cycle and satisfy  $a_j < a_{j+1} = w(a_j)$ . Both cases imply that position  $a_j$  is not a  $P$ -antiexcedance of  $w$ .  $\square$

Given a graph  $G$  on  $n$  vertices and an ordered set partition  $(I_1, \dots, I_r)$  of type  $\lambda \vdash n$ , call the sequence  $(G_{I_1}, \dots, G_{I_r})$  an *ordered induced subgraph partition* of  $G$  of type  $\lambda$ . Let  $\mathcal{I}_\lambda(G)$  be the set of these. Define an *acyclic orientation* of this to be a sequence  $(O_1, \dots, O_r)$ , where  $O_j$  is an acyclic orientation of  $G_{I_j}$ .

**Theorem 3.6.** *For any poset  $P$  and partition  $\lambda = (\lambda_1, \dots, \lambda_r) \vdash |P|$ , the number  $\eta^\lambda(\text{inc}(P))$  has the combinatorial interpretations*

- (1) #  $P$ -descent-free  $P$ -tableaux of shape  $\lambda$ ,
- (2) #  $P$ -excedance-free  $P$ -tableaux of shape  $\lambda$ ,
- (3) # acyclic orientations of sequences  $(\text{inc}(P_{I_1}), \dots, \text{inc}(P_{I_r})) \in \mathcal{I}_\lambda(\text{inc}(P))$ .

*Proof.* Let  $\lambda = (\lambda_1, \dots, \lambda_r)$ . Since  $h_\lambda = h_{\lambda_1} \cdots h_{\lambda_r}$ , Lemma 3.3 implies that induced trivial characters satisfy

$$(3.18) \quad \eta^\lambda(\text{inc}(P)) = \sum_{(I_1, \dots, I_r)} \eta^{\lambda_1}(\text{inc}(P_{I_1})) \cdots \eta^{\lambda_r}(\text{inc}(P_{I_r})),$$

where the sum is over all ordered set partitions of  $|P|$  of type  $\lambda$ . By (3.16), we have for all  $n$ -element posets  $P$  that  $\eta^n(\text{inc}(P))$  is the number of  $P$ -descent-free  $P$ -permutations. This implies interpretation (1) of the theorem, and then Proposition 3.5 implies interpretation (2).

Interpretation (3) follows from the known bijection between acyclic orientations of  $\text{inc}(P)$  and  $P$ -descent-free  $P$ -permutations. (See, e.g., [1, §4], [28, Exercise 3.60b].) Specifically, given an acyclic orientation  $(O_1, \dots, O_r)$  of a sequence  $(\text{inc}(P_{I_1}), \dots, \text{inc}(P_{I_r}))$  in  $\mathcal{I}_\lambda(\text{inc}(P))$ , create row-semistrict  $P$ -tableau  $U$  of shape  $\lambda$  as follows.

For  $i = 1, \dots, r$ , do

- (1) Initialize  $U_i$  to be the empty Young diagram of shape  $\lambda_i$ .
- (2) While  $O_i$  is not empty do
  - (a) Let  $j$  be the least index of a source vertex in  $O_i$ .
  - (b) Update  $O_i$  by removing  $j$  and outgoing edges.
  - (c) Update  $U_i$  by placing  $j$  in its leftmost empty box.

Given a row-semistrict  $P$ -tableau  $U$  of shape  $\lambda$ , create an induced subgraph partition of  $\text{inc}(P)$  and an acyclic orientation  $(O_1, \dots, O_r)$  of it as follows.

For  $i = 1, \dots, r$ , do

- (1) Let the vertices of  $O_i$  be the set of elements in  $U_i$ .
- (2) For all pairs  $(j, k)$  of entries of  $U_i$  which are incomparable in  $P$ , if  $j$  precedes  $k$  in  $U_i$ , then create a directed edge from  $j$  to  $k$  in  $O_i$ .

□

We remark that the special case of Theorem 3.6 (2) corresponding to  $P$  a unit interval order and  $\lambda = n$  has an interpretation in terms of the Bruhat order on  $\mathfrak{S}_n$ .

**Proposition 3.7.** *Let  $P$  be a unit interval order on  $[n]$  labeled as in (3.4) and let  $w \in \mathfrak{S}_n$  be the corresponding 312-avoiding permutation as in (3.5). Then we have*

$$\{v \in \mathfrak{S}_n \mid \text{exc}_P(v) = 0\} = \{v \in \mathfrak{S}_n \mid v \leq w\}.$$

*Proof.* Define the matrix  $A = (a_{i,j})$  by

$$a_{i,j} = \begin{cases} 0 & \text{if } i <_P j \\ 1 & \text{otherwise.} \end{cases}$$

By [25, Lem. 5.3 (3)], the product  $a_{1,v_1} \cdots a_{n,v_n}$  is 1 if  $v \leq w$  and is 0 otherwise. But  $a_{1,v_1} \cdots a_{n,v_n} = 1$  if and only if  $i \not\prec_P v_i$  for  $i = 1, \dots, n$ , i.e., if and only if  $v$  is  $P$ -excedance free. □

**3.4. Power sum traces / scaled power sum coefficients of  $\omega X_{\text{inc}(P)}$ .** It is known that we have  $\psi(\text{inc}(P)) \geq 0$  for all  $P$  [27], and

$$(3.19) \quad \begin{aligned} \psi^\lambda(\text{inc}(P)) &= \# \text{ cyclically row-semistrict } P\text{-tableaux of shape } \lambda \\ &= \# P\text{-record-free, row-semistrict } P\text{-tableaux of shape } \lambda \end{aligned}$$

for all unit interval orders  $P$  [1, Thm. 4], [7, Thm. 4.7], [24, §7]. We will extend these results to all posets in Theorem 3.8, and will include more combinatorial interpretations involving  $\text{inc}(P)$  and a related directed graph. Define  $\text{ngr}(P)$  to be the directed graph whose vertices are the elements of  $P$  and whose edges are the ordered pairs  $\{(i, j) \in P^2 \mid i \not\prec_P j\}$ , including loops  $(i, i)$  for all  $i \in P$ .

To prove our results, we will use the transition matrix which relates the elementary and power sum bases of  $\Lambda_n$ . In particular,

$$(3.20) \quad p_n = \sum_{\mu} (-1)^{n-\ell(\mu)} c_{\mu} e_{\mu},$$

where  $c_{\mu}$  equals the number of ways to remove edges from the (labeled) cycle graph  $C_n$  so that the resulting graph consists of disjoint paths of cardinalities  $\mu_1, \dots, \mu_k$ . Given a directed graph  $G$  on  $n$  vertices and a partition  $\lambda = (\lambda_1, \dots, \lambda_r) \vdash n$ , call a sequence  $(H_1, \dots, H_r)$  of vertex-disjoint directed cyclic subgraphs of  $G$  an *ordered disjoint cycle cover* of  $G$  of type  $\lambda$  if  $H_j$  is isomorphic to the cyclic graph  $C_{\lambda_j}$  for all  $j$ .

**Theorem 3.8.** *For any poset  $P$  and partition  $\lambda = (\lambda_1, \dots, \lambda_r) \vdash |P|$ , the number  $\psi^\lambda(\text{inc}(P))$  has the combinatorial interpretations*

- (1)  $\#$  cyclically row-semistrict  $P$ -tableaux of shape  $\lambda$ ,

- (2) #  $P$ -record free, row-semistrict  $P$ -tableaux of shape  $\lambda$ ,  
 (3) # ordered disjoint cycle covers of  $\text{ngr}(P)$  of type  $\lambda$ ,  
 (4) # acyclic orientations  $(O_1, \dots, O_r)$  of sequences  $(\text{inc}(P_{I_1}), \dots, \text{inc}(P_{I_r})) \in \mathcal{I}_\lambda(\text{inc}(P))$   
 in which each oriented component  $O_i$  has exactly one source.

