

AMORPHOUS and glassy  
CHALCOGENIDES,  
HIGH-TECH MATERIALS for FIBERS,  
MEMORIES, SENSORS, and OPTICAL  
SIGNAL PROCESSING

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## Amorphous chalcogenides:

- high optical transmissivity in IR region,
- high index of refraction,
- high optical non-linearity,
- large free volume
- large photoinduced changes of structure and physico-chemical properties

## Many present and potential applications:

• in optics and optoelectronics: lenses, microlenses, filters, coatings (antireflection, ...), planar optical and integrated circuits and devices, IR lasers, acousto-optic elements, optical signal processing (light up-conversion, signal couplers, frequency mixing, amplifiers, possibly **all optical computing...**)

• chemical sensing and environmental monitoring - IR, chemical and ionic sensors (uncladded fibres - evanescent waves, membranes), fibers for remote sensing - spectroscopy (chemistry, ecology),

• biomedical - X-ray and diagnostical sensors, surgical IR lasers, tissue removal, evanescent waves, in vivo measurements, eye safe radars,

• in communications - fibers, lasers, signal processing, ..

• rare-earths doped chalcogenides - luminescence in NIR and MID IR spectral regions: light amplifiers, generators, eye-safe lasers, environmental and other sensors.

• fibres: telecommunications, power delivery, mechanical and temperature sensing, chemical and remote monitoring (medicine, in vivo spectroscopy, ...)

• large photostructural effects: photoresists, waveguides, gratings, holography, phase-change optical and electrical memories, diffractive elements, photoinduced dissolution and diffusion of metals

• large free volume: photostructural effects, doping by  $\text{Ag}^+$ ,  $\text{Li}^+$  or other ions  $\Rightarrow$  thin solid-electrolytes (batteries, ionic selective sensors).

• The phase-change memories based on Ge-Sb-Te and other telluride based films - commercially applied in DVD disks with capacity up to 50 Gb/disk, going to be increased.

• Ovshinsky universal (unified) memories (OUM), **multilevel data storage**

# 1. Preparation, bulk glasses, thin films, sputtering, pulsed laser deposition (PLD)

- bulk glasses - mostly direct synthesis in evacuated silica tubes → thermal quenching, annealing
- **thin films, of binary, ternary or multinary systems**, vacuum thermal evaporation (TE) is not suitable ⇒ **fractional evaporation or nonstoichiometry.**

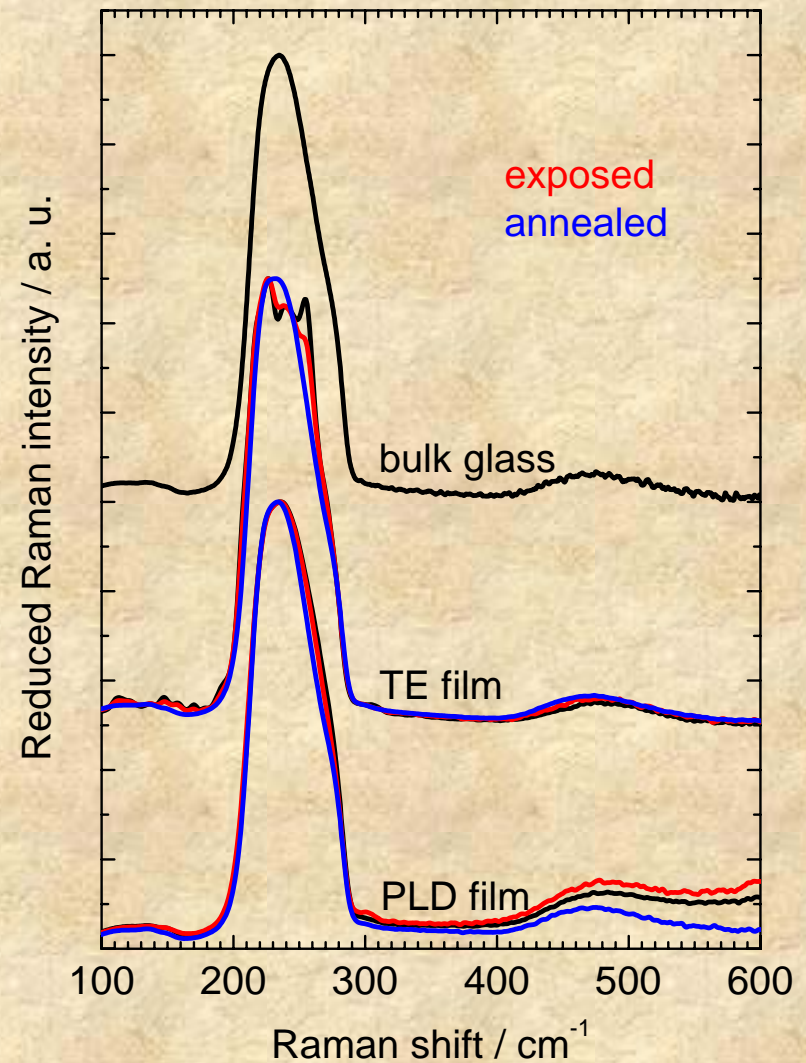
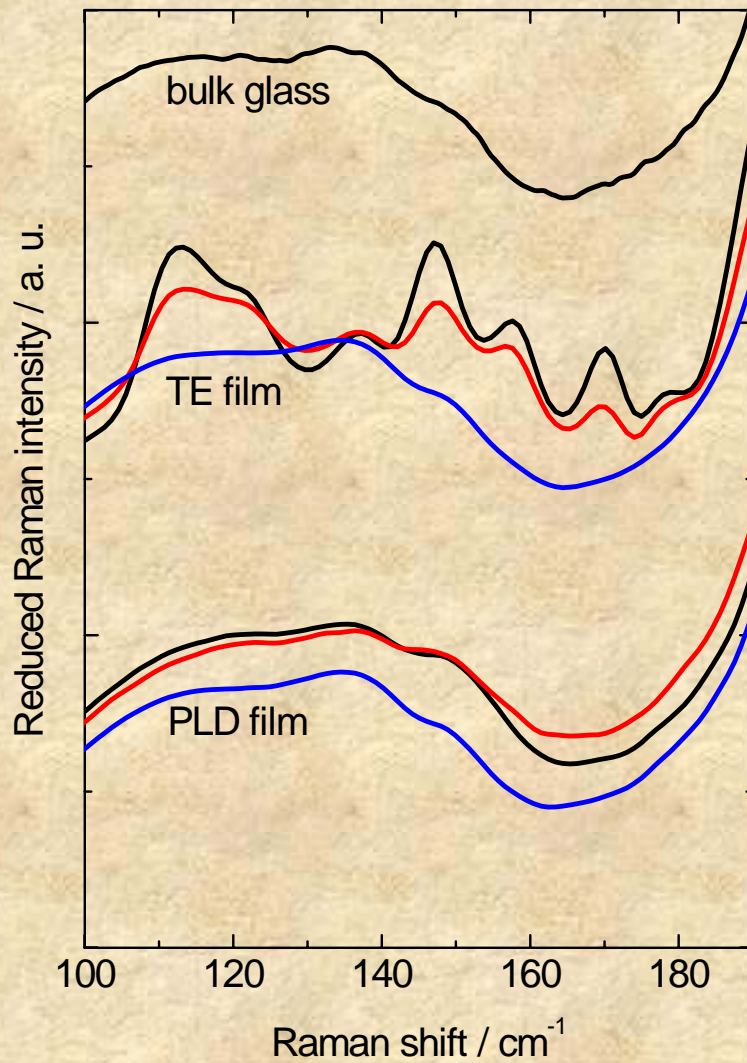
**possible solution: (PLD).** Evaporation by (ns or fs) pulses of high intensity and energy, excimer lasers emitting in UV region, (e.g. KrF, 248nm,  $\sim 5\text{eV} \approx 480\text{kJ/mol.}$ ,  $\sim 10^8 - 10^9 \text{ W/cm}^2$ )  
→ nearly any material can be evaporated,  
**every pulse evaporates all components**, independently on their vapor pressure.

**The stoichiometry is often preserved.**

The **kinetic energy** (temperature) of evaporated particles  $\sim$  keV -  
the reactions among the particles or fragments are quicker.

$\Rightarrow$  the **structure** of some ablated films can be **closer** to the  
**structure of target glass** -

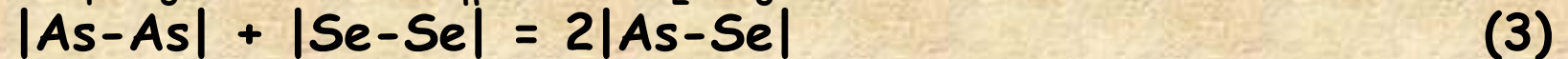
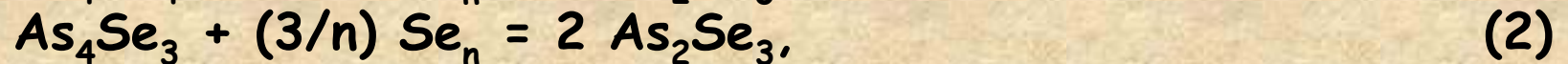
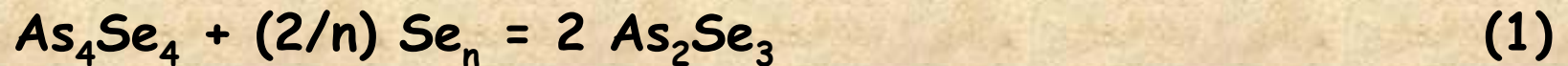
$\Rightarrow$  **new materials** are obtained (contrary to thermal evaporation).



