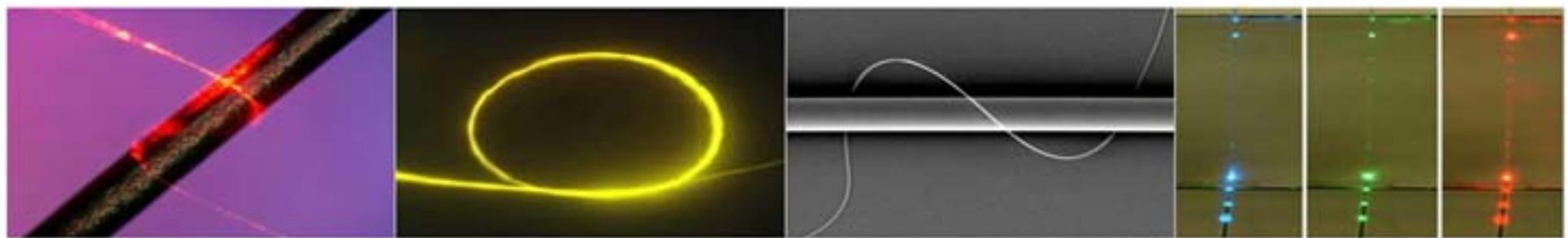


# Microfiber and Nanofiber Photonics



Limin Tong

State Key Laboratory of Modern Optical Instrumentation  
Department of Optical Engineering  
Zhejiang University  
Hangzhou, China

2013-01-10



# Outline

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- Introduction
- 1. Fabrication
- 2. Optical Properties
- 3. Photonic Applications
- Summary

# Outline

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- Introduction

- 1. Fabrication

- 2. Optical Properties

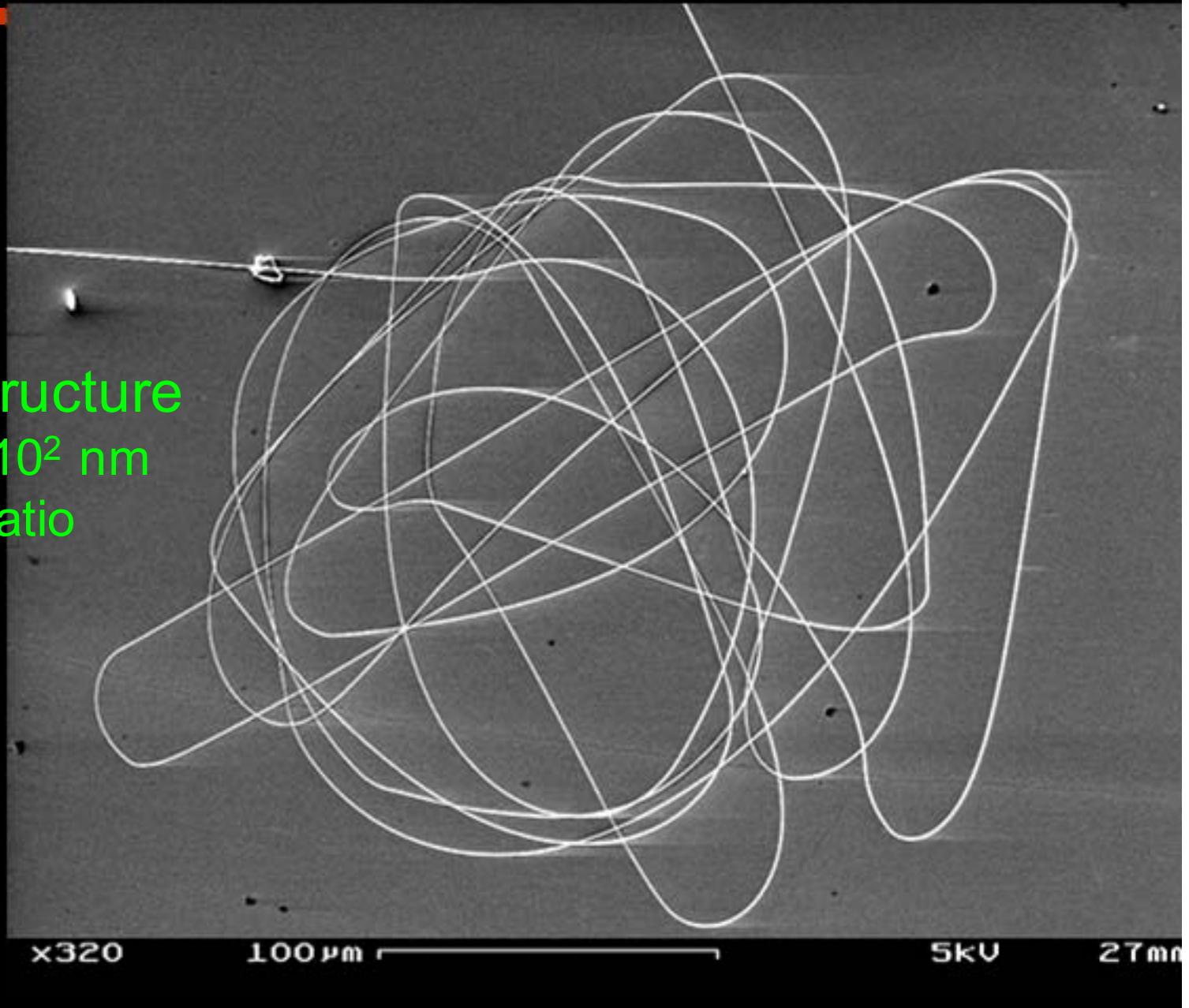
- 3. Photonic Applications

- Summary

# 1. Introduction

## Nanofiber

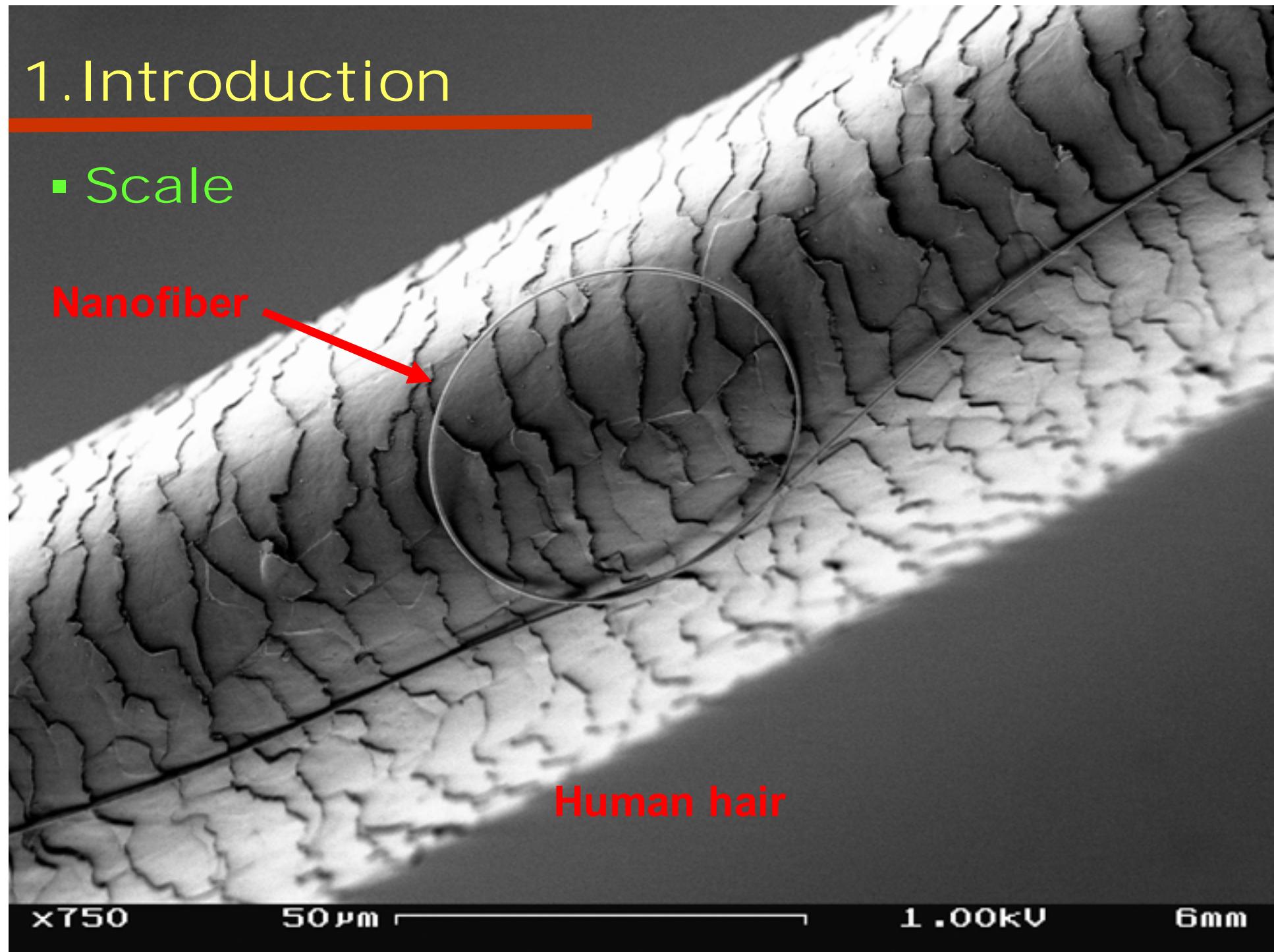
1-D glass structure  
diameter  $10^0\text{-}10^2$  nm  
large aspect ratio



# 1. Introduction

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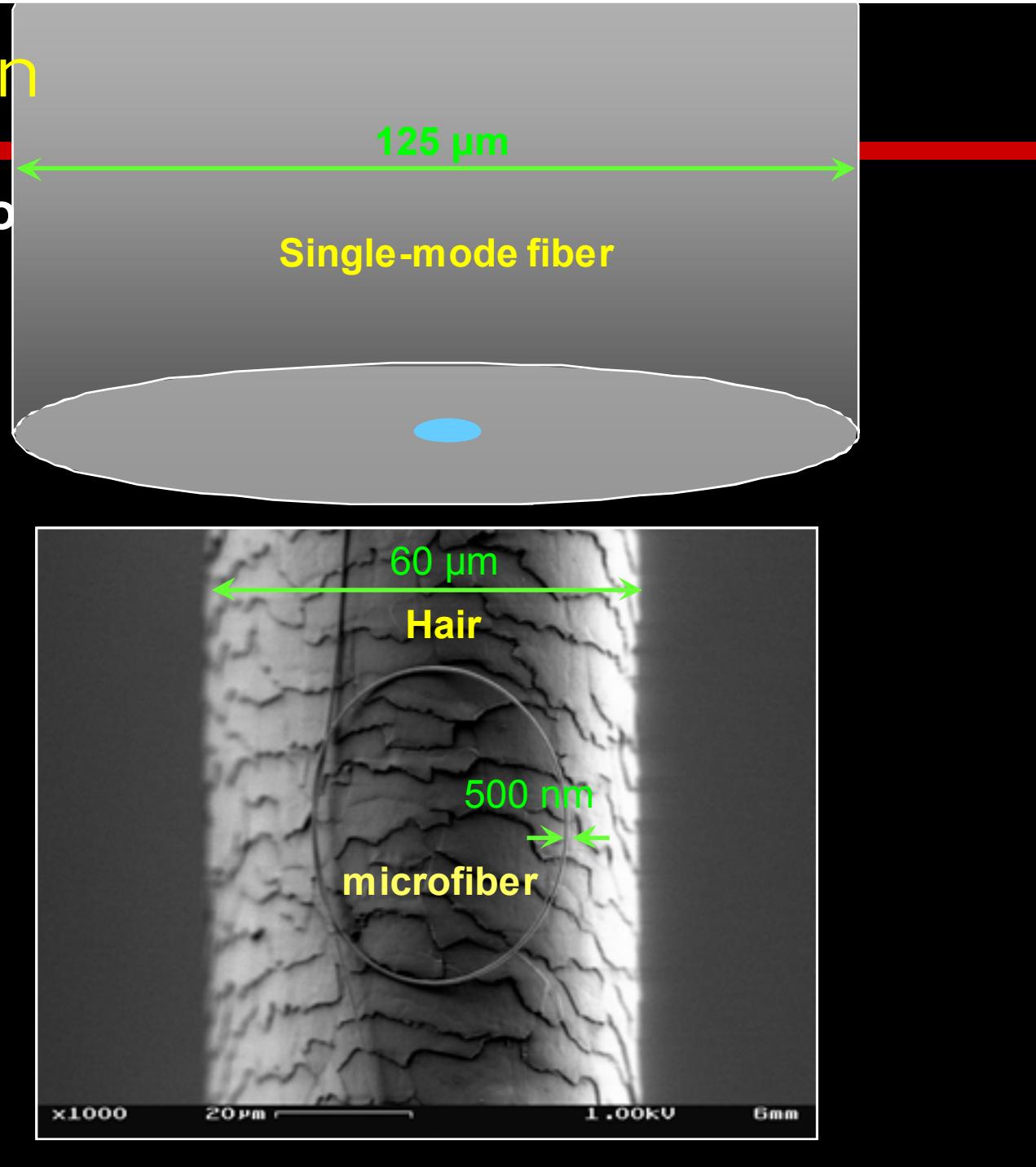
- Scale



## ▫ Introduction

### ● What does an o

Scale of a  
microfiber

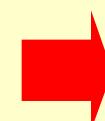


# 1. Introduction

## ■ Why it is attractive to Photonics ?

### Favorable properties of nanofibres/nanowires for photonics

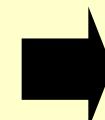
- **Sub-wavelength dimension**
- **Tight optical confinement**
- **Large surface-to-volume ratio**
- **Engineerable surface states**
- **Strong evanescent fields**
- **Free-standing and low-mass**
- **High mechanical strength**
- **Optically visible**



Miniaturization of photonic devices, enhancement of optical nonlinear effects



Photonic engineering for light absorption, conversion and emission



Strong and high-efficiency near-field interaction



Response to photon momentum for opto-mechanics



Easy micro/nanomanipulation

# 1. Introduction

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- Why it is attractive to Photonics ?

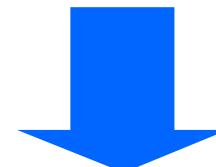
Micro/Nanofiber

Fascinating 1-D structure for manipulating



**Electrons, photons, phonons, atoms  
on the subwavelength or nano-scale**

Intrigue a variety of opportunities for



**Fundamental study and technological applications**

# 1. Introduction

J. Giles, Nature 441, 265 (2006)

stimulates growth and actually boosts overall wealth. At least, that's the conclusion of two of the models — one developed at the University of Cambridge, UK, and the other at the Fondazione Eni Enrico Mattei, a centre for sustainable-development research in Italy. These models suggest that stabilization policies would give an added boost to global GDP of up to 1.7% over 100 years. They assume such climate policies will bring about side benefits, such as increased investment in new technologies.

Ottmar Edenhofer, an economist at the Potsdam Institute for Climate Impact Research in Germany who edited the issue along with Grubb and others, says the new estimates of lost global GDP are significantly lower than previous ones, which put the range at 3–15%. They suggest the price will be a lot lower, agrees Terry Barker, an economist who helped develop the Cambridge model, especially as costs will be spread over 100 years.

The models are likely to influence the next report from the Intergovernmental Panel on Climate Change, due for publication next year. The authors hope the results will then filter through to governments. They say the cheapest stabilization route can only be achieved if industries are given a strong signal that carbon emissions will continue to be restricted — and that means the United States must join a future version of the Kyoto Protocol. Europe also needs to do more, say the authors, particularly in terms of investment in energy technologies, where it lags behind the United States.

But some economists are wary of the results. Jae Edmonds of the Pacific Northwest National Laboratory in Richland, Washington, describes the models as a valuable "intellectual experiment". But he questions the fact that most of the models emphasize learning-by-doing — a process by which technologies become cheaper as



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## TOP FIVE IN PHYSICS

Are you working on the hottest topic in your field? Many scientists may think so, but it has been a tough assertion to prove — until now, that is. A German physicist has devised a way of answering the 'Hot or not?' question for his discipline. If it stands up to scrutiny, it could be used to rate topics across the sciences. In physics, the results show that hotness — measured by a parameter known as  $m$  — correlates well with the promise of future wealth... and that promise is greatest in nanotechnology.

### 12.85 Carbon nanotubes



Super-strong materials and blisteringly fast electronic circuits: the potential applications of these tiny carbon tubes, discovered in 1991, are so enticing that everyone is pouring money into the field.

### 8.75 Nanowires



Less well studied than nanotubes, but the possible uses are similar. Nanowires could eventually prove more useful than nanotubes, because their chemistry is easier to tailor and they can be used to create nano-sized lasers.

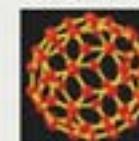
### 7.84 Quantum dots



Another nanotechnology with a huge range of potential applications. These tiny specks of semiconductor material, measuring as little as a few nanometres across, have already been used to create dyes for cell biologists and new kinds of

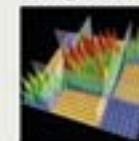
laser. Physicists hope they might one day form the basis of a quantum computer.

### 7.78 Fullerenes



These spheres of carbon atoms are attracting significant research interest. But the latest ranking rewards newness, so the topic may have slipped down the list because it predates nanotubes by around six years. The discovery of fullerenes earned a Nobel prize and spawned studies of numerous potential uses, such as drug delivery agents.

### 6.82 Giant magnetoresistance



Not a new topic, but still hot because of its economic importance. Modern hard disk drives were made possible by the discovery of giant magnetoresistive materials, which show marked falls in electrical resistance — more than around 5% — when a magnetic field is applied. Researchers are now aiming to make hard disks even more powerful.

FROM STUART S HENSEL/H JAEGER & W LORENZ/NOT/NO (CREDIT: OLEK/IN BUTLER)

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J. Giles, Nature 441, 265 (2006)

Nanofiber

Nanowire

NATURE, Vol 441/18 May 2006

NEWS

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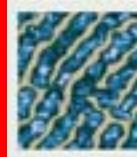
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NATURE, Vol 441/18 May 2006

NEWS



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FROM STAFF; S. HENSEL; H. JAEGER & W. LORENZ; NOT; NO CREDIT; DAVID R. BUTLER

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J. Giles, Nature 441, 265 (2006)

Nanofiber

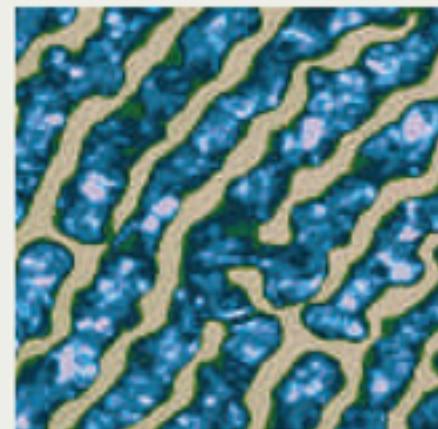
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Ottmar Edenhofer, an economist at the Potsdam Institute for Climate Impact Research, says the results are encouraging.

along with estimates of the range of uncertainty, the range of uncertainty will be much more modest. Cambridge's model suggests that the range of uncertainty will be spread out across the sciences. In physics, the promise of future wealth... and that promise is greatest in nanotechnology.

Nanowire

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Nanowire

# Typical nanowires studied in my group



## Glass micro/nanofiber

e.g., Silica, phosphate micro/nanofiber

## Polymer nanofiber

e.g., PMMA nanofiber, PS nanofiber

## Semiconductor nanowire

e.g., ZnO nanowire, CdS nanowire

## Metal nanowire

e.g., Silver nanowire, gold nanowire

# Typical nanowires studied in my group

Nanofiber

Glass nanofibers  
e.g., Silica, phosphate



Optics

Near-field optics  
Guide wave optics  
Optoelectronics  
Nonlinear optics  
Plasmonics  
Quantum optics  
Optomechanics

Plenty of opportunities for nanophotonics

## Waveguide & Near-Field Optics

### Optical sensors

Sensitive dopants [31, 76]  
Evanescence field absorption/loss [25, 28, 31, 32]

Sensitive coating [26, 29, 158]  
Ring resonator [47-53, 153-157]

### Light emitting devices

Quantum-dot / atom photon source [167-169]

Graphene/nanotube mode-locked laser [161, 164-166]

Semiconductor nanowire laser [162-163]

Ring/knot Laser [54-56, 74, 158-161]

Scattering particle [151, 152]

Atom emission/scattering/absorption [59, 60, 172]

Atom trap & Waveguide [23, 57, 58, 170, 171]

More low-threshold nonlinear effects [21, 180-185]

### Microfiber gratings

Evanescence coupled gratings [150]

Bragg gratings [142-149]

Long-period gratings [140, 141]

Multicell cavity [131-136]

Ring/loop/knot cavity [36-43, 130]

Loop mirror cavity [127]

Fabry-Perot Cavity [137-139]

Sagnac mirror [127-129]

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Optical coupler [16, 69]

Endface effects [113, 114]

Evanescence coupling [16, 33, 109]

Propagation loss [12, 17, 64, 99-103]

Evanescence fields & Optical confinement [13, 95, 96]

### More passive components

## Quantum & Atom Optics

## Nonlinear Optics

## Plasmonics

## Optomechanics

Supercotinuum [11, 18, 20, 106, 107, 111, 177-179]

Plasmonic nanowire excitation [189-193]

Nanorod/nanoparticle plasmonics [77, 194, 195]

Photon-Plasmon devices [190, 196]

Particle manipulation [197-199]

Lorentz force [115-118]

Optical nonlinearity [18-21, 110-112]

Pulse propagation [104-108]

Waveguide dispersion [13, 19, 63, 97, 98]

Adiabatic transition [93, 94]

# Outline

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- Introduction

- 1. Fabrication

- 2. Optical Properties

- 3. Photonic Applications

- Summary

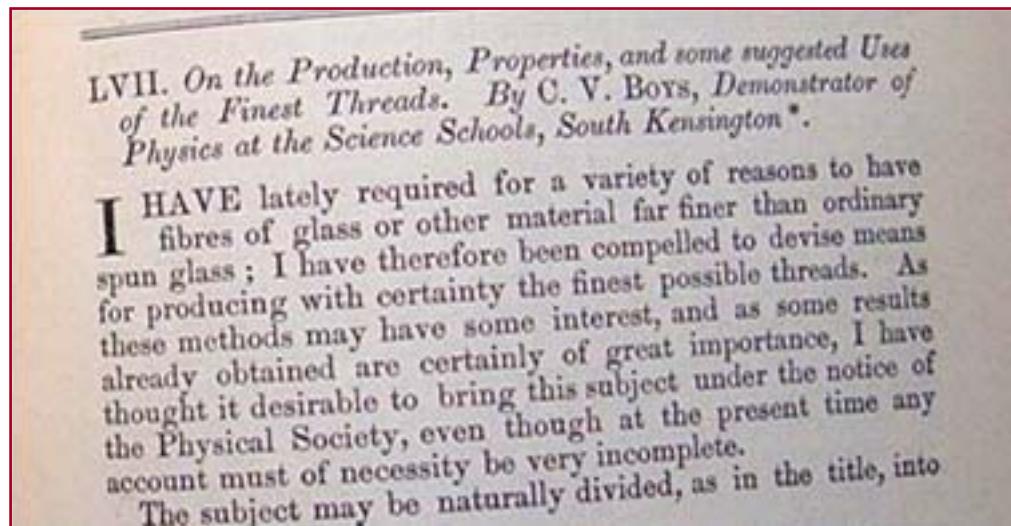
# 1. Fabrication of Microfibers

## 1.1 How to fabricate a microfiber?

First work was reported in 19th century

C. V. Boys, *Phil. Mag.* 23, 489 (1887).

**“On the production, properties, and some suggested uses of the finest threads”**



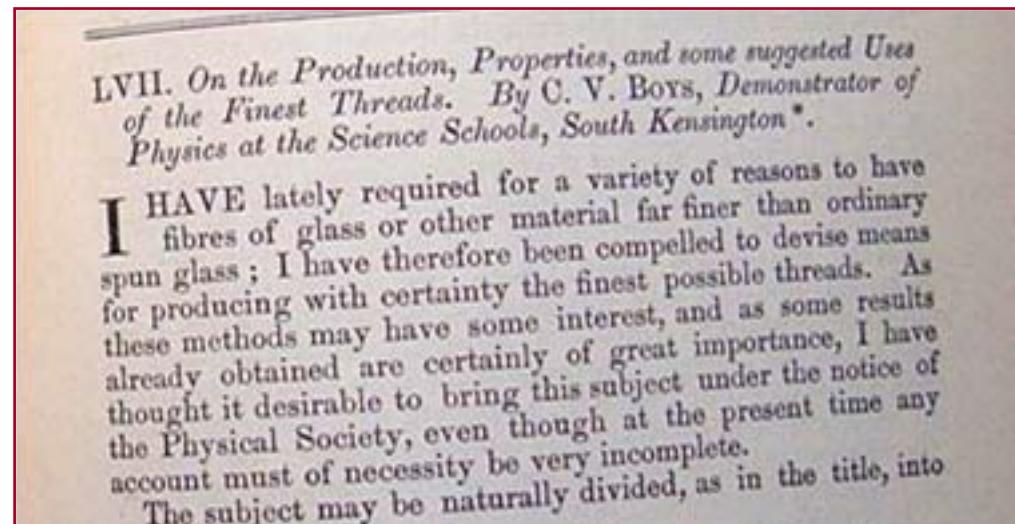
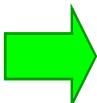
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**Flame-heated drawing of molten glass → Finest threads**

→  $D \sim \mu\text{m}$  (They did not really know, no electron microscope at that time)

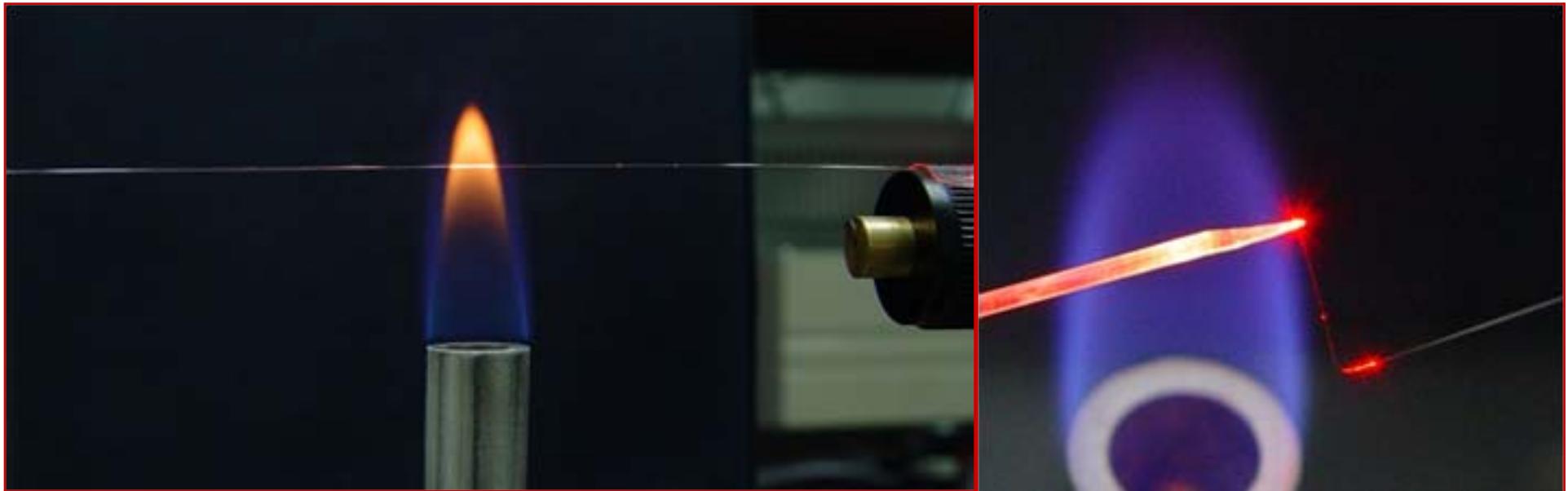
## Applications

19th century: “Finest threads” → Elasticity → Spring for galvanometer

# 1. Fabrication of Microfibers

## 1.1 How to fabricate a microfiber?

Taper drawing fibers heated by flame, electric heater or laser



Taper drawing glass fibers to diameter < 1  $\mu\text{m}$

F. P. Payne et al., *SPIE* 1504, 165 (1991)  
J. Bures et al., *J. Opt. Soc. Am. A* **16**, 1992 (1999)  
L. Tong et al., *Nature* **426**, 816 (2003) 19  
...

# 1. Fabrication of Microfibers

## 1.1 How to fabricate a microfiber?

Top-down approach

Physical drawing microfibers from

- glass fibers
- bulk glasses

x100

200 $\mu$ m

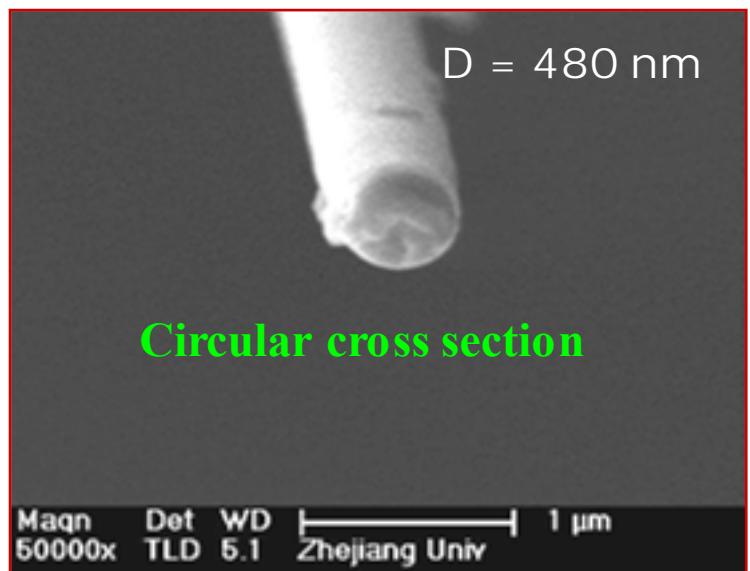
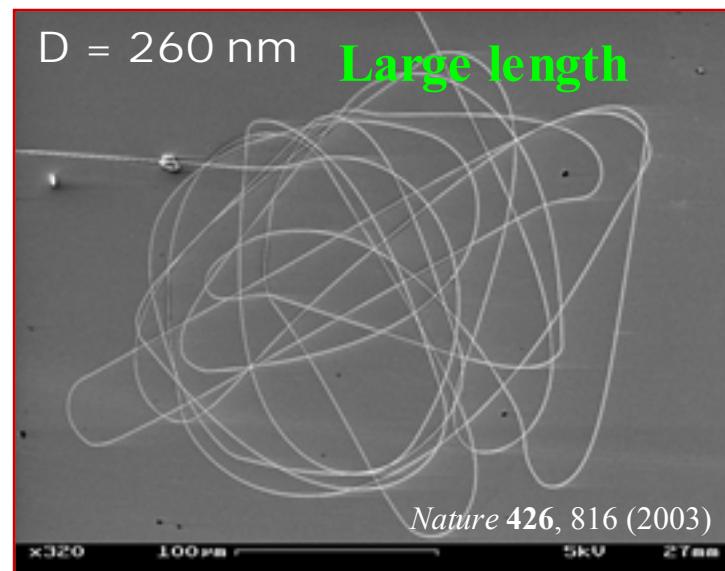
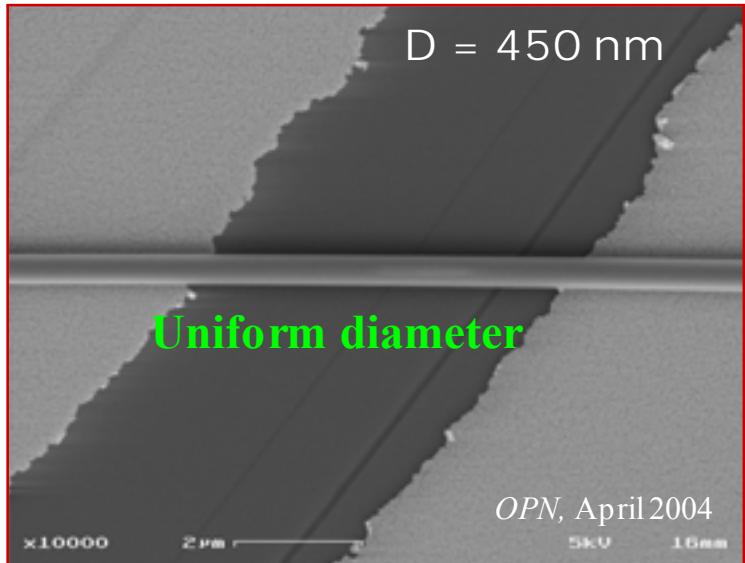
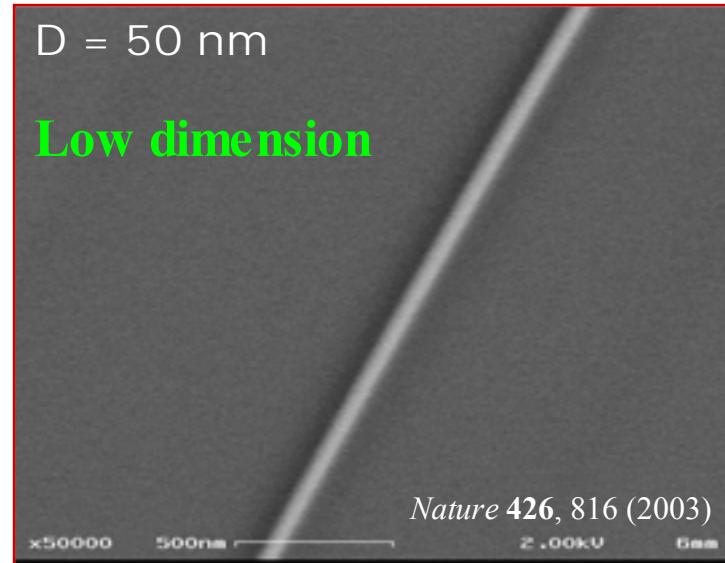
1.00kV

6mm

# 1. Fabrication of Microfibers

SEM  
images

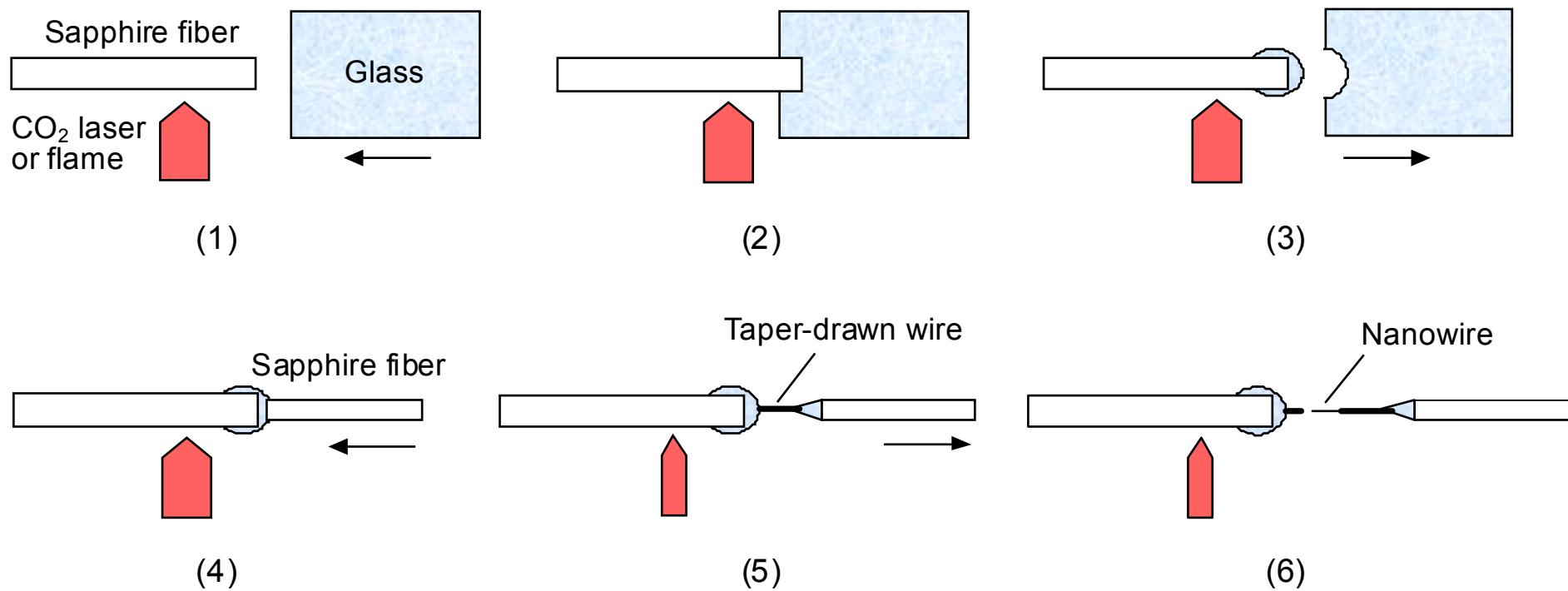
Silica fibers



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Taper drawing of bulk glasses heated by flame or laser