*Proof.* (1) Let  $\lambda = (\lambda_1, \dots, \lambda_r)$ . Since  $p_\lambda = p_{\lambda_1} \cdots p_{\lambda_r}$ , Lemma 3.3 implies that power sum traces satisfy

$$(3.21) \quad \psi^\lambda(\text{inc}(P)) = \sum_{(I_1, \dots, I_r)} \psi^{\lambda_1}(\text{inc}(P_{I_1})) \cdots \psi^{\lambda_r}(\text{inc}(P_{I_r})),$$

where the sum is over all ordered set partitions of  $|P|$  of type  $\lambda$ . We claim that for any  $n$ -element poset  $P$ ,  $\psi^n(\text{inc}(P))$  is the number of cyclically row-semistrict  $P$ -permutations.

A  $P$ -permutation  $w = w_1 \cdots w_n$  is cyclically row-semistrict if it has no  $P$ -descents and also satisfies  $w_n \not>_P w_1$ . Let  $a$  be the number of these. For each subset  $S \subseteq [n]$ , let  $\mathcal{B}(S)$  be the set of  $P$ -tableaux  $w$  which satisfy  $w_i >_P w_{i+1}$  (or  $w_i = w_n >_P w_1$ ) for all  $i \in S$ , and let  $b(S)$  be the number of these. By the principle of inclusion/exclusion, the cardinalities are related by

$$a = \sum_{S \subseteq [n]} (-1)^{|S|} b(S).$$

The subset  $S$  partitions each  $w \in \mathcal{B}(S)$  into chains. For  $i = 1, \dots, r$  and each maximal interval  $[j_1, j_2]$  (understood mod  $\lambda_i$ ) for which  $j_1, \dots, j_2$  belong to  $S$ , we have the chain  $w_{j_1} >_P \cdots >_P w_{j_2+1}$ , and all remaining cells form one-element chains. Let  $\mu = \mu(S) \vdash n$  be the weakly decreasing sequence of these chain cardinalities. Now for each tableau  $w \in \mathcal{B}(S)$ , we may insert the

$$\ell(\mu) = n - |S|$$

chains described above into the columns of a Young diagram, breaking ties by scanning  $w$  from left to right, to obtain a column-strict  $P$ -tableau of shape  $\mu^\top$ . Let  $\mathcal{B}'(S)$  be the set of these column-strict tableaux. Then we have  $b(S) = \epsilon^\mu(\text{inc}(P))$ , and it is easy to see that the number of distinct subsets  $T \subseteq [n]$  satisfying  $\mathcal{B}'(T) = \mathcal{B}'(S)$  is  $c_\mu$ . Therefore we have

$$a = \sum_{\mu \vdash n} (-1)^{n-\ell(\mu)} c_\mu \epsilon^\mu(\text{inc}(P)) = \psi^n(\text{inc}(P)).$$

(2) Stanley [27, Thm. 3.3] showed that  $\phi^n(P) = \psi^n(P)$  equals the number of acyclic orientations of  $\text{inc}(P)$  having exactly one sink. Reversing all edges in such an orientation and applying the bijection at the end of the proof of Theorem 3.6, we have that  $\psi^n(P)$  equals the number of  $P$ -record-free  $P$ -descent-free  $P$ -permutations. Then by (3.21) we have the desired result.

(3) Let  $U$  be a cyclically row-semistrict  $P$ -tableau of shape  $\lambda$ . Each pair of horizontally adjacent entries  $(U_{i,j}, U_{i,j+1})$  (or  $U_{i,\lambda_i}, U_{i,1}$ ) in  $U$  corresponds to an edge in  $\text{ngr}(P)$ , and the row  $U_i$  corresponds to a cycle. Removing all other edges from  $\text{ngr}(P)$  and listing the cycles in order of their corresponding rows of  $U$ , we obtain the desired ordered disjoint cycle cover.

(4) As described above,  $\psi^n(P)$  equals the number of acyclic orientations of  $\text{inc}(P)$  having exactly one source. Now (3.21) gives the desired result.  $\square$

**3.5. Monomial traces / elementary coefficients of  $X_{\text{inc}(P)}$ .** While  $\phi^\lambda(\text{inc}(P))$  is negative for some posets  $P$ , Stembridge and Stanley conjectured [30, Conj. 5.5] that for  $(\mathbf{3}+\mathbf{1})$ -free posets  $P$  we have

$$(3.22) \quad \phi^\lambda(\text{inc}(P)) \geq 0.$$

By [13, Thm. 5.1], this conjecture is equivalent to the assertion that (3.22) holds when  $P$  is a unit interval order. Using this fact, we may state special cases of the conjecture which are known to be true. In particular, the following conditions on  $\lambda$  and/or  $P$  imply (3.22).

- (1)  $P$   $\mathbf{3}$ -free [13, Thm. 5.3], [27, Cor. 3.6].
- (2)  $\lambda$  a *rectangular partition*, i.e.,  $\lambda_1 = \lambda_2 = \dots = k$ , and  $P$   $(\mathbf{3} + \mathbf{1})$ -free [32, Thm. 2.8].
- (3)  $P$  a unit interval order with a  $(\lambda_1 + 1)$ -element antichain [7, Thm. 3.7, Prop. 10.1].
- (4)  $P$  a unit interval order with component sizes of  $\text{inc}(P)$  not refining  $\lambda$  [7, Prop. 10.2].
- (5)  $\lambda_1 \leq 2$  and  $P$   $(\mathbf{3} + \mathbf{1})$ -free [7, Thm. 10.3].
- (6)  $P$  defined on  $[n]$  by  $i <_P j$  if  $i + 1 < j$  (as integers) [5, p. 242], [27, Prop. 5.3].
- (7)  $P$  defined on  $[n]$  by  $i <_P j$  if  $i + 2 < j$  (as integers) [10].
- (8)  $P$  defined on  $[n]$  by  $i <_P j$  if  $i + n - 3 < j$  (as integers) [24].

Conditions (3) and (4) above more specifically imply that we have  $\phi^\lambda(\text{inc}(P)) = 0$ . Another related result concerns sums of monomial traces [27, Thm. 3.3].

**Proposition 3.9.** *For all graphs  $G$  on  $n$  vertices we have*

$$\sum_{\substack{\lambda \vdash n \\ \ell(\lambda)=k}} \phi^\lambda(G) = \# \text{ acyclic orientations of } G \text{ having } k \text{ sources,}$$

and for all  $n$ -element posets  $P$  we have

$$\sum_{\substack{\lambda \vdash n \\ \ell(\lambda)=k}} \phi^\lambda(\text{inc}(P)) = \# P\text{-descent-free } P\text{-permutations } w \text{ with } k \text{ } P\text{-records.}$$

Since  $\phi^{1^n} = \epsilon^n$  and  $\phi^n = \psi^n$ , it is tempting to conjecture a formula for  $\phi^\lambda(\text{inc}(P))$  which combines column-strictness of Equation (3.6) with one of the conditions of Theorem 3.8. Two obvious combinations do not in general give correct formulas. For example, the following poset  $P$  and monomial trace evaluations

$$P = \begin{array}{c} 5 \\ \bullet \\ \diagdown \quad \diagup \\ 3 \bullet \quad \bullet 4 \\ \diagup \quad \diagdown \\ 1 \bullet \quad \bullet 2 \end{array}, \quad \begin{aligned} \phi^5(\text{inc}(P)) &= 5, & \phi^{41}(\text{inc}(P)) &= 3, \\ \phi^{32}(\text{inc}(P)) &= 7, & \phi^{221}(\text{inc}(P)) &= 1, \\ \phi^{311}(\text{inc}(P)) &= \phi^{2111}(\text{inc}(P)) = \phi^{11111}(\text{inc}(P)) &= 0 \end{aligned}$$

are not consistent with the number of standard, cyclically row-semistrict  $P$ -tableaux of shape 32

$$\begin{array}{|c|c|} \hline 4 & 5 \\ \hline 1 & 3 \\ \hline \end{array}, \quad \begin{array}{|c|c|} \hline 4 & 5 \\ \hline 2 & 1 \\ \hline \end{array}, \quad \begin{array}{|c|c|} \hline 5 & 4 \\ \hline 2 & 1 \\ \hline \end{array}, \quad \begin{array}{|c|c|} \hline 5 & 4 \\ \hline 3 & 2 \\ \hline \end{array},$$

or the number of standard,  $P$ -record-free  $P$ -tableaux of shape 32

$$\begin{array}{|c|c|} \hline 4 & 5 \\ \hline 1 & 2 \\ \hline \end{array}, \quad \begin{array}{|c|c|} \hline 5 & 4 \\ \hline 1 & 2 \\ \hline \end{array}, \quad \begin{array}{|c|c|} \hline 4 & 5 \\ \hline 2 & 1 \\ \hline \end{array}, \quad \begin{array}{|c|c|} \hline 5 & 4 \\ \hline 2 & 1 \\ \hline \end{array}, \quad \begin{array}{|c|c|} \hline 5 & 4 \\ \hline 3 & 2 \\ \hline \end{array}.$$

The author has found that for  $n \leq 5$ , the sets of analogous tableaux for  $n$ -element posets have cardinalities no greater than the true values of  $\phi^\lambda(\text{inc}(P))$ . This suggests the following question.