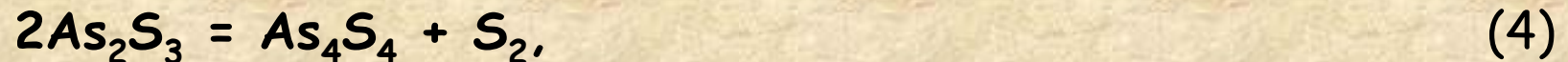
Raman spectra of bulk  $As_2Se_3$  glass and  $As-Se$  thin films

The PLD films of  $\text{As}_2\text{Se}_3$  contain less 'wrong' As-As and Se-Se bonds and  $\text{As}_4\text{Se}_4$ ,  $\text{As}_4\text{Se}_3$ ,  $\text{Se}_8$  or  $\text{Se}_n$  particles.

⇒ probably due to higher kinetic energy of the plasma-plume particles: enables their interaction (Eqs. 1-3) ⇒ densities of  $\text{As}_4\text{Se}_4$ ,  $\text{As}_4\text{Se}_3$ ,  $\text{Se}_n$  are lowered, e.g.

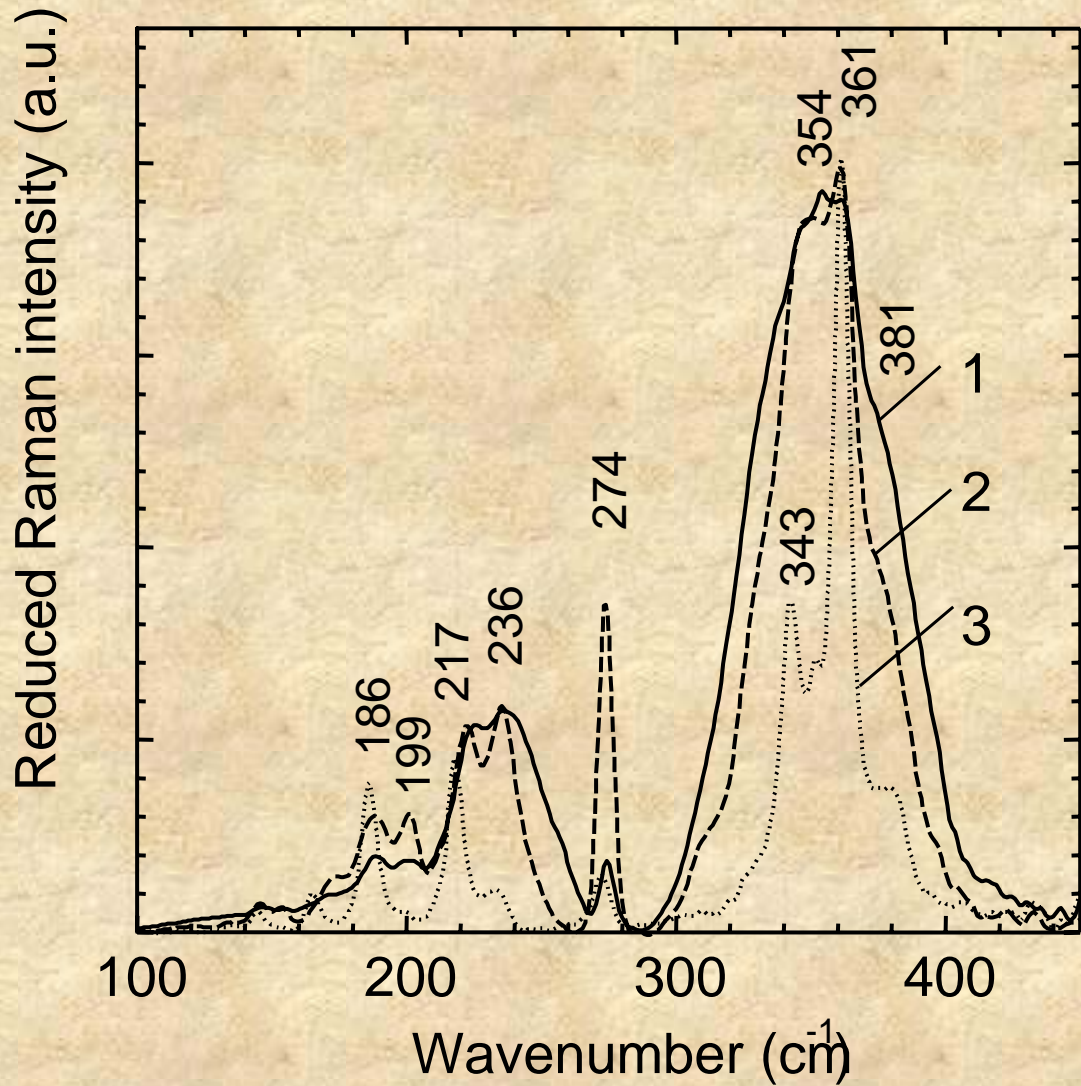


In As-S system: during evaporation - thermal dissociation, change of composition



para-realgar ( $\text{As}_4\text{S}_4$ ) is formed at high temperatures





PLD  $As_2S_3 \rightarrow$   
 $\sim As_{50}S_{50}$

- 1 as-evap.
- 2 expos.
- 3 anneal.

The **photoinduced processes** are enabled by relatively **large free volume**. It is inversely proportional to the  $\omega$

$$\omega = |M-S| \delta = |M-S| \left[ \rho \frac{\sum (A_i x_i / \rho_i) - \sum (A_i x_i / \rho)}{\sum A_i x_i} \right] \quad (5)$$

**low coordination number** of chalcogens (mostly  $N_c \cong 2$ ), and of pnictides, (e.g.  $N_c(\text{As}) \cong 3$ ).

**Irreversible photoinduced changes:**

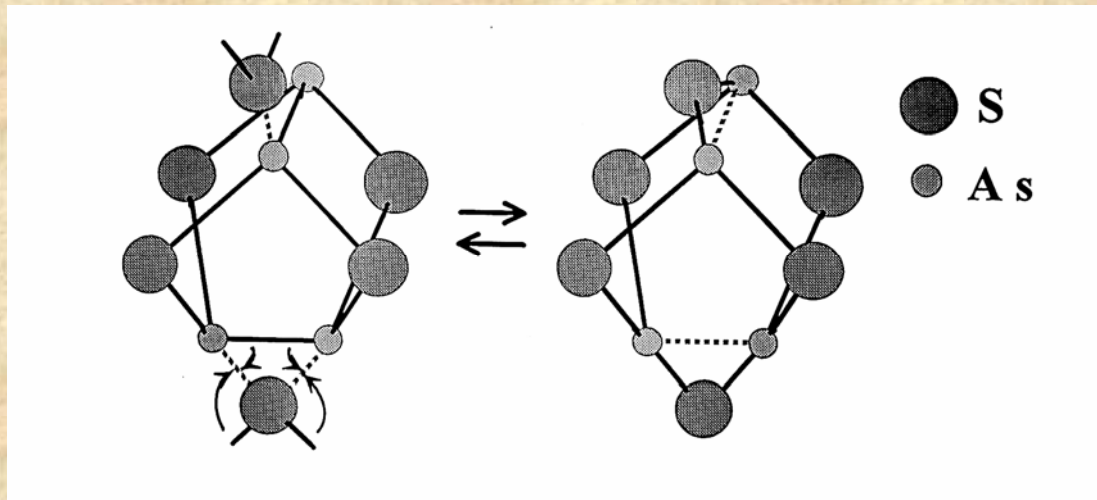
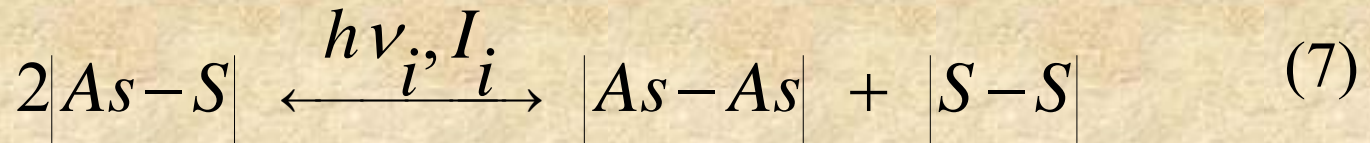
homogenization, chemical reactions among fragments formed by dissociation during evaporation, e.g.



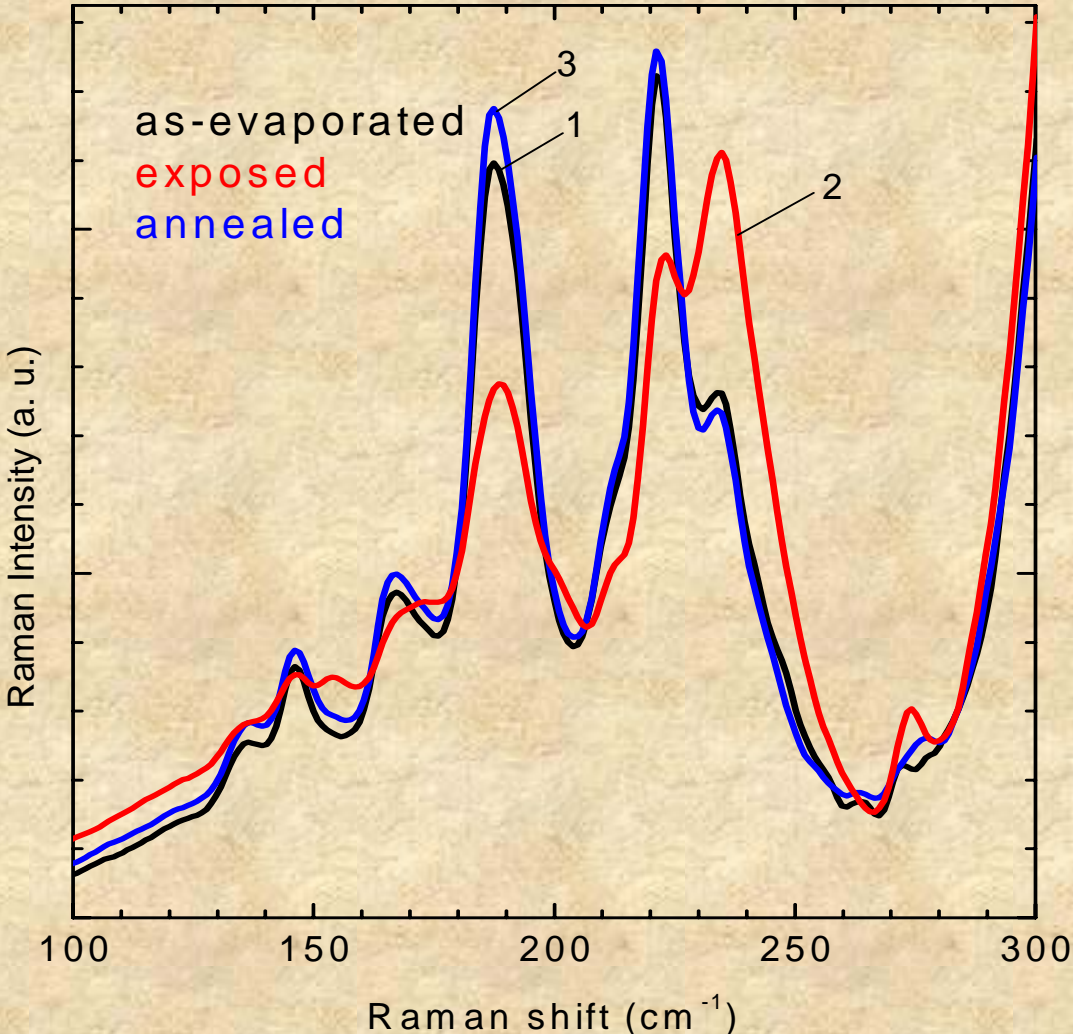
Exposure increases the rates of synthetic reactions, also some photolytic reactions.