# 1. Fabrication of Microfibers

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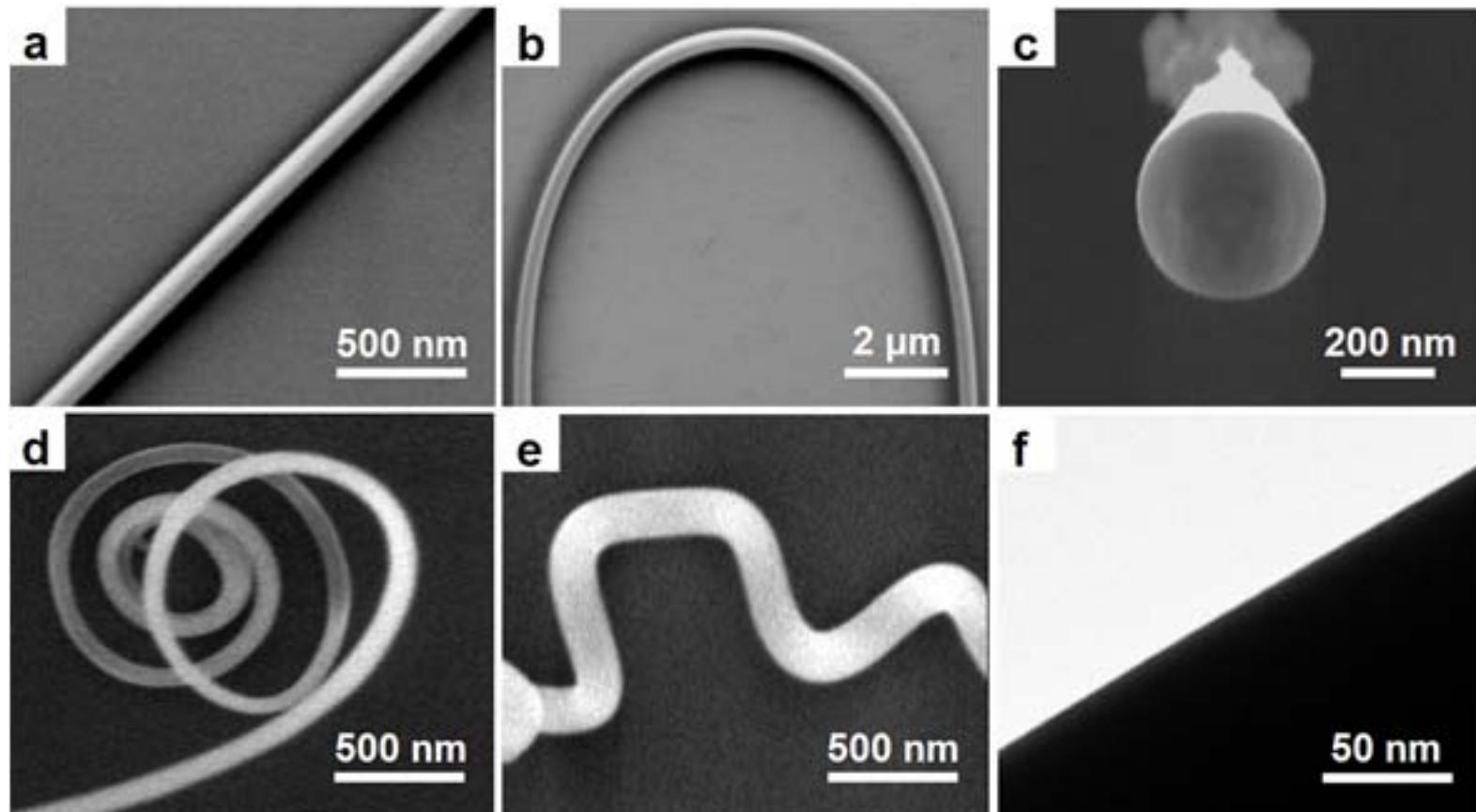
## SEM images

Other materials

a, e: tellurite

b: silicate

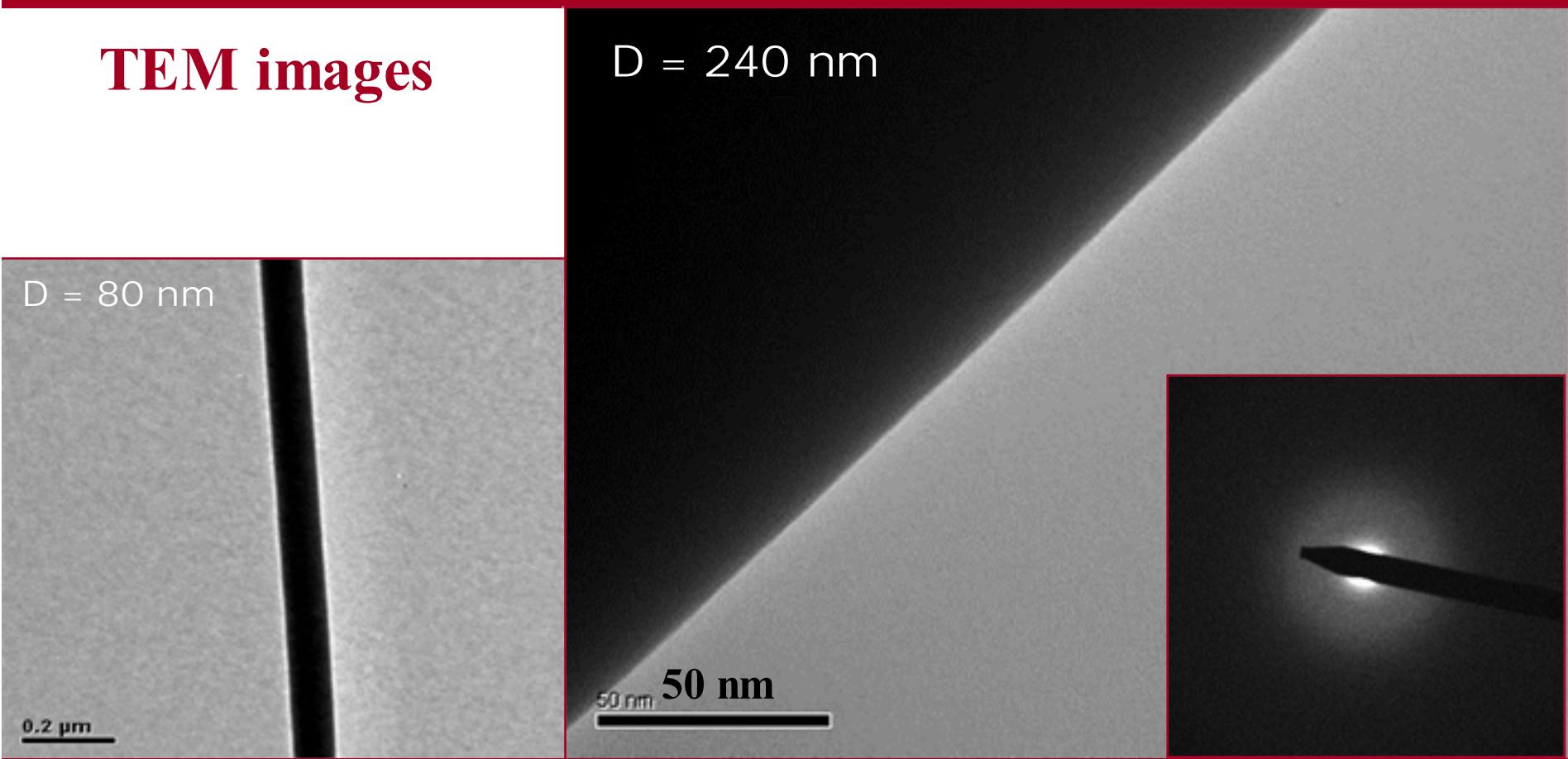
c, d, f : phosphate



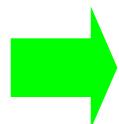
23

# 1. Fabrication of Microfibers

## TEM images



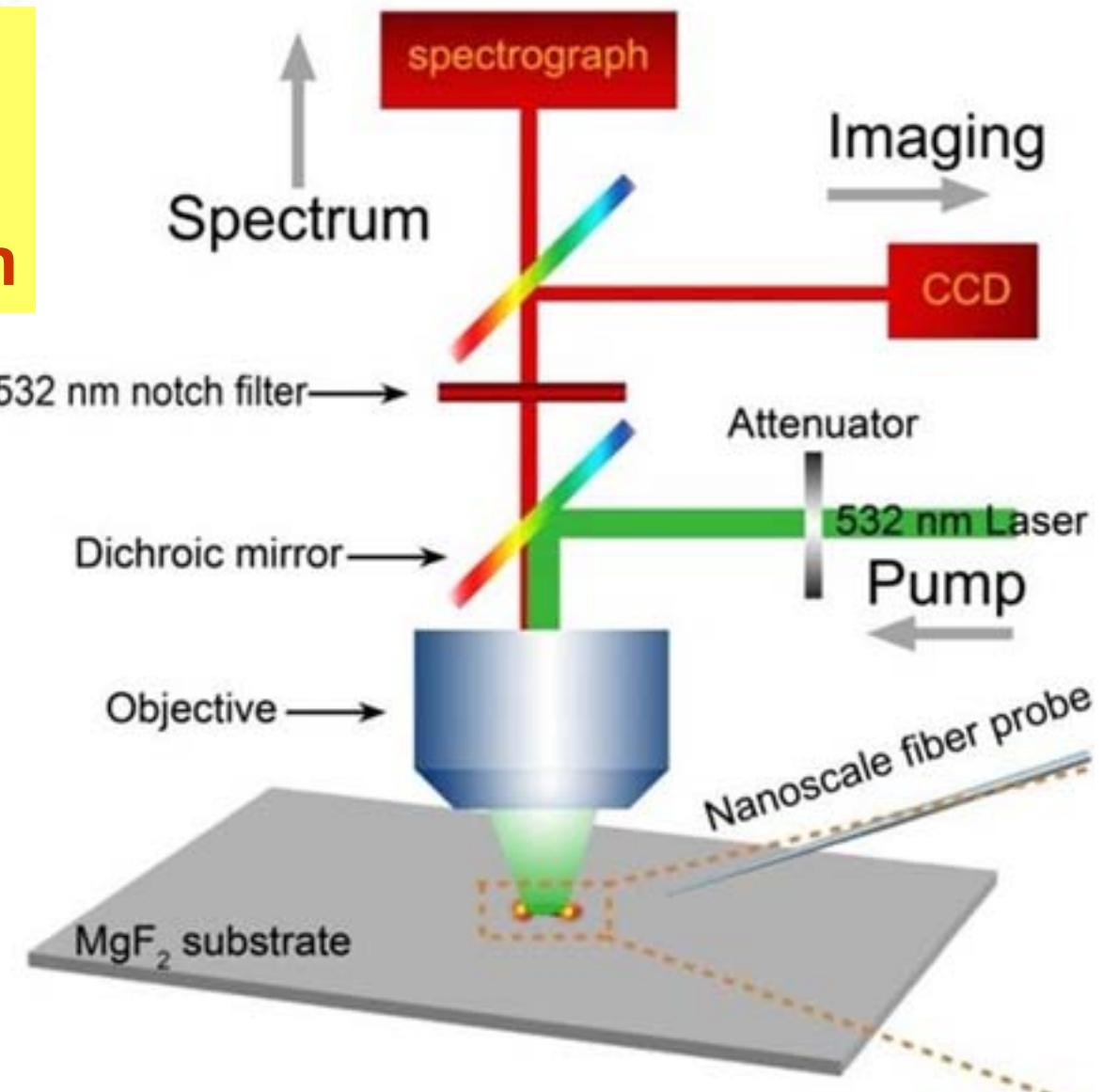
Very smooth surface with sidewall roughness (RMS) lower than 0.3 nm



Favorite for low-loss optical wave guiding

# 1. Fabrication: micromanipulation

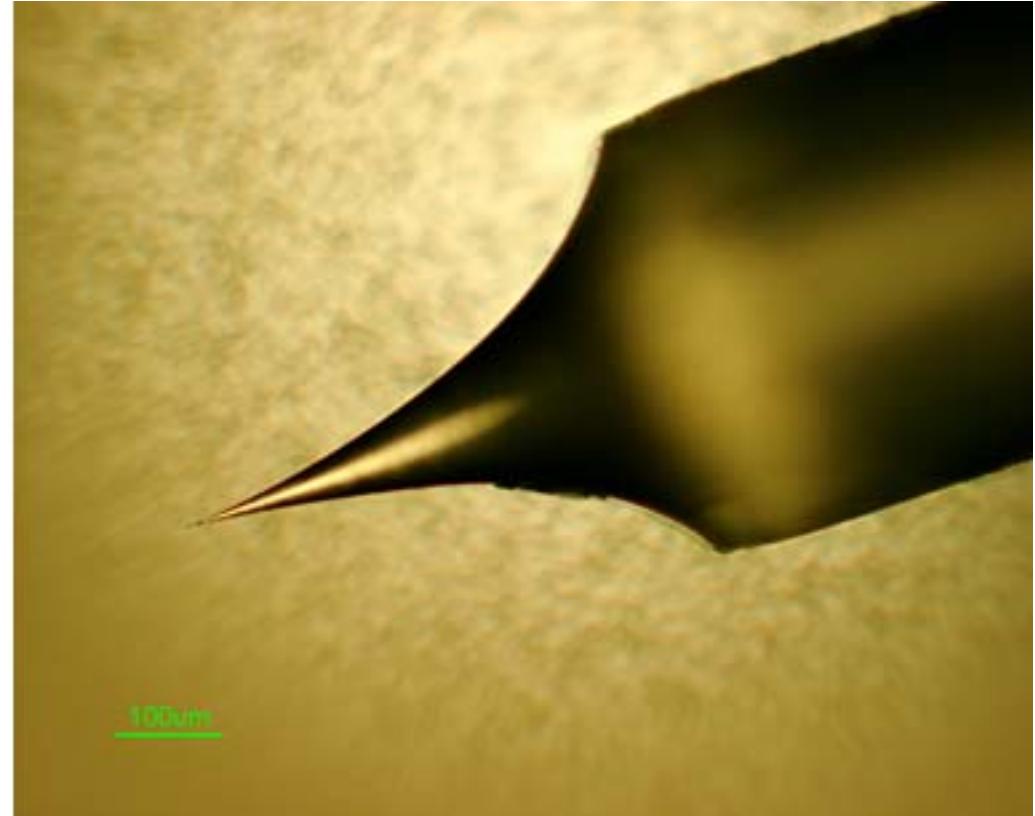
Typical setup for  
micromanipulation  
and characterization



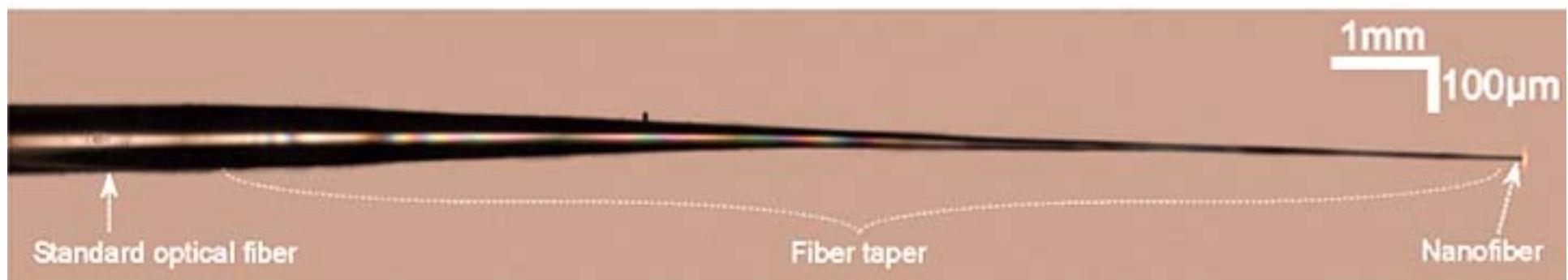
Y. Xiao et al., *Nano Lett.* **11**, 1112 (2011)

# Nanoprobes

**Tungsten STM probe**  
Cut, push, drag



**Silica fiber probe**  
Push, light in/out-coupling



X. Guo et al., *Nano Lett.* **9**, 4515 (2009)

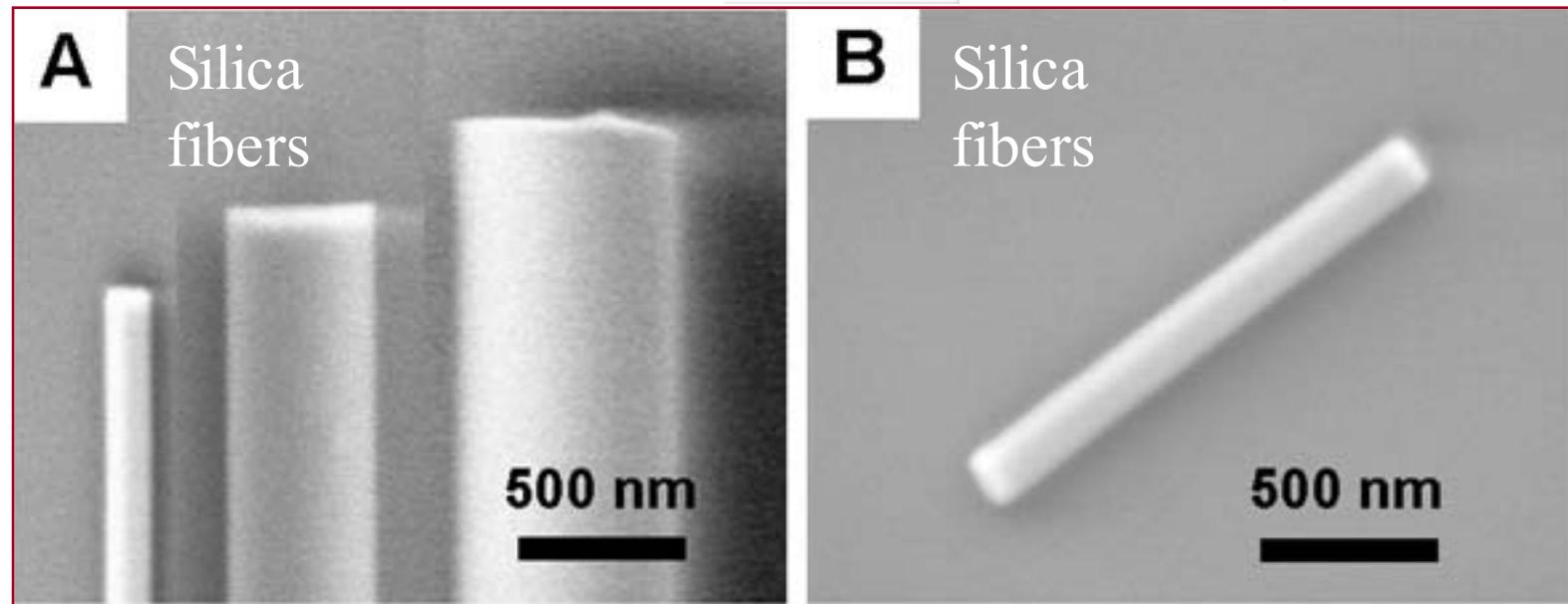
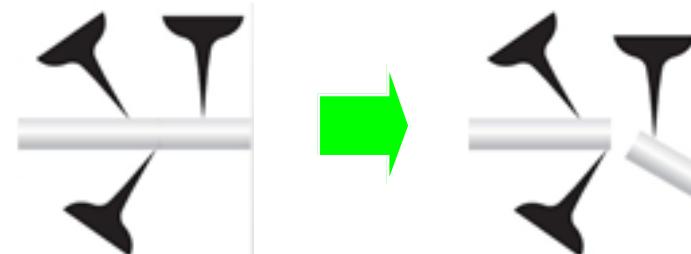
# 1. Fabrication: micromanipulation

## Micromanipulation

### Tailoring through micro/nanomanipulation

- Cut

Bend-to-fracture approach to  
cut fibers with flat endfaces

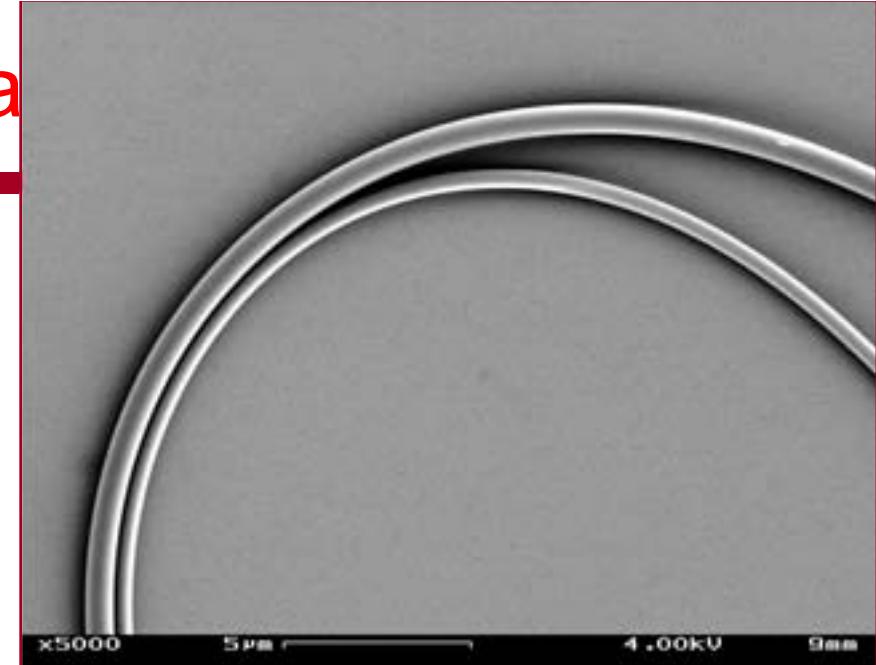


# 1. Fabrication: micromachining

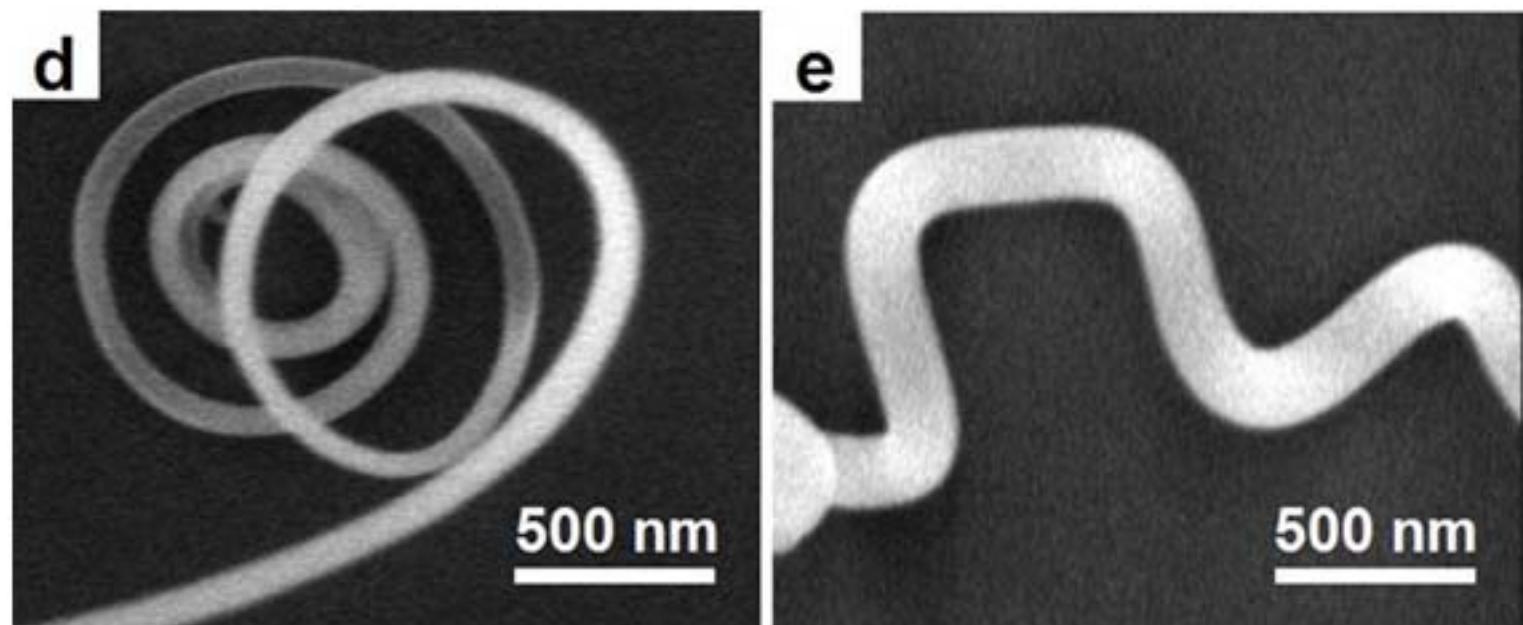
- Plastic bend

Annealing-after-bending method

Silica nanofibers



Tellurite  
nanofibers



L. M. Tong et al., *Opt. Express* 14, 82 (2006)

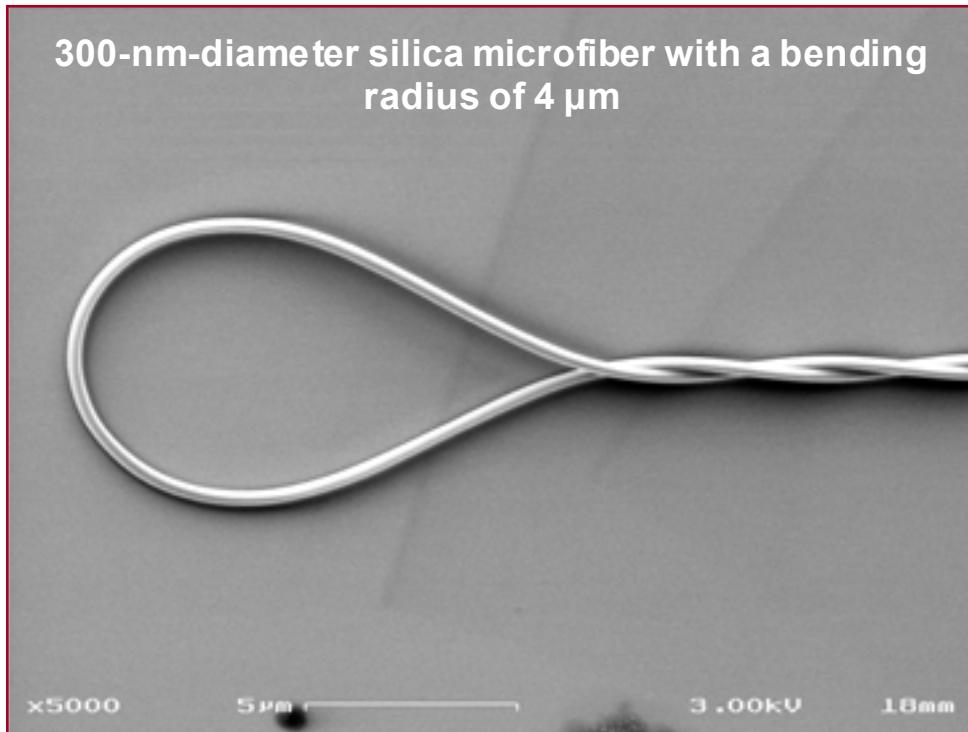
# 1. Fabrication: micromanipulation

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## Micromanipulation

### Tailoring through micro/nanomanipulation

- Twist



**Mechanically robust & flexible**



**Critical for practical applications**

Typical tensile strength > 5 GPa (@ RT)

# 1. Fabrication: micromanipulation

## Micromanipulation → Mechanical properties

### Tensile strength

$$\sigma = \frac{ED}{2R_B}$$

$E$  : Young's modulus,

$D$  : wire diameter,

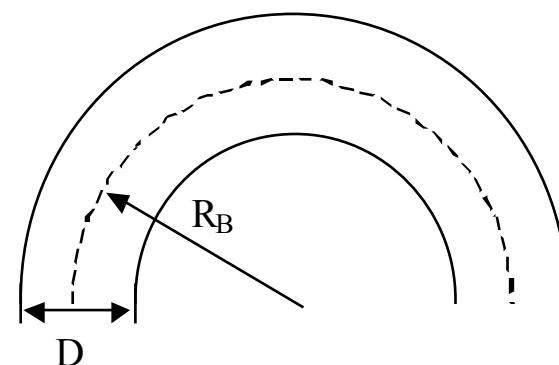
$R_B$  : bending radius,

**Nonlinear** Young's modulus [1]

$$E(\varepsilon) = E_0(1 + \alpha\varepsilon + \beta\varepsilon^2),$$

where  $\varepsilon$  is strain,  $E_0 = 72.2 \text{ GPa}$ ,

$\alpha=3.2$ , and  $\beta=8.48$ .



Bending model of a silica wire

1. J. T. Krause, L. R. Testardi, and R. N. Thurston, "Deviations from linearity in the dependence of elongation upon force for fibers of simple glass formers and of glass optical lightguides", *Phys. Chem. Glasses* **20**, 135-139 (1979).

# 1. Fabrication: micromanipulation

---

**Micromanipulation → Mechanical properties**

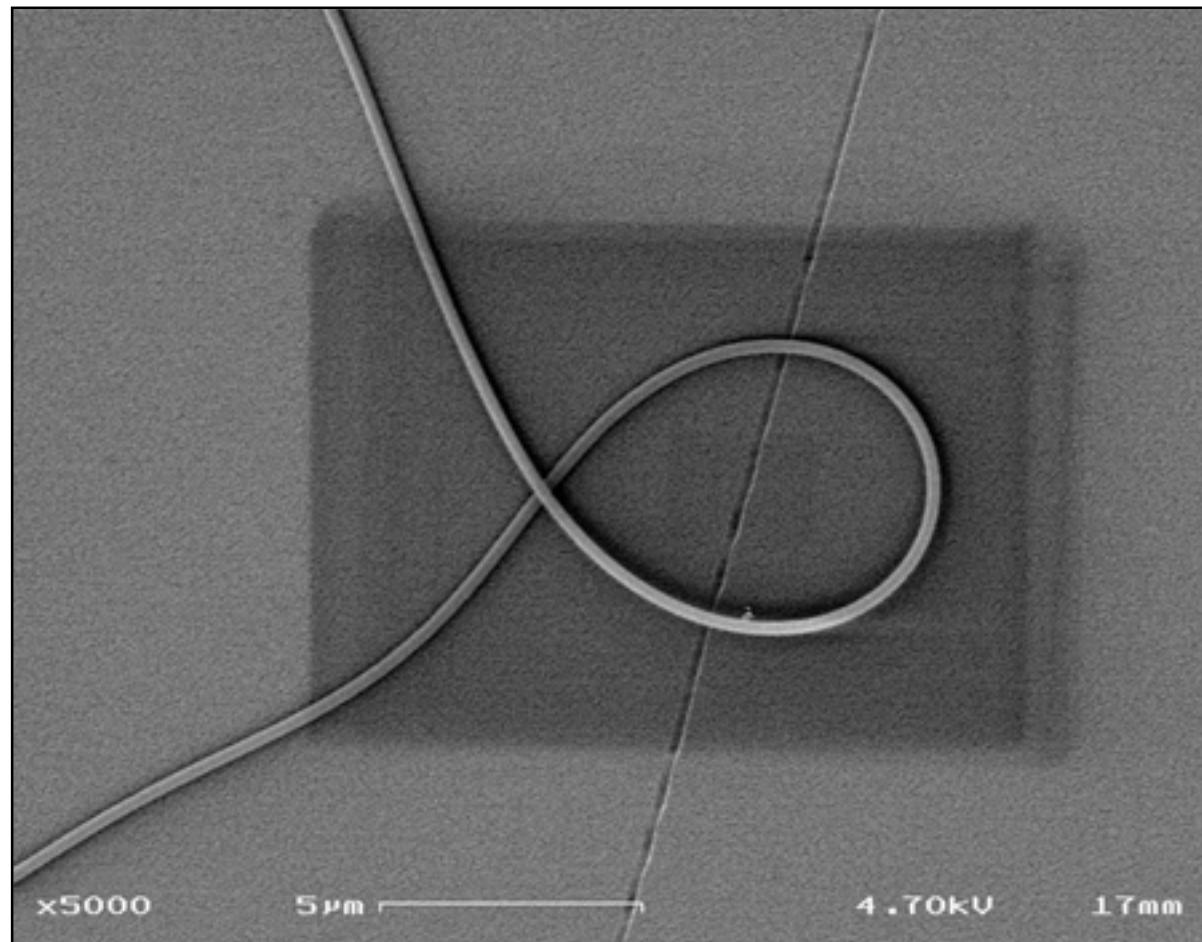
**Tensile strength**

Silica nanofiber

D=280 nm

R<sub>B</sub>=2.7 μm

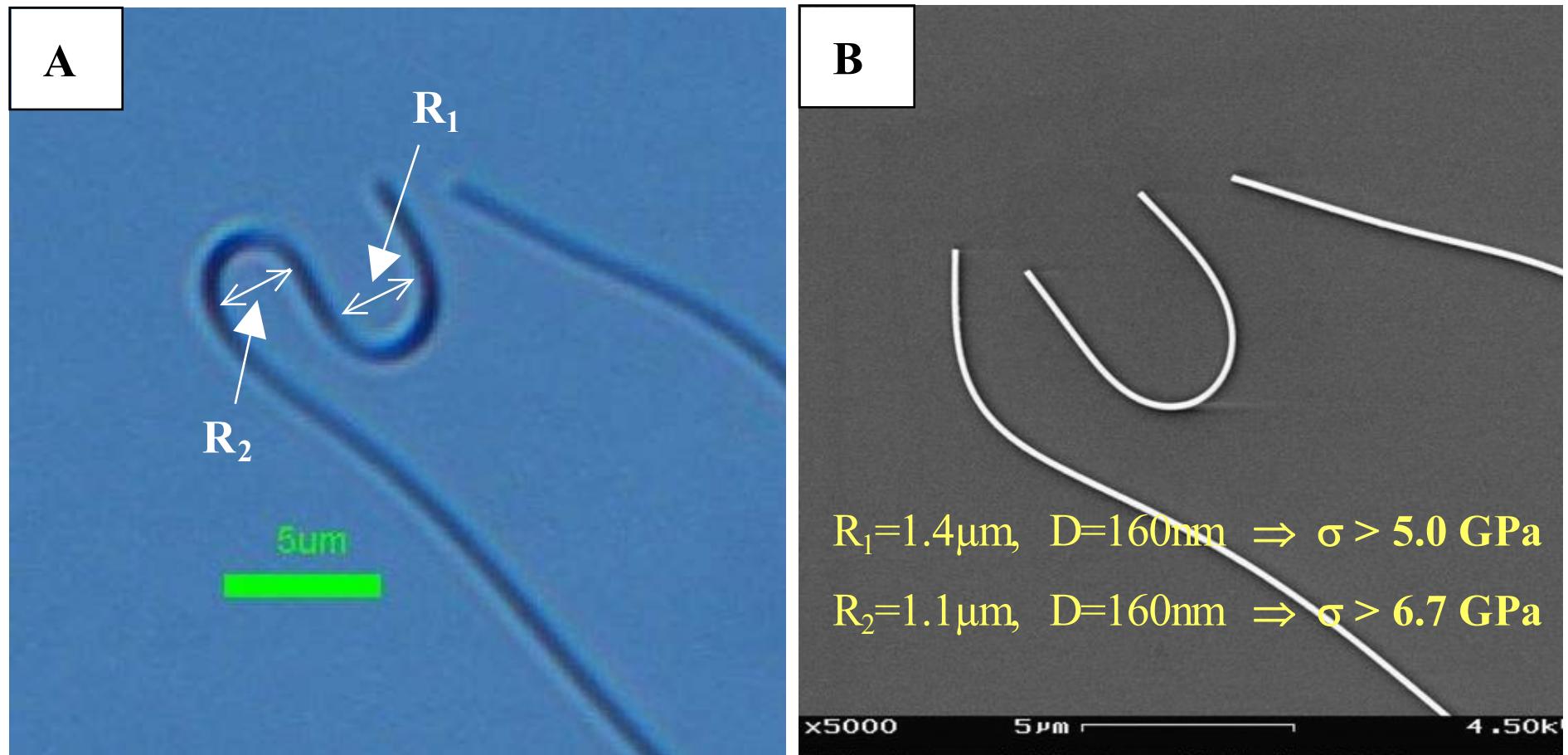
$\sigma > 4.5 \text{ GPa}$



# 1. Fabrication: micromanipulation

**Micromanipulation → Mechanical properties**

**Tensile strength bending-to-fracture test**



Optical microscope and SEM images of a bent 160-nm-diameter silica wire before (A) and after (B) fracture.