**Question 3.10.** *Do we have for all unit interval orders  $P$  and all partitions  $\lambda \vdash |P|$ , that  $\phi^\lambda(\text{inc}(P))$  is greater than or equal to*

- (1) *the number of standard, cyclically row-semistrict  $P$ -tableaux of shape  $\lambda$ ?*
- (2) *the number of standard,  $P$ -record-free  $P$ -tableaux of shape  $\lambda$ ?*

### 3.6. Fundamental expansion of $X_{\text{inc}(P)}$ .

We remark that for any graph  $G$ , there are known combinatorial interpretations for the coefficients arising in the fundamental expansions of  $X_G$  and  $\omega X_G$ . These are easiest to express in the special case that  $G$  is the incomparability graph of an  $n$ -element poset  $P$ . Writing

$$X_{\text{inc}(P)} = \sum_{S \subseteq [n-1]} \xi^S(\text{inc}(P)) F_{n, [n-1] \setminus S},$$

$$\omega X_{\text{inc}(P)} = \sum_{S \subseteq [n-1]} \xi^S(\text{inc}(P)) F_{n, S},$$

we have that  $\xi^S(\text{inc}(P))$  is the number of  $P$ -permutations with  $P$ -descent set  $S$  [6, Cor. 2]. For combinatorial interpretations corresponding to an arbitrary graph  $G$ , see [6, Cor. 1].

### 3.7. Trace identities.

Symmetric function identities, Lemma 3.3, and the combinatorial interpretations stated in Equation (3.6) – Theorem 3.8 lead to some identities concerning the decomposition of a poset  $P$  into subposet permutations having different properties. For instance, the first identity in the following result implies that the number of ways to create a row-semistrict  $P$ -tableau and circle one element equals the number of pairs  $(T, U)$  with  $T$  a cyclically row-semistrict  $P_J$ -permutation, for some nonempty  $J \subseteq [n]$ , and  $U$  a row-semistrict  $P_{\bar{J}}$ -permutation.

**Corollary 3.11.** *Let  $G$  be a graph on  $n$  vertices. We have*

$$n\eta^n(G) = \sum_{i=1}^n \sum_{\substack{J \subseteq [n] \\ |J|=i}} \psi^i(G_J) \eta^{n-i}(G_{\bar{J}}),$$

$$n\epsilon^n(G) = \sum_{i=1}^n \sum_{\substack{J \subseteq [n] \\ |J|=i}} (-1)^{i-1} \psi^i(G_J) \epsilon^{n-i}(G_{\bar{J}}),$$

$$\sum_{i=0}^n \sum_{\substack{J \subseteq [n] \\ |J|=i}} (-1)^i \epsilon^i(G_J) \eta^{n-i}(G_{\bar{J}}) = 0.$$

*Proof.* Applying Lemma 3.3 (2) to the symmetric function identities

$$nh_n = \sum_{i=1}^n p_i h_{n-i}, \quad ne_n = \sum_{i=1}^n (-1)^{i-1} p_i e_{n-i}, \quad \sum_{i=0}^n e_i h_{n-i} = 0,$$

we obtain the claimed graph identities. □

## 4. APPLICATIONS TO TOTAL NONNEGATIVITY

Nonnegative expansions of chromatic symmetric functions in the standard bases are closely related to functions of totally nonnegative matrices. We will make this relationship precise in Corollary 4.6.

Call a real  $n \times n$  matrix  $A = (a_{i,j})$  *totally nonnegative* if for each pair  $(I, J)$  of subsets of  $[n]$ , the square submatrix  $A_{I,J} := (a_{i,j})_{i \in I, j \in J}$  satisfies  $\det(A_{I,J}) \geq 0$ . Such matrices are closely related to directed graphs called planar networks. Define a (nonnegative weighted) *planar network of order  $n$*  to be a directed, planar, acyclic digraph  $D = (V, E)$  which can be embedded in a disc so that  $2n$  distinguished vertices labeled clockwise as  $s_1, \dots, s_n, t_n, \dots, t_1$  lie on the boundary of the disc, with a nonnegative real *weight*  $c_{u,v}$  assigned to each edge  $(u, v) \in E$ . We may assume that  $s_1, \dots, s_n$ , called *sources* have indegree 0 and that  $t_n, \dots, t_1$ , called *sinks* have outdegree 0. To every source-to-sink path, we associate a weight equal to the product of weights of its edges, and we define the *path matrix*  $A = A(D) = (a_{i,j})_{i,j \in [n]}$  by setting  $a_{i,j}$  equal to the sum of weights of all paths from  $s_i$  to  $t_j$ . A result often attributed to Lindström [18] but proved earlier by Karlin and McGregor [16] asserts the total nonnegativity of such a matrix.

**Theorem 4.1.** *The path matrix  $A$  of a nonnegative weighted planar network  $D$  of order  $n$  is totally nonnegative. Moreover, the nonnegative number  $\det(A)$  equals*

$$\prod_{\pi} \text{wgt}(\pi),$$

where the sum is over all families  $\pi = (\pi_1, \dots, \pi_n)$  of pairwise nonintersecting paths in  $D$ , with  $\pi_i$  a path from  $s_i$  to  $t_i$  for  $i = 1, \dots, n$ , and where

$$(4.1) \quad \text{wgt}(\pi) := \text{wgt}(\pi_1) \cdots \text{wgt}(\pi_n).$$

Since each submatrix of a totally nonnegative matrix is itself totally nonnegative, this result gives a combinatorial interpretation of the nonnegative numbers  $\det(A_{I,J})$  as well:  $\det(A_{I,J})$  is the sum of weights of all nonintersecting path families in  $D$  from sources indexed by  $I$  to sinks indexed by  $J$  (assuming  $|I| = |J|$ ). The converse of Theorem 4.1 is true as well. That is, path matrices are essentially the only examples of totally nonnegative matrices [4], [9], [20], [33].

**Theorem 4.2.** *For each  $n \times n$  totally nonnegative matrix  $A$ , there exists a nonnegative weighted planar network  $D$  of order  $n$  whose path matrix is  $A$ .*

Generalizing the determinant are matrix functions  $\text{Imm}_{\theta} : \text{Mat}_{n \times n}(\mathbb{C}) \rightarrow \mathbb{C}$  called *immmanants* and parametrized by linear functionals  $\theta : \mathbb{C}[\mathfrak{S}_n] \rightarrow \mathbb{C}$ . Define

$$\text{Imm}_{\theta}(A) = \sum_{w \in \mathfrak{S}_n} \theta(w) a_{1,w_1} \cdots a_{n,w_n}.$$

In the language of Section 2, we have  $\det(A) = \text{Imm}_{e_n}(A)$ . For some functions  $\theta$ , the number  $\text{Imm}_{\theta}(A)$  is nonnegative for all totally nonnegative matrices  $A$  and has a nice combinatorial interpretation in terms of families of paths in  $D$ . We say that a path family  $\pi = (\pi_1, \dots, \pi_n)$  in a planar network  $D$  has *type*  $w = w_1 \cdots w_n \in \mathfrak{S}_n$  if for  $i = 1, \dots, n$ , the path  $\pi_i$  begins at the source  $s_i$  and terminates at the sink  $t_{w_i}$ . Let  $\mathcal{P}_w(D)$  be the set of path families in  $D$  having type  $w$ , and let  $\mathcal{P}(D)$  be the union  $\cup_{w \in \mathfrak{S}_n} \mathcal{P}_w(D)$ .



