

# THE CLUSTER BASIS OF $\mathbb{Z}[x_{1,1}, \dots, x_{3,3}]$

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## – Outline

- (1) Bases of  $\mathbb{Z}[x_{1,1}, \dots, x_{n,n}]$  and factorization results
- (2) Centrally symmetric triangulations of the octagon
- (3) The cluster basis of  $\mathbb{Z}[x_{1,1}, \dots, x_{3,3}]$
- (4) Parametrization of the cluster basis by  $3 \times 3$  matrices
- (5) A factorization formula
- (6) Open questions

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## Bases of $\mathbb{Z}[x_{1,1}, \dots, x_{n,n}]$

**Natural basis:**  $\{x^A \mid A = (a_{i,j}) \in \text{Mat}_{n \times n}(\mathbb{N})\}$ ,

$$x^A \stackrel{\text{def}}{=} \prod_{i,j=1}^n x_{i,j}^{a_{i,j}}.$$

**Dual canonical basis:**  $\{Z_A \mid A = (a_{i,j}) \in \text{Mat}_{n \times n}(\mathbb{N})\}$ ,

$$Z_A \stackrel{\text{def}}{=} x^A + \sum_{\substack{B > A \\ r(B)=r(A) \\ c(B)=c(A)}} \text{sgn}(A, B) Q_{A,B}(1) x^B,$$

$Q_{A,B}(q)$  = a double-parabolic Kazhdan-Lusztig polynomial.

**Question:** How do DCB elements factor?

Special case  $n = 1$ :  $\mathbb{Z}[x] = \mathbb{Z}[x_{1,1}]$ .

$$\text{DCB} = \{1, x_{1,1}, x_{1,1}^2, x_{1,1}^3, \dots\}.$$

Special case  $n = 2$ :  $\mathbb{Z}[x] = \mathbb{Z}[x_{1,1}, x_{1,2}, x_{2,1}, x_{2,2}]$ .

**Theorem:** (D '92, Z '04)

$$\begin{aligned} \text{DCB} = & \text{all products of } \{x_{1,1}, x_{1,2}, x_{2,1}, \det(x)\} \\ & \cup \text{all products of } \{x_{2,2}, x_{1,2}, x_{2,1}, \det(x)\}. \end{aligned}$$

In particular,

$$Z_A = \begin{cases} x_{1,1}^a x_{1,2}^b x_{2,1}^c \det(x)^d & \text{if } A = \begin{bmatrix} a+d & b \\ c & d \end{bmatrix}, \\ x_{2,2}^e x_{1,2}^b x_{2,1}^c \det(x)^d & \text{if } A = \begin{bmatrix} d & b \\ c & d+e \end{bmatrix}. \end{cases}$$

$$Z_{\begin{bmatrix} 72 \\ 83 \end{bmatrix}} = Z_{\begin{bmatrix} 40 \\ 00 \end{bmatrix}} Z_{\begin{bmatrix} 02 \\ 00 \end{bmatrix}} Z_{\begin{bmatrix} 00 \\ 80 \end{bmatrix}} Z_{\begin{bmatrix} 30 \\ 03 \end{bmatrix}} = x_{1,1}^4 x_{1,2}^2 x_{2,1}^8 \det(x)^3.$$

Special case  $n = 3$ :  $\mathbb{Z}[x] = \mathbb{Z}[x_{1,1}, \dots, x_{3,3}]$ .

**Theorem:** (D '92, Z '04) If  $A = E + \begin{bmatrix} c & b & a \\ d & c & b \\ e & d & c \end{bmatrix}$ , with  $E \in \text{Mat}_{3 \times 3}(\mathbb{N})$ ,  $a, b, c, d, e \in \mathbb{N}$ , then

$$Z_A = Z_E \cdot x_{3,1}^e \Delta_{23,12}^d \det(x)^c \Delta_{12,23}^b x_{1,3}^a.$$