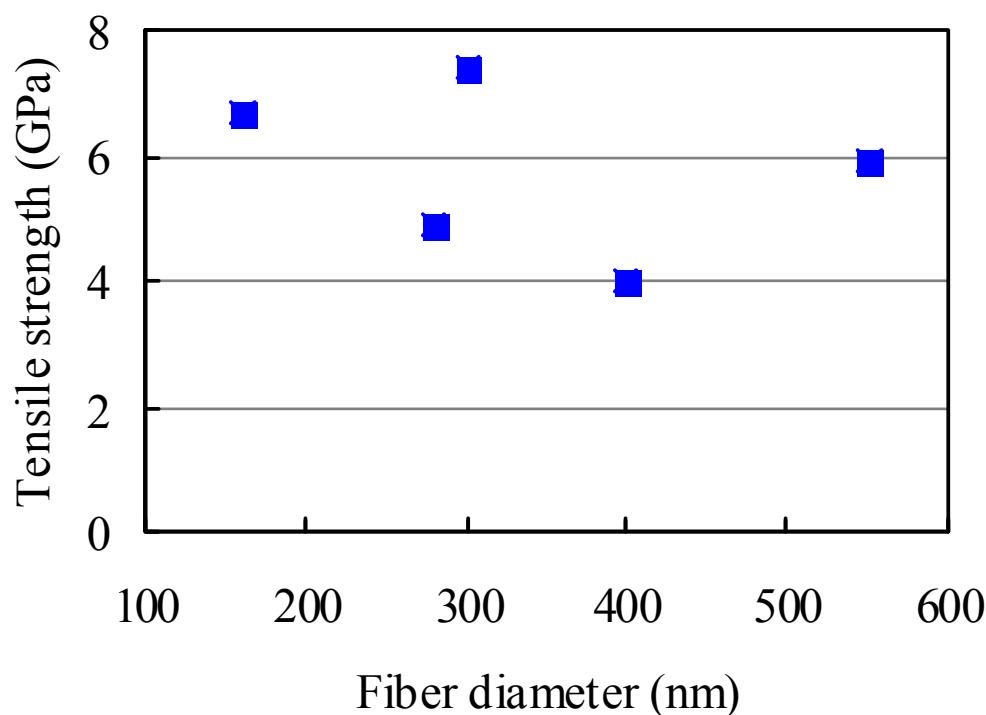
# 1. Fabrication: micromanipulation

---

**Micromanipulation → Mechanical properties**

## Tensile strength

**Tensile strength of silica nanofiber measured by bending-to-fracture process  
( $L \sim 10 \mu\text{m}$ )**



Tensile strength of micrometer-diameter fibers (@ room temperature, medium humidity):

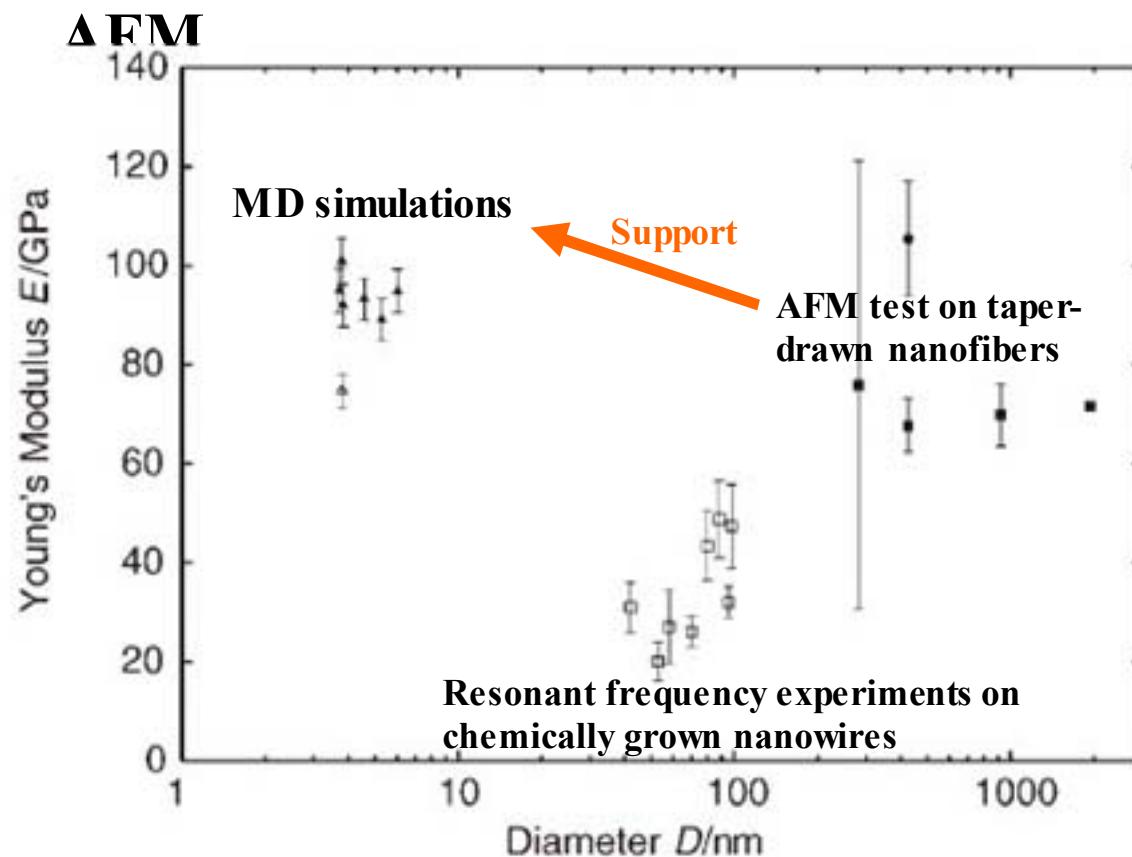
Spider silk ( $D \sim 5 \mu\text{m}$ )  
 $\sigma : 0.5\text{-}1.5 \text{ Gpa}$

Silica fiber ( $D=125 \mu\text{m}$ )  
 $\sigma : 2\text{-}3 \text{ GPa}$

# 1. Fabrication: micromanipulation

Micromanipulation → Mechanical properties

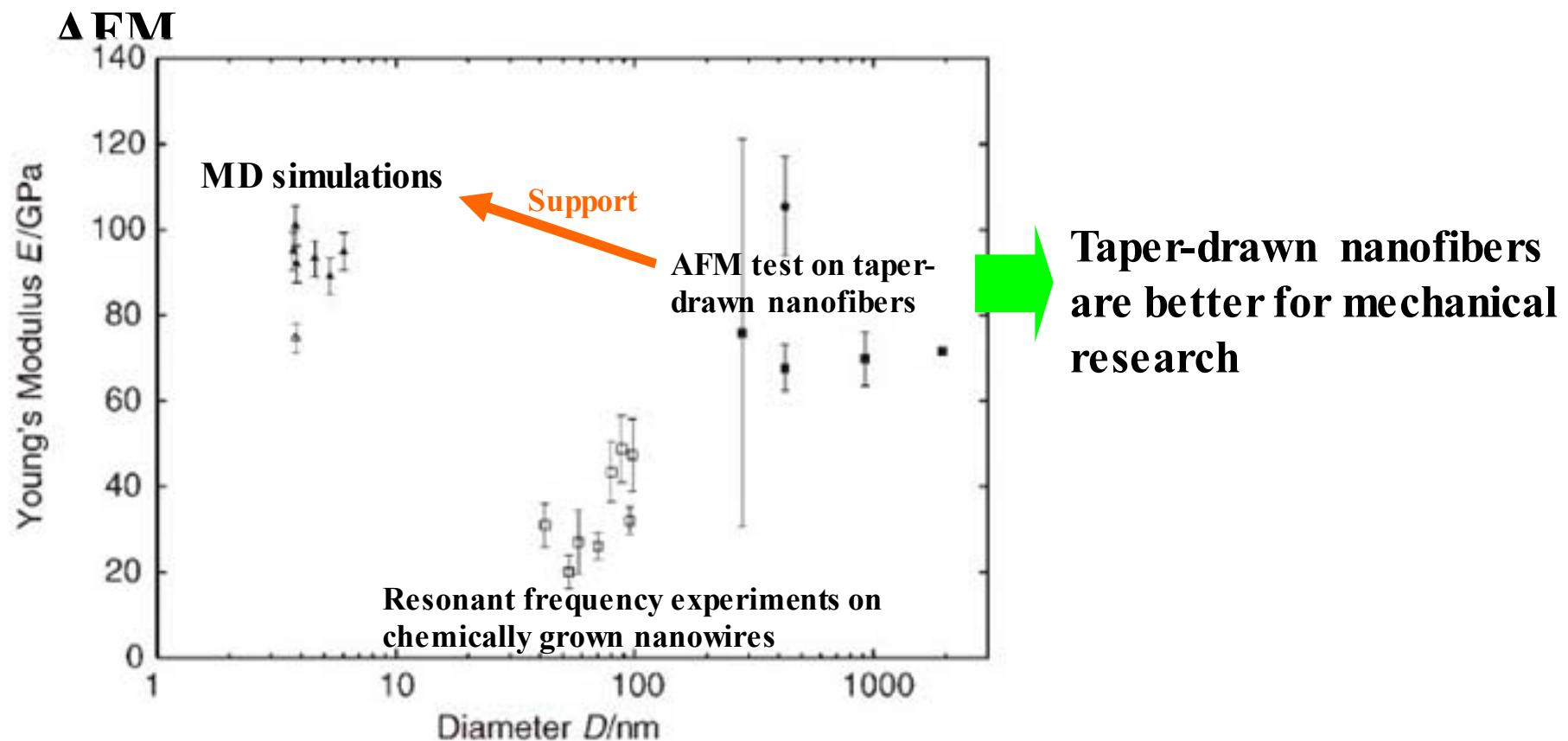
Young's modulus of silica nanofiber measured by



# 1. Fabrication: micromanipulation

Micromanipulation → Mechanical properties

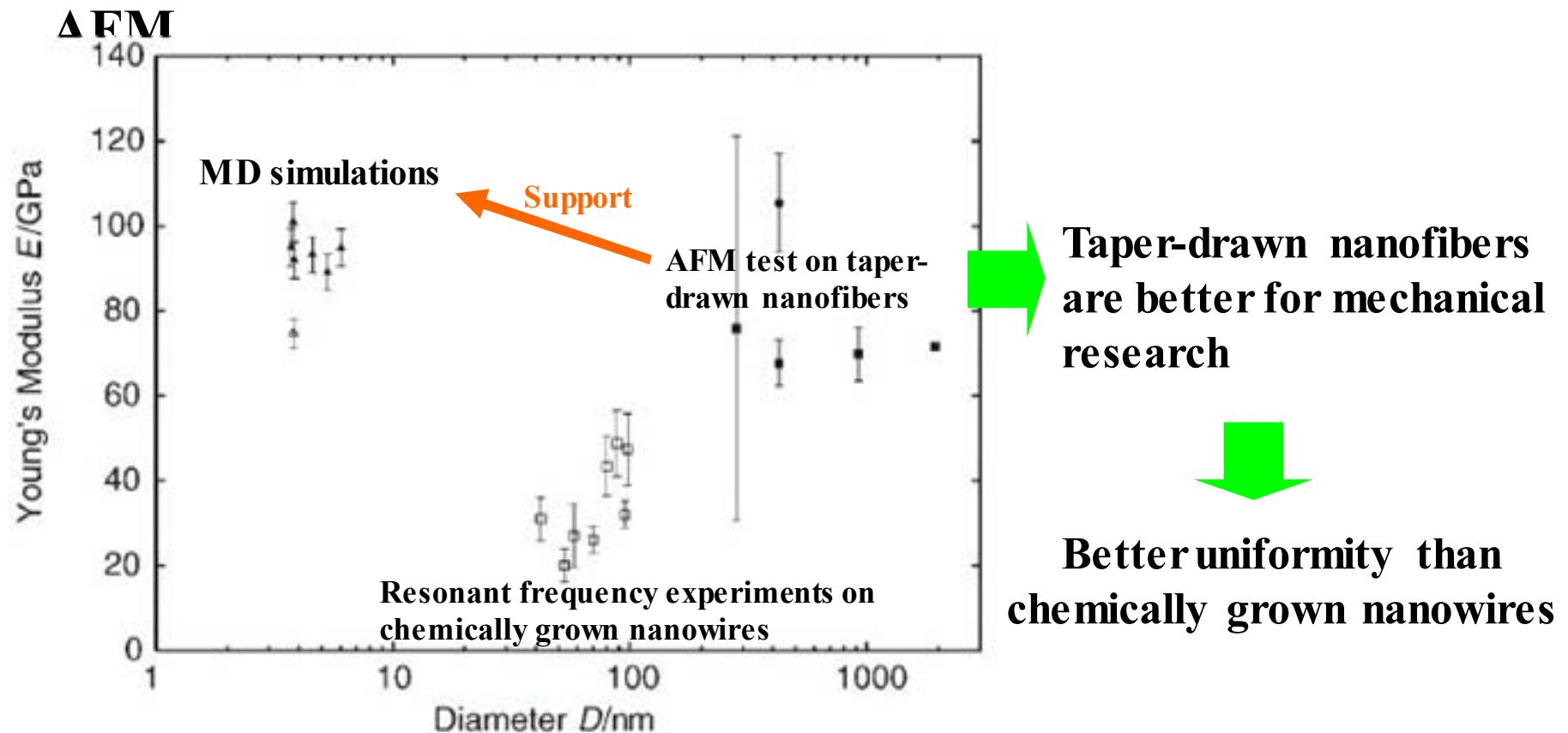
Young's modulus of silica nanofiber measured by



# 1. Fabrication: micromanipulation

Micromanipulation → Mechanical properties

Young's modulus of silica nanofiber measured by



# Outline

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- Introduction

- 1. Fabrication

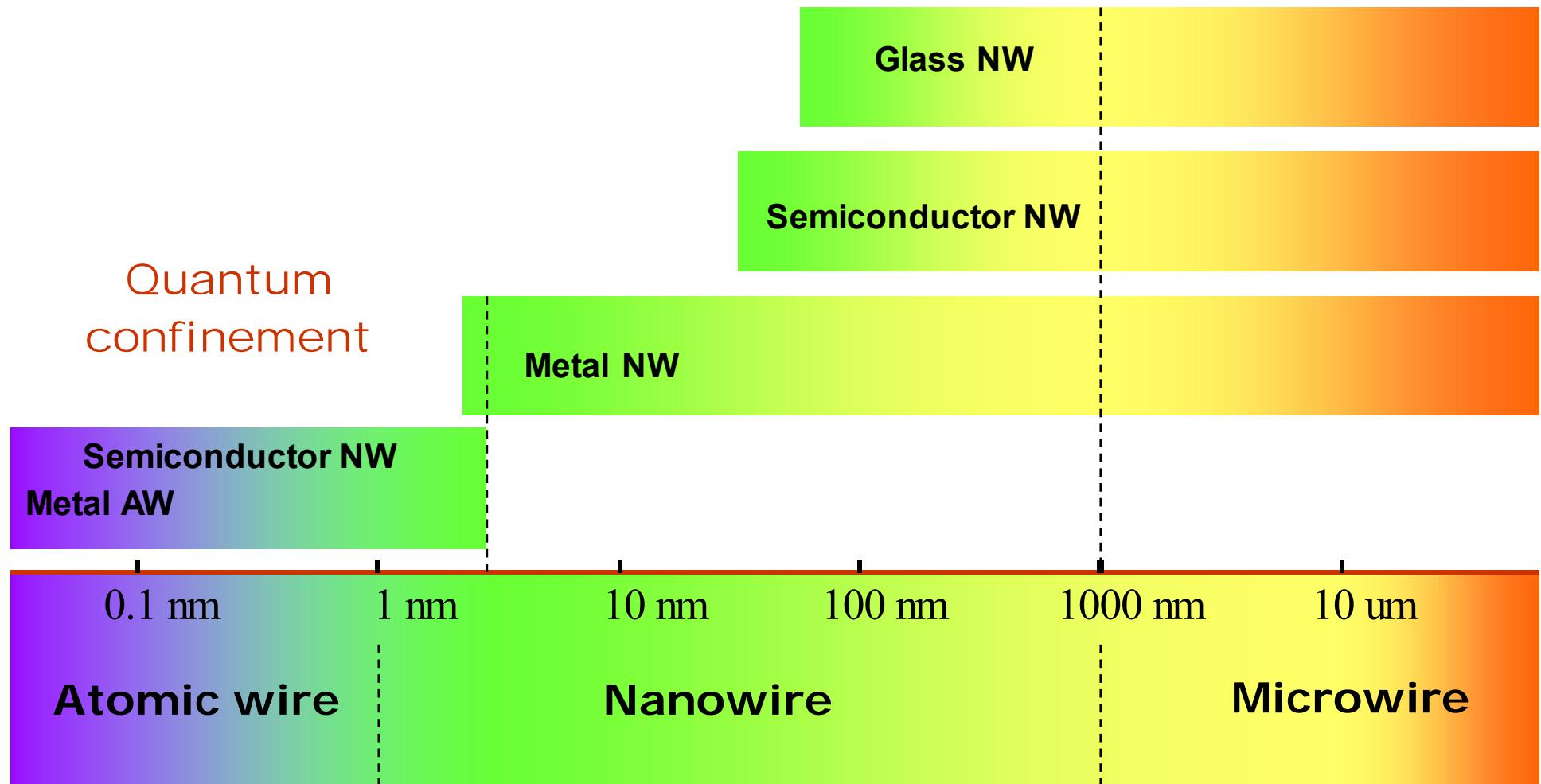
- 2. Optical Properties

- 3. Photonic Applications

- Summary

# Nanowire Optics

Optical confinement



Nanowire Optics

Guide wave optics  
Near-field optics

## 2. Nanofiber Optics

- **Basic model for**  
Guide wave optics  
Near-field optics

Cylindrical symmetry



Helmholtz Equations

$$(\nabla^2 + n^2 k^2 - \beta^2) \vec{e} = 0,$$

$$(\nabla^2 + n^2 k^2 - \beta^2) \vec{h} = 0.$$

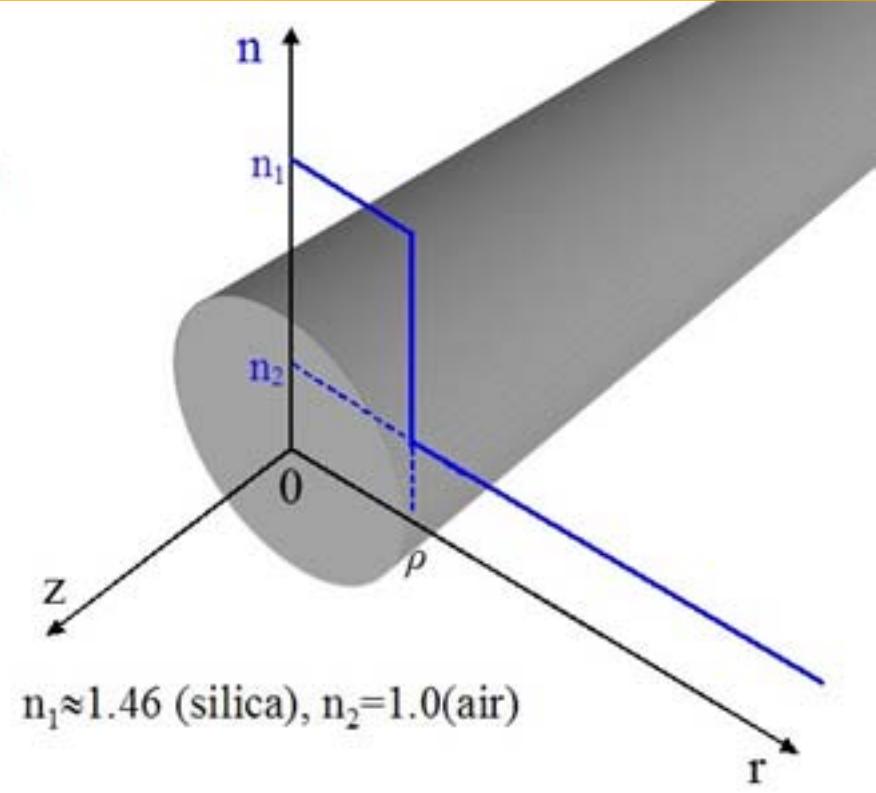
+

$$n(r) = \begin{cases} n_1, & 0 \leq r < \rho \\ n_2, & \rho \leq r < \infty \end{cases}$$



Analytical solutions of guided modes supported by the fiber [1]

[1] A. W. Snyder and J. D. Love, *Optical waveguide theory*, Chapman and Hall, New York, 1983.

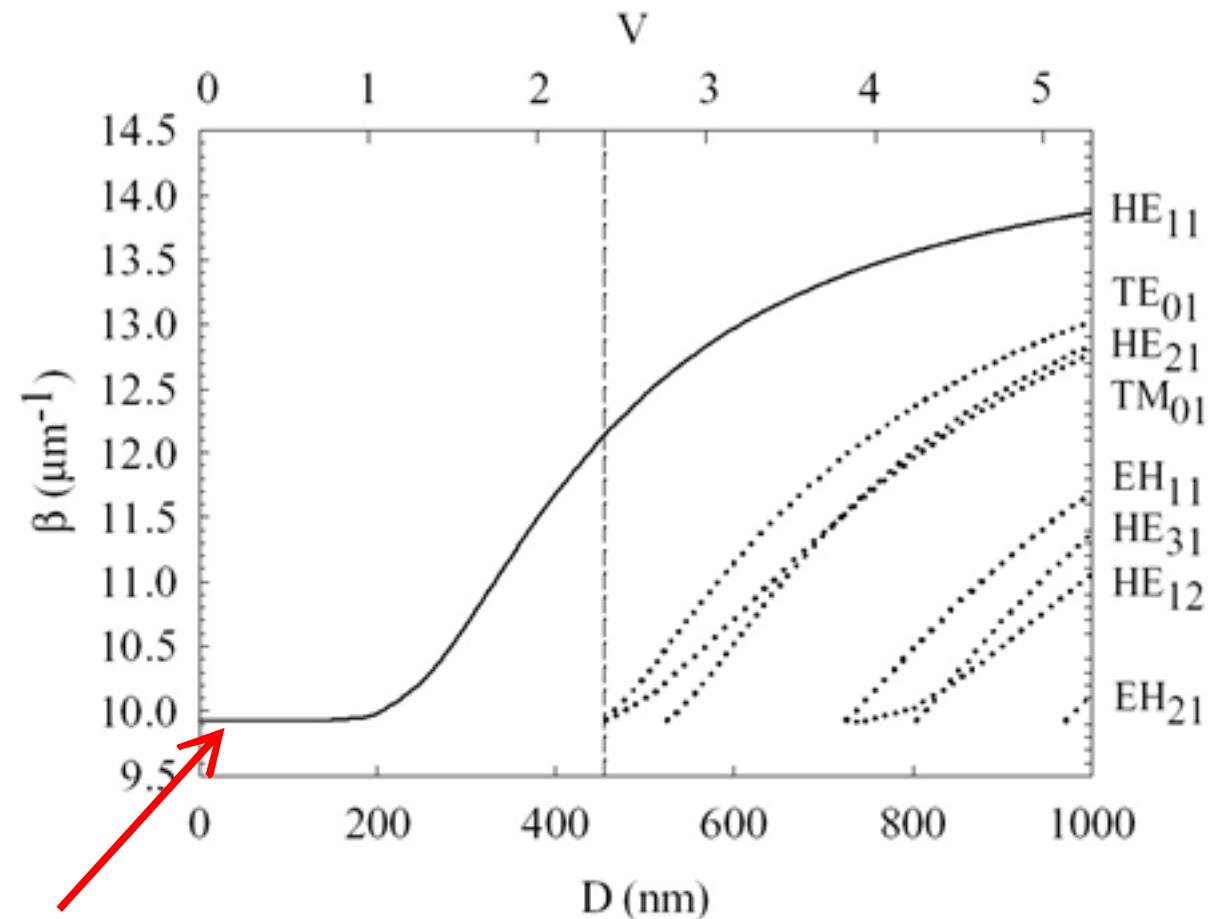


## 2. Nanofiber Optics

- Basic model

### Propagation constants ( $\beta$ )

Air-clad silica  
microfibers  
Wavelength: 633 nm



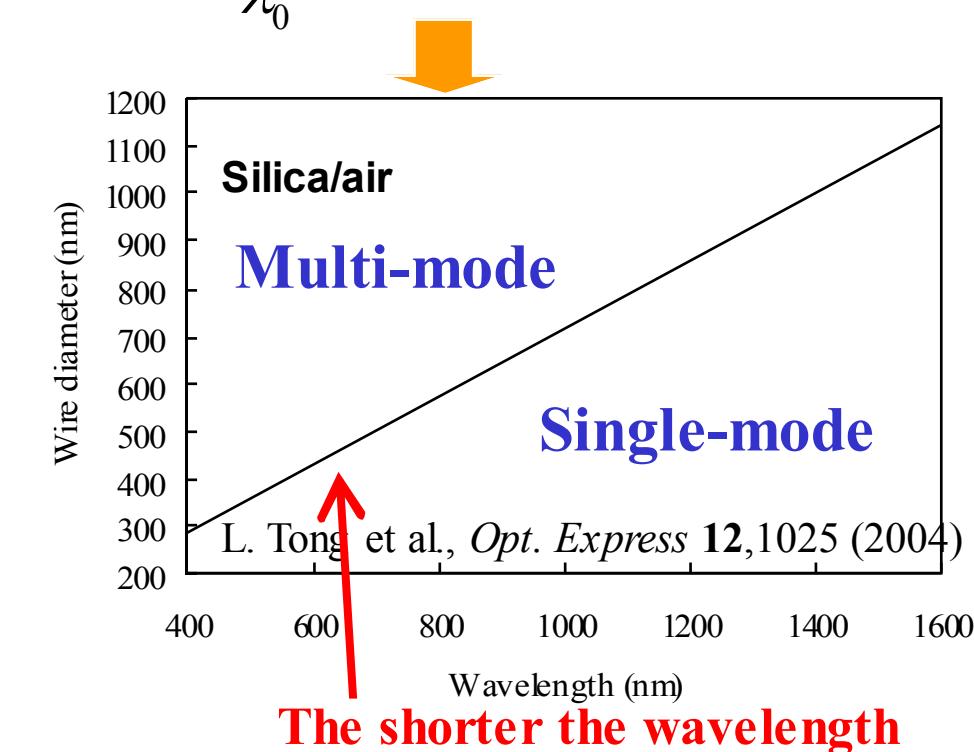
**no cutoff of the fundamental modes**

L. M. Tong et al., *Opt. Express* **12**, 1025 (2004)

## 2. Nanofiber Optics

- Single-mode condition

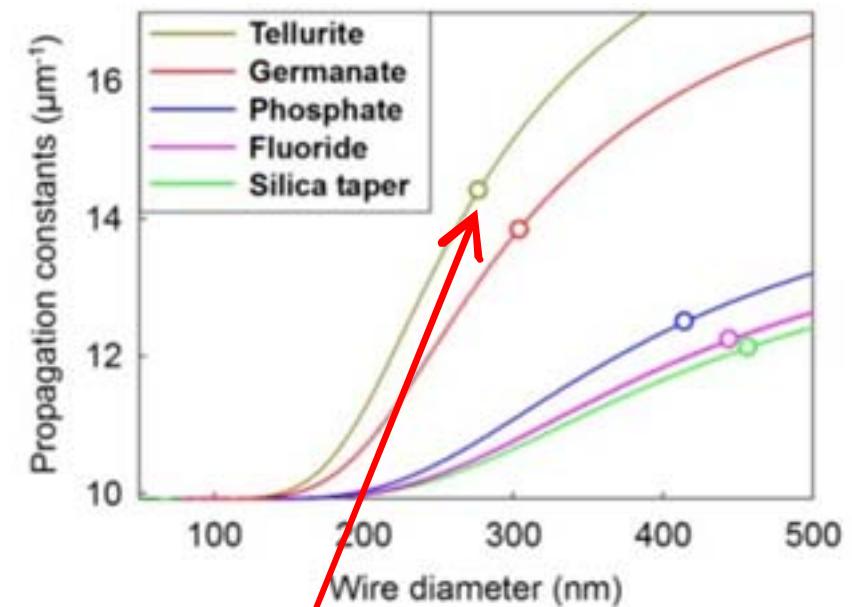
$$V = \pi \cdot \frac{D}{\lambda_0} \cdot (n_1^2 - n_2^2)^{1/2} \approx 2.405$$



The shorter the wavelength

### $\beta$ for HE<sub>11</sub> mode of several glass nanofibers

L. Tong et al., *Opt. Express* 14, 82 (2006)



the higher the refractive index

the smaller the single-mode cutoff diameter

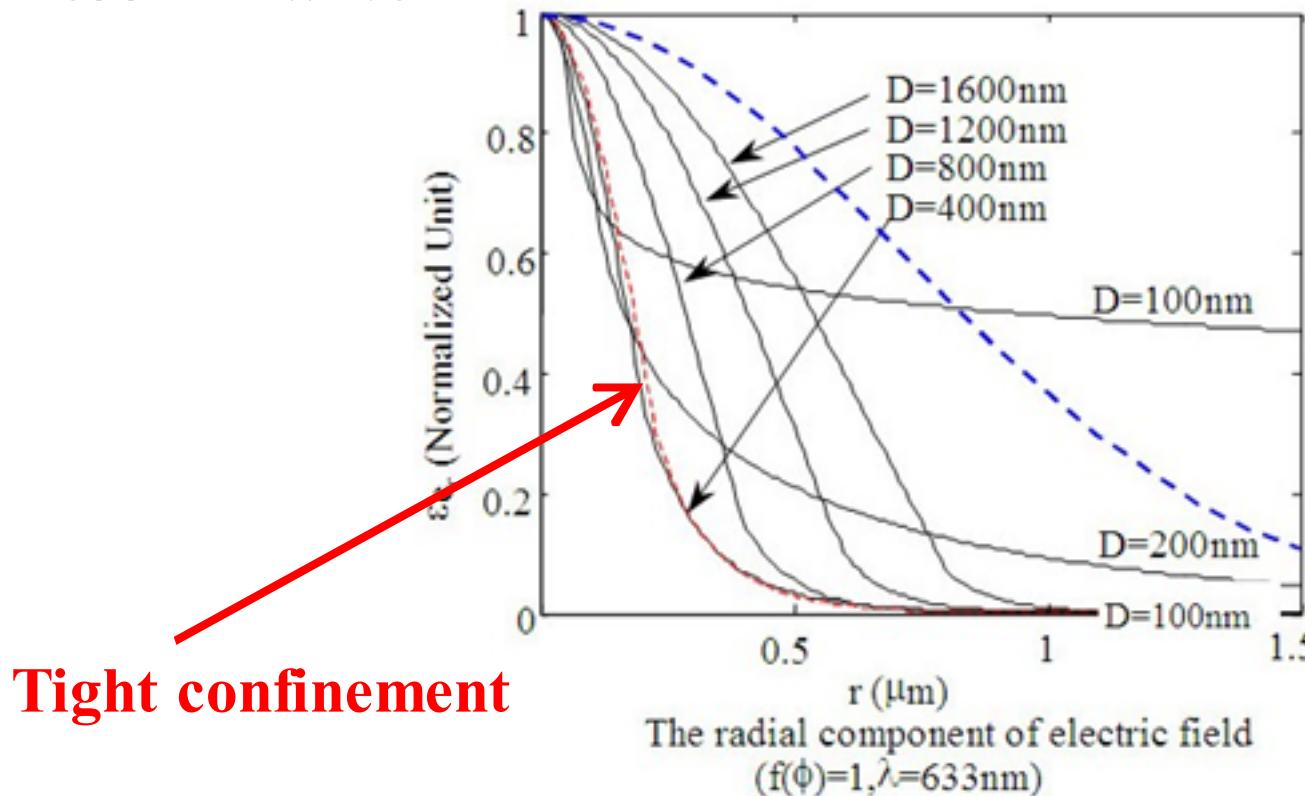
L. M. Tong et al., *Opt. Express* 12, 1025 (2004)

## 2. Nanofiber Optics

### 2.3 Electric fields of HE<sub>11</sub> mode

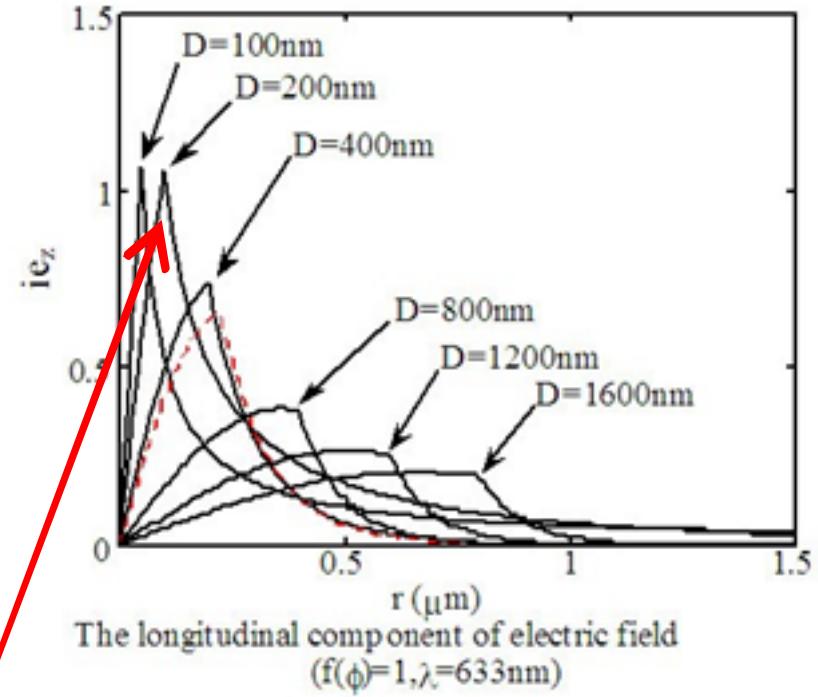
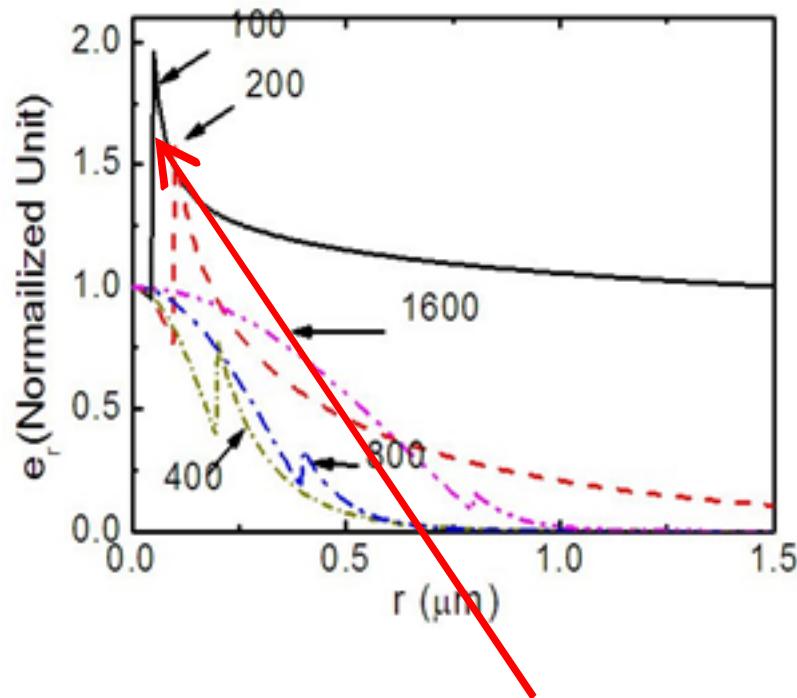
For the fundamental mode (HE<sub>11</sub>)

Normalized electric fields in a air-clad silica fiber operated at 633-nm wavelength



## For the fundamental mode ( $HE_{11}$ )

Normalized electric fields in a air-clad silica fiber operated at 633-nm wavelength



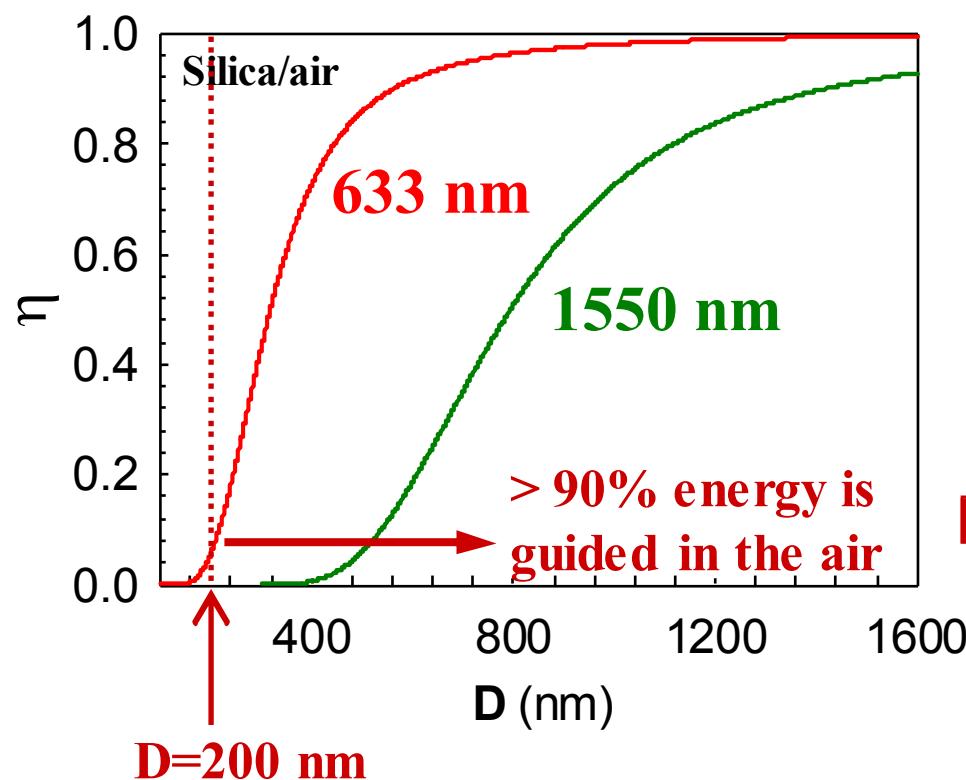
**On the surface, x- and z-component → Maximum  
→ field enhancement on surface**

e.g., when a 1-mW 780-nm-wavelength light sent into a 340-nm-diameter silica nanofiber, it generate a  $2\text{kW/mm}^2$  power density on the nanofiber surface.