Observe that each path family  $\pi = (\pi_1, \dots, \pi_n) \in \mathcal{P}_w(D)$  forms a poset  $P = P(\pi)$  defined by  $\pi_i <_P \pi_j$  if  $i < j$  (as integers) and  $\pi_i$  does not intersect  $\pi_j$ . Observe also that if path families  $\pi, \sigma$  in  $D$  consist of the same multiset  $K$  of edges of  $D$ , then they satisfy  $\text{wgt}(\pi) = \text{wgt}(\sigma)$ . Call such a multiset  $K$  a *bijective skeleton*, and define  $\text{wgt}(K)$  to be the product of its edge weights, with multiplicities. Let  $\Pi(K)$  be the set of path families having edge multiset  $K$ , and let  $\Pi_w(K)$  be the subset of those path families having type  $w$ . In order to justify combinatorial interpretations of various immanants, it will be convenient to define the  $\mathbb{Z}[\mathfrak{S}_n]$ -element

$$z(K) = \sum_{\pi \in \Pi(K)} \text{type}(\pi).$$

It is known that  $z(K)$  equals a product of Kazhdan-Lusztig basis elements  $\tilde{C}_w(1) \in \mathbb{Z}[\mathfrak{S}_n]$  indexed by 312-avoiding permutations, and that for totally nonnegative  $A$ , the numbers  $\text{Imm}_\theta(A)$  and  $\theta(z(K))$  are closely related. (See, e.g., [31, Thm. 2.1].)

**Proposition 4.3.** *Let  $A$  be the path matrix of a weighted planar network  $D$ . Then for any linear functional  $\theta : \mathbb{C}[\mathfrak{S}_n] \rightarrow \mathbb{C}$ , we have*

$$\text{Imm}_\theta(A) = \sum_K \text{wgt}(K) \theta(z(K)),$$

where the sum is over all bijective skeletons  $K$  in  $D$ .

*Proof.* By the definition of path matrix, we can interpret each product of matrix entries appearing in  $\text{Imm}_\theta(A)$  as

$$a_{1,w_1} \cdots a_{n,w_n} = \sum_K \sum_{\pi \in \Pi_w(K)} \text{wgt}(\pi) = \sum_K \text{wgt}(K) |\Pi_w(K)|.$$

Multiplying each product by  $\theta(w)$ , summing over  $w \in \mathfrak{S}_n$ , and using the linearity of  $\theta$ , we may thus express  $\text{Imm}_\theta(A)$  as

$$\begin{aligned} \sum_{w \in \mathfrak{S}_n} \theta(w) \sum_K \text{wgt}(K) |\Pi_w(K)| &= \sum_K \text{wgt}(K) \sum_{w \in \mathfrak{S}_n} \theta(w) |\Pi_w(K)| \\ &= \sum_K \text{wgt}(K) \theta \left( \sum_{w \in \mathfrak{S}_n} |\Pi_w(K)| w \right) \\ &= \sum_K \text{wgt}(K) \theta \left( \sum_{\pi \in \Pi(K)} \text{type}(\pi) \right). \end{aligned}$$

□

Sometimes a combinatorial interpretation for  $\text{Imm}_\theta(A)$  comes from careful consideration of  $\theta(z(K))$ ; other times it comes from a simple expression for  $\text{Imm}_\theta(A)$ , such as the Littlewood-Merris-Watkins identities [19, §6.5], [22, §1],

$$(4.2) \quad \text{Imm}_{\epsilon^\lambda}(A) = \sum_{(I_1, \dots, I_r)} \det(A_{I_1, I_1}) \cdots \det(A_{I_r, I_r}),$$

$$(4.3) \quad \text{Imm}_{\eta^\lambda}(A) = \sum_{(I_1, \dots, I_r)} \text{per}(A_{I_1, I_1}) \cdots \text{per}(A_{I_r, I_r}),$$

where the sums are over ordered set partitions of  $[n]$  of type  $\lambda = (\lambda_1, \dots, \lambda_r)$ .

#### 4.1. Induced sign character immanants.

Combinatorial interpretations for the immanants  $\text{Imm}_{e^\lambda}(A)$  follow easily from Theorem 4.1 and (4.2).

**Theorem 4.4.** *Let planar network  $D$  have path matrix  $A$ . Then we have*

$$(4.4) \quad \text{Imm}_{e^\lambda}(A) = \sum_K \text{wgt}(K) \sum_{\pi \in \Pi_e(K)} \epsilon^\lambda(\text{inc}(P(\pi))).$$

*Proof.* By Theorem 4.1 and the comment immediately following it, the term of (4.2) corresponding to a fixed ordered set partition  $(I_1, \dots, I_r)$  is equal to the sum of weights of path families  $\pi = (\pi_1, \dots, \pi_n)$  of type  $e$  in which for  $j = 1, \dots, r$ , paths indexed by  $I_j$  are pairwise nonintersecting and are assigned the label  $j$ . This partitioned path family naturally forms a column-strict tableau  $U = U(\pi, I_1, \dots, I_r)$  of shape  $\lambda^\top$ , if we place paths indexed by  $I_j$  into column  $j$ . We may therefore write the right-hand-side of (4.2) as

$$\begin{aligned} & \sum_K \text{wgt}(K) \sum_{\pi \in \Pi_e(K)} \#\{(I_1, \dots, I_r) \mid U(\pi, I_1, \dots, I_r) \text{ is column-strict of shape } \lambda^\top\} \\ &= \sum_K \text{wgt}(K) \sum_{\pi \in \Pi_e(K)} \# \text{ column-strict } P(\pi)\text{-tableaux of shape } \lambda^\top \\ &= \sum_K \text{wgt}(K) \sum_{\pi \in \Pi_e(K)} \epsilon^\lambda(\text{inc}(P(\pi))). \end{aligned}$$

□

As a consequence of Theorem 4.4, we may compute  $\text{Imm}_\theta(A)$  for  $\theta \in \mathcal{T}_n$  by considering expansions of the chromatic symmetric function  $X_{\text{inc}(P(\pi))}$  for each path family  $\pi$  of type  $e$  in a planar network having path matrix  $A$ .

**Corollary 4.5.** *Let  $K$  be a bijective skeleton in a planar network  $D$ . Then for all traces  $\theta : \mathbb{C}[\mathfrak{S}_n] \rightarrow \mathbb{C}$ , we have*

$$\theta(z(K)) = \sum_{\pi \in \Pi_e(K)} \theta(\text{inc}(P(\pi))).$$

*Proof.* Weight the planar network  $D$  by algebraically independent real numbers and let  $A$  be its path matrix. By Proposition 4.3, we have

$$(4.5) \quad \text{Imm}_{e^\mu}(A) = \sum_K \text{wgt}(K) \epsilon^\mu(z(K)),$$

where the sum is over all bijective skeletons  $K$  in  $D$ . Since the edge weights of  $D$  are algebraically independent, we may compare this expression to the right-hand-side of (4.4) to obtain

$$\epsilon^\mu(z(K)) = \sum_{\pi \in \Pi_e(K)} \epsilon^\mu(\text{inc}(P(\pi))).$$

Expanding  $\theta$  in the induced sign character basis  $\{\epsilon^\mu \mid \mu \vdash n\}$ , we obtain the desired result. □

Proposition 4.3 and Corollary 4.5 show that for  $D$  a planar network having path matrix  $A$ , we have

$$(4.6) \quad \text{Imm}_\theta(A) = \sum_K \text{wgt}(K) \sum_{\pi \in \Pi_e(K)} \theta(\text{inc}(P(\pi))),$$

where  $K$  varies over all bijective skeletons in  $D$ , i.e., if  $\theta \in \mathcal{T}_n$  satisfies  $\theta(\text{inc}(P)) \geq 0$  for all posets  $P$ , then it also satisfies  $\text{Imm}_\theta(A) \geq 0$  for all totally nonnegative matrices  $A$ . For the convenience of the reader we summarize this and other known implications as follows.