**Question:** How does  $Z_E$  factor, for  $E$  of the forms

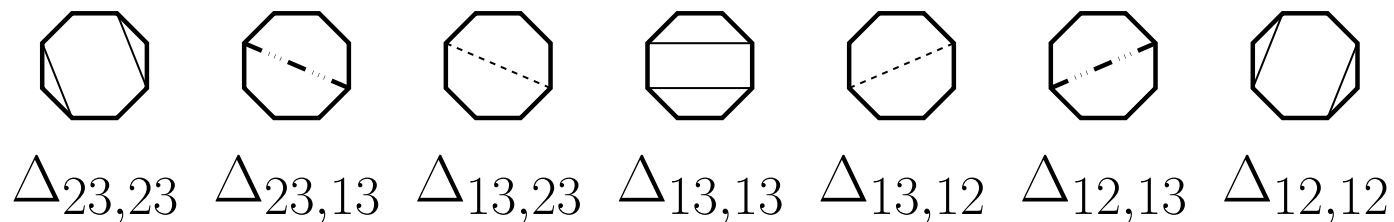
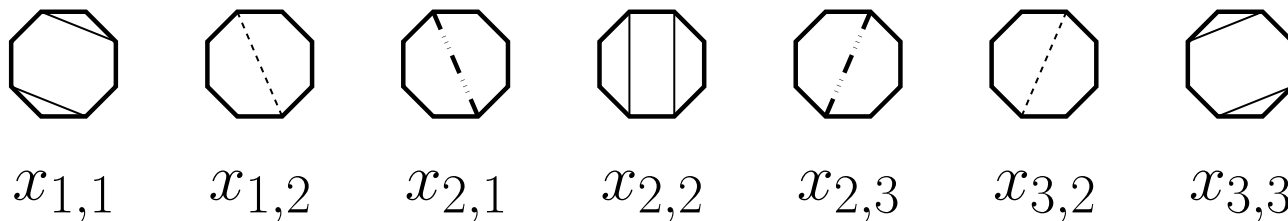
$$\begin{bmatrix} * & * & 0 \\ * & * & 0 \\ 0 & 0 & 0 \end{bmatrix}, \quad \begin{bmatrix} 0 & 0 & 0 \\ * & * & * \\ 0 & 0 & * \end{bmatrix}, \quad \begin{bmatrix} * & 0 & 0 \\ * & 0 & * \\ 0 & 0 & * \end{bmatrix}, \quad \begin{bmatrix} 0 & * & 0 \\ * & * & 0 \\ 0 & 0 & * \end{bmatrix}, \quad \begin{bmatrix} * & * & 0 \\ * & 0 & 0 \\ 0 & 0 & * \end{bmatrix}, \dots ?$$

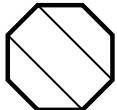
**Conjecture:** (F-Z)  $Z_E$  is a product of cluster variables belonging to some (type  $D_4$ ) cluster  $\mathcal{C}_i$

$$\mathcal{C}_1, \dots, \mathcal{C}_{50} \subset \mathbb{Z}[x_{1,1}, \dots, x_{3,3}] \subset \mathbb{C}[GL_3^{w_0, w_0}],$$

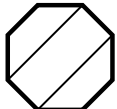
and all such products are DCB elements.

# Cluster variables as diagonals of an octagon





$$\text{Imm}_{213} = x_{1,2}\Delta_{23,13} - x_{1,3}\Delta_{23,12}$$

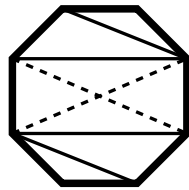


$$\text{Imm}_{132} = x_{2,3}\Delta_{13,12} - x_{1,3}\Delta_{23,12}$$

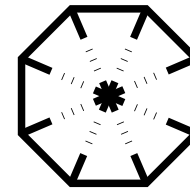
# Clusters = centrally symmetric triangulations

Diagonals may not cross, except for

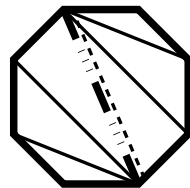
- (1) different diameters of the same color,
- (2) different colorings of the same diameter.



$$\{x_{1,1}, \Delta_{13,23}, \Delta_{13,13}, \Delta_{13,12}\}$$



$$\{x_{2,1}, x_{2,3}, \Delta_{23,13}, \Delta_{12,13}\}$$



$$\{x_{1,1}, x_{1,2}, x_{2,1}, \text{Imm}_{213}\}$$

## Cluster monomials

**Frozen variables:** polynomials in the set

$$\mathcal{F} = \{x_{1,3}, \Delta_{12,23}, \det(x), \Delta_{23,12}, x_{3,1}\}.$$

**Cluster monomials:** products of polynomials in  $\mathcal{C}_i \cup \mathcal{F}$  for some  $i$ .

**Theorem:** (F-Z '07) The (infinite) set  $\mathcal{M}$  of all such products is linearly independent.

**Theorem:** (F-Z unpublished) These products also span  $\mathbb{Z}[x_{1,1}, \dots, x_{3,3}]$ .

Call  $\mathcal{M}$  the *cluster basis* of  $\mathbb{Z}[x_{1,1}, \dots, x_{3,3}]$ .