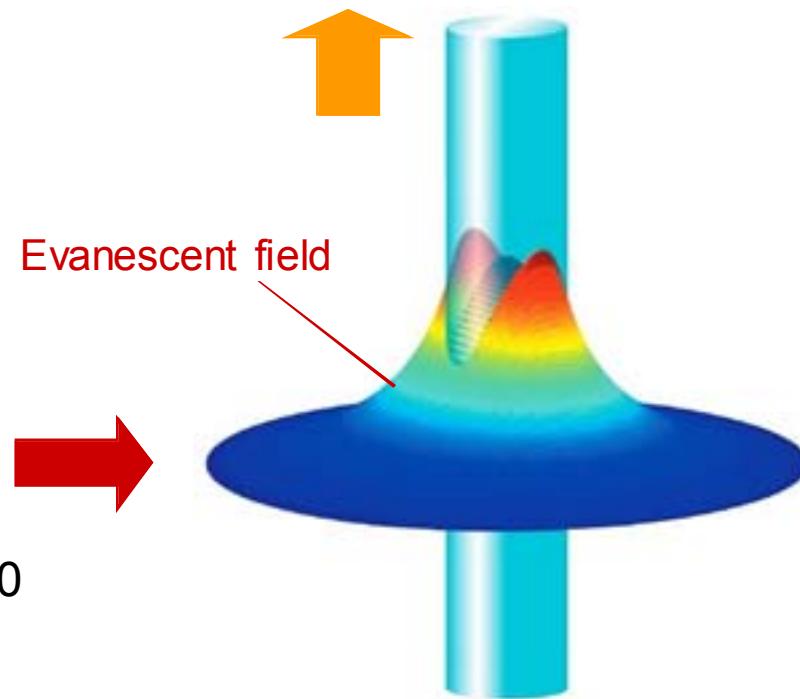
## 2. Nanofiber Optics

- Evanescent field of HE<sub>11</sub> mode

Fractional power inside the core



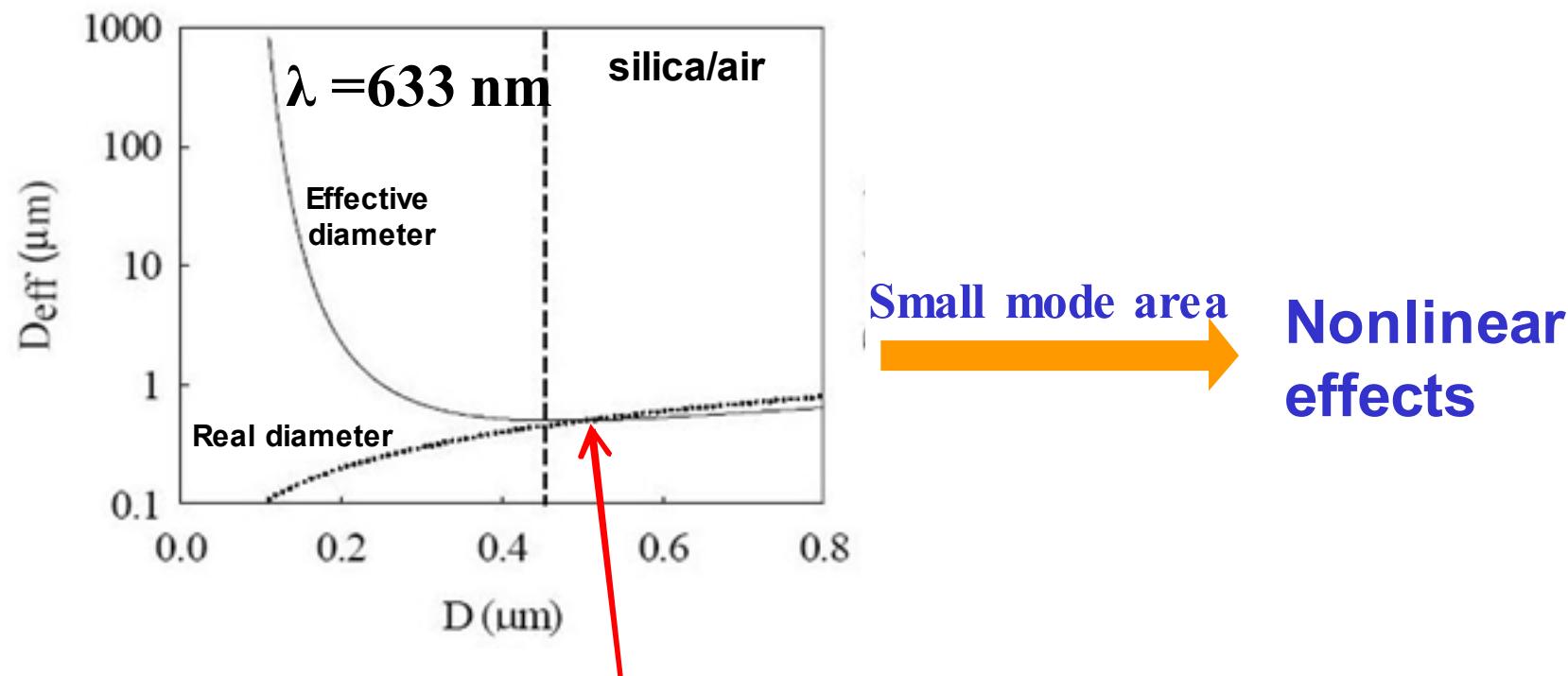
Near-field interaction



## 2. Nanofiber Optics

- Optical confinement of HE<sub>11</sub> mode

Effective Diameter: Mode area for optical confinement of 86.5%



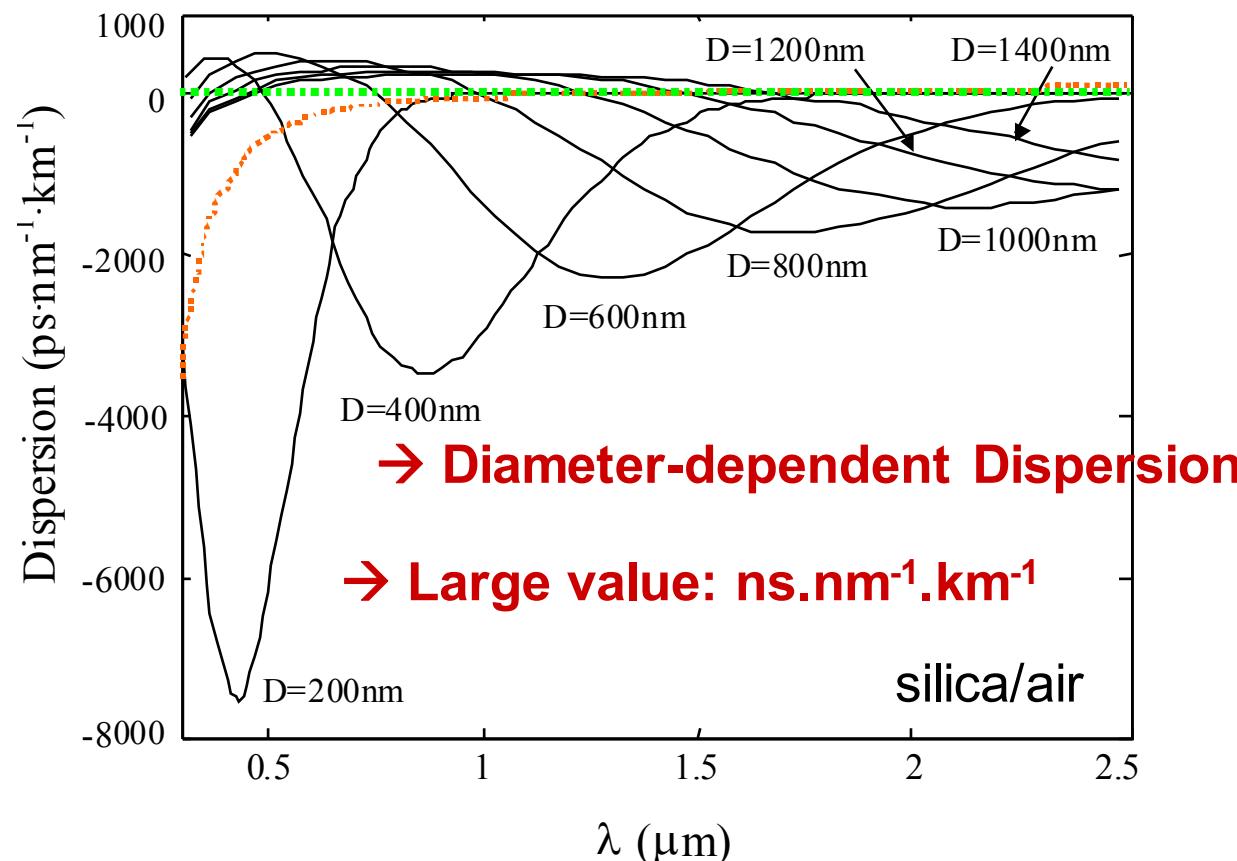
Minimum usable Effective  
Diameter  $\sim 510 \text{ nm}$

L. M. Tong et al., *Opt. Express* **12**, 1025 (2004)

## 2. Nanofiber Optics

- Waveguide dispersion of HE<sub>11</sub> mode

### Waveguide dispersion in air-clad silica fibers

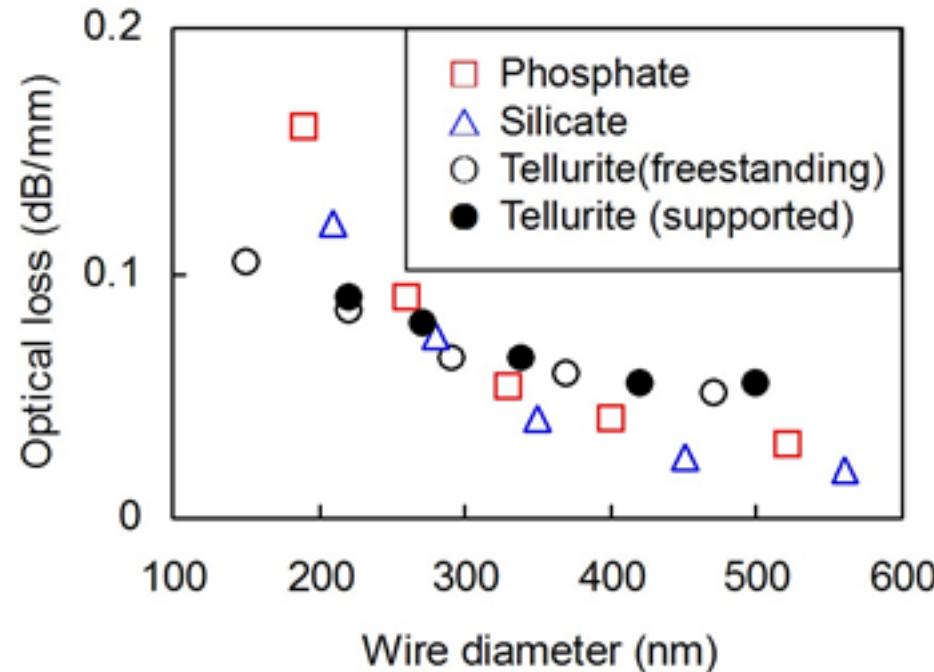


Nonlinear  
effects

## 2. Nanofiber Optics

- **Optical loss in real nanofibers**

**Measured losses** for single-mode glass fibers are typically  $< 0.1 \text{ dB/mm}$



L. M. Tong et al., *Opt. Express* 12, 1025 (2004)

### Lowest optical losses @RT

#### Silica nanofibers:

$\alpha \sim 0.001 \text{ dB/mm}$

S. G. Leon-Saval et al., *Opt. Express* 12, 2864 (2004)

#### PMMA nanowires:

$\alpha \sim 0.01 \text{ dB/mm}$

F. X. Gu et al., *Nano Lett.* 8, 2757-2761 (2008)

#### ZnO nanowires:

$\alpha \sim 0.1 \text{ dB/mm}$

#### Ag nanowires:

$\alpha \sim 0.4 \text{ dB/um}$

Y. G. Ma et al., *Opt. Lett.* 35, 1160 (2010)

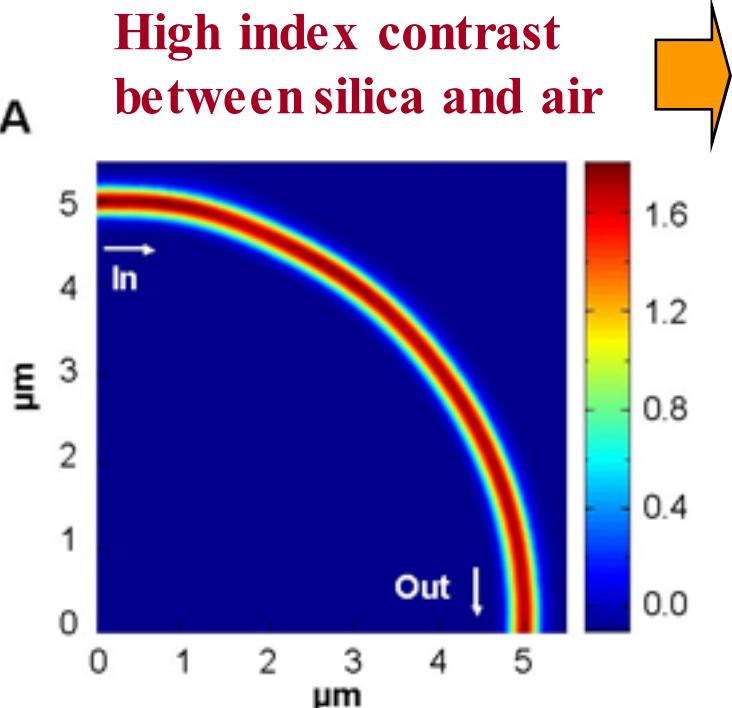
## 2. Nanofiber Optics

- Optical loss in real nanofibers

Bending loss

High index contrast  
between silica and air

A



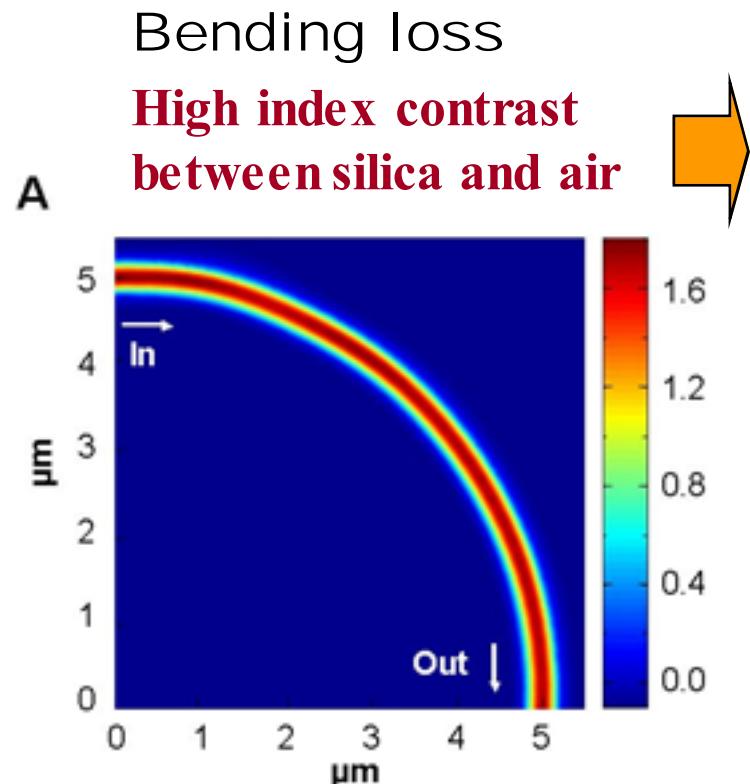
Light can be guided through sharp bend  
with low optical loss

3D-FDTD simulations of the intensity of a 633-nm-wavelength light guided in 5- $\mu\text{m}$ -radius-bend 450-nm-diameter silica fiber.

L. M. Tong et al., *Nano Lett.* **5**, 259 (2005)

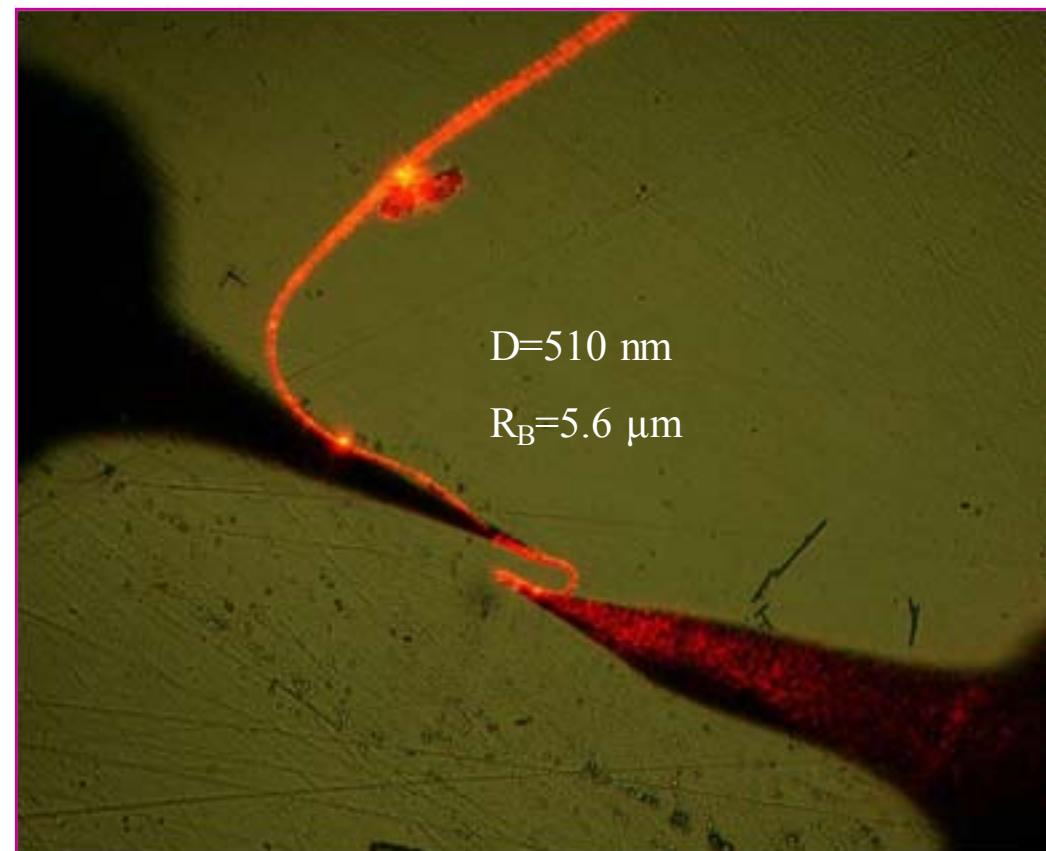
## 2. Nanofiber Optics

- Optical loss in real nanofibers



3D-FDTD simulations of the intensity of a 633-nm-wavelength light guided in 5- $\mu\text{m}$ -radius-bend 450-nm-diameter silica fiber.

L. M. Tong et al., *Nano Lett.* **5**, 259 (2005)



Optical microscope image of a 633-nm-wavelength light guided in 5.6- $\mu\text{m}$ -radius-bend 510-nm-diameter silica fiber.

L. M. Tong et al., *Nature* **426**, 816 (2003)

## Bending loss

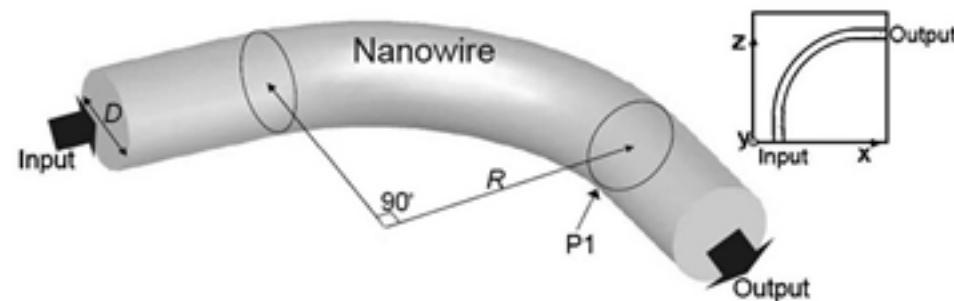


Fig. 1. Mathematical model for 3D-FDTD simulation of a circular 90° bent nanowire. Inset, topography profile of the bent nanowire.

## 3D-FDTD simulations

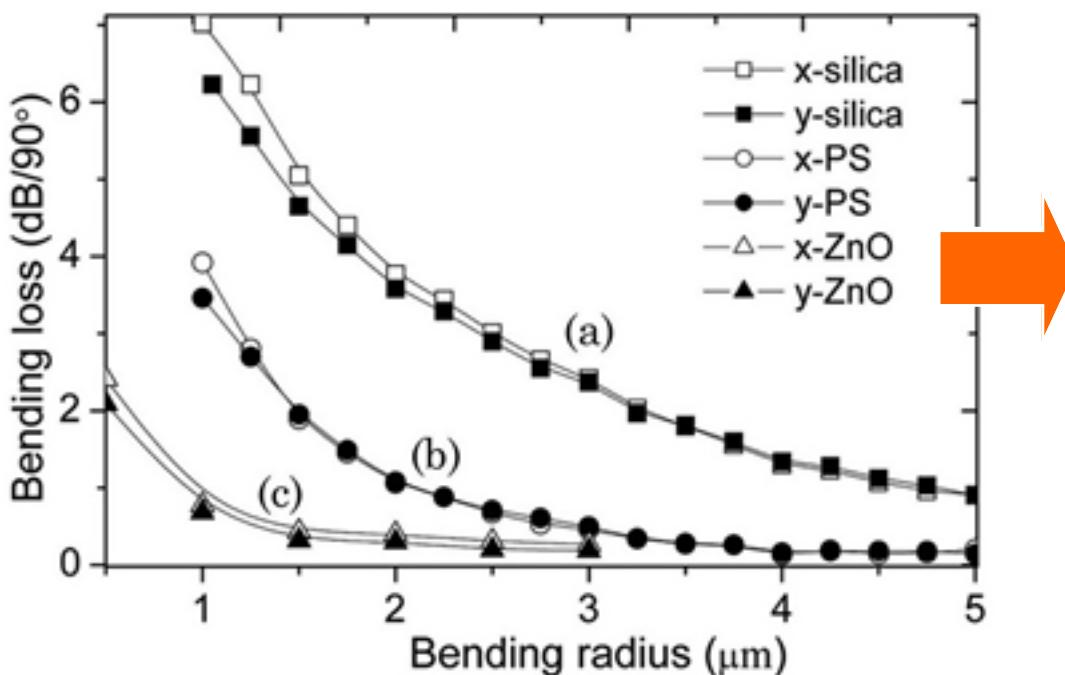


Fig. 3. Bending-radius-dependent bending losses of (a) a 350 nm diameter silica nanowire, (b) a 350 nm diameter PS nanowire, and (c) a 270 nm diameter ZnO nanowire with a 633 nm wavelength source.

PS nanofiber ( $n=1.59$ )  
633-nm wavelength  
**2- $\mu\text{m}$  bending radius**  
**Bending loss  $\sim 1$  dB/90°**

## 2. Nanofiber Optics

---

### ■ What's New ?

Small

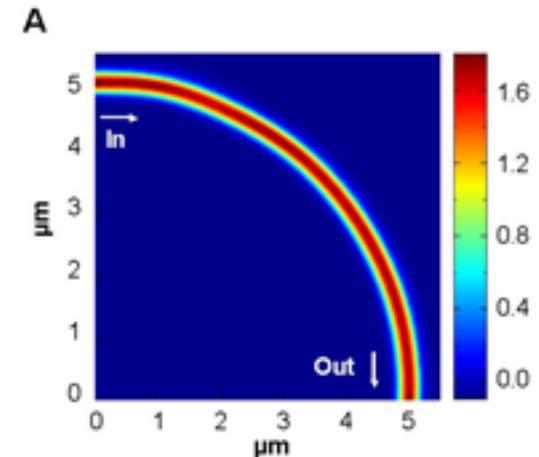
## 2. Nanofiber Optics

### ■ What's New ?

Small



High  $\Delta n$  for SM →  
Sharper bend with  
shorter optical length



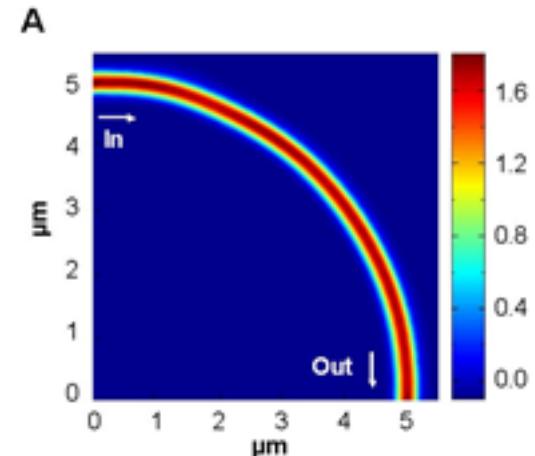
## 2. Nanofiber Optics

### ■ What's New ?

Small



High  $\Delta n$  for SM →  
Sharper bend with  
shorter optical length



Light travels through with less time

e.g., consider the minimum allowable bending radius

SMF ~1 cm → ~ 30 ps

Nanofiber ~ 10 μm NF → ~30 fs 1000 times faster

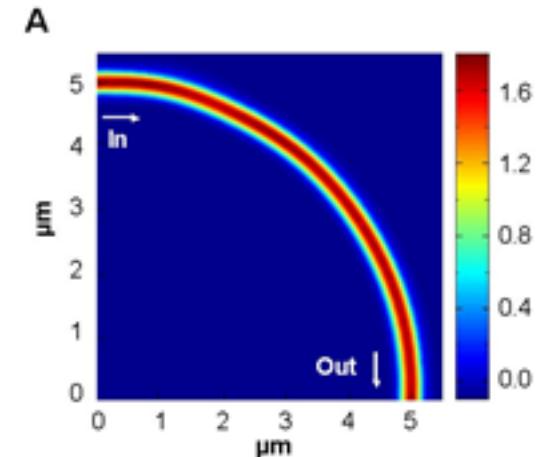
## 2. Nanofiber Optics

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Faster & compacter interconnects

## 2. Nanofiber Optics

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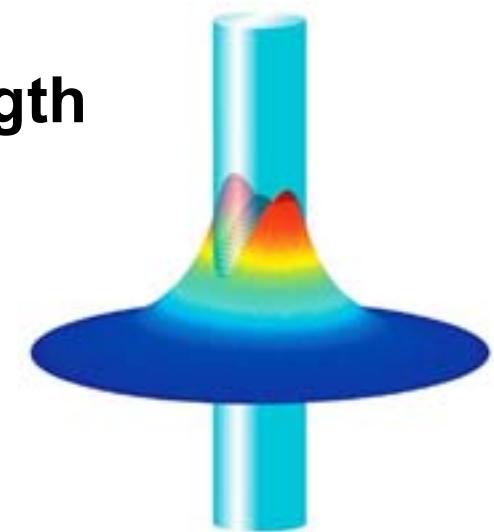
### ■ What's New ?

Small

2

Core diameter < wavelength

High fraction of evanescent fields  
Steep field gradient



## 2. Nanofiber Optics

---

### ■ What's New ?

Small

2

Core diameter < wavelength



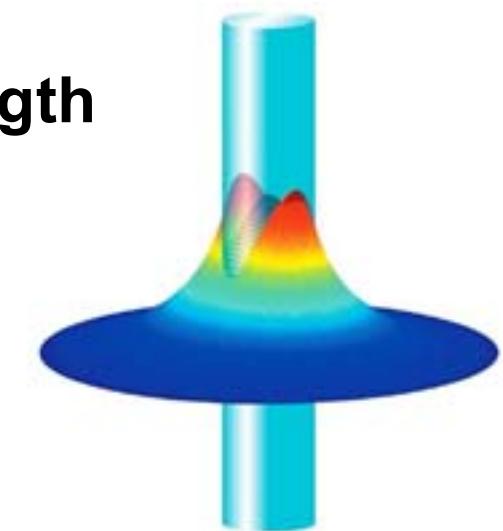
High fraction of evanescent fields  
Steep field gradient



Stronger near-field  
interaction



Higher-sensitivity sensing  
**Photonic-plasmonic  
nanowaveguide coupling**



## 2. Nanofiber Optics

### ■ What's New ?

Small

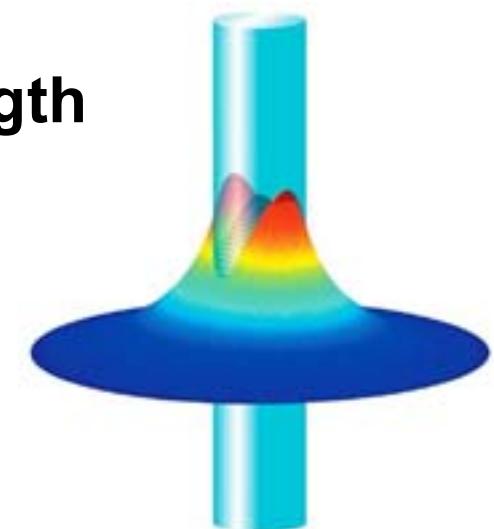
2

Core diameter < wavelength

High fraction of evanescent fields  
Steep field gradient

Stronger near-field interaction

Higher-sensitivity sensing  
**Photonic-plasmonic  
nanowaveguide coupling**



Larger optical gradient force

Atom trapping and waveguiding

## 2. Nanofiber Optics

---

### ■ What's New ?

Small



Smaller mode area

e.g., SMF ~ 100  $\mu\text{m}^2$   
Nanofiber ~ 1  $\mu\text{m}^2$

## 2. Nanofiber Optics

---

### ■ What's New ?

Small



Smaller mode area

e.g., SMF ~ 100  $\mu\text{m}^2$   
Nanofiber ~ 1  $\mu\text{m}^2$



Thinner Beam



Higher-sensitivity  
optical sensing

## 2. Nanofiber Optics

---

### ■ What's New ?

Small



Smaller mode area

e.g., **SMF** ~  $100 \mu\text{m}^2$   
**Nanofiber** ~  $1 \mu\text{m}^2$

Thinner Beam



Higher-sensitivity  
optical sensing



Higher effective  
nonlinearity



Lower-threshold optical  
nonlinear effects

## 2. Nanofiber Optics

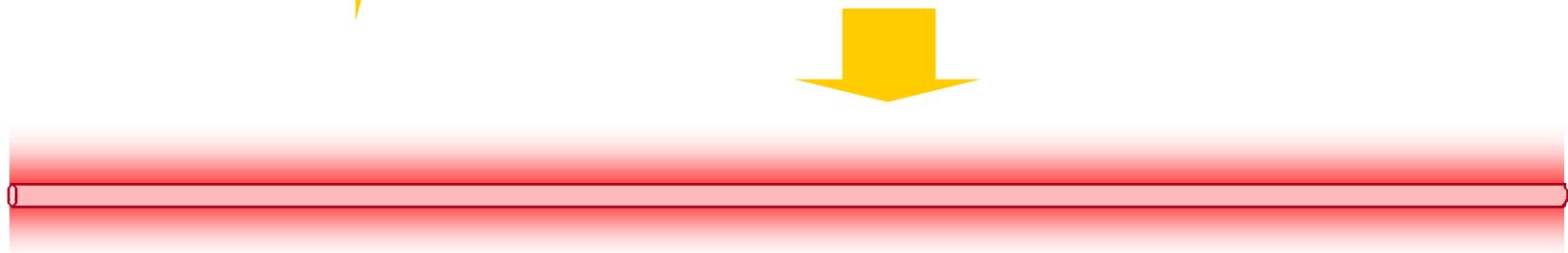
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### ■ What's New ?

Small

4

Tight confinement with small mode area



Modify vacuum states around the nanofiber

## 2. Nanofiber Optics

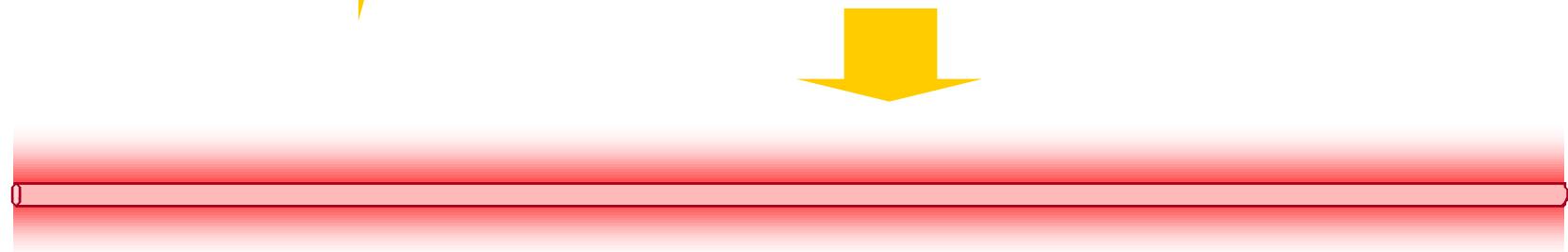
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### ■ What's New ?