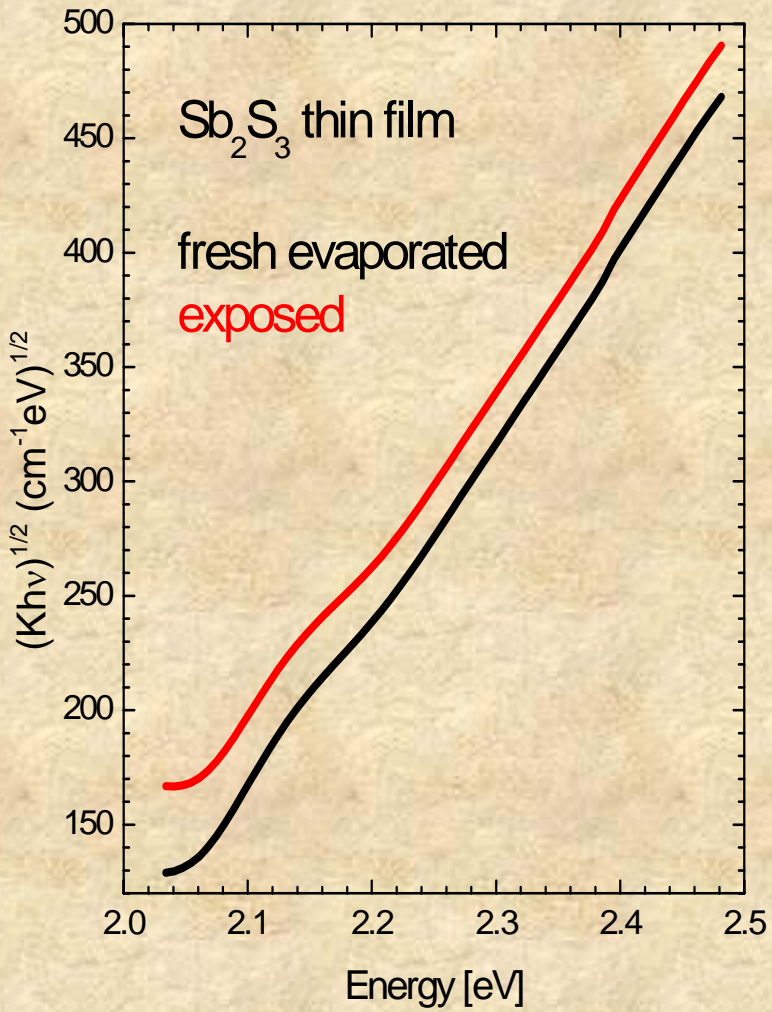
The **reversible photoinduced changes** are connected with changes of local bonding configurations.

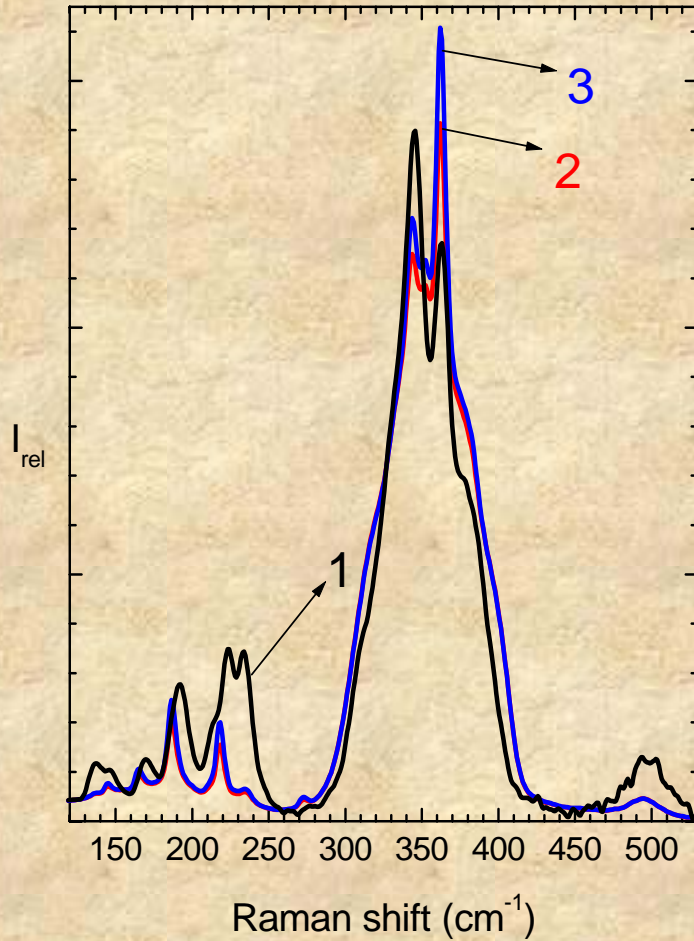
For  $As-S$ ,  $As-Ga-S$ ,  $As-Sb-S$ , and  $Sb_2S_3$ ,  $Ge-Sb-S$  films and bulk glasses, a model has been proposed.