## The cluster basis

**Theorem:** (S '07) Cluster basis elements may be parametrized by  $3 \times 3$  matrices  $\mathcal{M} = \{Y_A \mid A \in \text{Mat}_{3 \times 3}(\mathbb{N})\}$  so that they expand with respect to the DCB as

$$Y_A = Z_A + \sum_{\substack{B > A \\ r(B)=r(A) \\ c(B)=c(A)}} d_{A,B} Z_B.$$

**Corollary:** If the two bases are equal then

- (1)  $Z_A = Y_A$ ,
- (2) the factorization of  $Z_A$  is encoded by  $A$ ,
- (3)  $Z_A$  has a combinatorial interpretation.

## Matrices encode cluster monomials

$$\begin{array}{ccccccc}
 \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} & \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} & \begin{bmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} & \begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix} & \cdots & \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix} & \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix} \\
 x_{1,1} & x_{1,2} & x_{2,1} & x_{2,2} & & x_{3,3} & \text{Imm}_{213}
 \end{array}$$

$$\begin{array}{ccccccc}
 \begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} & \begin{bmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix} & \begin{bmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix} & \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix} & \cdots & \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix} & \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix} \\
 \Delta_{23,23} & \Delta_{23,13} & \Delta_{13,23} & \Delta_{13,13} & & \Delta_{12,12} & \text{Imm}_{132}
 \end{array}$$

$$\Delta_{23,23}^6 \Delta_{23,13}^3 x_{2,3}^2 x_{2,1} = Y_A, \text{ where}$$

$$A = \begin{bmatrix} 0 & 0 & 0 \\ 0 & 6 & 0 \\ 0 & 0 & 6 \end{bmatrix} + \begin{bmatrix} 0 & 0 & 0 \\ 3 & 0 & 0 \\ 0 & 0 & 3 \end{bmatrix} + \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 2 \\ 0 & 0 & 0 \end{bmatrix} + \begin{bmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} = \begin{bmatrix} 0 & 0 & 0 \\ 4 & 6 & 2 \\ 0 & 0 & 9 \end{bmatrix}.$$

## One of five factorization formulae

If  $E = \begin{bmatrix} 0 & 0 & 0 \\ e_{2,1} & e_{2,2} & e_{2,3} \\ 0 & 0 & e_{3,3} \end{bmatrix}$ , then we have

$$Z_E \stackrel{?}{=} Y_E = \Delta_{23,23}^a \Delta_{23,13}^b x_{2,3}^c x_{2,1}^d x_{2,2}^f x_{3,3}^g,$$

where

$$a = \min\{e_{2,2}, e_{3,3}\}, \quad b = \min\{e_{3,3} - a, e_{2,1}\}, \quad c = e_{2,3}, \\ d = e_{2,1} - b, \quad f = e_{2,2} - a, \quad g = e_{3,3} - a - b.$$

**Example:** If  $E = \begin{bmatrix} 0 & 0 & 0 \\ 4 & 6 & 2 \\ 0 & 0 & 9 \end{bmatrix}$ , then we have

$$(a, b, c, d, f, g) = (6, 3, 2, 1, 0, 0)$$

and

$$Z_E \stackrel{?}{=} Y_E = \Delta_{23,23}^6 \Delta_{23,13}^3 x_{2,3}^2 x_{2,1}.$$

## Future research

**Question:** Do appropriately defined cluster monomials form a basis of  $\mathbb{Z}[x_{1,1}, \dots, x_{n,n}]$  for  $n > 3$ ?

**Answer:** No.

**Fact:** If  $A = E + \begin{bmatrix} d & c & b & a \\ e & d & c & b \\ f & e & d & c \\ g & f & e & d \end{bmatrix}$ , with  $E \in \text{Mat}_{4 \times 4}(\mathbb{N})$ ,  
 $a, b, c, d, e, f, g \in \mathbb{N}$ , then

$$Z_A = Z_E \cdot x_{4,1}^g \Delta_{34,12}^f \Delta_{234,123}^e \det(x)^d \Delta_{123,234}^c \Delta_{12,34}^b x_{1,4}^a.$$

**Problem:** Describe the irreducible elements in the DCB of  $\mathbb{Z}[x_{1,1}, \dots, x_{n,n}]$ .