Small

4

Tight confinement with small mode area



Modify vacuum states around the nanofiber



Modify spontaneous  
rate of an atom nearby

## 2. Nanofiber Optics

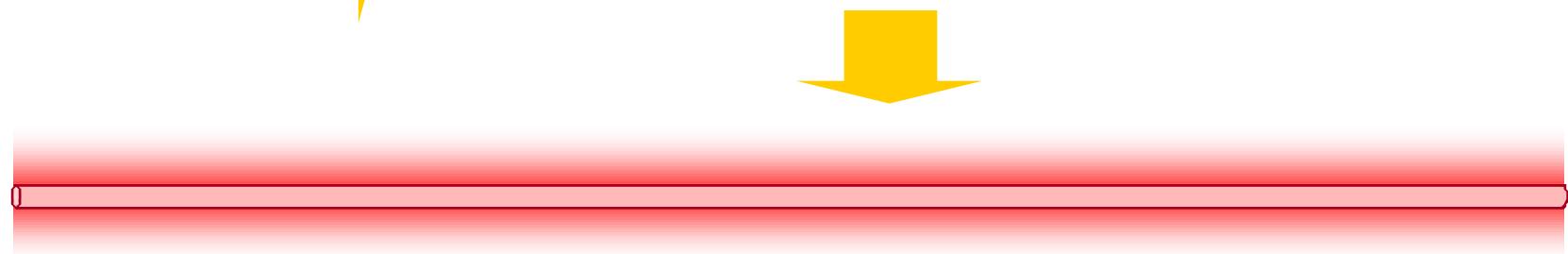
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### ■ What's New ?

Small

4

Tight confinement with small mode area



Modify vacuum states around the nanofiber



Modify spontaneous  
rate of an atom nearby



Couple distant atoms  
through the fiber

## 2. Nanofiber Optics

---

### ■ What's New ?

Small

5

Extremely light in mass

e.g., Mass of a 200-nm-diameter 10-um-length nanofiber is  
~  $10^{-15}$  kg / ~ 10 pN (in weight)  
comparable to the pressure of light with power of 10 mW

## 2. Nanofiber Optics

---

### ■ What's New ?

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5

Extremely light in mass

e.g., Mass of a 200-nm-diameter 10-um-length nanofiber is  
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comparable to the pressure of light with power of 10 mW



Feel the momentum of light guided through

## 2. Nanofiber Optics

---

### ■ What's New ?

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5

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e.g., Mass of a 200-nm-diameter 10-um-length nanofiber is  
 $\sim 10^{-15}$  kg /  $\sim 10$  pN (in weight)  
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Feel the momentum of light guided through



Photon-momentum-  
induced effect

## 2. Nanofiber Optics

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Feel the momentum of light guided through



Photon-momentum-  
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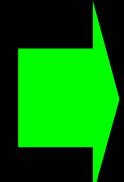


Fundamental research  
in photonics

## 2. Nanofiber Optics

### ■ What's New ?

Small



More :

- Large and manageable dispersion**
- Enhanced field intensity on surface**
- Low dimension for fast diffusion**

...

100um

L. M. Tong et al., *Nature* **426**, 816 (2003)

## 2. Nanofiber Optics

### ■ What's New ?

Small



More :

**Large and manageable dispersion  
Enhanced field intensity on surface  
Low dimension for fast diffusion**

...

**Plenty of optics can be explored in nanowires**

**Plenty of New Opportunities**

100um

# Outline

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- Introduction

- 1. Fabrication

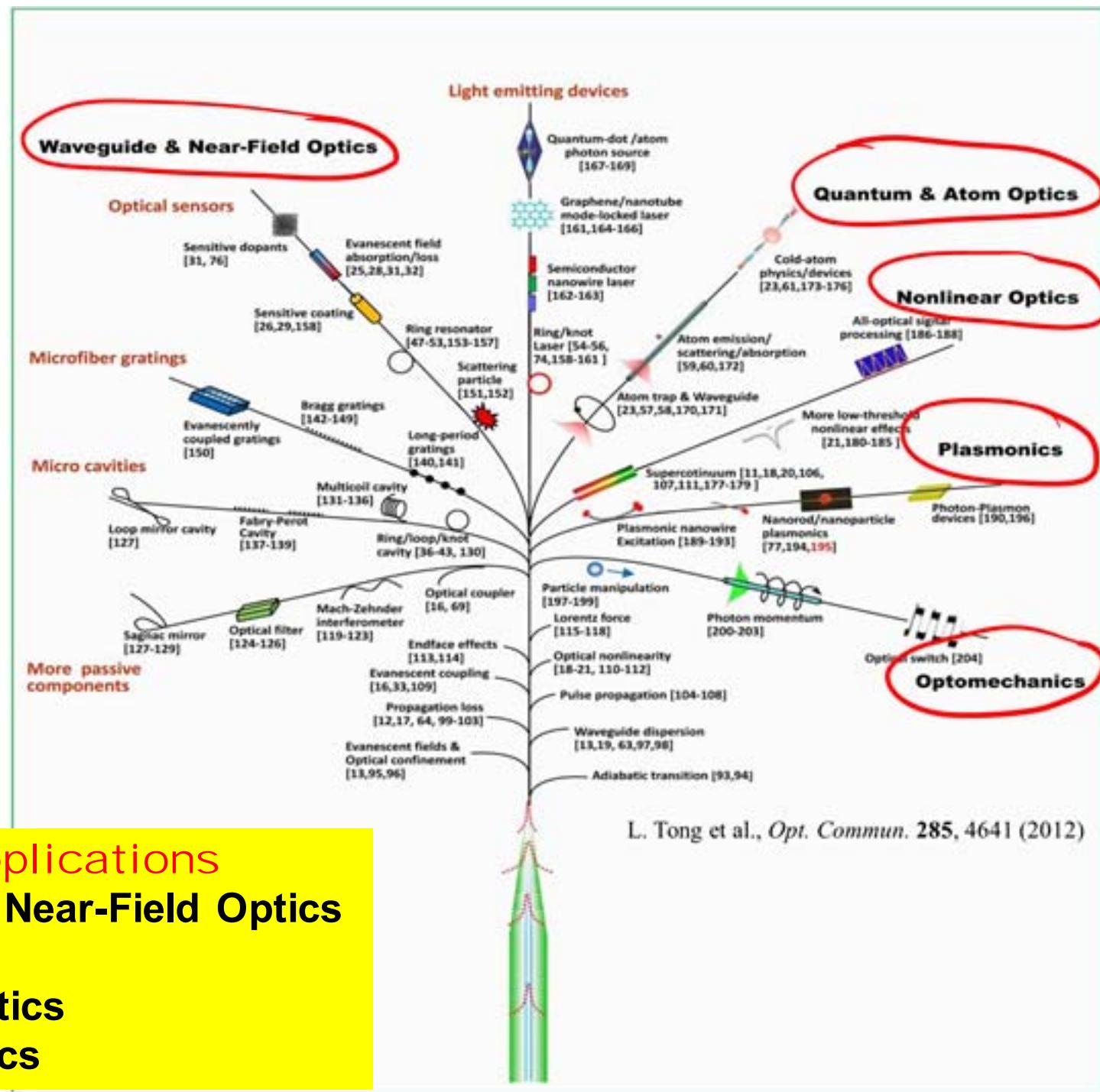
- 2. Optical Properties

- 3. Photonic Applications

- Summary

### 3. Photonic Applications

- (1) Waveguide & Near-Field Optics
- (2) Plasmonics
- (3) Nonlinear Optics
- (4) Optomechanics



## Waveguide & Near-Field Optics

### Optical sensors

Sensitive dopants [31, 76]  
Evanescence field absorption/loss [25, 28, 31, 32]

Sensitive coating [26, 29, 158]

Ring resonator [47-53, 153-157]

Scattering particle [151, 152]

### Microfiber gratings

Evanescence coupled gratings [150]

Bragg gratings [142-149]

Long-period gratings [140, 141]

### Micro cavities

Loop mirror cavity [127]

Fabry-Perot Cavity [137-139]

Multicavity [131-136]

Ring/loop/knot cavity [36-43, 130]

### More passive components

Sagnac mirror [127-129]

Optical filter [124-126]

Mach-Zehnder interferometer [119-123]

Optical coupler [16, 69]

Endface effects [113, 114]  
Evanescence coupling [16, 33, 109]

Propagation loss [12, 17, 64, 99-103]

Evanescence fields & Optical confinement [13, 95, 96]

### Light emitting devices

Quantum-dot / atom photon source [167-169]

Graphene/nanotube mode-locked laser [161, 164-166]

Semiconductor nanowire laser [162-163]

Ring/knot Laser [54-56, 74, 158-161]

Atom trap & Waveguide [23, 57, 58, 170, 171]

All-optical signal processing [186-188]

More low-threshold nonlinear effects [21, 180-185]

### Quantum & Atom Optics

### Nonlinear Optics

Supercontinuum [11, 18, 20, 106, 107, 111, 177-179]

Plasmonic nanowire excitation [189-193]

Nanorod/nanoparticle plasmonics [77, 194, 195]

Particle manipulation [197-199]

Lorentz force [115-118]

Optical nonlinearity [18-21, 110-112]

Pulse propagation [104-108]

Waveguide dispersion [13, 19, 63, 97, 98]

Adiabatic transition [93, 94]

### Plasmonics

Photon-Plasmon devices [190, 196]

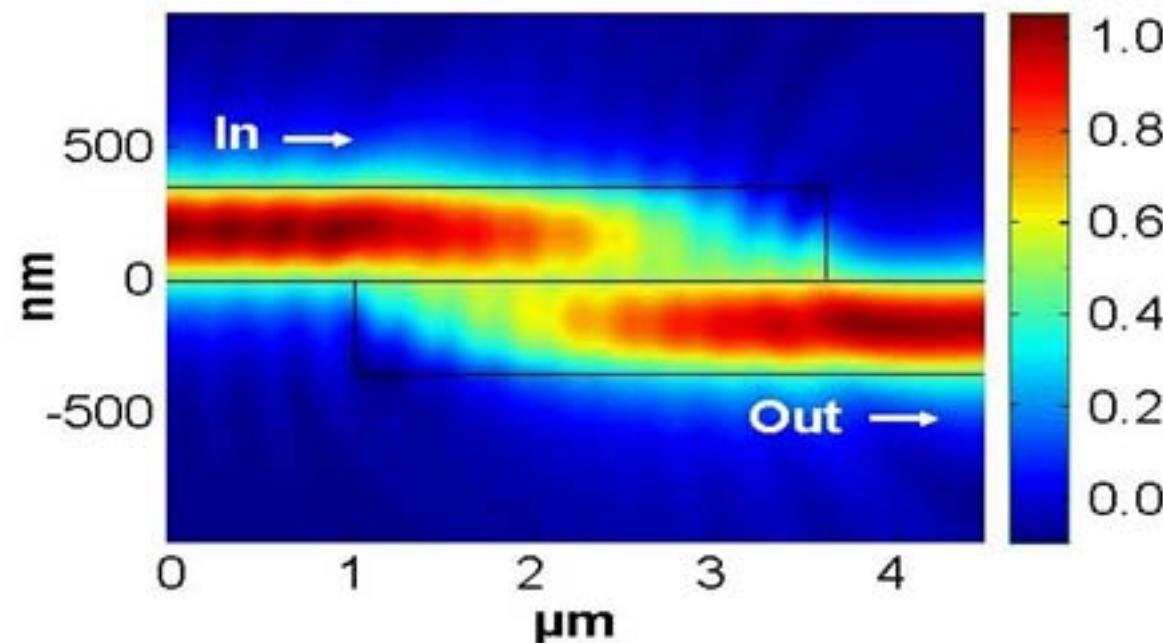
### Optomechanics

# (1) Waveguide & Near-field Optics

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## Near-field coupling between two nanofibers

High fraction of evanescent field → Strong near-field interaction



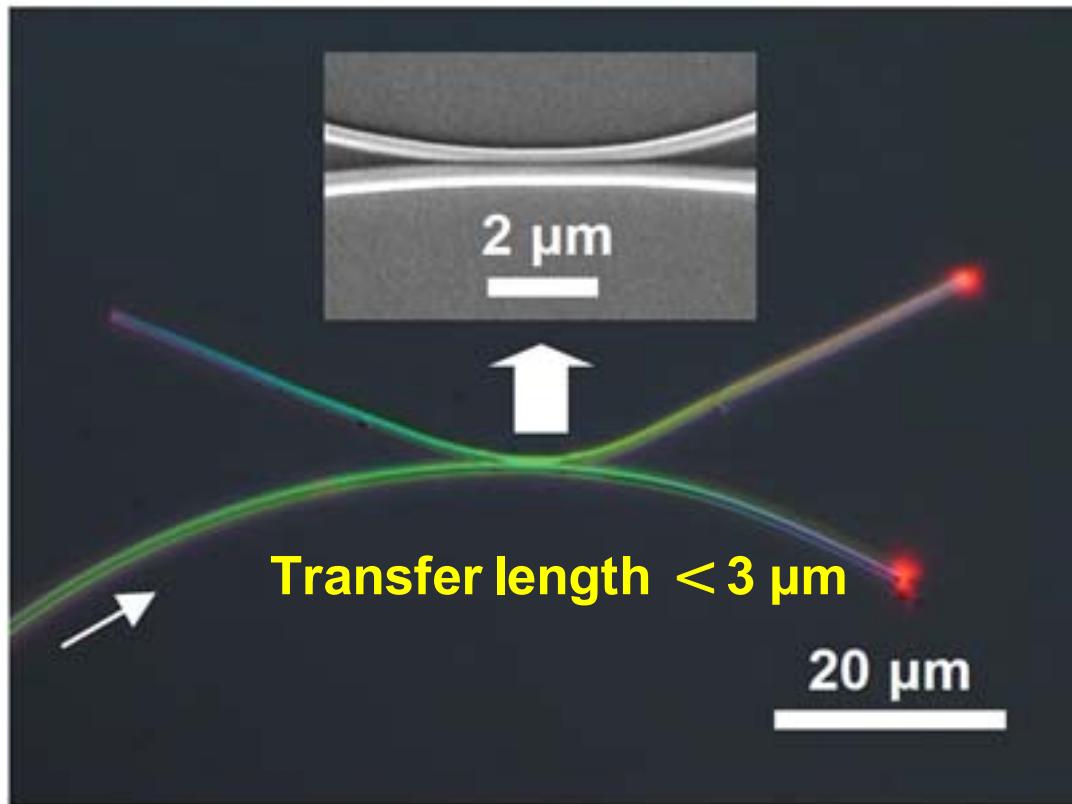
3D-FDTD simulation of two closely contacted silica microfibers  
( $D_1=D_2=350$  nm)

# (1) Waveguide & Near-field Optics

## Near-field coupling between two nanofibers

- Micro-coupler

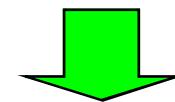
Micro-coupler assembled with two tellurite nanofibers on a silica wafer



Fiber diameter: 350/450 nm

Working wavelength: 633 nm

Overlapping < 3 μm



3-dB splitter

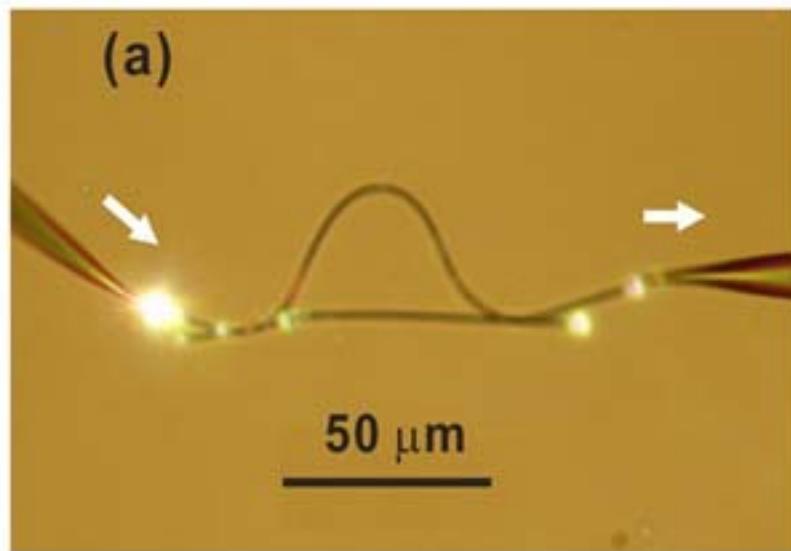
# (1) Waveguide & Near-field Optics

---

## Near-field coupling between two nanofibers

- Tiny Mach-Zehnder interferometer

**When two micro-couplers are assembled in**



MZI assembled with two 480-nm-diameter tellurite nanofibers on a  $\text{MgF}_2$  substrate

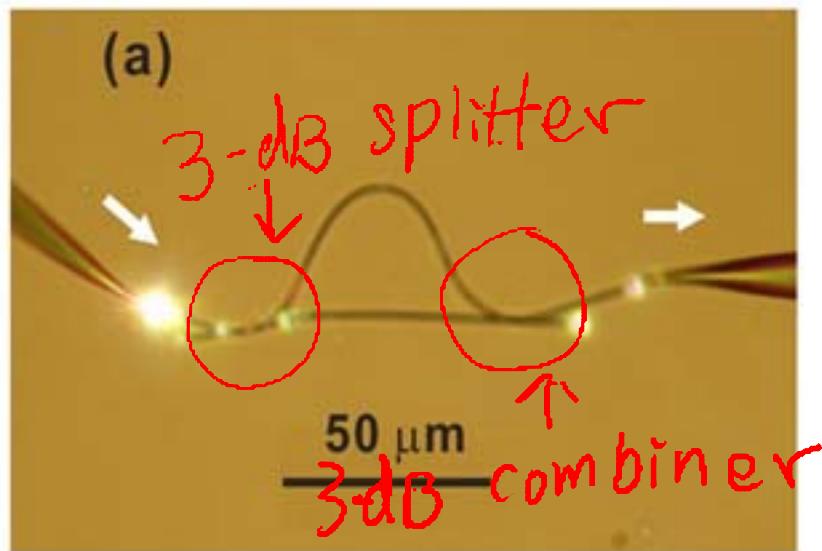
# (1) Waveguide & Near-field Optics

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## Near-field coupling between two nanofibers

- Tiny Mach-Zehnder interferometer

**When two micro-couplers are assembled in**



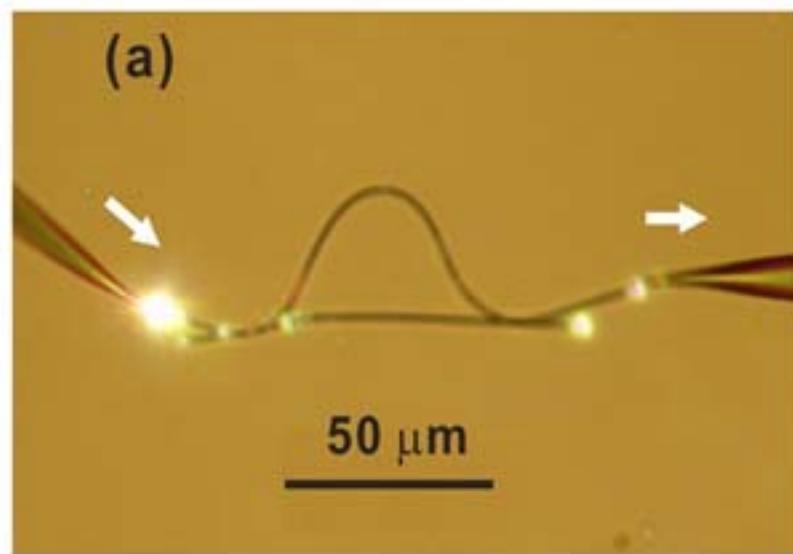
MZI assembled with two 480-nm-diameter tellurite nanofibers on a  $\text{MgF}_2$  substrate

# (1) Waveguide & Near-field Optics

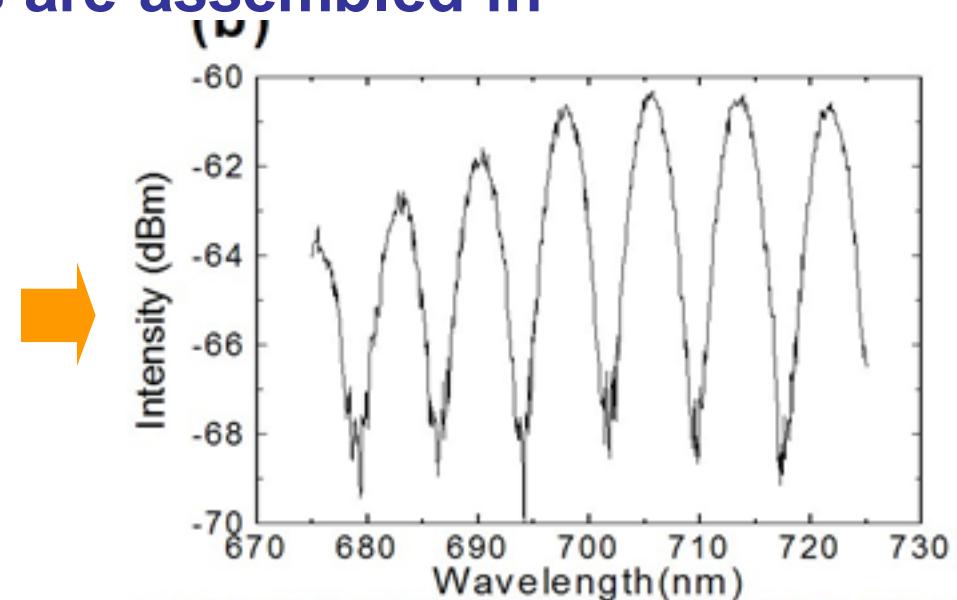
## Near-field coupling between two nanofibers

- Tiny Mach-Zehnder interferometer

**When two micro-couplers are assembled in**



MZI assembled with two 480-nm-diameter tellurite nanofibers on a  $\text{MgF}_2$  substrate



Transmission spectrum of the MZI



**Small footprint and high flexibility**

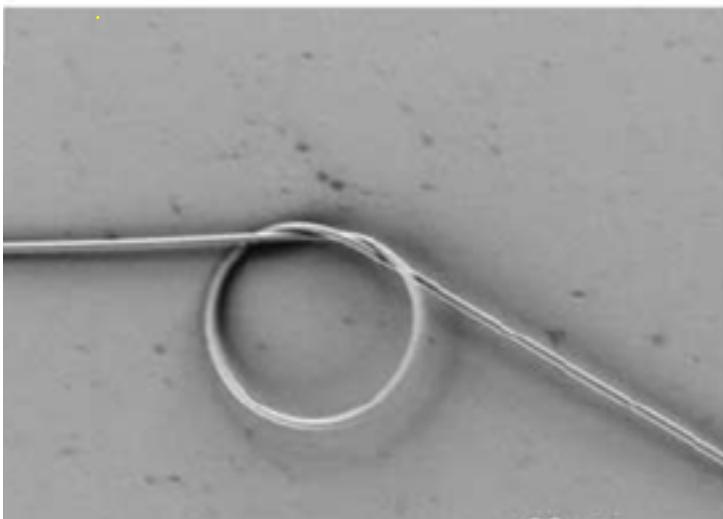
Y. H. Li et al., *Opt. Lett.* **33**, 303 (2008)

# (1) Waveguide & Near-field Optics

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## Near-field coupling between two nanofibers

- Micro resonator



**Tie a microfiber into a loop or knot → ring resonator**

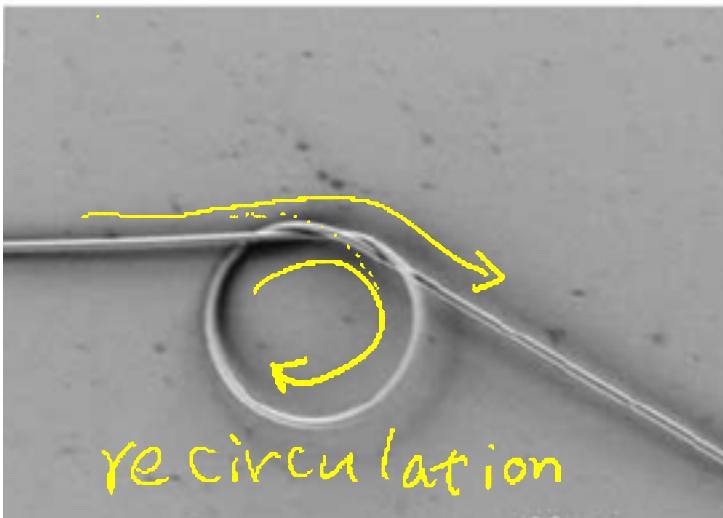
# (1) Waveguide & Near-field Optics

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## Near-field coupling between two nanofibers

- Micro resonator

**Tie a microfiber into a loop or knot → ring resonator**



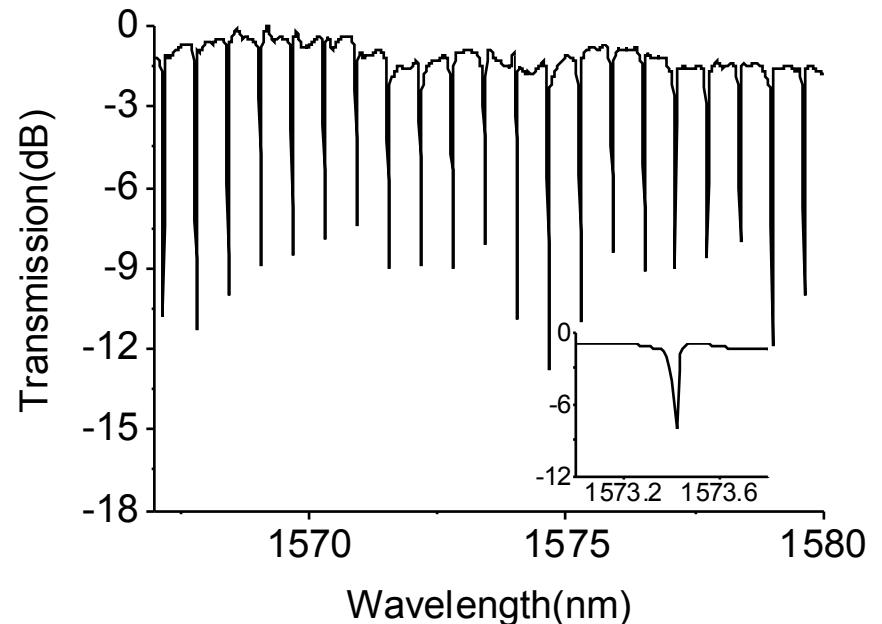
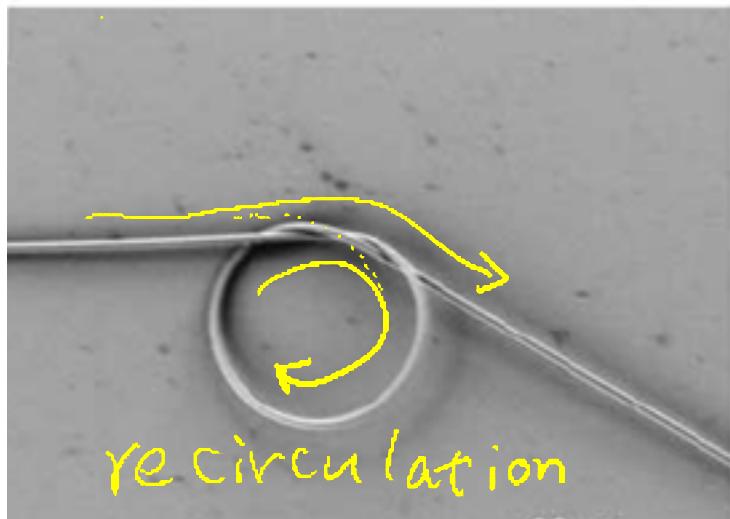
# (1) Waveguide & Near-field Optics

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## Near-field coupling between two nanofibers

- Micro resonator

**Tie a microfiber into a loop or knot → ring resonator**

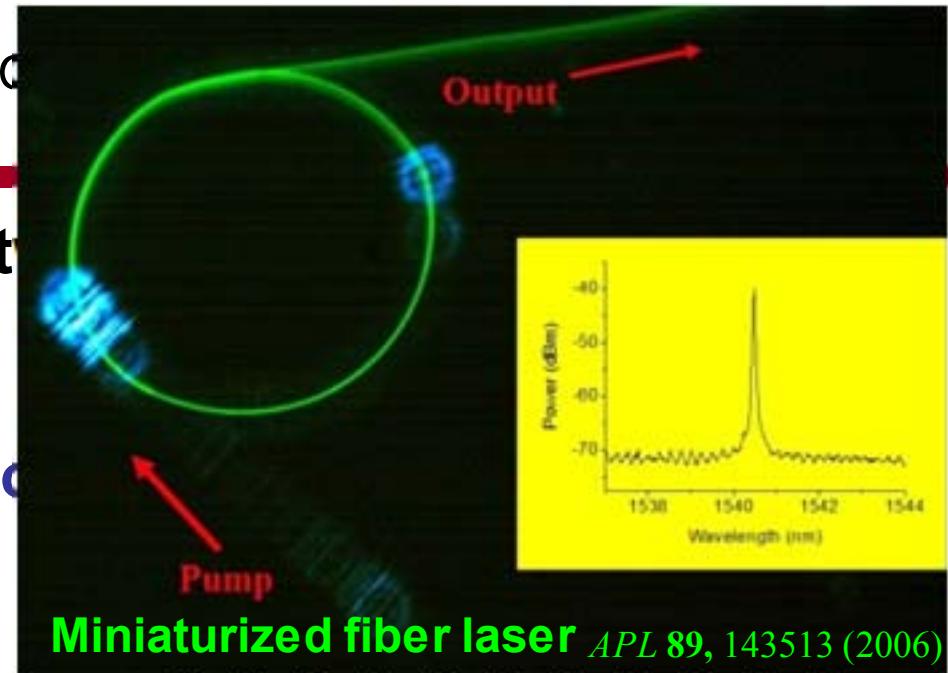
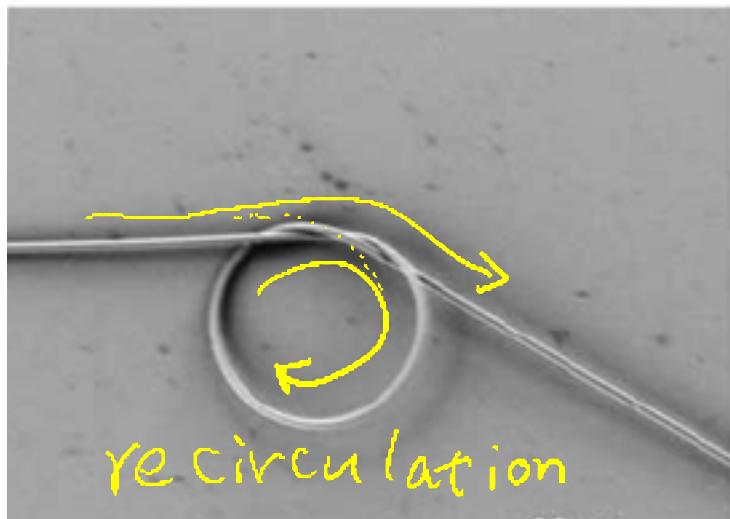


## (1) Waveguide & Near-field

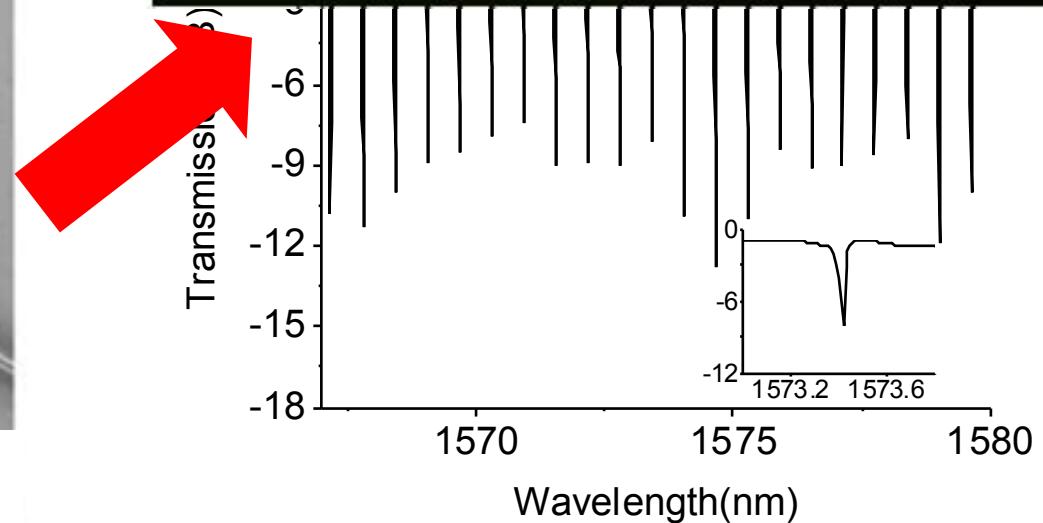
### Near-field coupling between the

- Micro resonator

Tie a microfiber into a loop or



Miniaturized fiber laser *APL 89, 143513 (2006)*

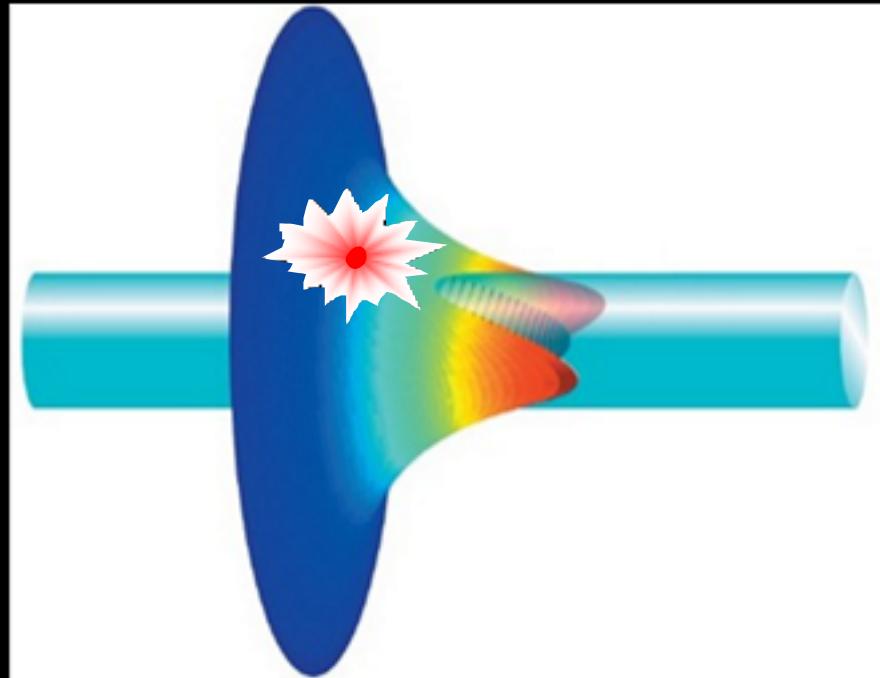


X. S. Jiang et al., *Appl. Phys. Lett.* **88**, 223501(2006)  
X. S. Jiang et al., *Appl. Phys. Lett.* **89**, 143513(2006)