**Corollary 4.6.** *For  $\theta \in \mathcal{T}_n$ , the statements*

- (1)  $\theta(\tilde{C}_w(1)) \geq 0$  for all permutations  $w \in \mathfrak{S}_n$ ,
- (2)  $\theta(\tilde{C}_{w^{(1)}}(1) \cdots \tilde{C}_{w^{(k)}}(1)) \geq 0$  for all sequences  $(w^{(1)}, \dots, w^{(k)})$  of maximal elements of parabolic subgroups of  $\mathfrak{S}_n$ ,
- (3)  $\theta(\text{inc}(P)) \geq 0$  for all posets  $P$ ,
- (4)  $\text{Imm}_\theta(A) \geq 0$  for all totally nonnegative matrices  $A$ ,
- (5)  $\theta(\text{inc}(P)) \geq 0$  for all unit interval orders  $P$ ,
- (6)  $\theta(\text{inc}(P)) \geq 0$  for all  $(\mathbf{3} + \mathbf{1})$ -free posets  $P$ ,
- (7)  $\theta(\tilde{C}_w(1)) \geq 0$  for all 312-avoiding permutations  $w \in \mathfrak{S}_n$ ,
- (8)  $\theta(\tilde{C}_w(1)) \geq 0$  for all 3412-avoiding, 4231-avoiding permutations  $w \in \mathfrak{S}_n$

satisfy the implications (1)  $\Rightarrow$  (2)  $\Rightarrow$  (4), and (3)  $\Rightarrow$  (4)  $\Rightarrow$  (5)  $\Leftrightarrow$  (6)  $\Leftrightarrow$  (7)  $\Leftrightarrow$  (8).

*Proof.* ((1)  $\Rightarrow$  (2)  $\Rightarrow$  (3)) For every bijective skeleton  $K$  in a planar network, it is straightforward to show that the element  $z(K)$  is equal to a (positive rational multiple of a) product of Kazhdan-Lusztig basis elements of the form  $\tilde{C}_{w^{(1)}}(1) \cdots \tilde{C}_{w^{(k)}}(1)$  in which each permutation  $w^{(i)}$  is a maximal element of a parabolic subgroup of  $\mathfrak{S}_n$ . (See, e.g., [8, Cor. 5.3].) This product in turn equals a nonnegative linear combination of Kazhdan-Lusztig basis elements. (See [14, Appendix].)

((3)  $\Rightarrow$  (4)) Follows from (4.6).

((4)  $\Rightarrow$  (5)) For every unit interval order  $P$ , there exists a planar network  $D(P)$  with path matrix  $A$  satisfying  $\theta(\text{inc}(P)) = \text{Imm}_\theta(A)$  [7, Prop. 3.8, Thm. 4.1, Cor. 7.5].

((5)  $\Leftrightarrow$  (6)) Each unit interval order is  $(\mathbf{3} + \mathbf{1})$ -free. Conversely, for each  $(\mathbf{3} + \mathbf{1})$ -free poset  $P$ , we have by [13, Thm. 5.3] that  $X_{\text{inc}(P)}$  belongs to the cone generated by chromatic symmetric functions of unit interval orders.

((5)  $\Rightarrow$  (8)) By [25, Thm. 3.5, Lem. 5.3] and [7, Thm. 7.4], we have that for each 3412-avoiding, 4231-avoiding permutation  $w \in \mathfrak{S}_n$  there exists a unit interval order  $P = P(w)$  satisfying  $\theta(\text{inc}(P(w))) = \theta(\tilde{C}_w(1))$  for all  $\theta \in \mathcal{T}_n$ .

((8)  $\Rightarrow$  (7)  $\Rightarrow$  (5)) Each 312-avoiding permutation also avoids the patterns 3412 and 4231. The bijection (3.5) to unit interval orders and Proposition 3.2 give the last implication.  $\square$

Another consequence of Theorem 4.4 is an analog of Lemma 3.3 (2) for matrices. (See also [32, Prop. 2.4].)

**Corollary 4.7.** *For  $\theta_1 \in \mathcal{T}_k$ ,  $\theta_2 \in \mathcal{T}_{n-k}$ ,  $\theta = \theta_1 \otimes \theta_2 \uparrow_{\mathfrak{S}_k \times \mathfrak{S}_{n-k}}^{\mathfrak{S}_n} \in \mathcal{T}_n$ , we have*

$$\text{Imm}_\theta(A) = \sum_{J \subseteq [n]} \text{Imm}_{\theta_1}(A_{J,J}) \text{Imm}_{\theta_2}(A_{\bar{J},\bar{J}}).$$

*Proof.* Similar to proof of Lemma 3.3 (2).  $\square$

#### 4.2. Irreducible character immanants.

While no combinatorial interpretation is known for  $\text{Imm}_{\chi^\lambda}(A)$ , Stembridge [31, Cor. 3.3] proved the following.

**Theorem 4.8.** *For  $\lambda \vdash n$  and  $A$  an  $n \times n$  totally nonnegative matrix we have  $\text{Imm}_{\chi^\lambda}(A) \geq 0$ .*

**Problem 4.9.** *Combinatorially interpret the numbers  $\text{Imm}_{\chi^\lambda}(A)$  in Theorem 4.8.*

We do have a combinatorial interpretation in the special case that  $\lambda$  is a hook shape.

**Theorem 4.10.** *For  $A$  the path matrix of planar network  $D$  of order  $n$  and  $k \leq n$ , we have*

$$(4.7) \quad \text{Imm}_{\chi^{k1^{n-k}}}(A) = \sum_{\pi \in \mathcal{P}_e(D)} \text{wgt}(\pi) (\# \text{ standard } P(\pi)\text{-tableaux of shape } k1^{n-k}).$$

*In particular, when  $k = n$ , we obtain (4.8).*

*Proof.* Let  $\lambda = k1^{n-k}$ . By Proposition 4.3, Corollary 4.5, and Proposition 3.4, we have

$$\begin{aligned} \text{Imm}_{\chi^\lambda}(A) &= \sum_K \text{wgt}(K) \chi^\lambda(z(K)) \\ &= \sum_K \text{wgt}(K) \sum_{\pi \in \Pi_e(K)} \chi^\lambda(P(\pi)) \\ &= \sum_K \text{wgt}(K) \sum_{\pi \in \Pi_e(K)} \# \text{ standard } P(\pi)\text{-tableaux of shape } \lambda, \end{aligned}$$

where  $K$  varies over all bijective skeletons in  $D$ . This is equal to the claimed expression.  $\square$

The case  $k = n$  (4.8) can also be deduced from Stanley's interpretation [27, Thm. 3.3] of  $\chi^n(\text{inc}(P))$  as the number of acyclic orientations of  $\text{inc}(P)$ , using the bijection at the end of the proof of Theorem 3.6.

Returning to Corollary 4.6, we see that for all  $\lambda \vdash n$ , Haiman's result [14, Lem. 1.1] that  $\chi_q^\lambda(\tilde{C}_w(q)) \in \mathbb{N}[q]$  for all  $w \in \mathfrak{S}_n$  implies Stembridge's result [32, Cor. 3.3] that  $\text{Imm}_{\chi^\lambda}(A) \geq 0$  for all  $A$  totally nonnegative, which in turn implies Gasharov's result [12] that  $\chi^\lambda(\text{inc}(P)) \geq 0$  for all  $(\mathbf{3} + \mathbf{1})$ -free posets  $P$ . The failure of the inequality  $\chi^\lambda(\text{inc}(P)) \geq 0$  to hold for all posets  $P$  and the equation (4.6) suggest that one might solve Problem 4.9 by explaining why for each bijective skeleton  $K$  in a planar network, we have

$$\sum_{\pi \in \Pi_e(K)} \chi^\lambda(\text{inc}(P(\pi))) \geq 0, \quad \text{equivalently,} \quad \sum_{\pi \in \Pi_e(K)} X_{\text{inc}(P(\pi))} \text{ is Schur-positive,}$$

even when some of the posets  $\{P(\pi) \mid \pi \in \Pi_e(K)\}$  are not  $(\mathbf{3} + \mathbf{1})$ -free.

#### 4.3. The permanent and induced trivial characters.

An obvious consequence of Theorems 4.1 – 4.2 is a combinatorial interpretation of the permanent of a totally nonnegative matrix.

**Observation 4.11.** *Let totally nonnegative matrix  $A$  be the path matrix of planar network  $D$ . Then we have*

$$\text{per}(A) = \sum_{\pi \in \mathcal{P}(D)} \text{wgt}(\pi),$$

where  $\text{wgt}(\pi)$  is defined as in (4.1).