supported by Raman spectroscopy results,  $As_2S_3$



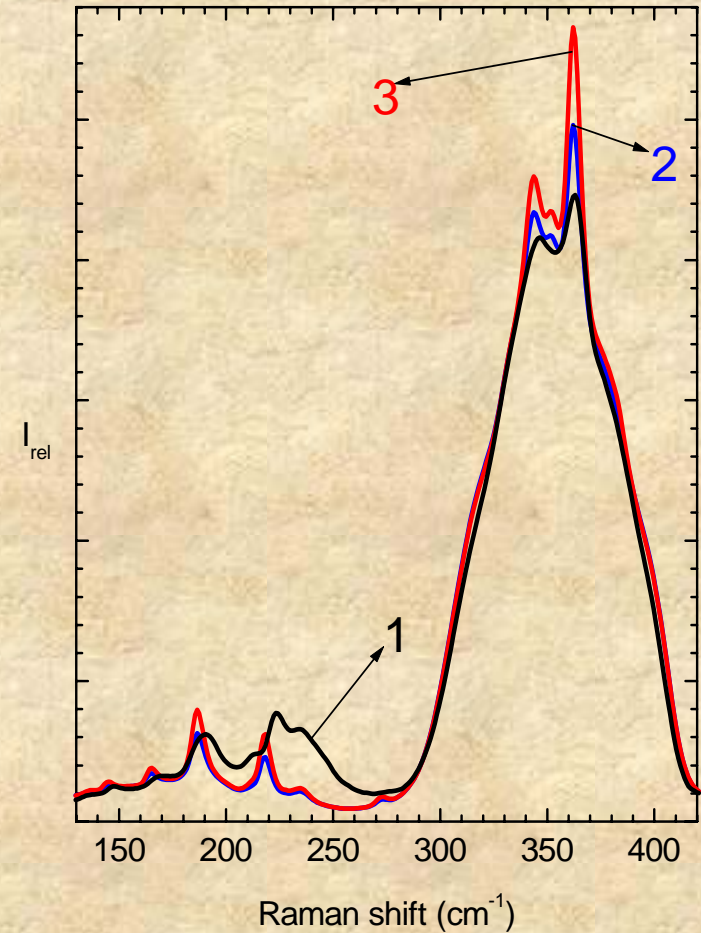




1.  $\text{As}_{42}\text{S}_{58}$  fresh evaporated film

2. mixture of  $0.85\text{As}_{40}\text{S}_{60}+0.15\text{As}_4\text{S}_4$

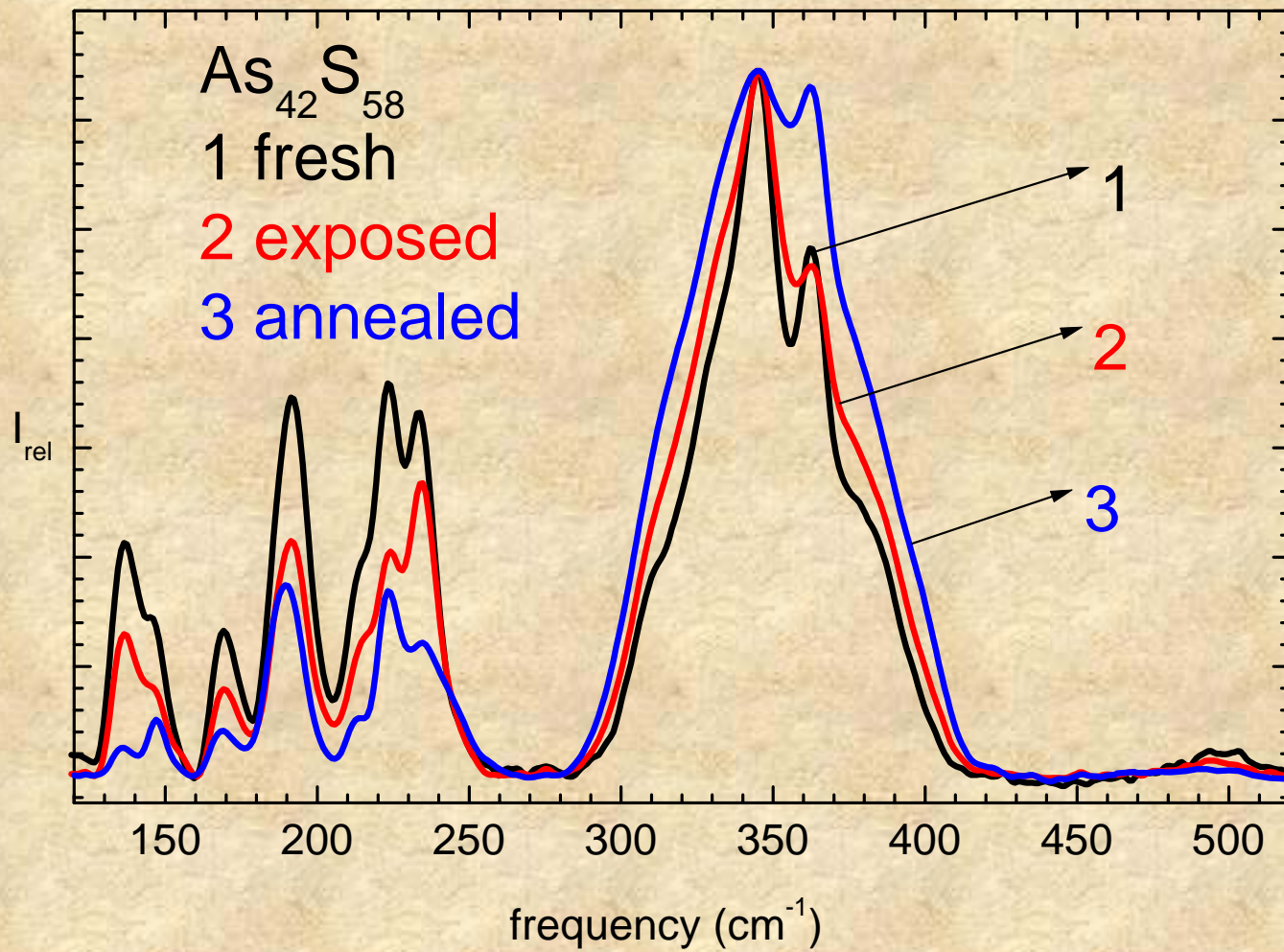
3. mixture of  $0.8\text{As}_{40}\text{S}_{60}+0.2\text{As}_4\text{S}_4$



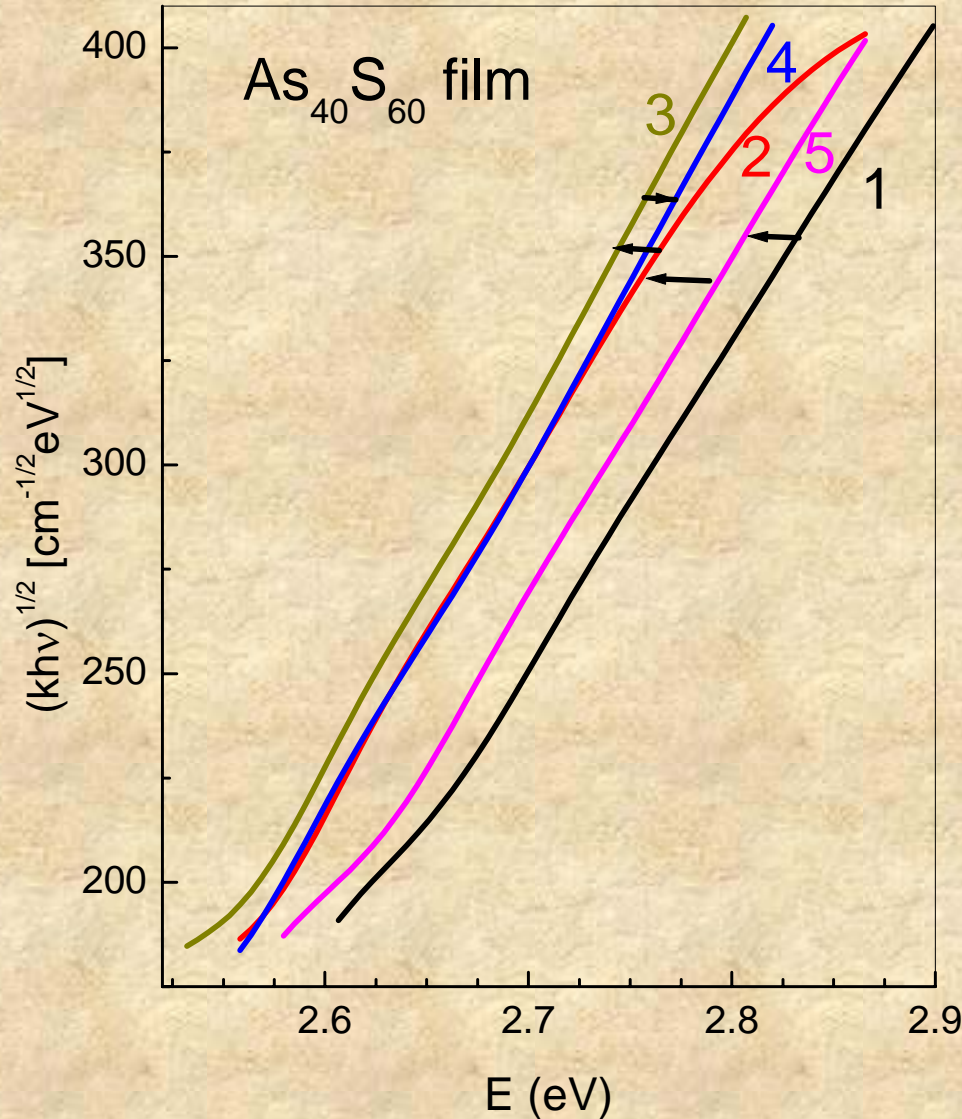
1.  $\text{As}_{42}\text{S}_{58}$  annealed film

2. mixture of  $0.9\text{As}_{40}\text{S}_{60}+0.1\text{As}_4\text{S}_4$

3. mixture of  $0.85\text{As}_{40}\text{S}_{60}+0.15\text{As}_4\text{S}_4$



Dependence of  $(Kh\nu)^{1/2}$  on photon energy in  $As_{40}S_{60}$  films.  
K is the absorption coefficient ( $cm^{-1}$ ),  $h\nu$  is photon energy