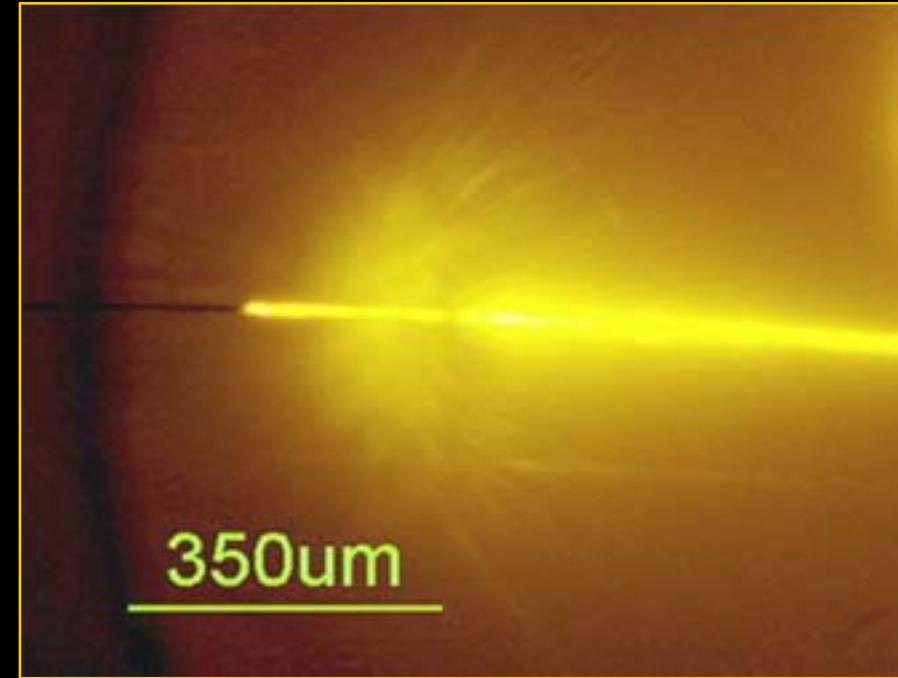
## (1) Waveguide & Near-field Optics

- Micro Lasers : **Microfiber dye laser**

### (1) silica microfiber – laser dye molecules



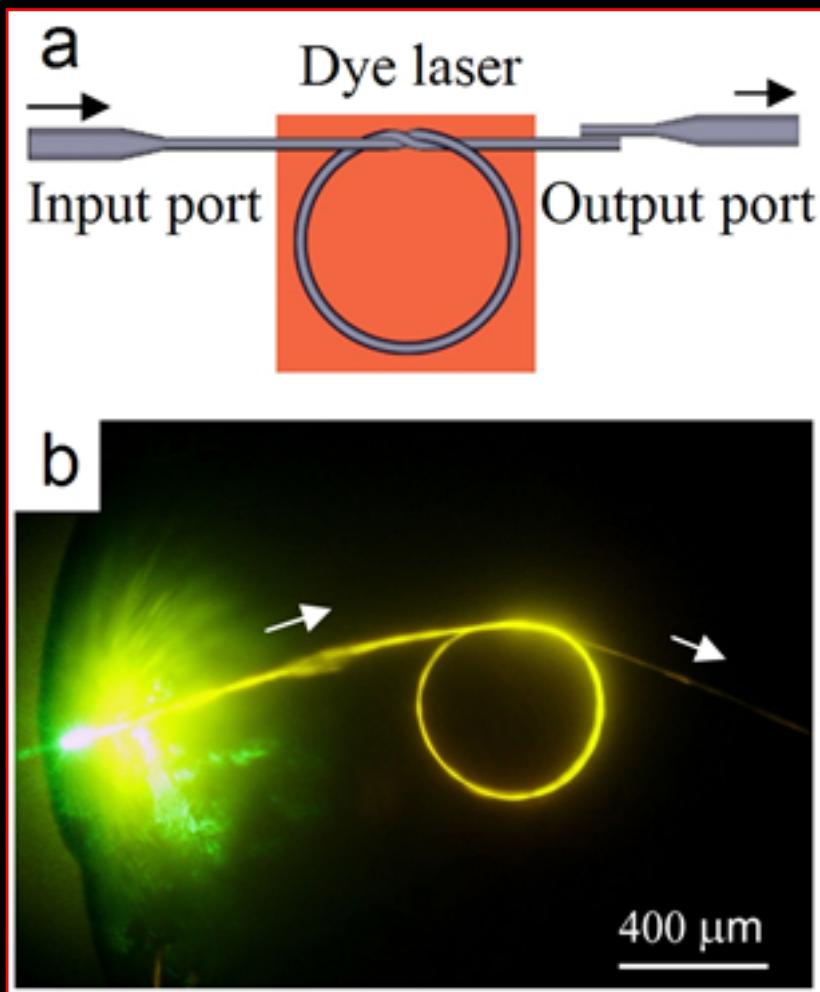
**Near-field excitation of dye molecules**



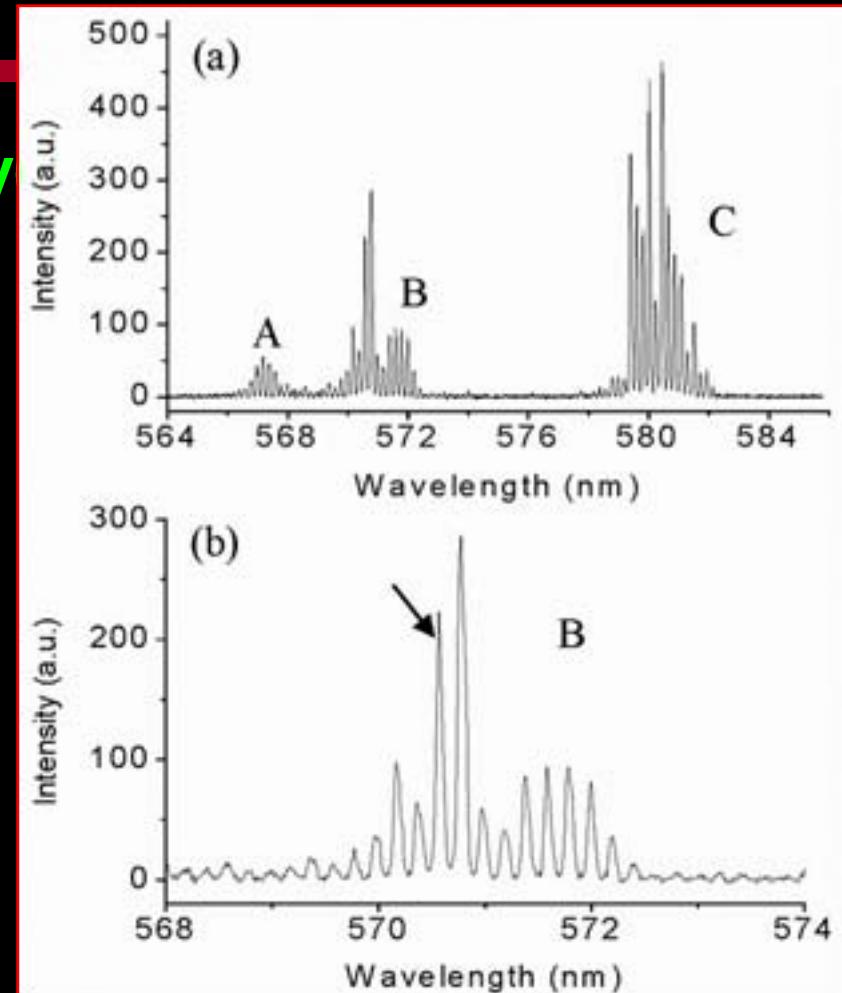
R6G dye solution excited by a 532-nm-wavelength light guided along a 3-um-diameter silica microfiber

# (1) Waveguide & Near-field Optics

- Micro Lasers : **Microfiber dye**



Silica microfiber knot dye laser:  
(R6G) solution: 5 mM/l, Pump wavelength: 532 nm

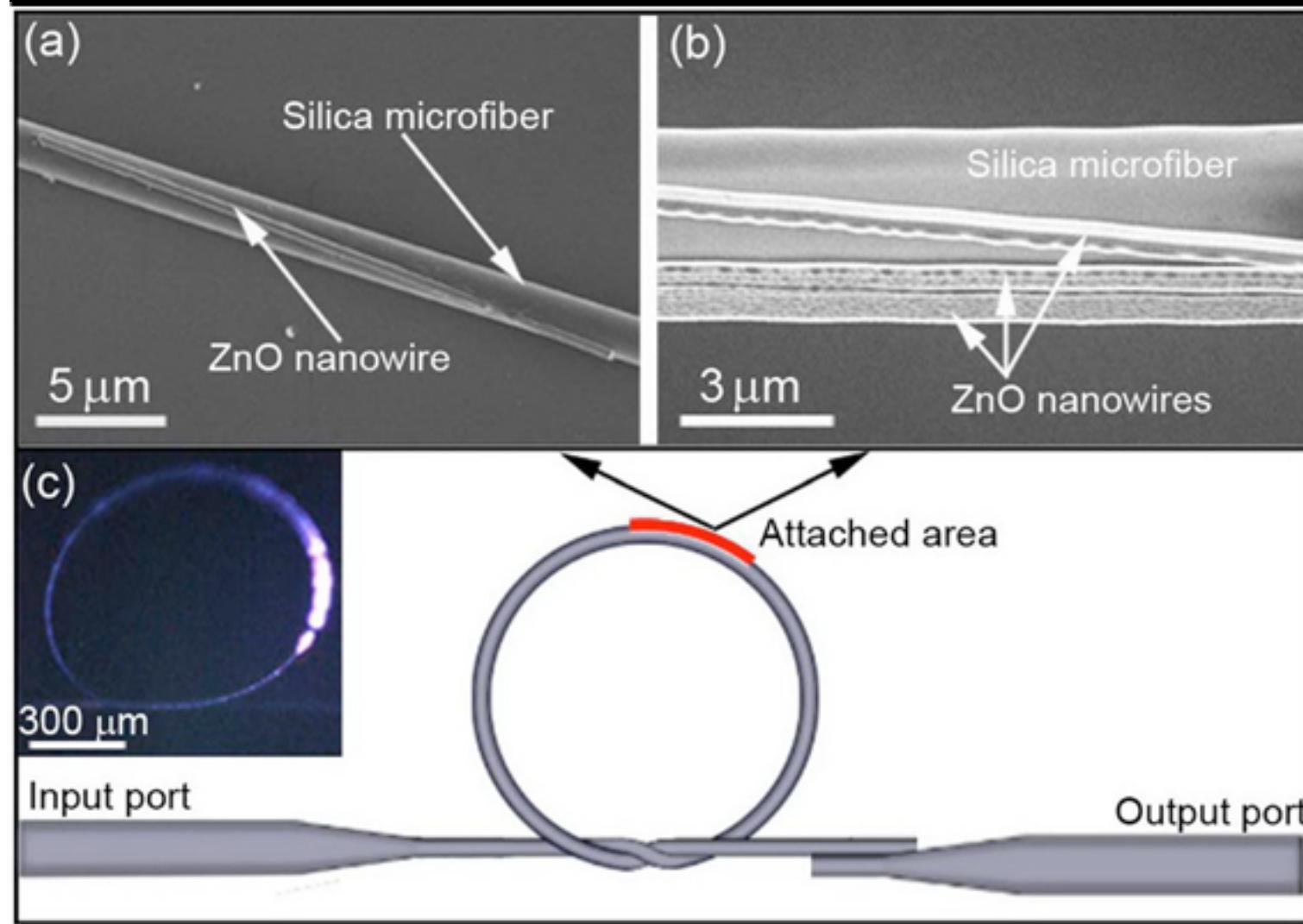


Laser emission from a 350- $\mu\text{m}$ -diameter microfiber knot dye laser (fiber diameter  $\sim 3.9 \mu\text{m}$ ) . Threshold 10  $\mu\text{J}/\text{pulse}$ , Q 10,000

X. Jiang et al., *Appl. Phys. Lett.* **90**, 233501 (2007)

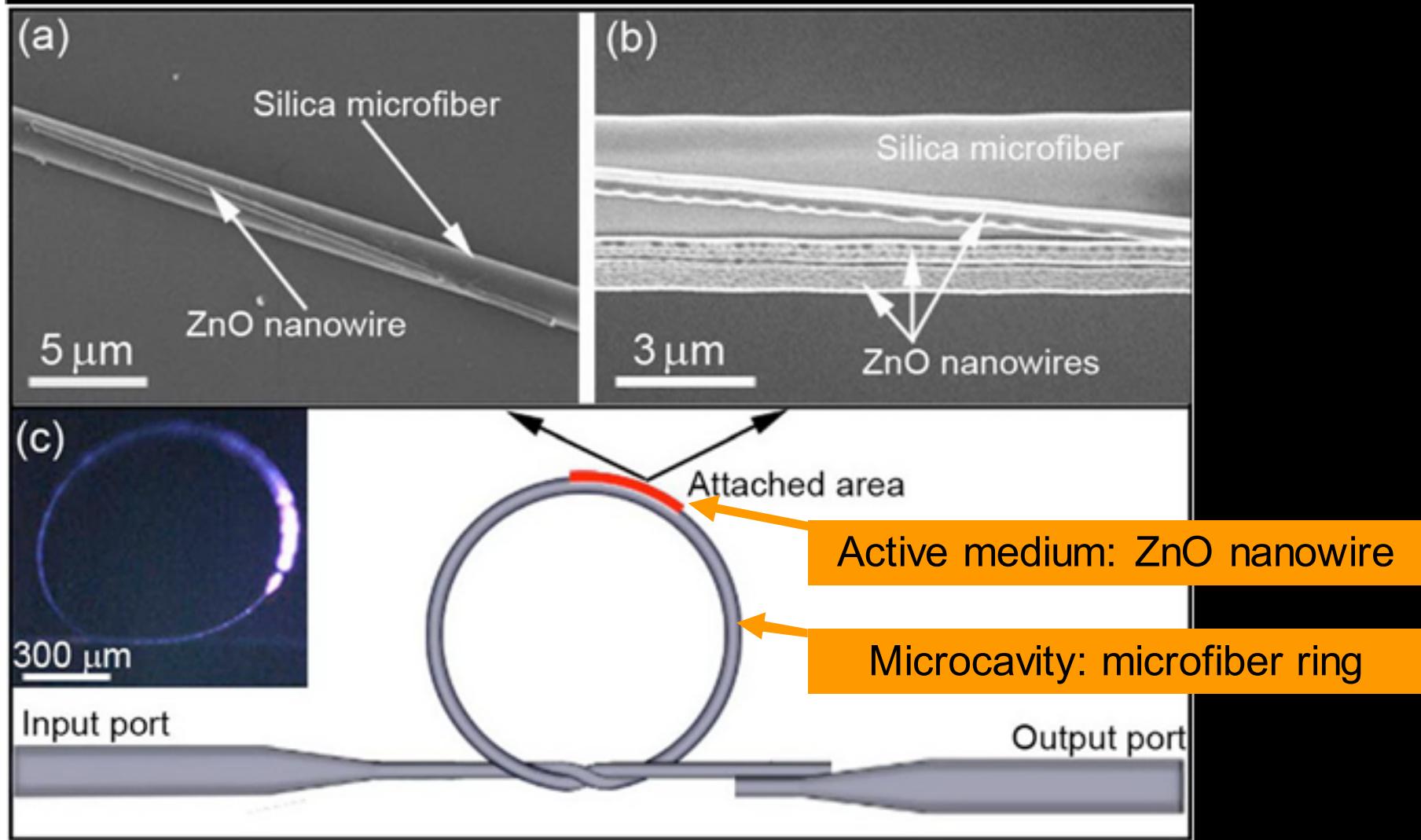
## (1) Waveguide & Near-field Optics

- Micro Lasers : **Microfiber-ZnO-nanowires laser**



## (1) Waveguide & Near-field Optics

- Micro Lasers : **Microfiber–ZnO-nanowires laser**

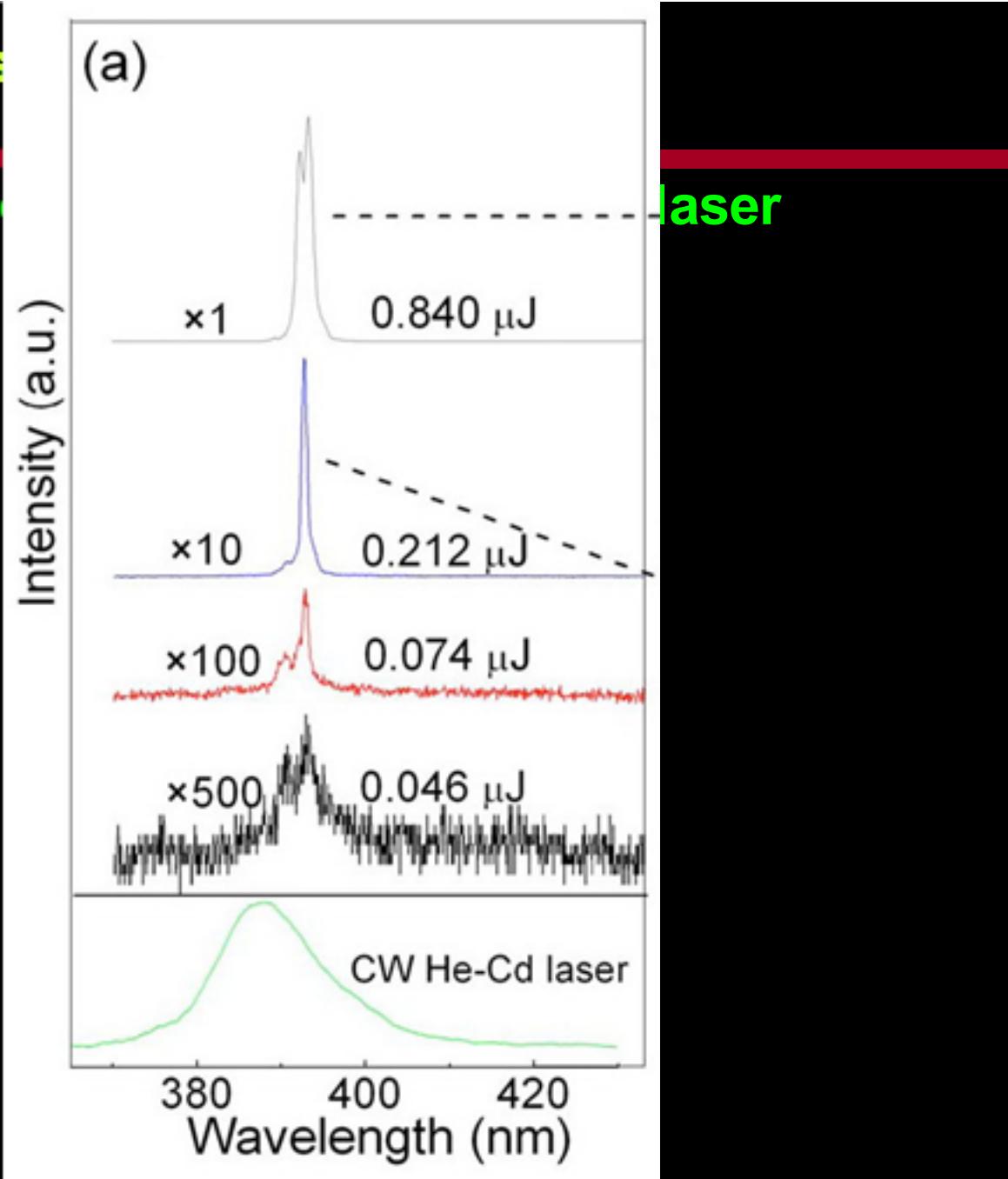
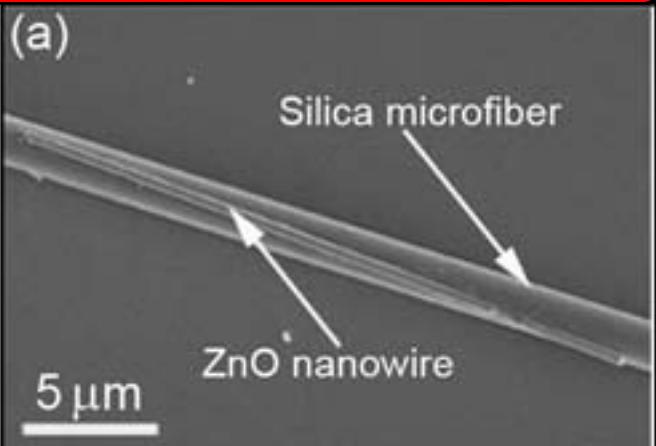


## (1) Near-field Optics

- Micro Lasers : **Micro**

Pump pulses:  
355 nm, 6 ns, 10 Hz

Hybrid nanowire lasers

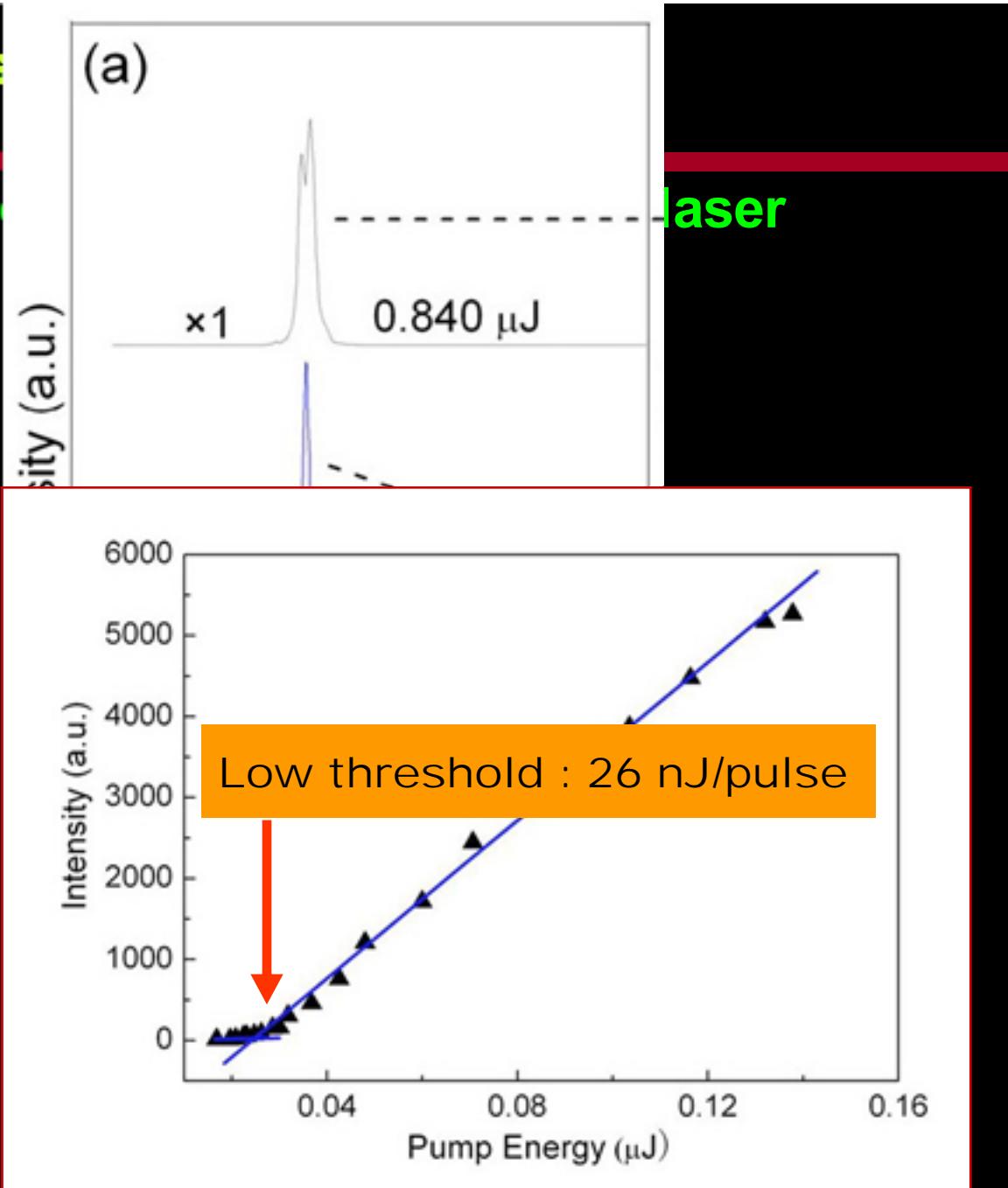
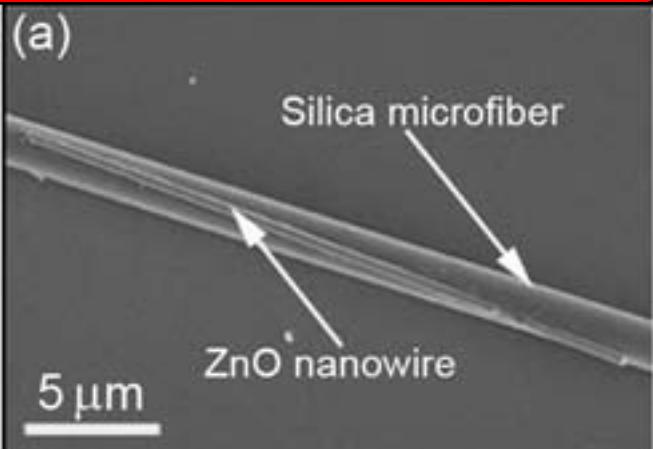


## (1) Near-field Optics

- Micro Lasers : **Micro**

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Hybrid nanowire lasers

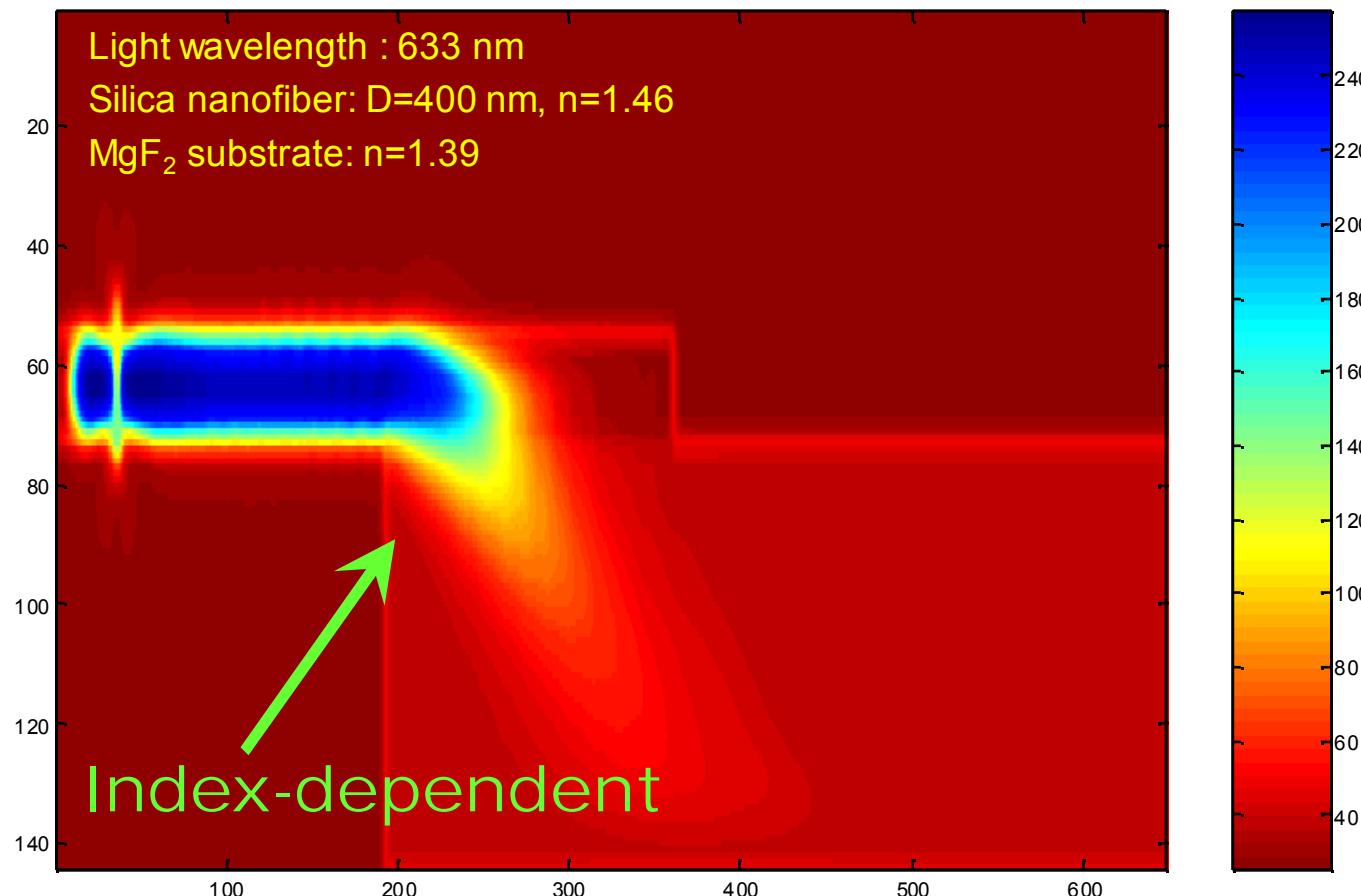


# (1) Waveguide & Near-field Optics

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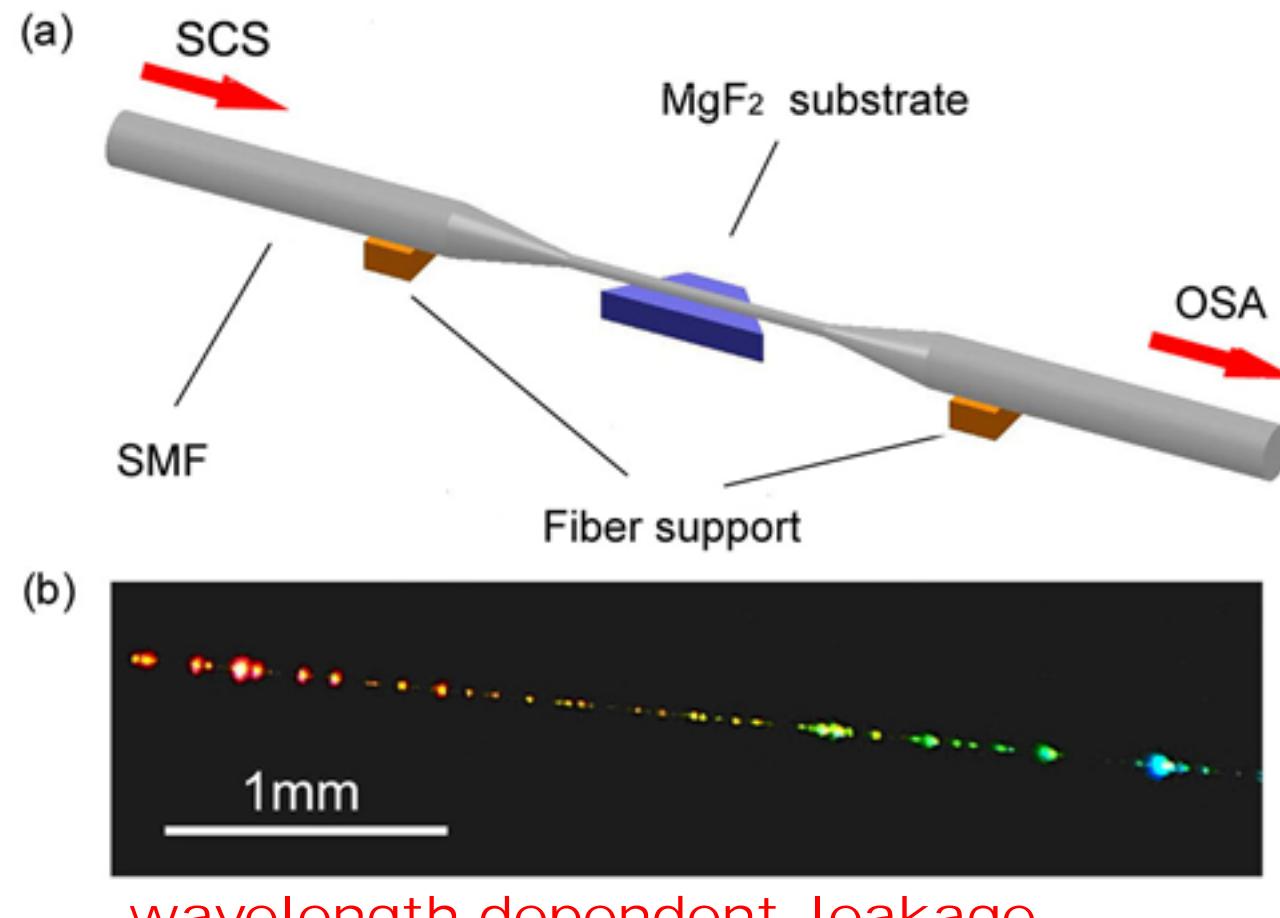
- Substrate induced leakage

## 3-D FDTD simulation



# (1) Waveguide & Near-field Optics

- Micro filters  
**silica micro/nanofiber – MgF<sub>2</sub> substrate**

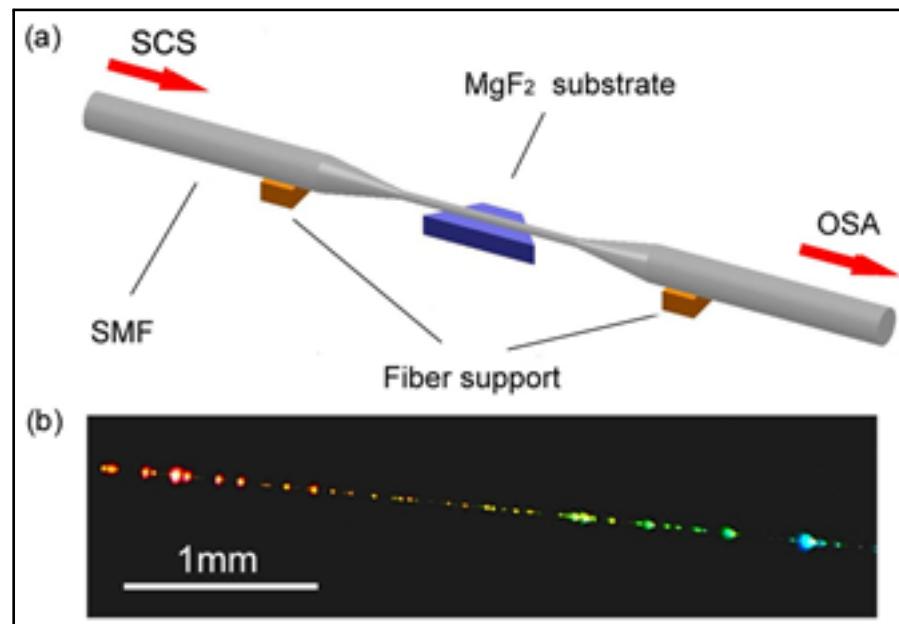


Y. Chen et al., *Opt. Lett.* **33**, 2565 (2008)

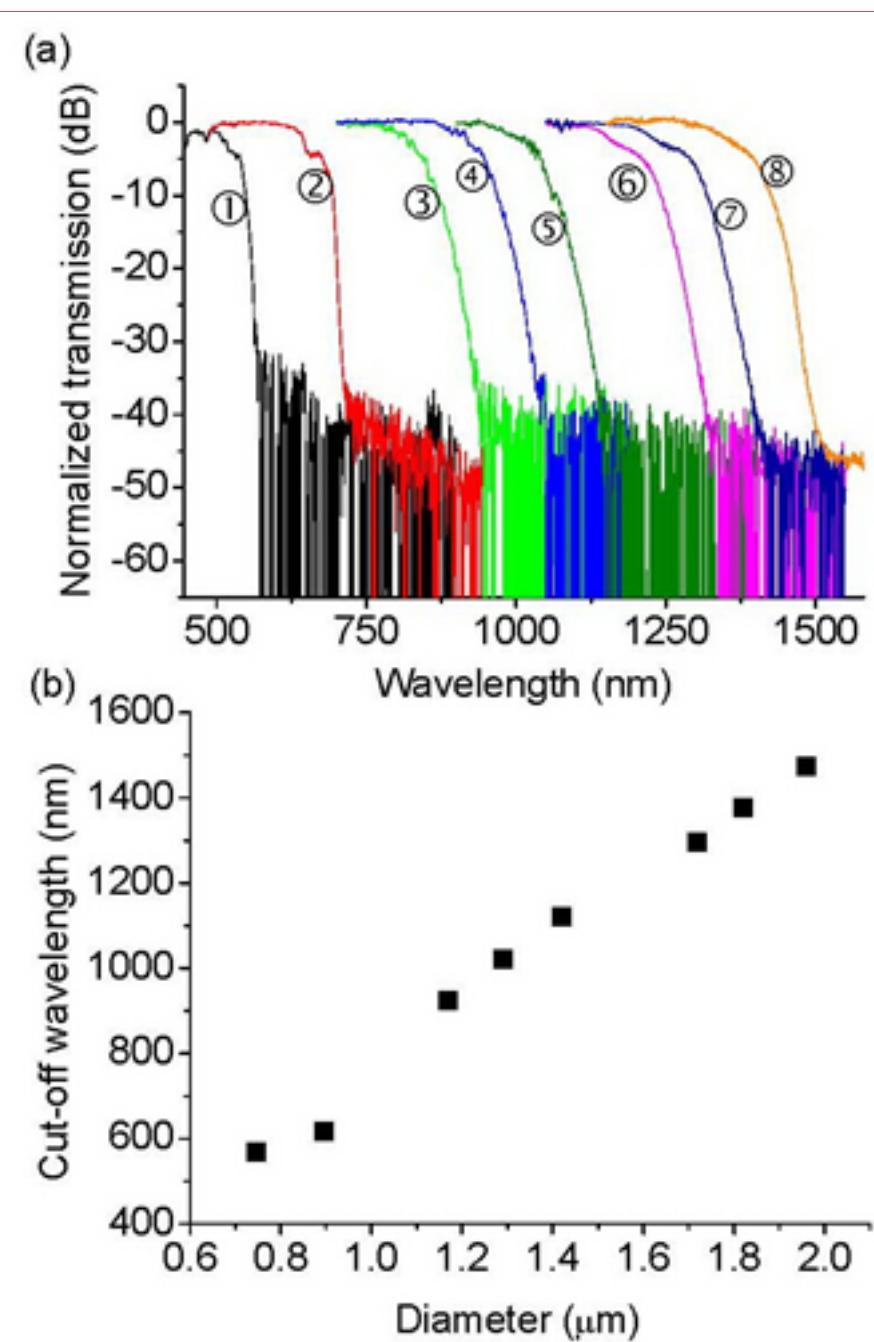
# (1) Waveguide & Near-field

- Micro filters

Short-pass filter



(a) Normalized transmission spectra with microfiber diameters of ①0.75, ②0.88, ③1.17, ④1.29, ⑤1.42, ⑥1.72, ⑦1.82, ⑧1.96  $\mu\text{m}$ . The interaction length is 1.1 mm. (b) Cutoff wavelength versus microfiber diameter.