Two more combinatorial interpretations make use of the partial orders  $\{P(\pi) \mid \pi \in \mathcal{P}_e(D)\}$ . We have shown in Theorem 4.10 and will show in Theorem 4.13 that

$$(4.8) \quad \text{per}(A) = \sum_{\pi \in \mathcal{P}_e(D)} \text{wgt}(\pi) (\#P(\pi)\text{-descent-free permutations of } \pi)$$

$$(4.9) \quad = \sum_{\pi \in \mathcal{P}_e(D)} \text{wgt}(\pi) (\#P(\pi)\text{-excedance-free permutations of } \pi).$$

Unfortunately we do not know how to obtain bijective proofs of these facts directly from Observation 4.11.

**Problem 4.12.** *Given a planar network  $D$  of order  $n$ , state an explicit bijection between path families in  $D$  of arbitrary type, and  $P$ -descent-free  $P(\pi)$ -permutations, where  $\pi$  in  $D$  has type  $e$ , or  $P$ -excedance-free  $P(\pi)$ -permutations, where  $\pi$  in  $D$  has type  $e$ ,*

$$\begin{aligned} \mathcal{P}(D) &\xleftrightarrow{1-1} \bigcup_{\pi \in \mathcal{P}_e(D)} \{U \text{ a } P(\pi)\text{-permutation} \mid \text{des}_{P(\pi)}(U) = 0\}, \\ \mathcal{P}(D) &\xleftrightarrow{1-1} \bigcup_{\pi \in \mathcal{P}_e(D)} \{U \text{ a } P(\pi)\text{-permutation} \mid \text{exc}_{P(\pi)}(U) = 0\}. \end{aligned}$$

On the other hand, Theorem 4.10 and Proposition 3.5 easily prove (4.9).

**Theorem 4.13.** *Let  $A$  be the path matrix of planar network  $D$  of order  $n$ , Then we have*

$$\text{per}(A) = \sum_{\pi \in \mathcal{P}_e(D)} \text{wgt}(\pi) (\# P(\pi)\text{-excedance-free permutations of } \pi).$$

*Proof.* Theorem 4.10 implies (4.8), and Proposition 3.5 then implies (4.9).  $\square$

Now we have three combinatorial interpretations of induced trivial character immanants. Given a path family  $\pi = (\pi_1, \dots, \pi_n) \in \mathcal{P}(D)$  for some planar network  $D$ , define a  $\pi$ -tableau of shape  $\lambda$  to be a filling of a Young diagram with  $\pi_1, \dots, \pi_n$ . For each  $\pi$ -tableau  $U$ , define  $L(U)$  and  $R(U)$  to be the Young tableaux whose integer entries are the source indices and sink indices, respectively, of the corresponding paths in  $U$ . Call the  $\pi$ -tableau  $U$  *left row-strict* if entries of  $L(U)$  increase from left to right in each row, and call it *row-closed* if  $R(U_i)$  is a rearrangement of  $L(U_i)$  for each  $i$ .

**Theorem 4.14.** *Let  $A$  be the path matrix of planar network  $D$  of order  $n$ . Then for  $\lambda \vdash n$ , the evaluation  $\text{Imm}_{\eta^\lambda}(A)$  has the combinatorial interpretations*

$$(4.10) \quad \sum_{\pi \in \mathcal{P}(D)} \text{wgt}(\pi) (\# \text{row-closed, left row-strict } \pi\text{-tableaux of shape } \lambda),$$

$$(4.11) \quad \sum_{\pi \in \mathcal{P}_e(D)} \text{wgt}(\pi) (\# \text{descent-free } P(\pi)\text{-tableaux of shape } \lambda),$$

$$(4.12) \quad \sum_{\pi \in \mathcal{P}_e(D)} \text{wgt}(\pi) (\# \text{excedance-free } P(\pi)\text{-tableaux of shape } \lambda).$$

*Proof.* Express  $\text{Imm}_{\eta^\lambda}(A)$  as in (4.3). By Observation 4.11, the term in this sum corresponding to a fixed ordered set partition  $(I_1, \dots, I_r)$  is equal to the sum of weights of path families

$\pi = (\pi_1, \dots, \pi_n)$  in which for  $j = 1, \dots, r$ , paths indexed by  $I_j$  have sink indices also belonging to  $I_j$ . Each such partitioned path family  $\pi$  naturally forms a left row-strict, row-closed  $\pi$ -tableau  $U = U(\pi, I_1, \dots, I_r)$  of shape  $\lambda$ , if we place paths indexed by  $I_j$  into row  $j$ , with path indices increasing from left to right. We may therefore express the  $\text{Imm}_{\eta^\lambda}(A)$  as

$$\sum_K \text{wgt}(K) \sum_{\pi \in \Pi(K)} \#\{(I_1, \dots, I_r) \mid U(\pi, I_1, \dots, I_r) \text{ is row-closed, left row-strict of shape } \lambda\},$$

i.e., as (4.10). Alternatively, by Theorems 4.10 and 4.13, the term in (4.3) corresponding to a fixed ordered set partition  $(I_1, \dots, I_r)$  is equal to

$$\begin{aligned} & \sum_K \text{wgt}(K) \sum_{\pi \in \Pi_e(K)} \#\{(U_1, \dots, U_r) \mid U_j \text{ a descent-free permutation of } (\pi_i)_{i \in I_j}\} \\ &= \sum_K \text{wgt}(K) \sum_{\pi \in \Pi_e(K)} \#\{(U_1, \dots, U_r) \mid U_j \text{ an excedance-free permutation of } (\pi_i)_{i \in I_j}\}. \end{aligned}$$

Thus  $\text{Imm}_{\eta^\lambda}(A)$  is also equal to (4.11) and (4.12).  $\square$

#### 4.4. Power sum immanants.

Like the induced trivial character immanants  $\{\text{Imm}_{\eta^\lambda}(A) \mid \lambda \vdash n\}$ , the power sum immanants  $\{\text{Imm}_{\psi^\lambda}(A) \mid \lambda \vdash n\}$  have some combinatorial interpretations which are closely related to chromatic symmetric function coefficients, and others which are related to path families in a planar network. Call a  $\pi$ -tableau  $U$  of shape  $\lambda$  *cylindrical* if in each row  $U_i = U_{i,1} \cdots U_{i,\lambda_i}$ , we have  $(R(U_{i,1} \cdots U_{i,\lambda_i})) = L(U_{i,2} \cdots U_{i,\lambda_i} U_{i,1})$ , i.e., each path begins where the preceding path in its row terminates.

**Theorem 4.15.** *Let  $A$  be the path matrix of planar network  $D$  of order  $n$ . Then for  $\lambda \vdash n$ , the evaluation  $\text{Imm}_{\psi^\lambda}(A)$  has the combinatorial interpretations*

$$(4.13) \quad \sum_{\pi \in \mathcal{P}_e(D)} \text{wgt}(\pi) (\#\text{cyclically row-semistrict } P(\pi)\text{-tableaux of shape } \lambda),$$

$$(4.14) \quad \sum_{\pi \in \mathcal{P}_e(D)} \text{wgt}(\pi) (\#\text{record-free row-semistrict } P(\pi)\text{-tableaux of shape } \lambda),$$

$$(4.15) \quad \sum_{\pi \in \mathcal{P}(D)} \text{wgt}(\pi) (\#\text{cylindrical } \pi\text{-tableaux of shape } \lambda).$$

*Proof.* By Proposition 4.3 and Corollary 4.5, we have

$$\text{Imm}_{\psi^\lambda}(A) = \sum_K \text{wgt}(K) \psi^\lambda(z(K)) = \sum_K \text{wgt}(K) \sum_{\pi \in \Pi_e(K)} \psi^\lambda(\text{inc}(P(\pi))).$$

Thus by Proposition 3.8 we have the interpretations (4.13) and (4.14). By (2.3), we have

$$\text{Imm}_{\psi^\lambda}(A) = z_\lambda \sum_{\substack{w \in \mathfrak{S}_n \\ \text{ctype}(w) = \lambda}} a_{1,w_1} \cdots a_{n,w_n}.$$

The interpretation (4.15) now follows from the definition of path matrix.  $\square$

4.5. **Monomial immanants.**

By Corollary 4.6, the fact that we do not know  $\phi^\lambda(\text{inc}(P))$  to be nonnegative for unit interval orders  $P$  ([30, Conj. 5.5]) implies that we do not know monomial immanants to evaluate nonnegatively on totally nonnegative matrices. We have the following conjecture of Stembridge [32, Conj. 2.1].