1 fresh evaporated film,

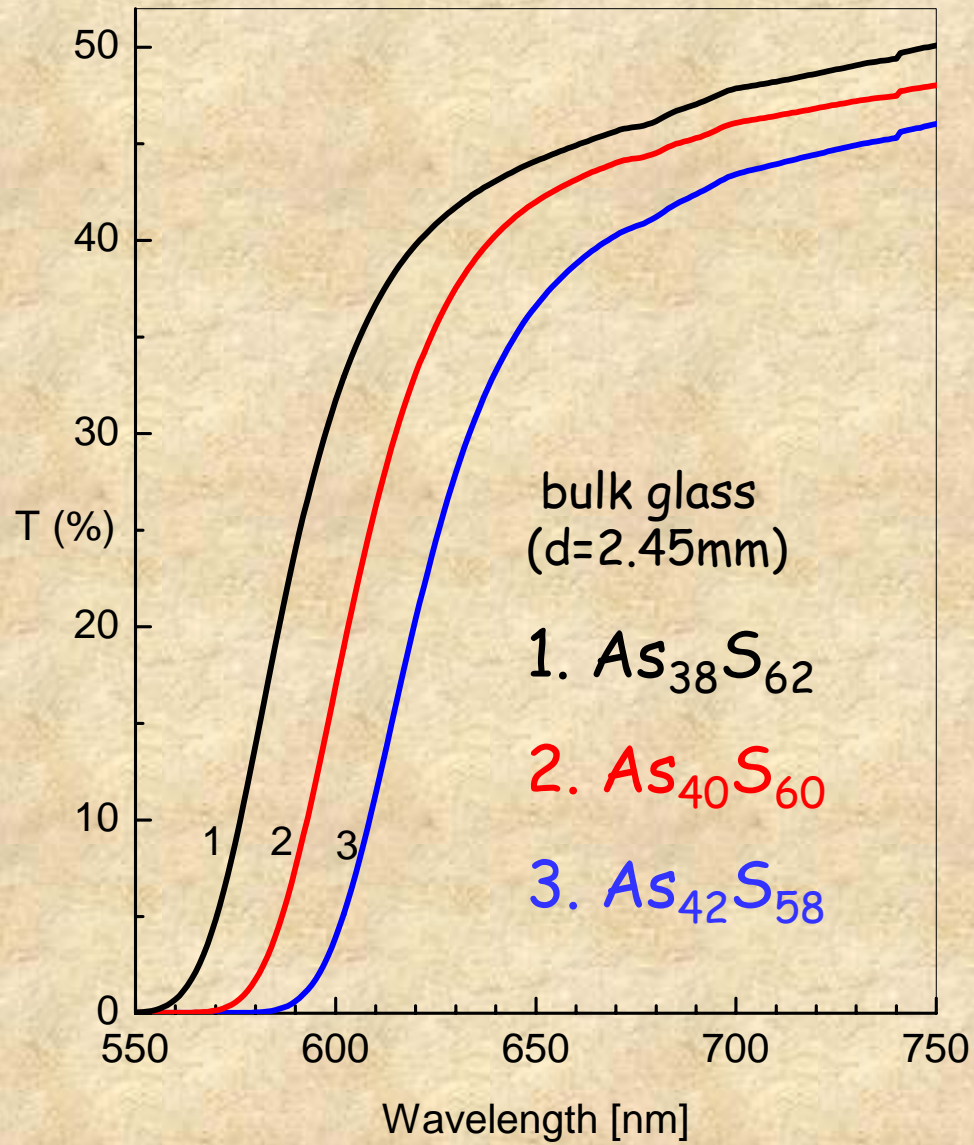
2 fresh  $\rightarrow$  annealed

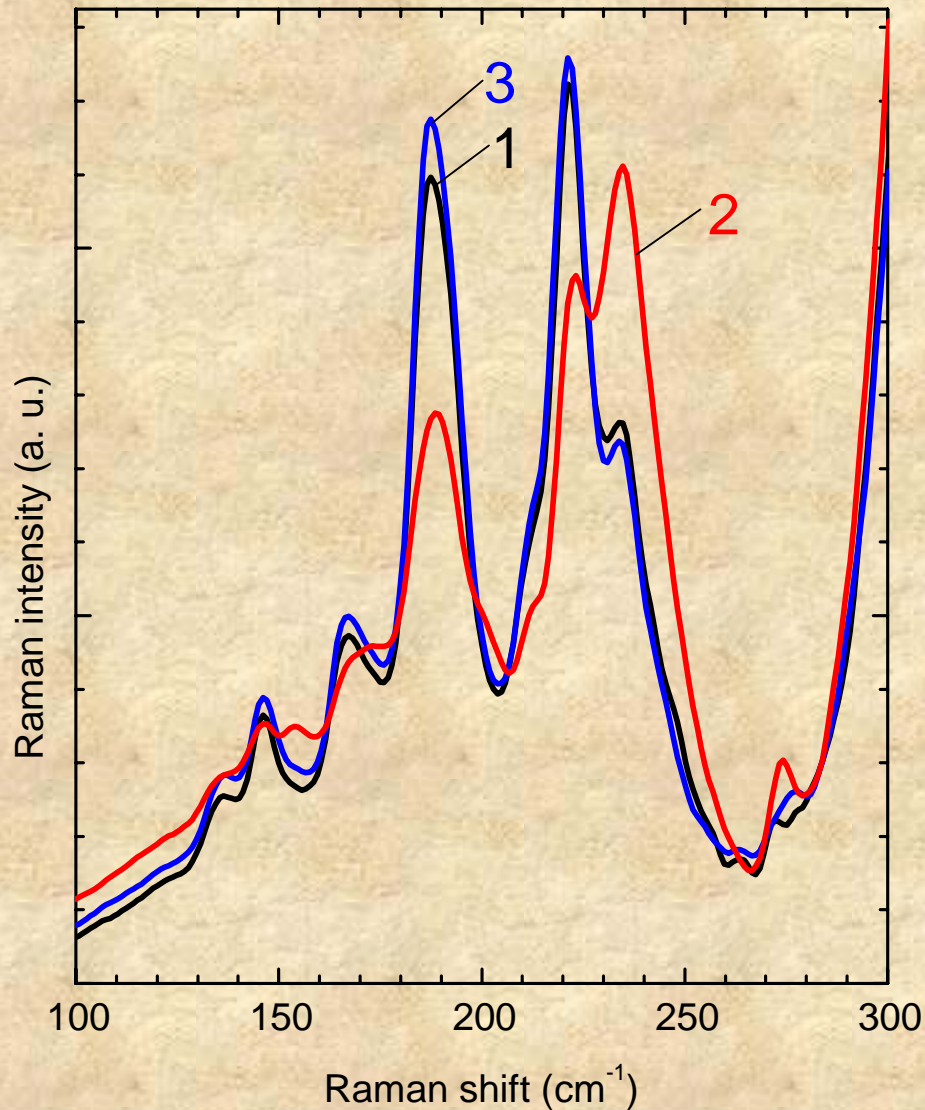
3 fresh  $\rightarrow$  annealed  $\rightarrow$  exposed

4 fresh  $\rightarrow$  annealed  $\rightarrow$  exposed  
 $\rightarrow$  annealed

5 fresh  $\rightarrow$  exposed







Reduced Raman spectra of thin films ( $d = 1000$  nm) of  $95\text{As}_2\text{S}_3.5\text{GaS}$ .

1: annealed film, 2: film exposed after annealing, 3: film was annealed after exposure.

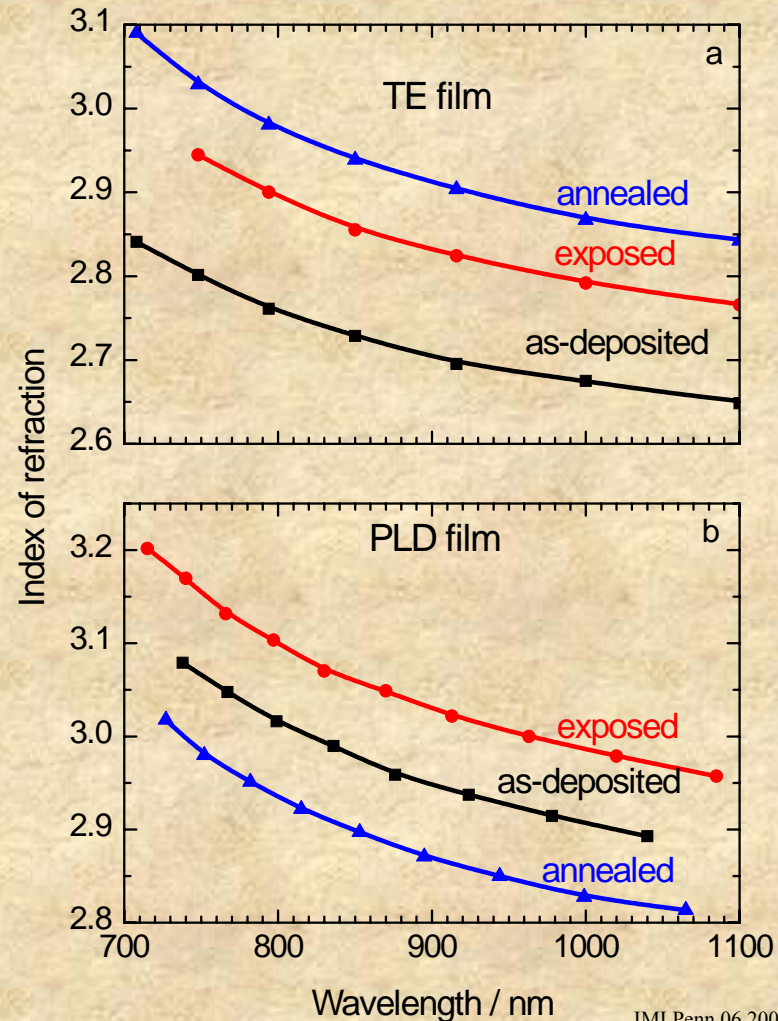
The change of amplitudes corresponds to reversible structural changes,

the band ( $235\text{ cm}^{-1}$ ) with increased amplitude corresponds to **As-As vibrations**

In **PLD films of  $As_2Se_3$** , the exposure increases the index of refraction, and following annealing  $\Rightarrow$  the index of refraction decreases  $\leftrightarrow$  **densification and thermally-induced expansion** of the amorphous layers.

**Index of refraction,  $n$ ,**  
 is different for bulk  
 glasses, PLD and TE  
 films,  $\Delta n$  can be larger  
 for PLD films

Singapore 11 04., 19



## Nonlinear properties → signal processing

The refractive index,  $n = n_0 + n_2 \langle E^2 \rangle$ ,  $n_0$  and  $n_2$  do not depend on intensity of light,  $n_0 \gg n_2$ .

The  $\langle E^2 \rangle$  is the mean square of electric field. The fast part of nonlinear index of refraction,  $n_2$ , is connected with nonlinear electron polarizability,  $P_{NL}$

$$P = \chi^{(1)}E + P_{NL}, \quad (8)$$

$$P_{NL} = \chi^{(2)}E^2 + \chi^{(3)}E^3. \quad (9)$$

The  $P$  is polarizability,  $\chi^{(1)}$  is the linear optical susceptibility,  $\chi^{(2)}$  and  $\chi^{(3)}$  are second- and third-order non-linear optical susceptibilities, respectively.

The linear optical susceptibility of isotropic medium is given by relation

$$\chi^{(1)} = (n^2 - 1)/4\pi . \quad (10)$$

The dependence of  $(n^2 - 1)^{-1}$  vs.  $(\hbar\omega)^2$  is often linear and this dependence can be described by single oscillator formula of Wemple and DiDomenico

$$n^2(\omega) - 1 = E_0 E_d / (E_0^2 - (\hbar\omega)^2), \quad (11)$$

where  $E_0$  is single oscillator energy,  $E_d$  is so called dispersion energy and  $\hbar\omega$  is energy of light.

for long wavelengths,  $\chi^{(1)} = \epsilon_d/4\pi\epsilon_0$ .

For optically isotropic glasses, the  $\chi^{(2)}$  is zero.

The  $\chi^{(3)}$ , as a dominant nonlinearity in all glassy materials, produced by IMI Penn 06 2005, 22 excitation in the transparent frequency region well below the band gap,  $E_g^{\text{opt}}$ .

**Only  $\chi^{(3)}$  contributes to the nonlinear behavior.**

**For the region far from resonance (Miller's rule)**

$$\chi^{(3)} \approx A(\chi^{(1)})^4 \times 10^{-10} \text{ esu.} \quad (12)$$

**For single oscillator (Wemple and DiDomenico)**

$$\chi^{(1)} = \frac{E_d E_0}{4\pi(E_0^2 - (\hbar\omega)^2)} \quad (13)$$

$$\chi^{(3)} = [E_d E_0 / 4\pi(E_0^2 - \hbar\omega)^2]^4 \times 10^{-10}, \text{ (esu).} \quad (14)$$

$E_0$  is single oscillator energy,  $E_d$  is dispersion energy.