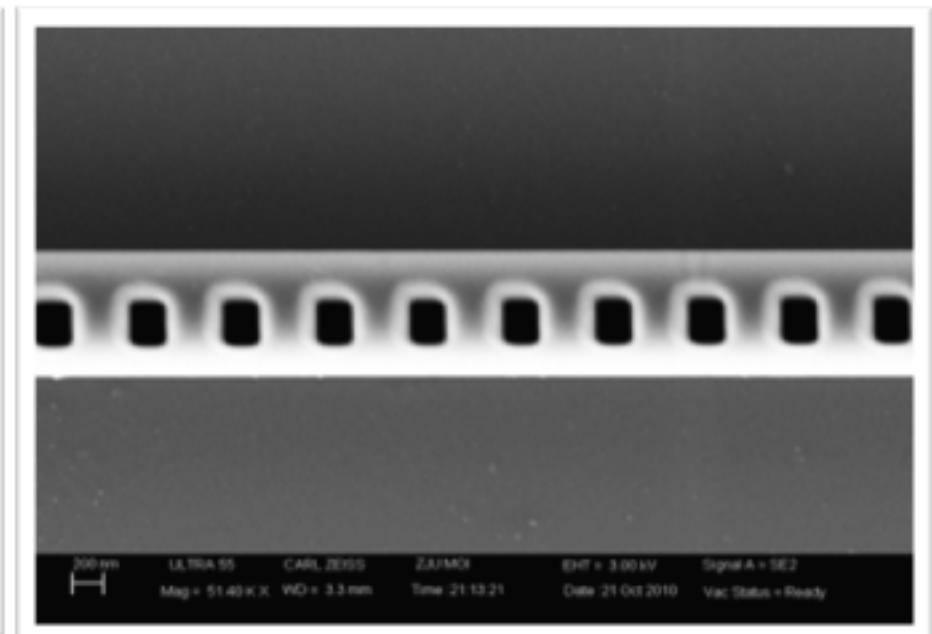
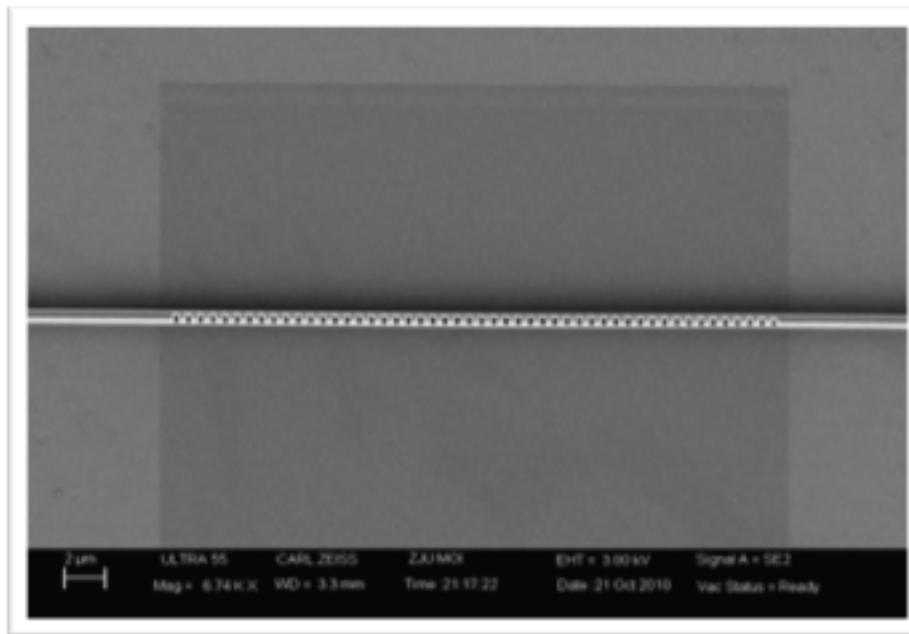


# MNF Bragg Gratings

## Ultra-compact microfiber Bragg gratings

Fabrication: Focused ion beam milling of an as-drawn microfiber

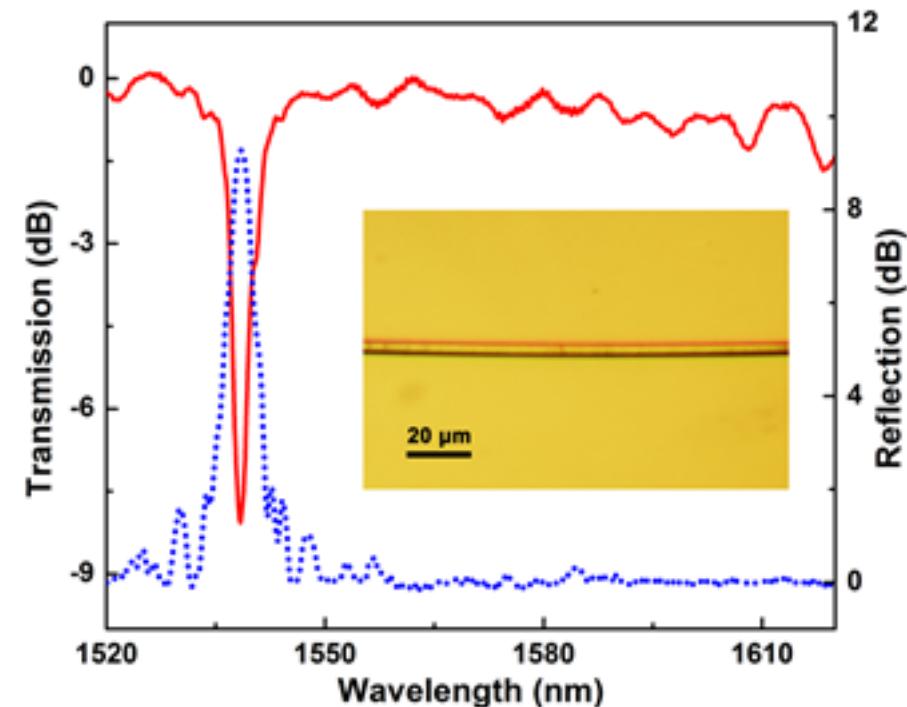
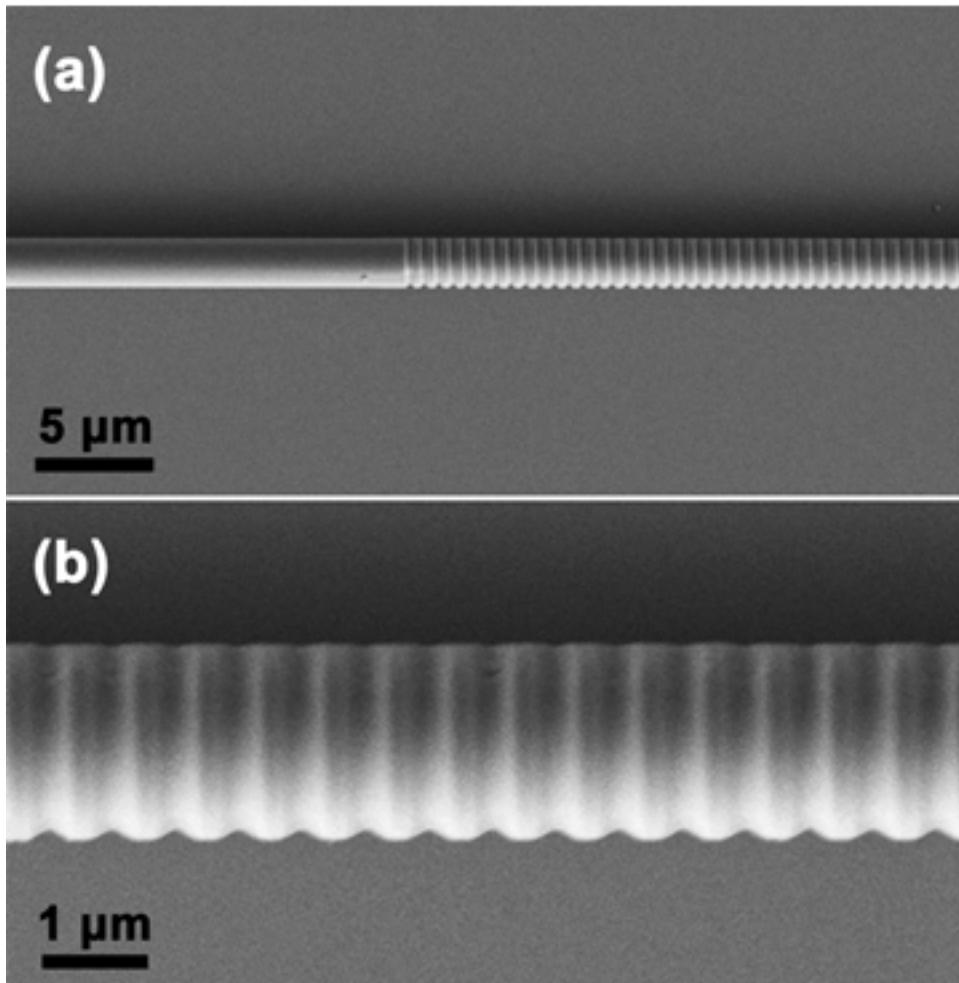
Fabricate nanoholes or grooves on single nanofibers



# MNF Bragg Gratings

## Ultra-compact microfiber Bragg gratings

Periodical grooves



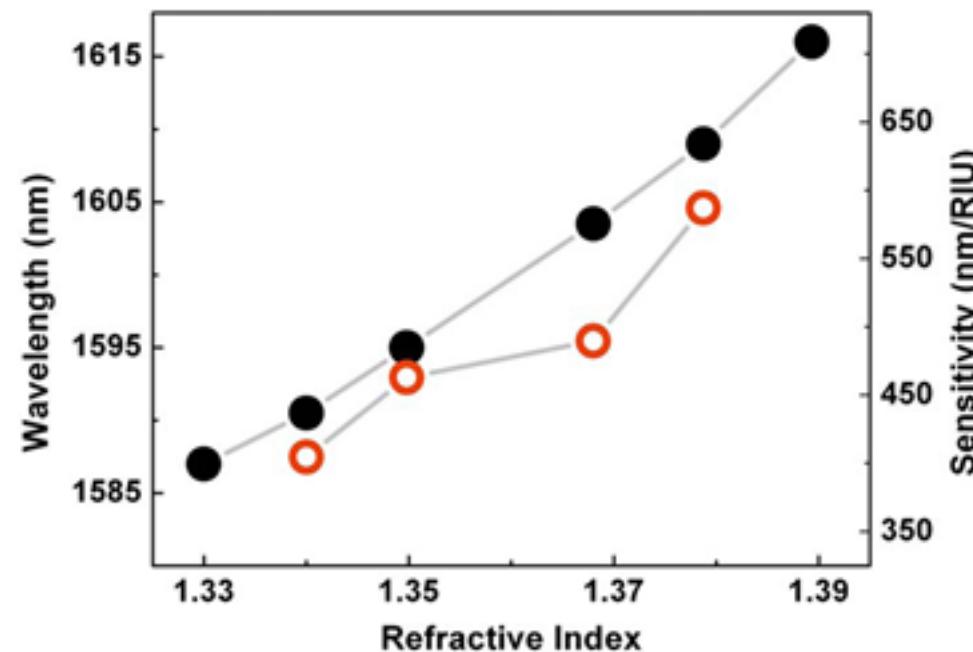
Fiber Diameter: 1.8 μm  
Groove depth: 100 nm  
Grating period: 578 nm  
Grating length: 550 μm

92  
Y. X. Liu et al., Opt. Lett. 36, 3115-3117 (2011)

# MNF Bragg Gratings

## Microfiber optical sensors with high sensitivity and compactness

Refractive index sensing in a glycerin solution



**Sensitivity ~ 500nm/RIU**

93

Y. X. Liu et al., Opt. Lett. 36, 3115-3117 (2011)

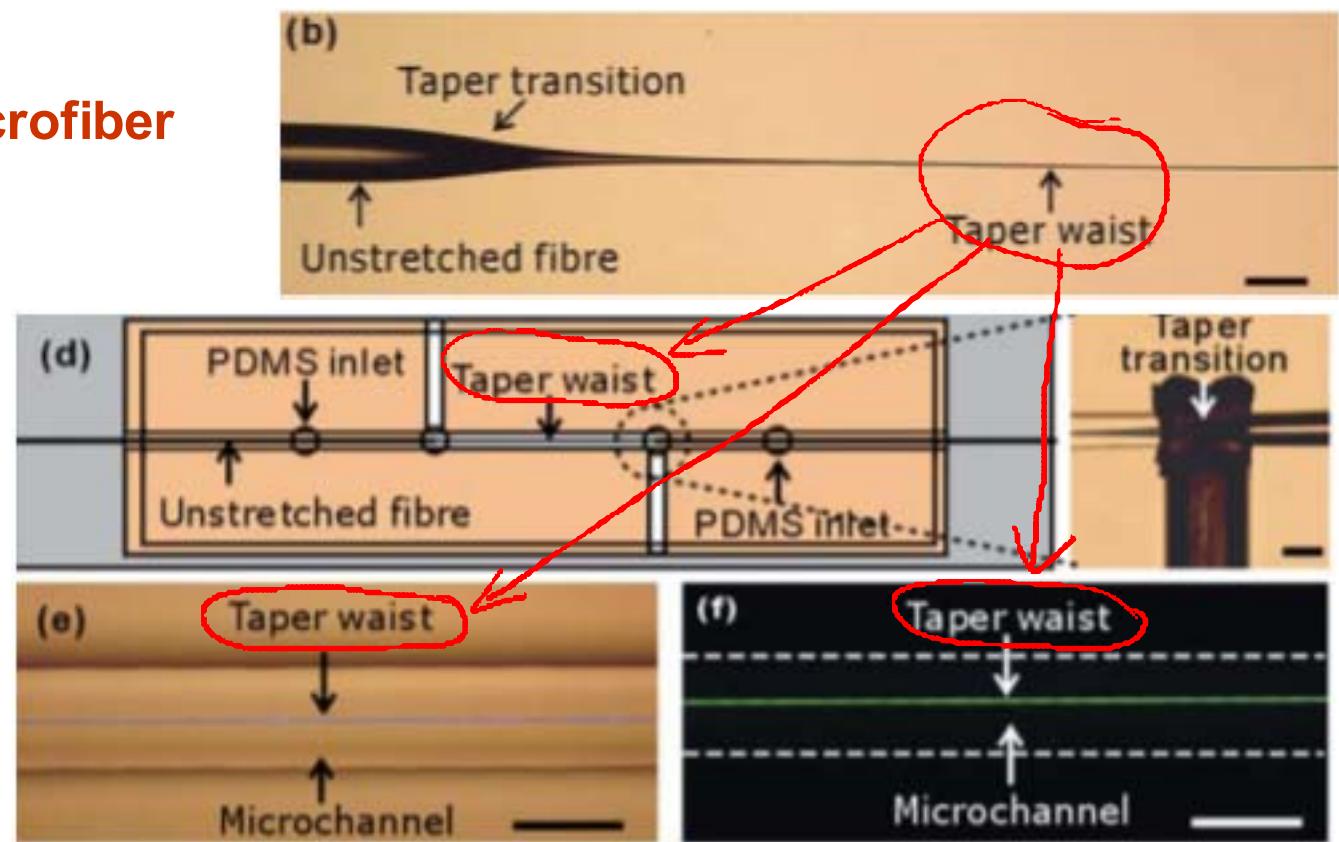
# Microfiber–Microfluidic Optical sensors

Recently, by embedding microfibers in microfluidic chips, we have realized **ultra-sensitive optical sensing** based on waveguiding properties of microfibers

Biconical optical microfiber

embedding

Microfluidic chips



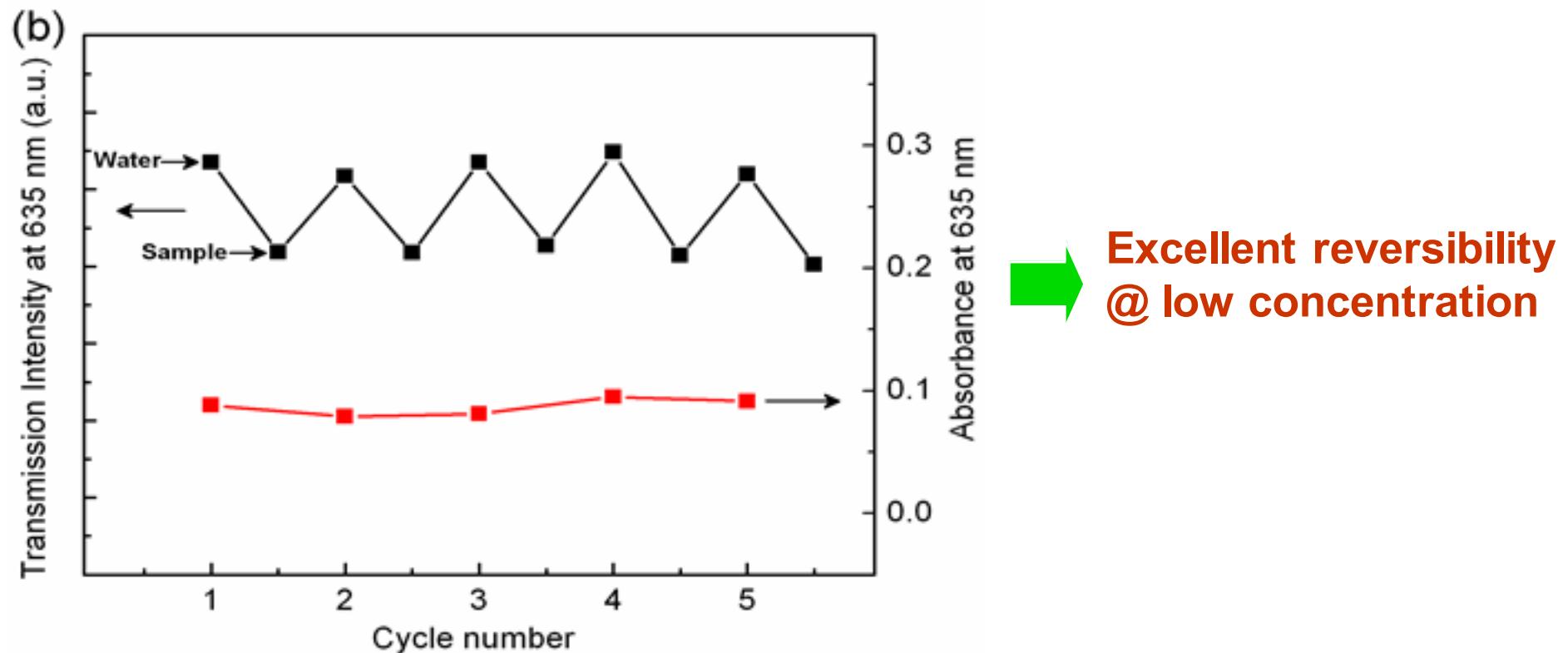
# MNF-Microfluidic Optical sensors

## Microfiber Optical Sensing in Microfluidic Chips

### Cycling measurement:

900-nm-diameter silica microfiber @ 633 nm wavelength

500 pM Methylene blue solutions

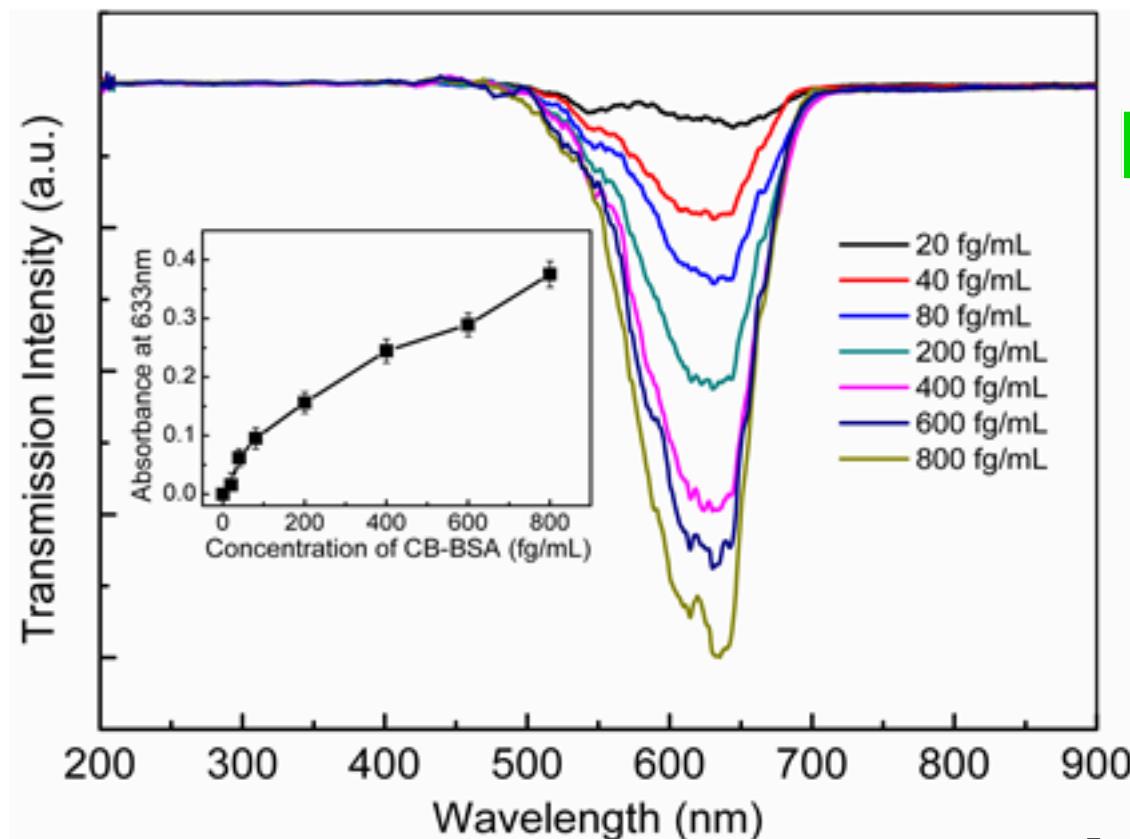


# MNF-Microfluidic Optical sensors

## Microfiber Optical Sensing in Microfluidic Chips

### Detection limit:

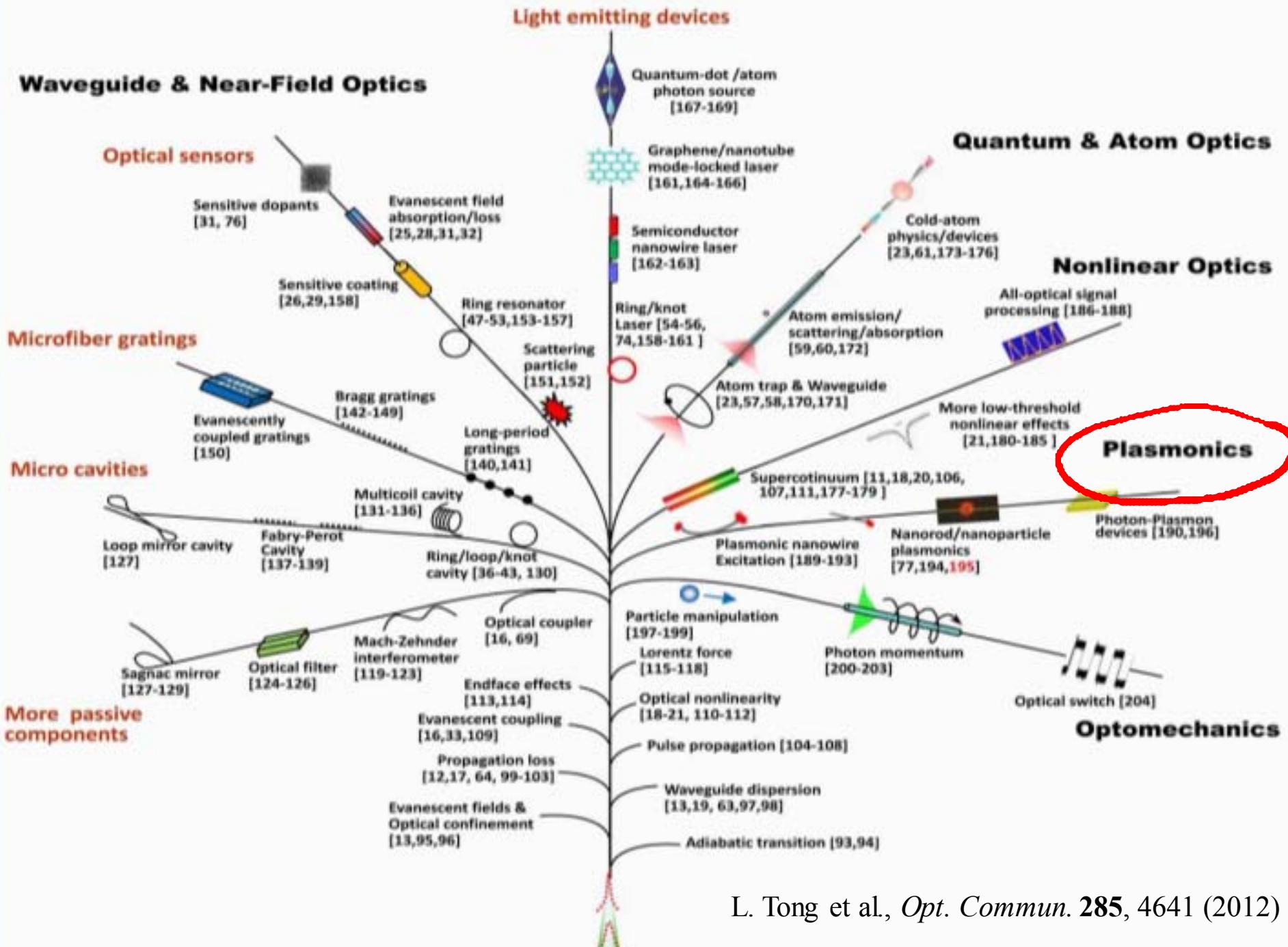
900-nm-diameter silica microfiber @ 633 nm wavelength  
CB-BSA concentrations



**Detection limit → 10 fg/ml**  
**Optical power: 150 nW**

promising for

**Safe detection of single or a few molecules of biological specimens**



## (2) Plasmonics

---

	Photonic	v.s.	Plasmonic
<b>Confinement</b>	Less than $\lambda/5$		Better than $\lambda/10$
<b>Loss</b>	<b>Low</b>		<b>Very high</b>

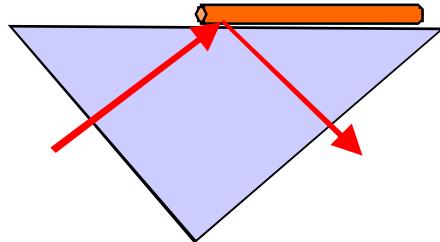


Challenges for using tightly confined plasmonic nanowires

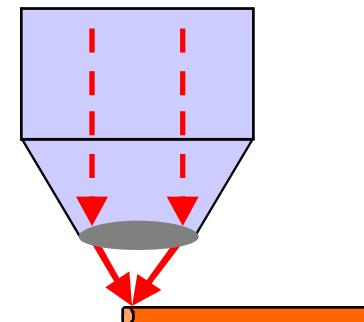
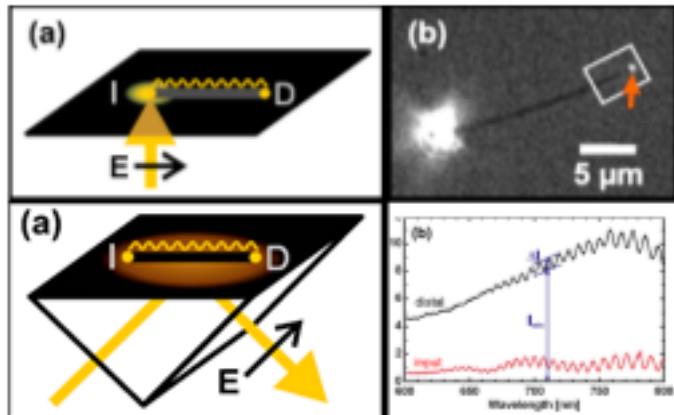
- Efficient excitation of propagation SPP in nanowires
- Balance between loss and confinement
- etc.

# Plasmonic Nanowires

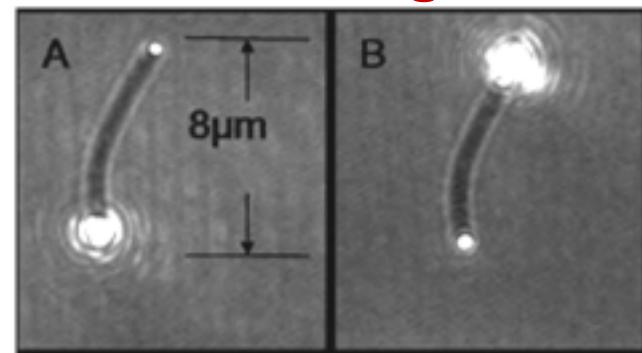
## Excitation of propagation SPP in nanowires



**Prism-coupling**



**Lens-focusing**



H. Ditlbacher et al., Phys. Rev. Lett. **95**, 257403 (2005).

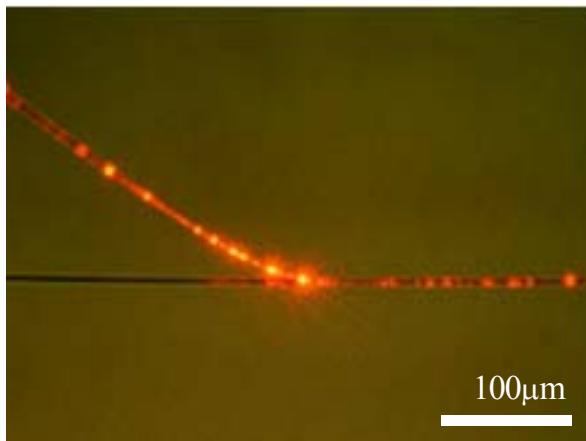
A. W. Sanders et al., Nano Lett. **6**, 1822 (2006).