**Conjecture 4.16.** *For  $\lambda \vdash n$  and  $A$  totally nonnegative we have  $\text{Imm}_{\phi^\lambda}(A) \geq 0$ .*

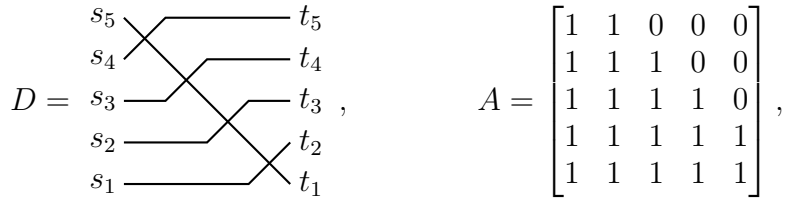
This is the strongest possible conjecture for inequalities of the form  $\text{Imm}_\theta(A) \geq 0$  with  $\theta \in \mathcal{T}_n$ , since  $\text{Imm}_\theta(A) \geq 0$  for all totally nonnegative  $A \in \text{Mat}_{n \times n}(\mathbb{R})$  only if  $\theta$  is a nonnegative linear combination of  $\{\phi^\lambda \mid \lambda \vdash n\}$  [32, Prop. 2.3]. Stembridge proved two special cases of Conjecture 4.16 [32, Thms. 2.7 – 2.8].

**Proposition 4.17.** *Let  $A$  be the path matrix of a planar network  $D$  of order  $n$ .*

- (1) *If  $\lambda = 21^{n-2}$  then  $\text{Imm}_{\phi^\lambda}(A) \geq 0$ .*
- (2) *If  $\lambda$  is the rectangular shape  $r^k$  then*

$$(4.16) \quad \text{Imm}_{\phi^\lambda}(A) = \sum_K \text{wgt}(\pi) \sum_{\pi \in \Pi(K)} \# \text{ column-strict, cylindrical } \pi\text{-tableaux of shape } r^k.$$

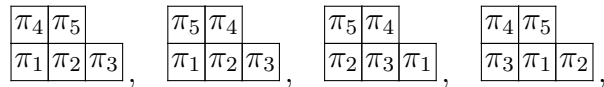
Since  $\phi^{1^n} = \epsilon^n$  and  $\phi^n = \psi^n$ , one might hope that the formula (4.16) for  $\text{Imm}_{\phi^\lambda}(A)$ , which combines aspects of Theorem 4.1 and Theorem 4.15, might hold in general. Unfortunately, it does not. The planar network and path matrix



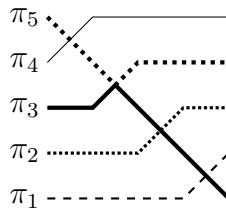
and the monomial immanant evaluations

$$\begin{aligned} \text{Imm}_{\phi^5}(A) &= 5, & \text{Imm}_{\phi^{41}}(A) &= 3, & \text{Imm}_{\phi^{32}}(A) &= 7, & \text{Imm}_{\phi^{221}}(A) &= 1, \\ \text{Imm}_{\phi^{311}}(A) &= \text{Imm}_{\phi^{2111}}(A) = \text{Imm}_{\phi^{11111}}(A) &= 0 \end{aligned}$$

are not consistent with the number of column-strict cylindrical  $\pi$ -tableaux of shape 32,



where the path family  $\pi$  given by



is the unique path family covering  $D$  which can be placed into a column-strict cylindrical path tableau of shape 32.

The author has found that for  $n \leq 5$ , the sets of analogous tableaux for certain planar networks have cardinalities no greater than the true values of  $\text{Imm}_{\phi^\lambda}(A)$ , where  $A$  is the path matrix of the planar network. Specifically, these planar networks, called *descending star networks* in [7, §3], correspond bijectively to unit interval orders. This suggests the following question.

**Question 4.18.** *Let  $P$  be a unit interval order on  $n$  elements, and let  $D = D(P)$  be the corresponding descending star network as defined in [7, §3]. Do we have for all  $\lambda \vdash n$  that*

$$\phi^\lambda(\text{inc}(P)) \geq \# \bigcup_{\pi \in \mathcal{P}(D)} \{U \mid U \text{ a column-strict, cylindrical } \pi\text{-tableau of shape } \lambda\}?$$

#### 4.6. Immanant identities.

Analogous to Corollary 3.11 are three identities which follow from Corollary 4.7. The third of these is known as Muir's identity.

**Corollary 4.19.** *Let  $A$  be an  $n \times n$  matrix. We have*

$$\begin{aligned} n \text{ per}(A) &= \sum_{i=1}^n \sum_{\substack{J \\ |J|=i}} \text{Imm}_{\psi^i}(A_{J,J}) \text{per}(A_{\bar{J},\bar{J}}), \\ n \det(A) &= \sum_{i=1}^n (-1)^{i-1} \sum_{\substack{J \\ |J|=i}} \text{Imm}_{\psi^i}(A_{J,J}) \det(A_{\bar{J},\bar{J}}), \\ \sum_{i=0}^n (-1)^i \sum_{\substack{J \\ |J|=i}} \det(A_{J,J}) \text{per}(A_{\bar{J},\bar{J}}) &= 0, \end{aligned}$$

where the sums are over ordered set partitions of type  $(i, n-i)$ .

*Proof.* Similar to proof of Corollary 3.11. □

## 5. APPLICATIONS TO CHROMATIC QUASISYMMETRIC FUNCTIONS

Shareshian and Wachs [23] defined a quasisymmetric extension  $X_{G,q}$  of Stanley's chromatic symmetric function (3.1). Given a proper coloring  $\kappa : V \rightarrow \{1, 2, \dots\}$  of a (simple undirected) graph  $G = (V, E)$ , define  $\text{inv}_G(\kappa)$  to be the number of pairs  $(i, j) \in E$  with  $i < j$  and  $\kappa(i) > \kappa(j)$ . For any composition  $\alpha = (\alpha_1, \dots, \alpha_\ell) \models n$ , define

$$c(G, \alpha, q) = \sum_{\substack{\kappa \\ \text{type}(\kappa) = \alpha}} q^{\text{inv}_G(\kappa)},$$

and let

$$M_\alpha = \sum_{i_1 < \dots < i_\ell} x_{i_1}^{\alpha_1} \cdots x_{i_\ell}^{\alpha_\ell}$$

be the *monomial quasisymmetric function* indexed by  $\alpha$ . Then we have the definition

$$(5.1) \quad X_{G,q} = \sum_{\kappa} q^{\text{inv}_G(\kappa)} x_{\kappa(1)} \cdots x_{\kappa(n)} = \sum_{\alpha \models n} c(G, \alpha, q) M_\alpha,$$

where the first sum is over all proper colorings of  $G$ . It is easy to see that we have  $X_{G,1} = X_G$ .



In the special case that  $X_{G,q}$  is symmetric, then Proposition 2.3 implies that there is an element  $g \in \mathbb{Q}(q) \otimes H_n(q)$  satisfying  $\epsilon_q^\lambda(g) = c(G, \alpha, q)$  for every rearrangement  $\alpha$  of  $\lambda$ . Thus for all  $\theta_q \in \mathcal{T}_{n,q}$  we may define  $\theta_q(G) = \theta_q(g)$  to obtain a  $q$ -extension

$$(5.2) \quad \begin{aligned} X_{G,q} &= \sum_{\lambda \vdash n} \epsilon_q^\lambda(G) m_\lambda = \sum_{\lambda \vdash n} \eta_q^\lambda(G) f_\lambda = \sum_{\lambda \vdash n} \frac{(-1)^{n-\ell(\lambda)} \psi_q^\lambda(G)}{z_\lambda} p_\lambda = \sum_{\lambda \vdash n} \chi_q^{\lambda^\top}(G) s_\lambda \\ &= \sum_{\lambda \vdash n} \phi_q^\lambda(G) e_\lambda = \sum_{\lambda \vdash n} \gamma_q^\lambda(G) h_\lambda \end{aligned}$$

of (3.2) and a similar  $q$ -extension  $\omega X_{G,q}$  of (3.3).