**For  $\hbar\omega \rightarrow 0$ ,**

$$\chi^{(3)} = \frac{A}{(4\pi)^4} \left( \frac{E_d}{E_0} \right)^4 = \frac{A}{(4\pi)^4} (n_0^2 - 1)^4 = 4.02 \times 10^{-15} (E_d/E_0)^4 \text{ (esu)} \quad (15)$$

$A \cong 1.7 \times 10^{-10}$  (for  $\chi^{(3)}$  in esu) and  $n_0$  is the linear index of refraction.

The values of  $n_0$  are high in chalcogenides and are significantly higher in PLD films in comparison with TE films.

The  $\chi^{(3)}$  → also higher. Using the formula (15) :

As<sub>2</sub>S<sub>3</sub> glass:  $\chi^{(3)} = (1.48 - 2.2) \times 10^{-12}$  esu

GeS<sub>2</sub> glass,  $\chi^{(3)} = 1 \times 10^{-12}$  esu,

SiO<sub>2</sub> glass,  $\chi^{(3)} = 2.8 \times 10^{-14}$  (esu) for  $\lambda = 1900$  nm.

larger  $\chi^{(3)}$  ⇒ lower necessary power and shorter the interaction lengths ⇒

Chalcogenides - promising candidates for optical switching and other applications



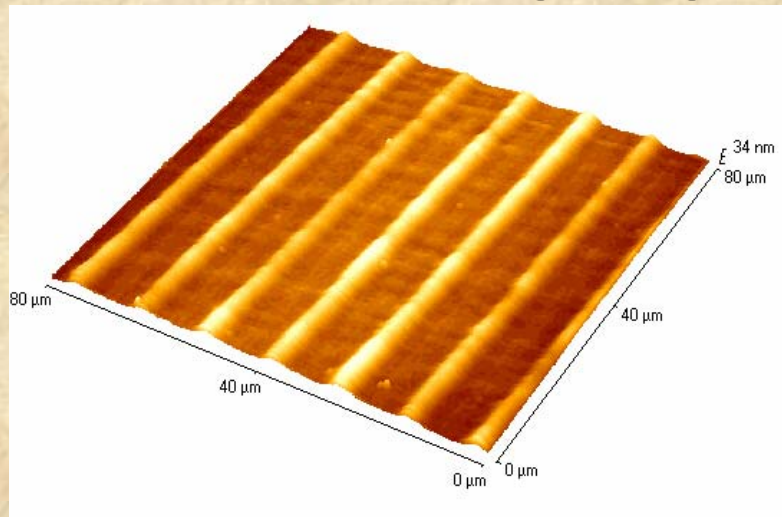
Silver containing chalcogenide glasses, photodoping.

Exposure controlled dissolution of Me and diffusion of  $Me^+$

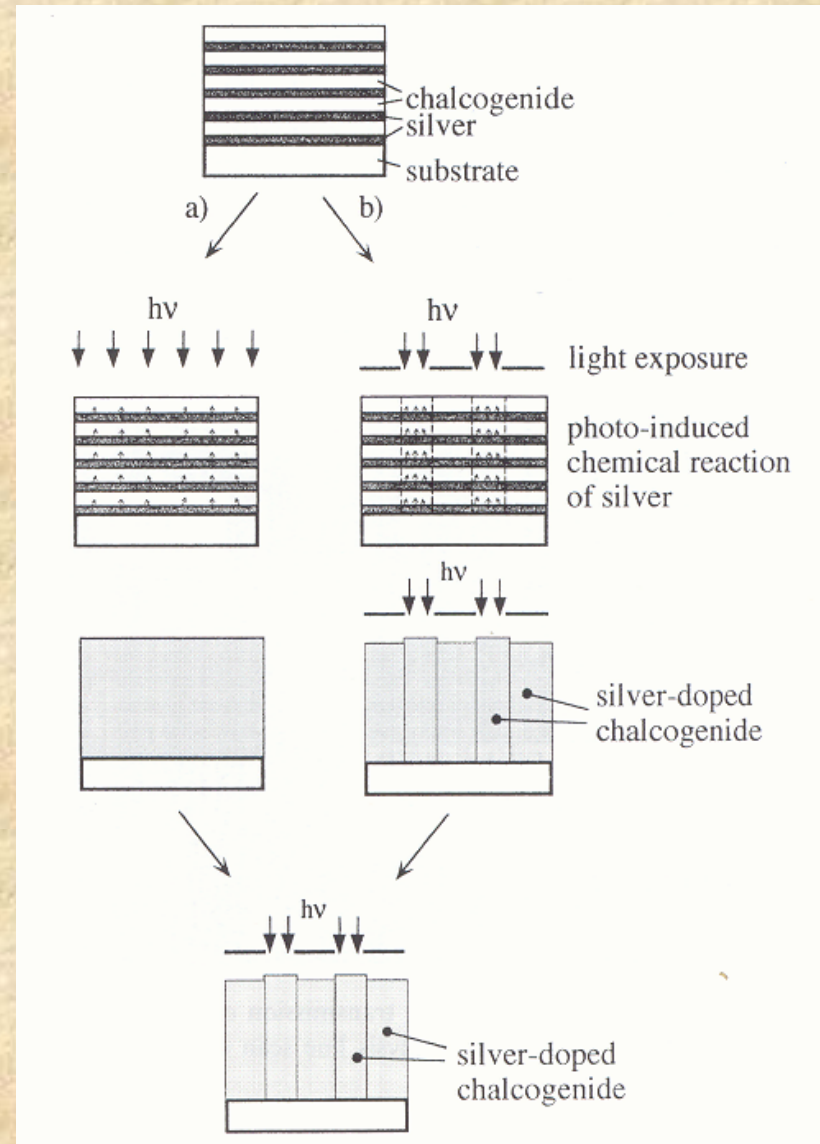
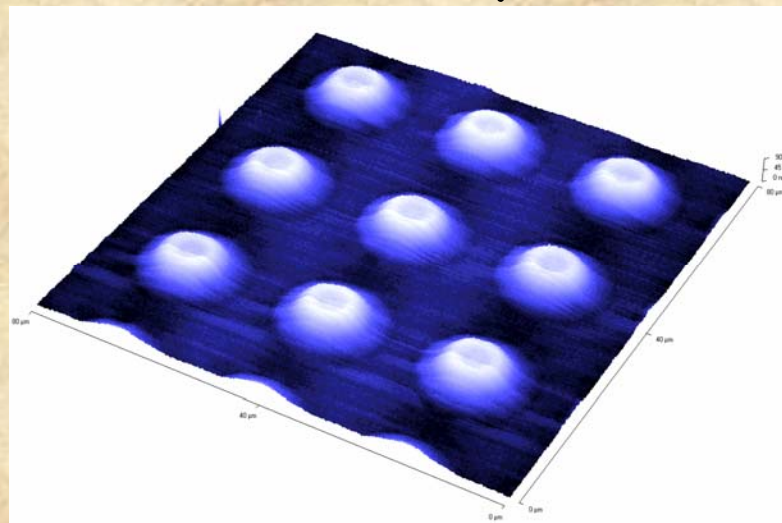
**Model,  
Applications:**

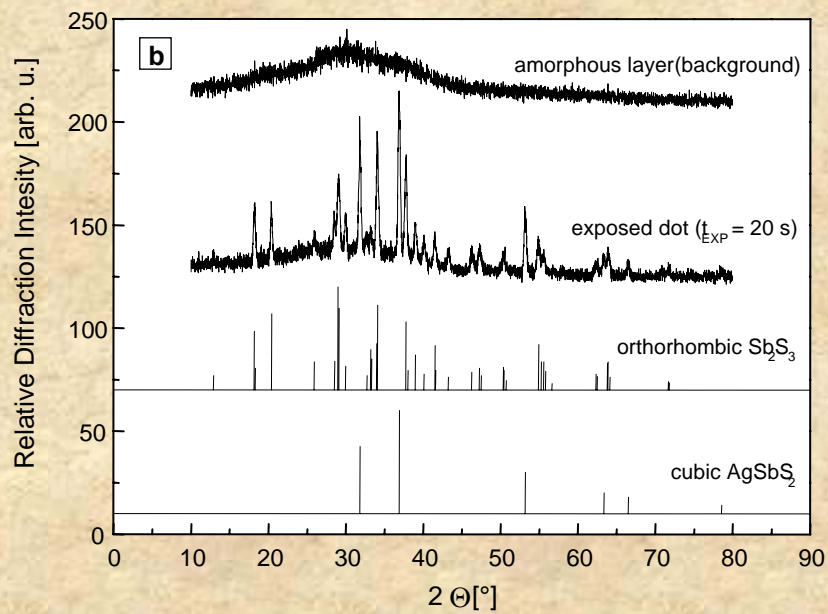
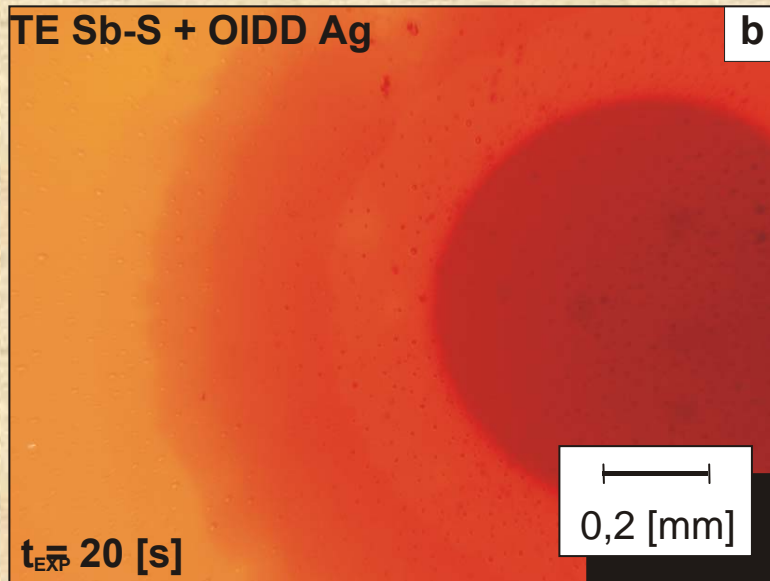
- Lithography
- Diffractive optical elements, (diffractive gratings,...)
- IR components, waveguides, microlens arrays, ...)
- Optical storage
- Nonlinear optics

# Phase diffraction gratings



# Microlenses arrays





Eutectic tellurides, recently:

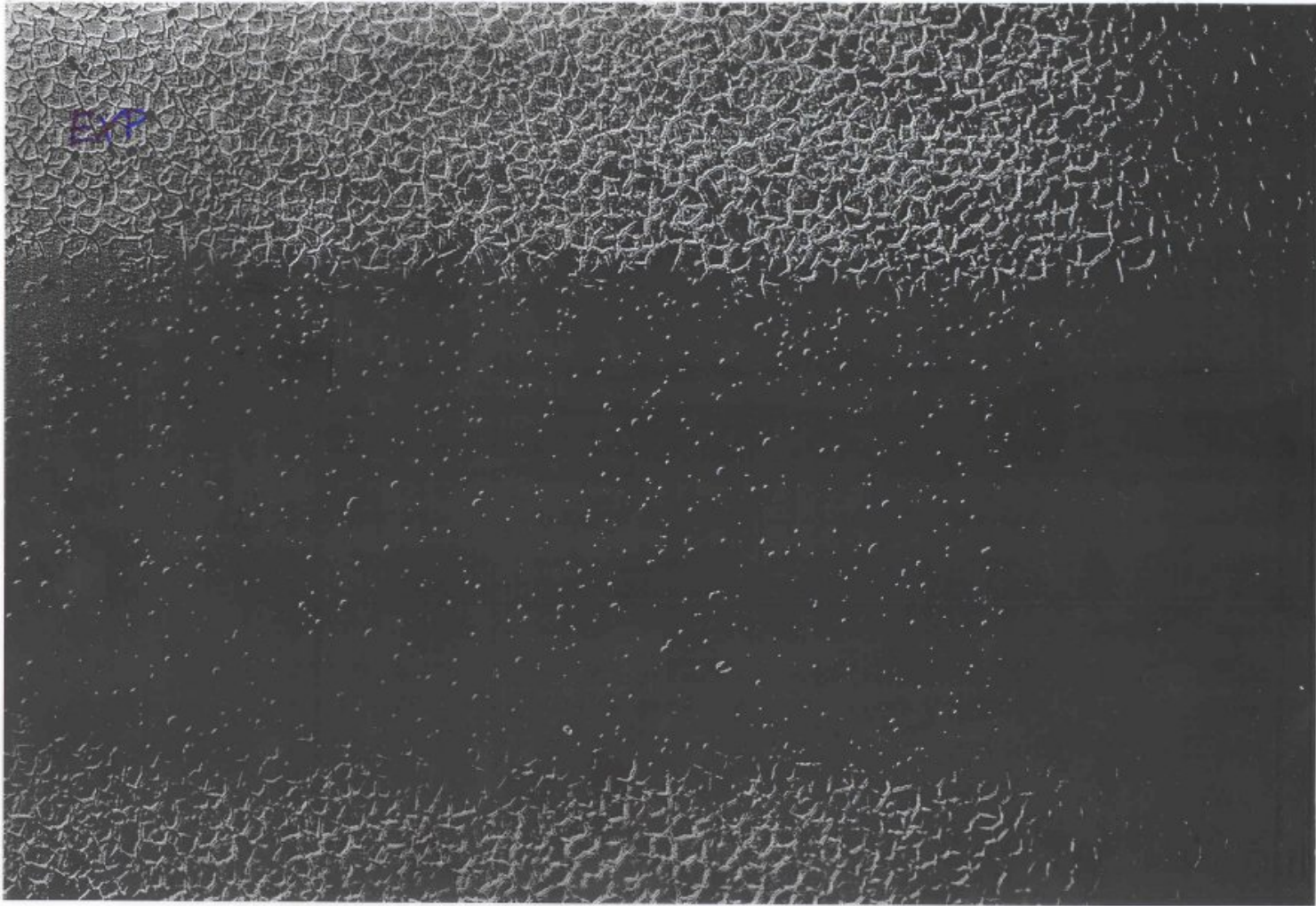
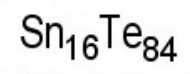
$\text{Sn}_{16}\text{Te}_{84}$ ,  $\text{In}_{13}\text{Te}_{87}$ ,  $\text{Sb}_{11}\text{Te}_{89}$ .

Melting  $t \cong 400\text{-}420^\circ\text{C}$

Bulk samples- crystalline

ablated films - amorphous, good optical transmittance up to  $18\ \mu\text{m}$ , until now- many droplets

Excimer laser pulses - crystallization,  
prevailing Te crystals



## RE<sup>3+</sup> doped glasses

**Intensive luminescence in NIR and MID-IR part of spectrum**

**Low phonon energy:** the number of phonons to bridge the energy gap between the electron levels of RE ion,  $\Delta E$ , is large  $\rightarrow$

**multiphonon relaxation rate is low !**

The non-radiative decay rate,  $\omega_p$ , due to multiphonon relaxation, Miyakawa-Dexter:

$$\omega_p = \omega_0 \exp(\alpha \Delta E / \hbar \omega), \quad (16)$$

$\alpha = \ln(p/g) - 1$ ,  $p = \Delta E / \hbar \omega$ ,  $g$  is the electron-phonon coupling strength, and  $\omega_0$  is a host dependent constant.

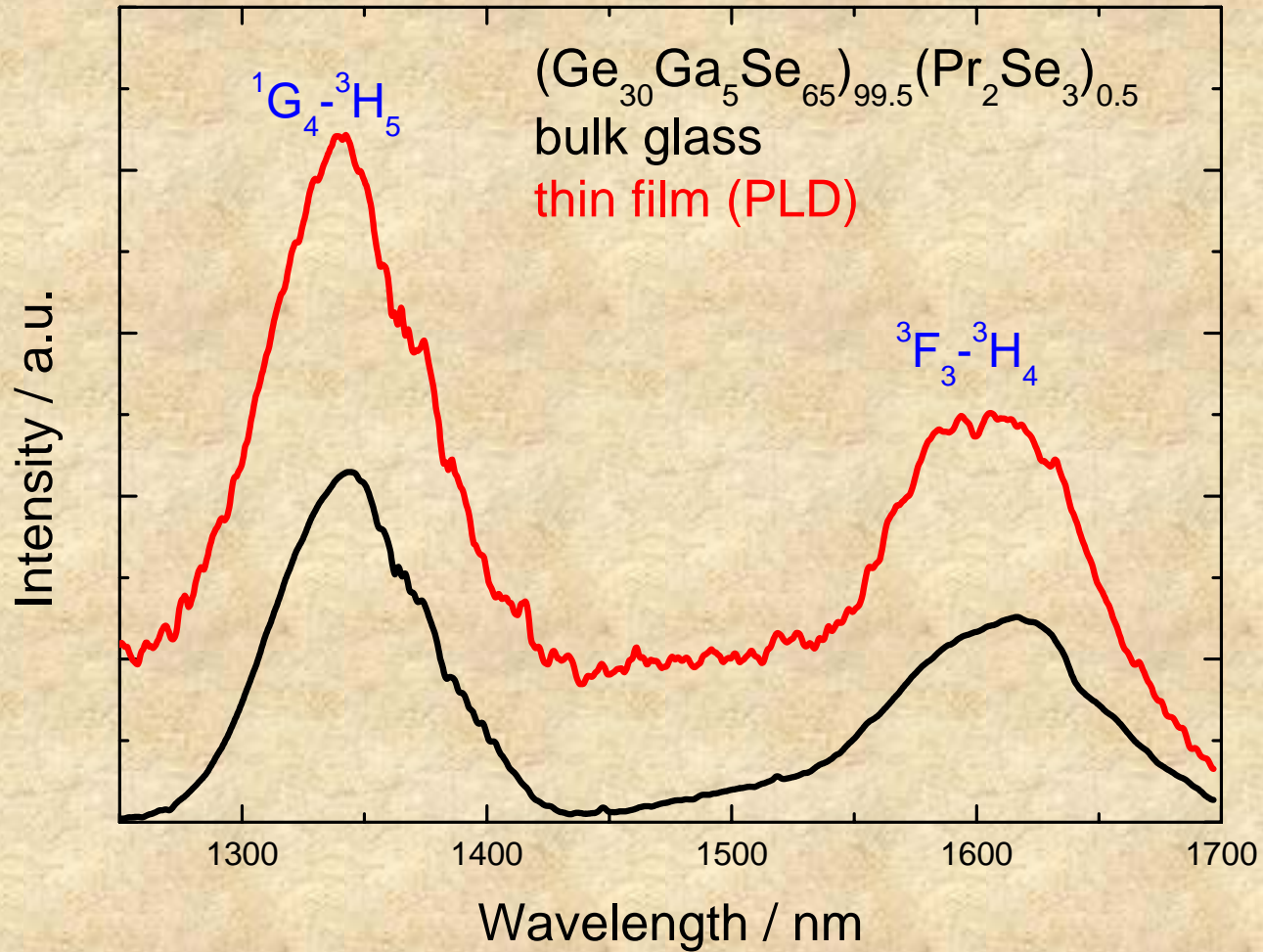
**For a high luminescence efficiency also:**