Shareshian and Wachs showed that when  $P$  is a unit interval order labeled as in (3.4), the function  $X_{\text{inc}(P),q}$  is in fact symmetric. By [7, Cor. 7.5], the coefficients

$$\epsilon_q^\lambda(\text{inc}(P)), \quad \eta_q^\lambda(\text{inc}(P)), \quad \chi_q^\lambda(\text{inc}(P)), \quad \psi_q^\lambda(\text{inc}(P)), \quad \phi_q^\lambda(\text{inc}(P)), \quad \gamma_q^\lambda(\text{inc}(P))$$

in each standard expansion of  $X_{\text{inc}(P),q}$  are given by  $\theta_q(\text{inc}(P)) = \theta_q(\tilde{C}_w(q))$  for the 312-avoiding permutation  $w$  related to  $P$  as in (3.5). For interpretations of some of these coefficients, see [7, §5–10], [24, §5–7]. Shareshian and Wachs conjectured [23, Conj. 5.1] that we have  $\phi_q^\lambda(\text{inc}(P)) \in \mathbb{N}[q]$ . This extends the Stanley-Stembridge conjecture [30, Conj. 5.5] that  $\phi^\lambda(\text{inc}(P)) \geq 0$ , and is a special case of Haiman's conjecture [14, Conj. 2.1] that  $\phi_q^\lambda(\tilde{C}_w(q)) \in \mathbb{N}[q]$  for all  $w$ . Since  $\sum_{\lambda \vdash n} \phi_q^\lambda = \eta_q^n$ , we have the following expressions, extending (3.15), for  $\sum_{\lambda \vdash n} \phi_q^\lambda(\text{inc}(P)) = \eta_q^n(\text{inc}(P))$  when  $P$  is a unit interval order:

$$(5.3) \quad \sum_{\lambda \vdash n} \phi_q^\lambda(\text{inc}(P)) = \sum_O q^{\text{inv}(O)},$$

where the sum is over all acyclic orientations  $O$  of  $\text{inc}(P)$  and where  $\text{inv}(O)$  is the number of oriented edges  $(j, i)$  with  $j > i$  [23, Thm. 5.3], and

$$(5.4) \quad \sum_{\lambda \vdash n} \phi_q^\lambda(\text{inc}(P)) = \sum_U q^{\text{inv}_P(U)},$$

where the sum is over all  $P$ -descent-free  $P$ -permutations  $U$  [23, Thm. 6.3], and where

$$\text{inv}_P(U) = \#\{(i, j) \mid j \text{ incomparable in } P \text{ to } i, j \text{ appears to the left of } i \text{ in } U\}.$$

It is possible to extend Theorem 3.6 (2) to obtain a similar formula for excedance-free  $P$ -permutations as well. Given a  $P$ -tableau  $U$ , define

$$\text{inv}(U) = \#\{(i, j) \mid j > i \text{ (as integers) and } j \text{ appears to the left of } i \text{ in } U\}.$$

**Proposition 5.1.** *Let  $P$  be an  $n$ -element unit interval order, corresponding by (3.5) to the 312-avoiding permutation  $w \in \mathfrak{S}_n$ . Then we have*

$$(5.5) \quad \sum_{\lambda \vdash n} \phi_q^\lambda(\text{inc}(P)) = \sum_{v \leq w} q^{\ell(v)} = \sum_U q^{\text{inv}(U)},$$

where  $U$  in the final sum varies over all  $P$ -excedance-free  $P$ -permutations.

*Proof.* By [7, Cor. 7.5] and (2.1) we have

$$\sum_{\lambda \vdash n} \phi_q^\lambda(\text{inc}(P)) = \eta_q^n(\text{inc}(P)) = \eta_q^n(\tilde{C}_w(q)) = \eta_q^n\left(\sum_{v \leq w} T_v\right).$$

Since  $\eta_q^n(T_v) = q^{\ell(v)}$ , we have the first equality in (5.5). To see the second equality, let  $U = v_1 \cdots v_n$  be a  $P$ -permutation. Then  $U$  appears in the third sum of (5.5) if and only if  $\text{exc}_P(U) = 0$ . By Proposition 3.7, this condition is equivalent to  $v \leq w$ , and clearly we have  $\ell(v) = \text{inv}(U)$ .  $\square$

Combining Proposition 5.1 with (5.3) and (5.4), we obtain equidistribution results for the three variations of inversion statistics. Unfortunately, the map  $w \mapsto \sigma(w^{-1})$  from Subsection 3.3, which satisfies  $\text{exc}_P(w) = \text{des}_P(\sigma(w^{-1}))$  does *not* satisfy  $\text{inv}(w) = \text{inv}_P(\sigma(w^{-1}))$ . Furthermore, the statistic pairs  $(\text{exc}_P, \text{inv})$  and  $(\text{des}_P, \text{inv}_P)$  cannot be equidistributed on  $\mathfrak{S}_n$ , since  $\text{inv}$  and  $\text{inv}_P$  are not equidistributed on  $\mathfrak{S}_n$ . This suggests the following problem.

**Problem 5.2.** *Let  $P$  be a unit interval order. Find a bijection  $\varphi$  from descent-free  $P$ -permutations to excedance-free  $P$ -permutations which satisfies  $\text{inv}_P(U) = \text{inv}(\varphi(U))$ .*

Just as Proposition 3.9 refines (3.15) and (3.16), Shareshian and Wachs [23, Thm. 5.3] refined (5.3), (5.4) as follows.

**Proposition 5.3.** *For all unit interval orders  $P$  we have that*

$$\sum_{\substack{\lambda \vdash n \\ \ell(\lambda)=k}} \phi_q^\lambda(\text{inc}(P)) = \sum_U q^{\text{inv}_P(U)} = \sum_O q^{\text{inv}(O)},$$

where the second and third sum are over descent-free  $P$ -permutations  $U$  having  $k$   $P$ -records, and acyclic orientations  $O$  of  $\text{inc}(P)$  having  $k$  sources.

It would be interesting to similarly refine Proposition 5.1.

**Problem 5.4.** *Let  $P$  be an  $n$ -element unit interval order labeled as in (3.4), and let  $w(P)$  be the corresponding 312-avoiding permutation as in (3.5). Find functions  $\delta_1, \delta_2$  so that*

$$\sum_{\substack{\lambda \vdash n \\ \ell(\lambda)=k}} \phi_q^\lambda(\text{inc}(P)) = \sum_{\substack{v \leq w \\ \delta_1(v,w)=k}} q^{\ell(v)} = \sum_{\substack{\text{exc}_P(U)=0 \\ \delta_2(U)=k}} q^{\text{inv}(U)},$$

where  $U$  in the final sum is a  $P$ -permutation.

Finally, it would be interesting to combinatorially settle the Shareshian-Wachs conjecture [23, Conj. 5.1] that  $\phi_q^\lambda(\text{inc}(P)) \in \mathbb{N}[q]$  as follows.

**Problem 5.5.** *For each  $n$ -element unit interval order  $P$  labeled as in (3.4), and each partition  $\lambda \vdash n$ , define subsets  $\mathcal{S}_1(\lambda), \mathcal{S}_2(\lambda), \mathcal{S}_3(\lambda), \mathcal{S}_4(\lambda)$  of acyclic orientations of  $\text{inc}(P)$ ,  $P$ -descent-free  $P$ -permutations,  $\{v \in \mathfrak{S}_n \mid v \leq w(P)\}$ , and  $P$ -excedance free  $P$ -permutations, respectively, so that*

$$\phi_q^\lambda(\text{inc}(P)) = \sum_{O \in \mathcal{S}_1(\lambda)} q^{\text{inv}(O)} = \sum_{U \in \mathcal{S}_2(\lambda)} q^{\text{inv}_P(U)} = \sum_{v \in \mathcal{S}_3(\lambda)} q^{\ell(v)} = \sum_{U \in \mathcal{S}_4(\lambda)} q^{\text{inv}(U)}.$$

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