**high index of refraction,**

**higher values of spontaneous emission probabilities,**

**large emission cross-sections of radiative electron transitions between energy levels of RE<sup>3+</sup> ions.**

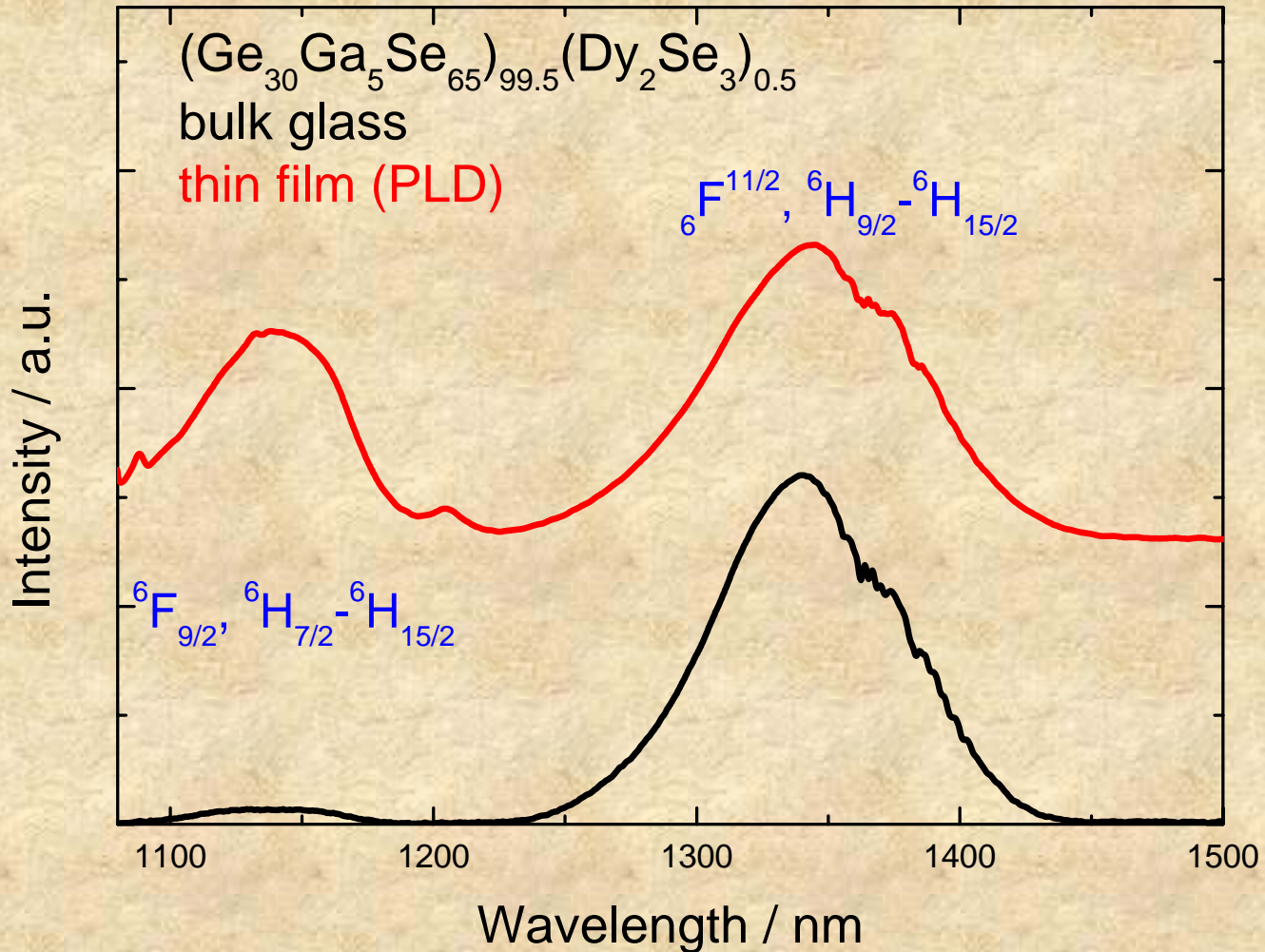
luminescence spectra  
excitation wavelength 1064 nm





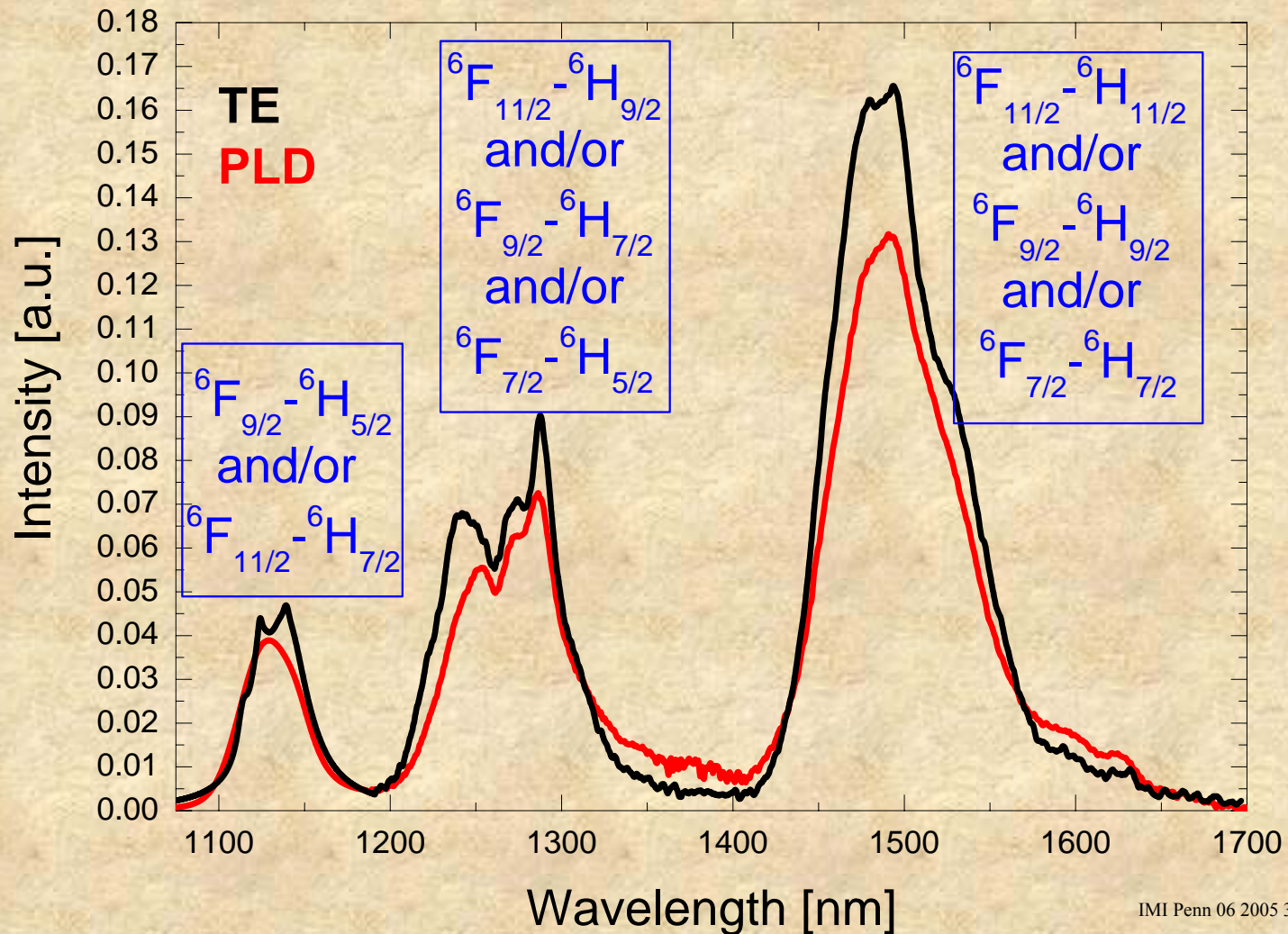
# luminescence spectra

excitation wavelength 1064 nm



## 2) $(\text{As}_2\text{S}_3)_{0.95}(\text{In}_2\text{S}_3)_{0.05}$ doped by Sm

The luminescence, near IR region (bands near 1130, 1240, 1290, and 1490 nm), typical for  $\text{Sm}^{3+}$  ions;



Judd-Ofelt intensity parameters were evaluated  
the measured oscillator strengths

$$f_{cal}((S, L)J, (S', L')J') = \frac{8\pi^2 m \nu}{3h(2J+1)} \left[ \frac{(n^2 + 2)^2}{9n} \sum_{t=2,4,6} \Omega_t \left| \langle (S, L)J \| U^{(t)} \| (S', L')J' \rangle \right|^2 \right] \quad (17)$$

$f_{cal}$  are calculated oscillator strengths,  $h$  is Planck's constant,  $m$  is electron mass,  $\nu$  is mean wavenumber of the absorption band,  $J$  is the ground-state total angular momentum of  $Sm^{3+}$  ( $J=5/2$ ),  $n$  is the refractive index of the material,  $\Omega_t$  are the Judd-Ofelt phenomenological intensity parameters and the  $\langle (S, L)J \| U^{(t)} \| (S', L')J' \rangle$

are the reduced matrix elements of the tensor operator,  $U^{(t)}$  of rank  $t$ .

Values of the Judd-Ofelt intensity parameters, e. g. for  $Sm^{3+}$  ions in Ge-Ga-Se glasses:

$$\underline{\underline{\Omega_2 = 7.423 \times 10^{-20} \text{ cm}^2, \Omega_4 = 14.428 \times 10^{-20} \text{ cm}^2, \Omega_6 = 6.428 \times 10^{-20} \text{ cm}^2.}}$$

From the Judd-Ofelt intensity parameters,  $\Omega_t$ :

The spontaneous transition probability A of an electric-dipole transition:

$$A[(S,L)J;(S',L')J'] = \frac{64\pi^4 e^2 n}{3h(2J+1)\bar{\lambda}^3} \left[ \frac{(n^2+2)^2}{9} \right] \times \sum_{t=2,4,6} \Omega_t \left| \langle (S,L)J \| U^{(t)} \| (S',L')J' \rangle \right|^2 \quad (18)$$

$e$  is the electron charge and  $\bar{\lambda}$  is the average wavelength of the transition.

$\bar{\lambda}$

*The value of A is high in studied glasses.*

## Conclusion:

Chalcogenide glasses and films possess many interesting properties applicable in optics, optoelectronics, data storage, in chemistry, medicine and biology.

## Acknowledgements

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**Thank you for invitation and your  
kind attention**