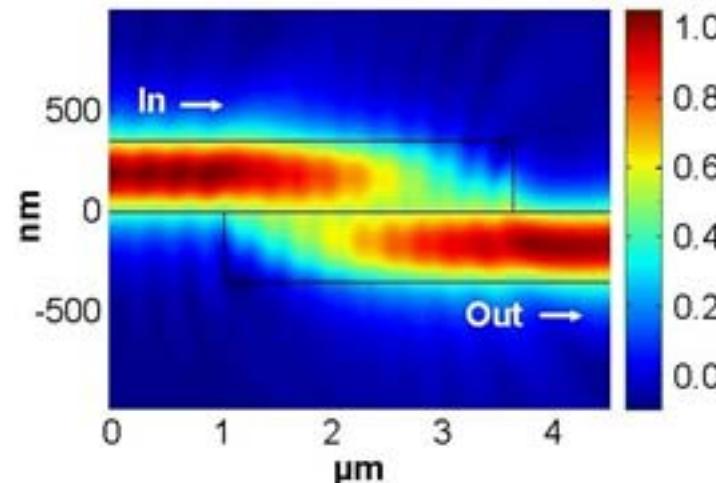
**Require bulk component**  
**Efficiency is not very high**

# Optical Coupling

Near-field optical coupling between photonic nanowires  
is well studied



L. Tong et al., *Nature* **426**, 816 (2003)



L. Tong et al., *Nano Lett.* **5**, 259 (2005)



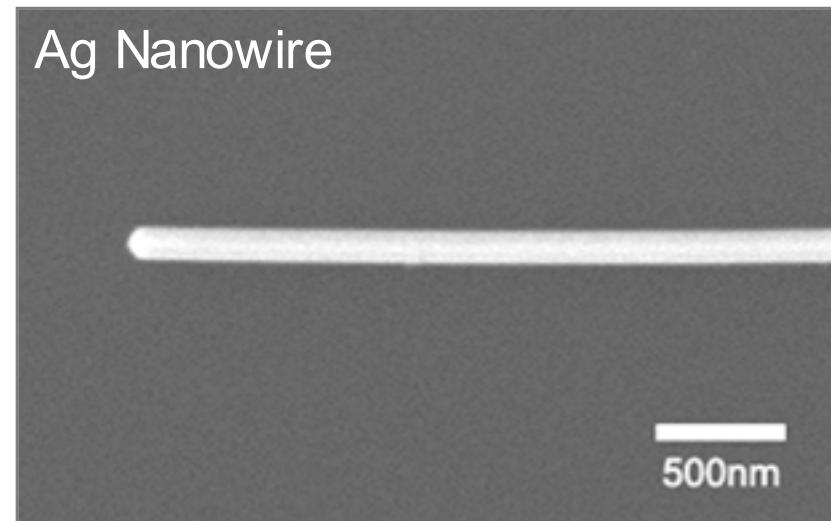
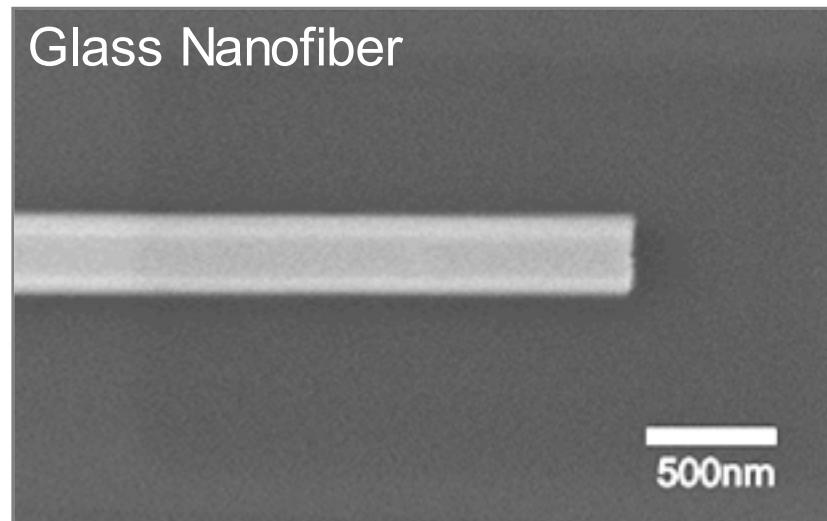
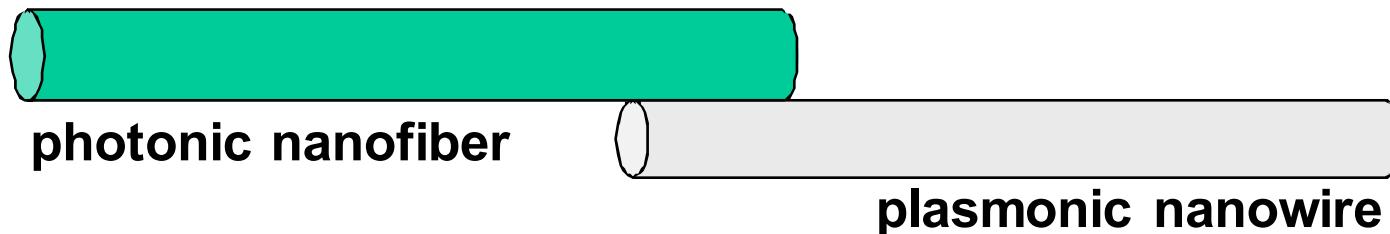
K. Huang et al., *Appl. Opt.* **46**, 1249 (2007)

Compact & high efficiency

# Nanowire Coupling

---

**Can we coupling of plasmonic and photonic nanowires in similar way?**



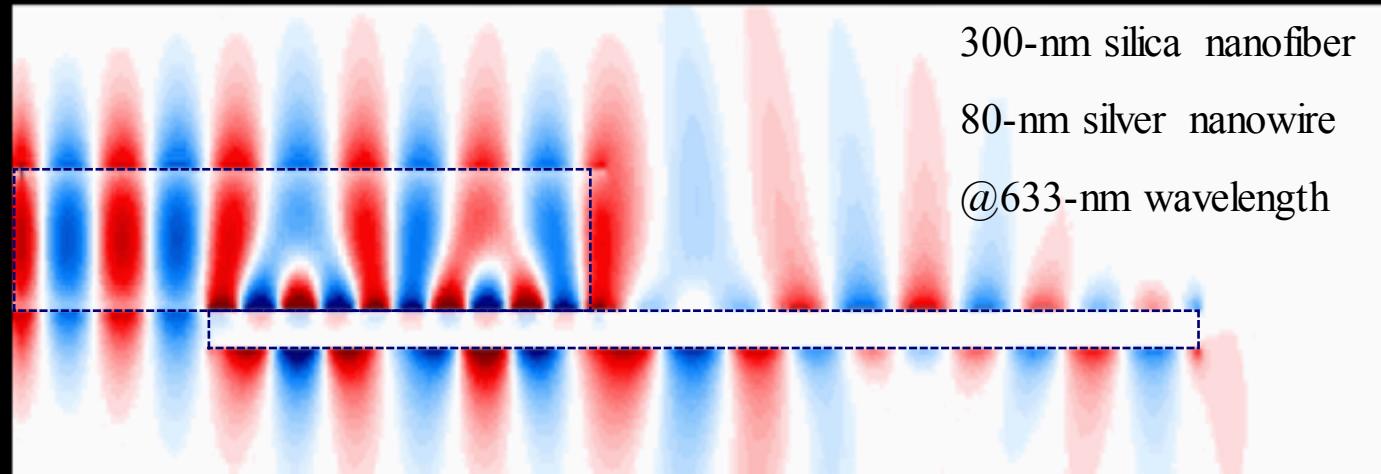
# Nanowire Coupling

**Can we coupling of plasmonic and photonic nanowires in similar way?**

Yes

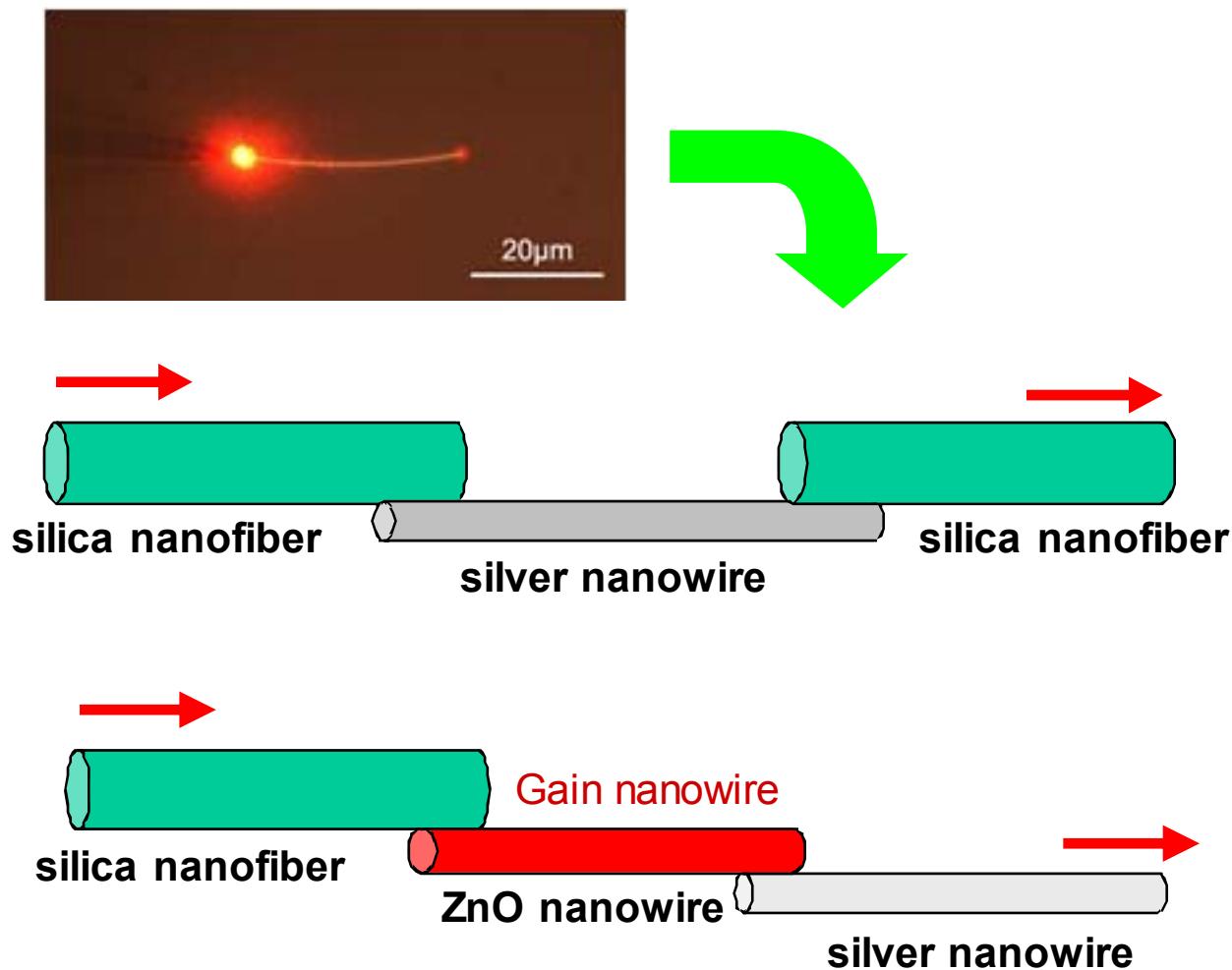
Simulation

Experiments



## (2) Plasmonics

### Hybrid nanofiber-nanowire structure

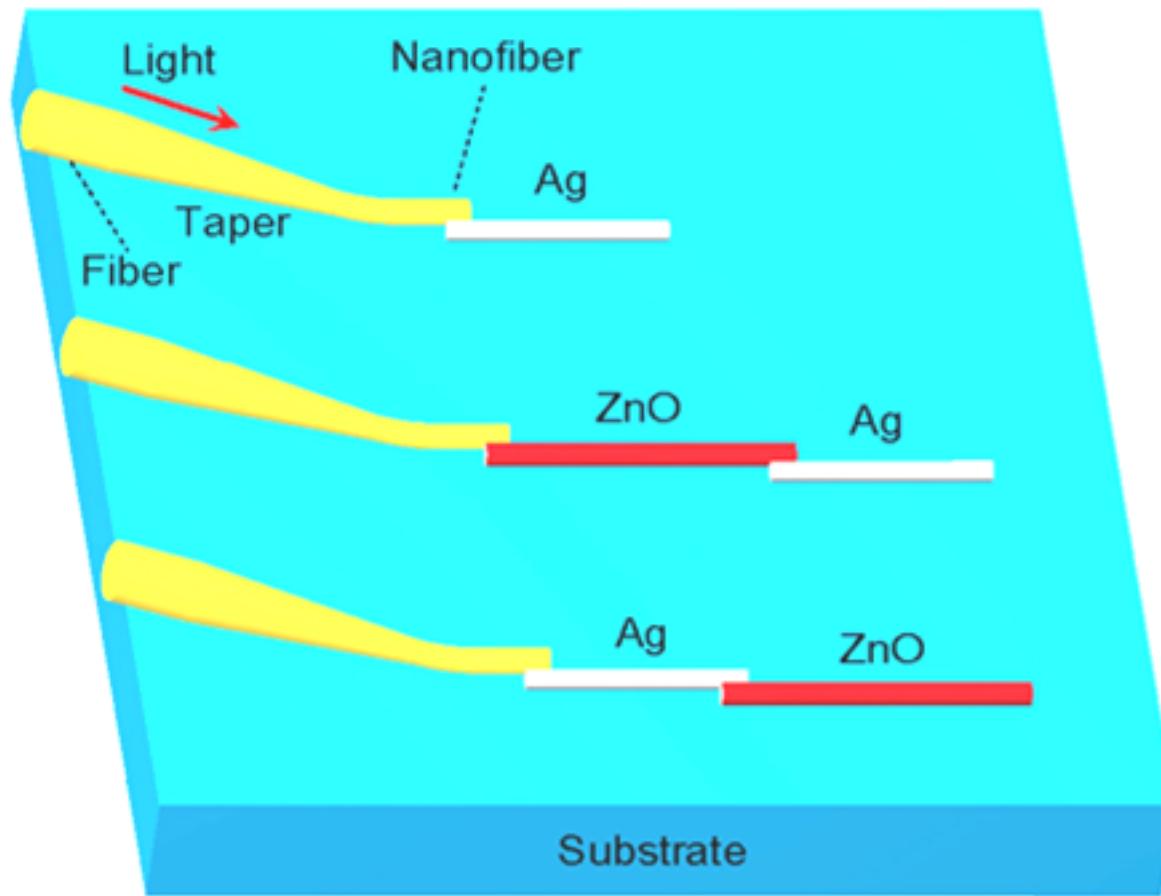


### Advantages

- Convenient and efficient input/output
- Loss reduction/compensation by dielectric/gain nanowire
- Compatible with optical fiber system

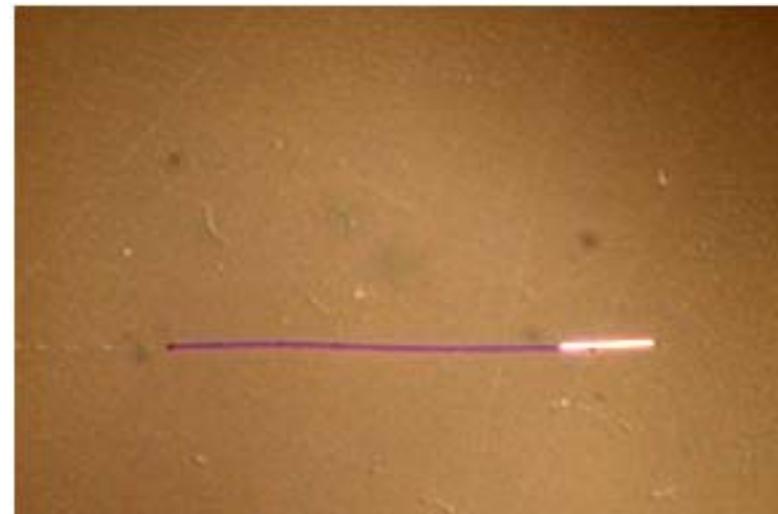
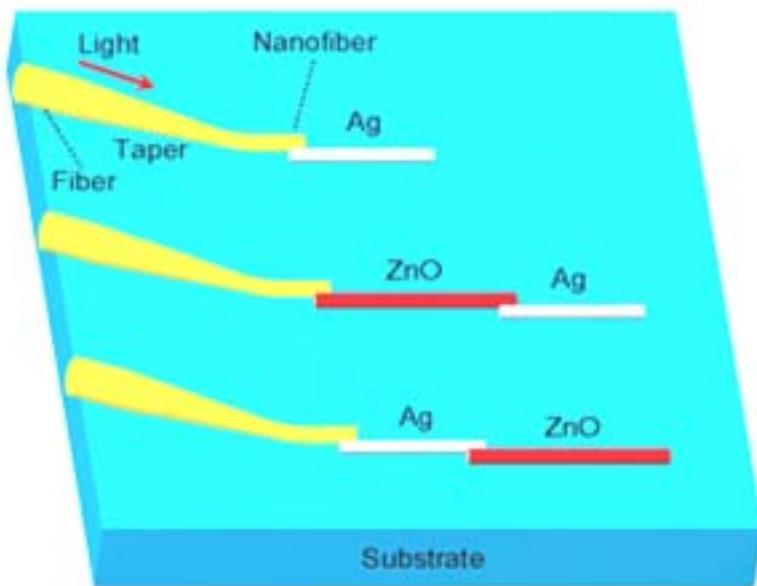
# Nanowire Coupling

**Near-field coupling of photonic and plasmonic nanowires**  
**Basic configuration for nanowire coupling**



# Nanowire Coupling

## Near-field coupling of photonic and plasmonic nanowires Basic configuration for nanowire coupling

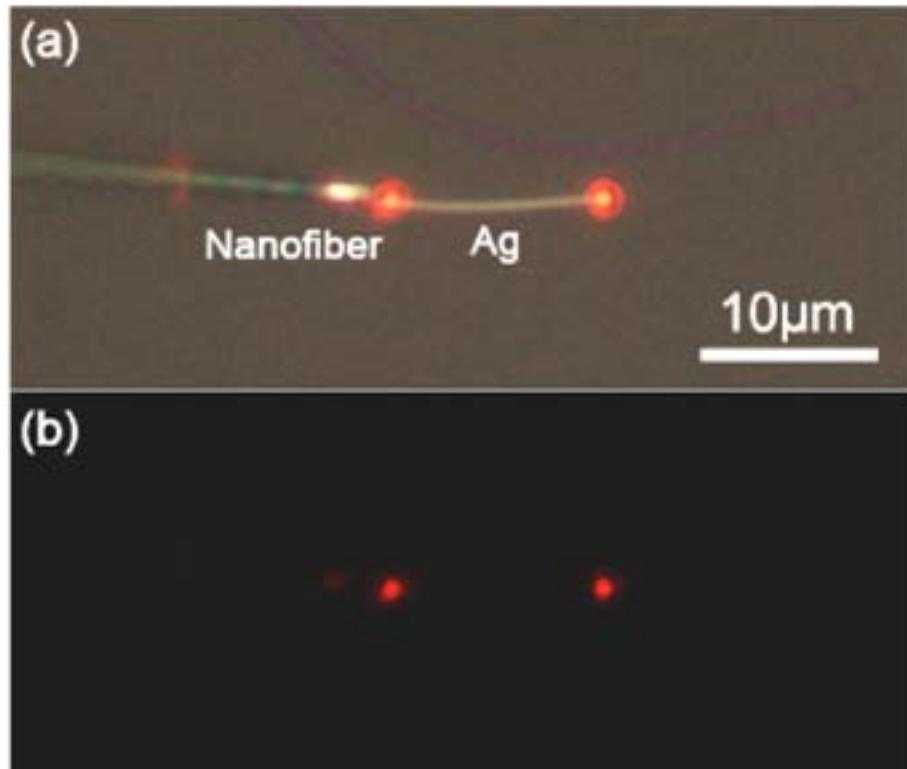


Assembly process of a hybrid coupler  
with ZnO and Ag nanowires

# Nanowire Coupling

## Near-field coupling of photonic and plasmonic nanowires

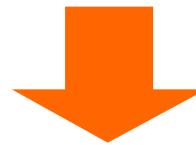
### Coupling efficiency



Silica nanofiber: D=500 nm

Ag nanowire: D=240 nm L=12 μm

Fractional output from the Ag nanowire: 49%



Deducting the guiding loss<sup>[1,2]</sup>:

Ag about 0.43 dB/μm

ZnO lower than 0.001 dB/μm



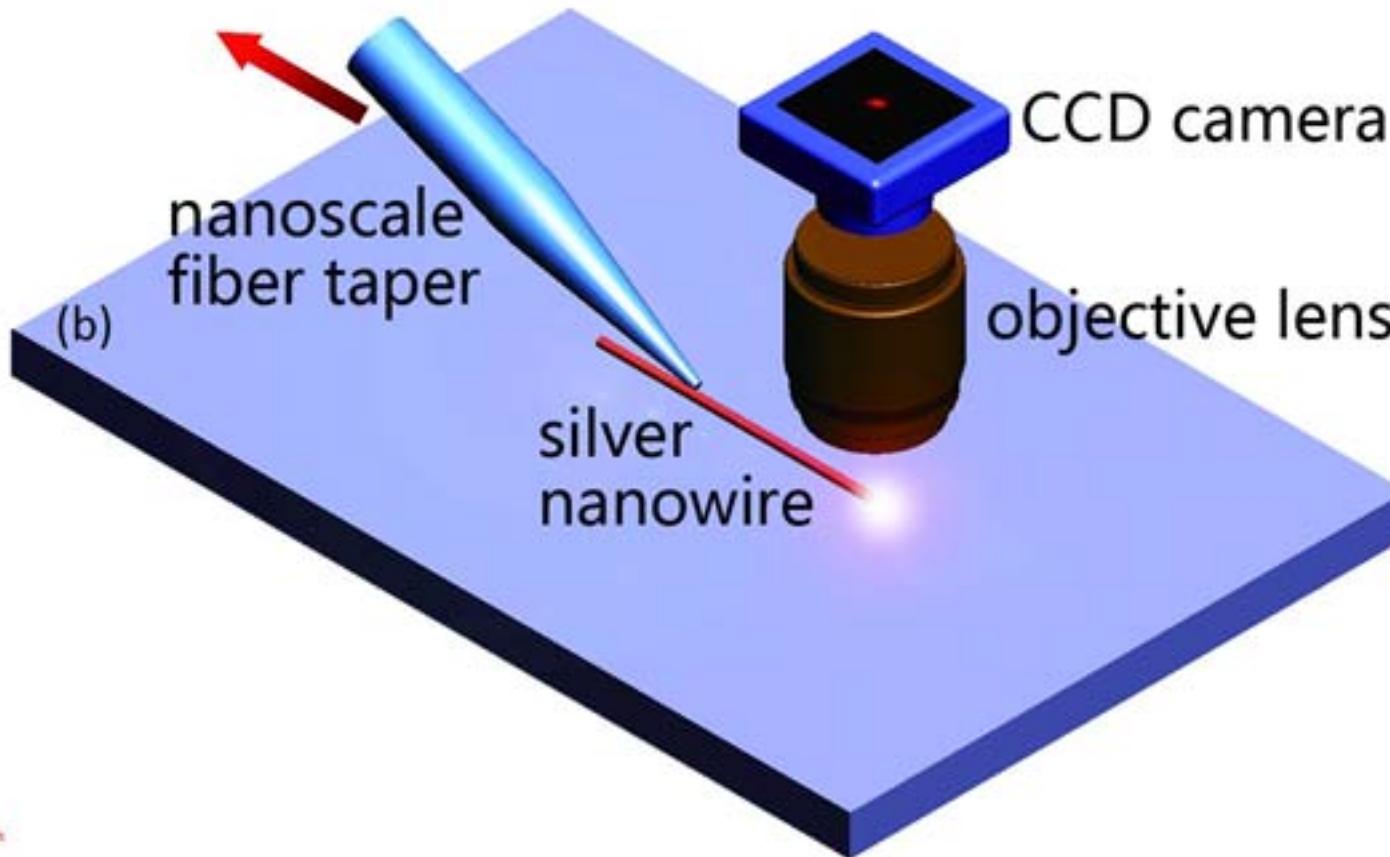
Coupling efficiency ~75%

- [1] H. Ditlbacher et al., *Phys. Rev. Lett.* **95**, 257403 (2005).
- [2] A. L. Pyayt et al., *Nature Nano.* **3**, 660 (2008).

# Nanowire Coupling

**Direct loss measurement of plasmonic nanowires**

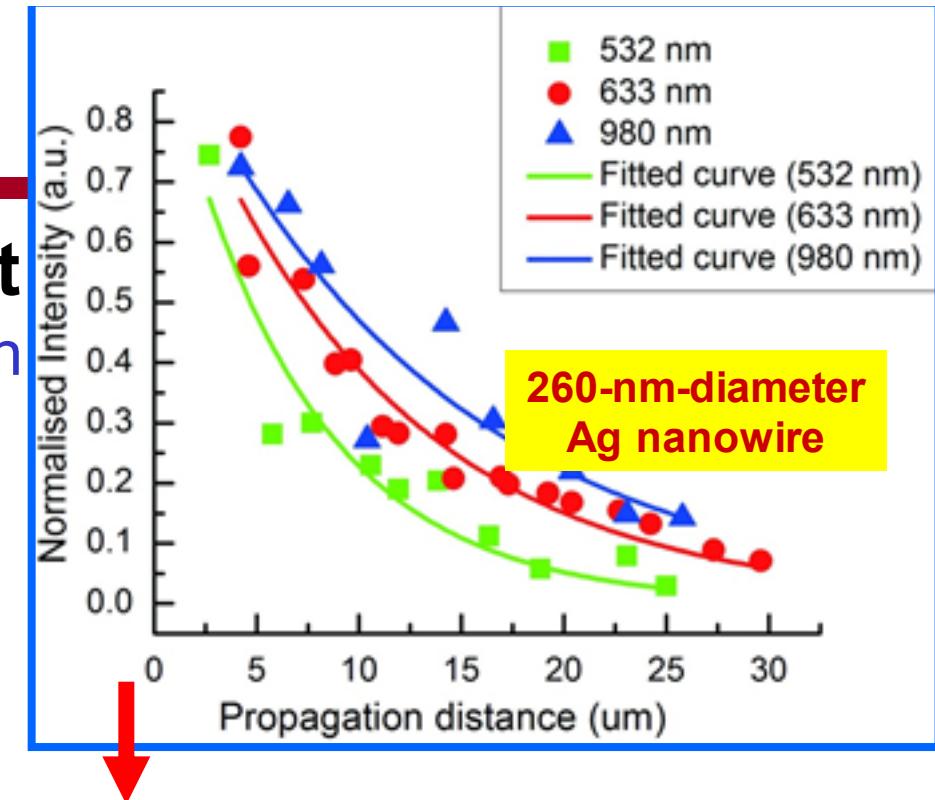
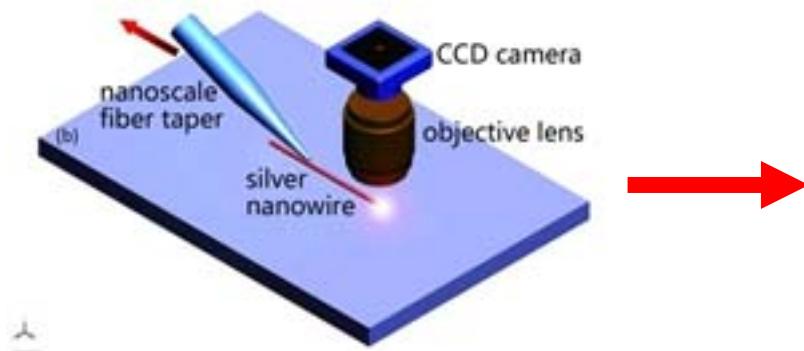
Relying on high-efficiency (high repeatability) coupling



# Nanowire Coupling

## Direct loss measurement

Relying on high-efficiency (high

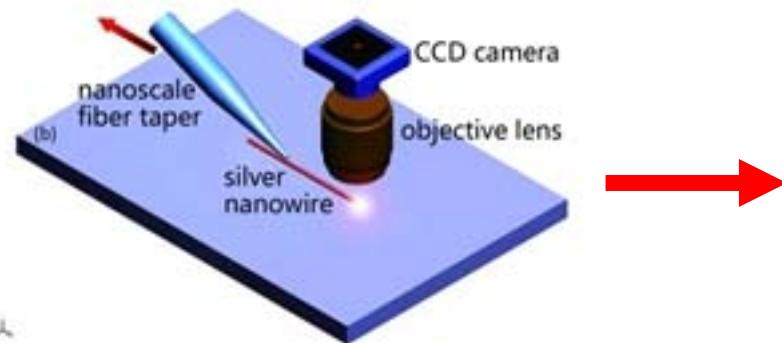


Typical propagation loss:  $\sim 0.41 \text{ dB}/\mu\text{m}$  @ 633 nm

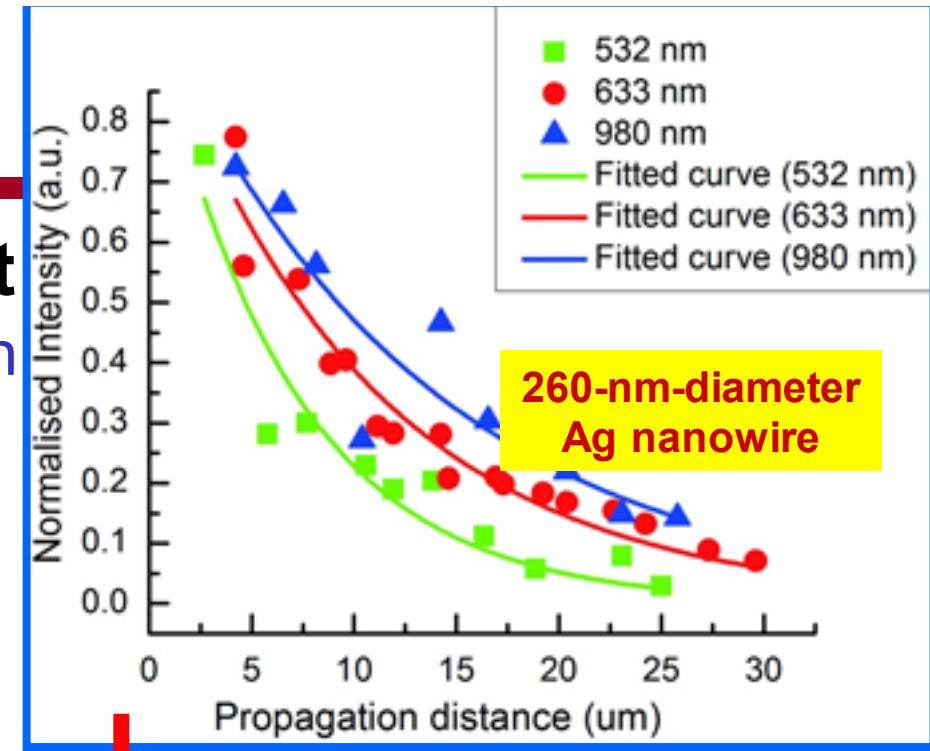
# Nanowire Coupling

## Direct loss measurement

Relying on high-efficiency (high



Y. G. Ma et al., *Opt. Lett.* **35**, 1160 (2010)



Typical propagation loss:  $\sim 0.41 \text{ dB}/\mu\text{m}$  @ 633 nm

(1) Loss of a Ag nanowire could be lower than previous indirect experimental results

e.g., measured using F-P resonance:  $0.43 \text{ dB}/\mu\text{m}$  @ 633 nm

→ H. Ditlbacher et al., *Phys. Rev. Lett.* **95**, 257403 (2005)



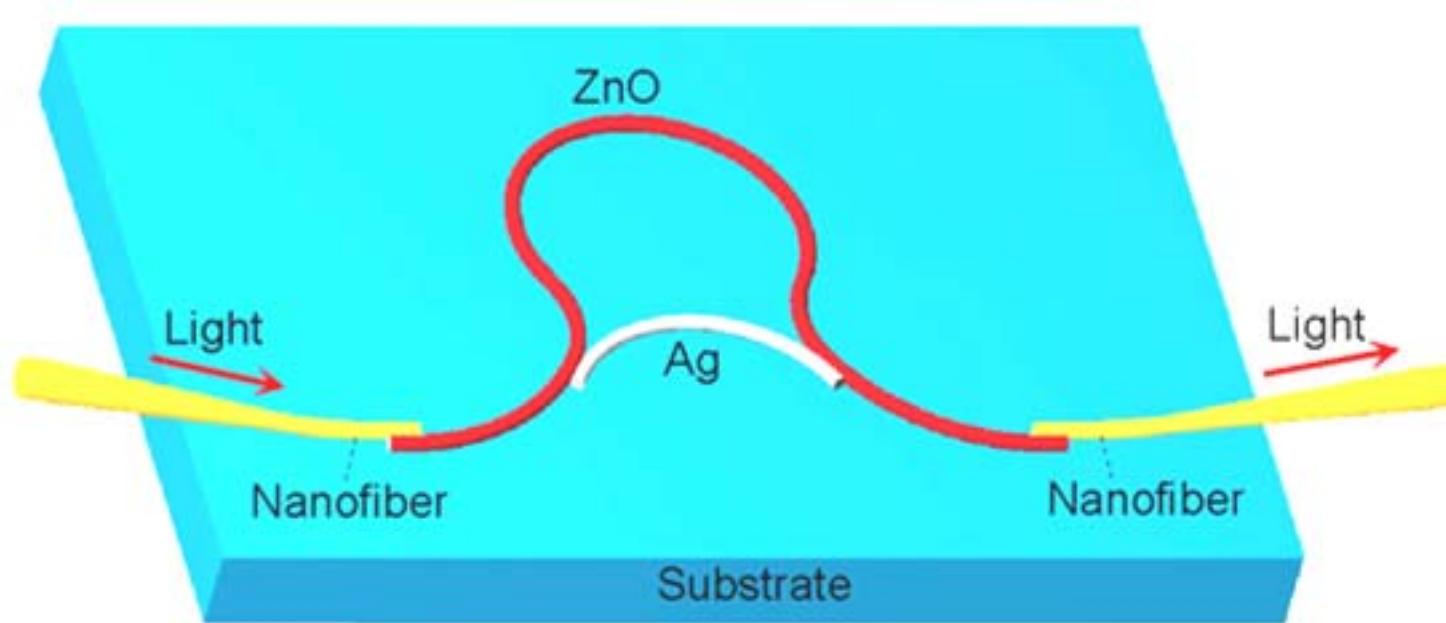
(2) Should be much lower than those obtained by theoretical calculations

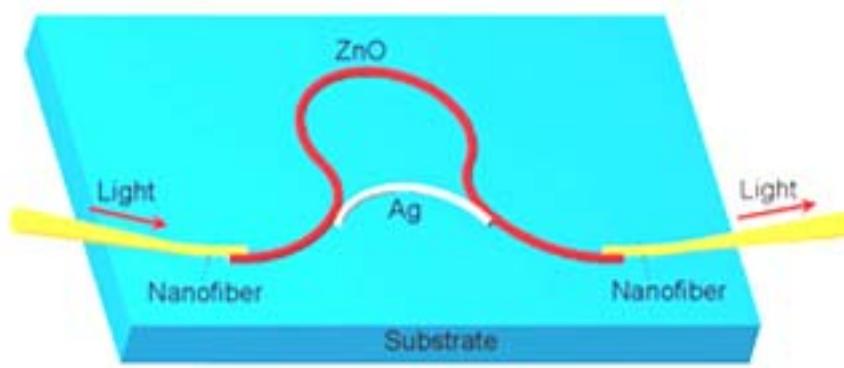
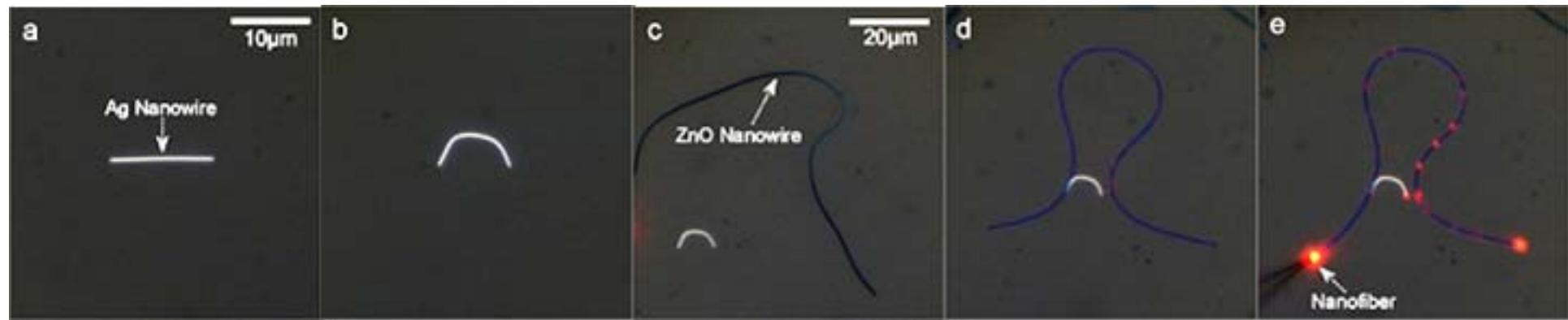
e.g., 328-nm diameter:  $0.72 \text{ dB}/\mu\text{m}$  @ 633nm → X. Chen et al., *Nano Lett.* **9**, 3756 (2009)

# Applications

## Hybrid “Photon-Plasmon” circuits and devices

### Mach-Zehnder Interferometer



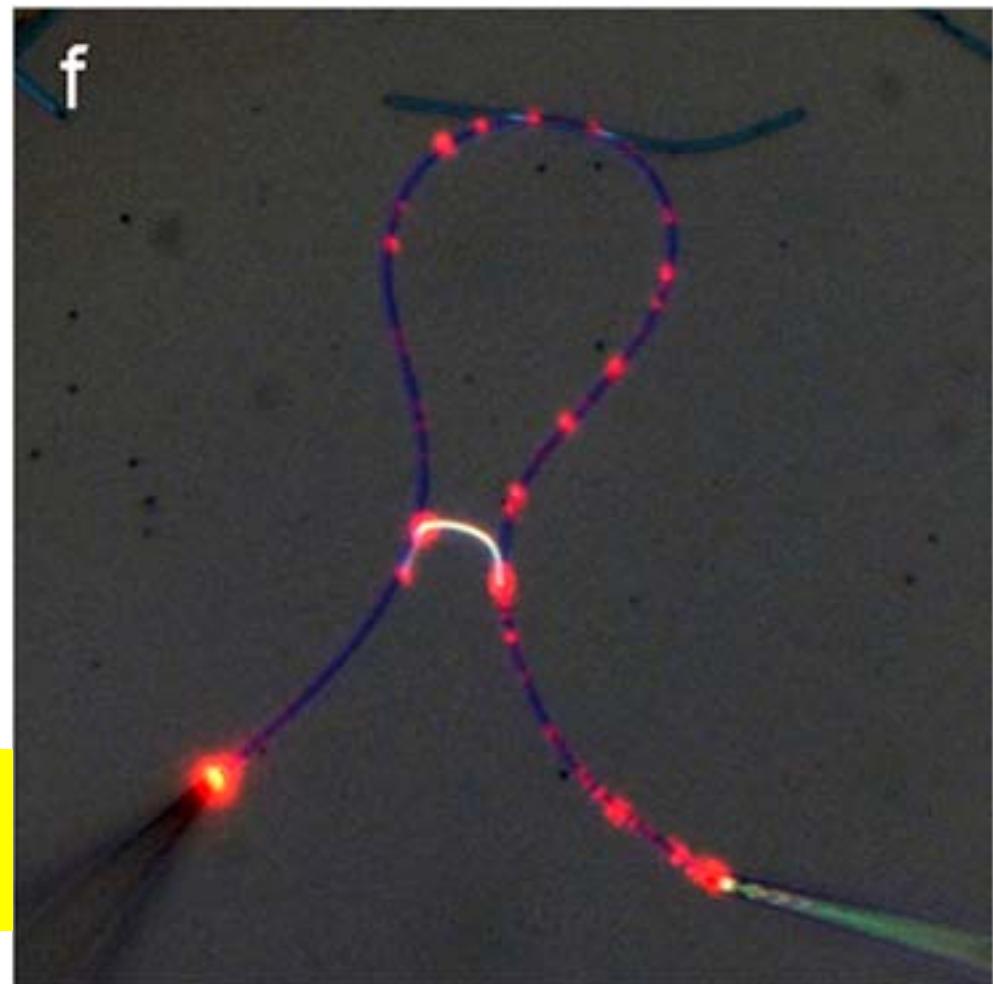


## As-assembled MZI



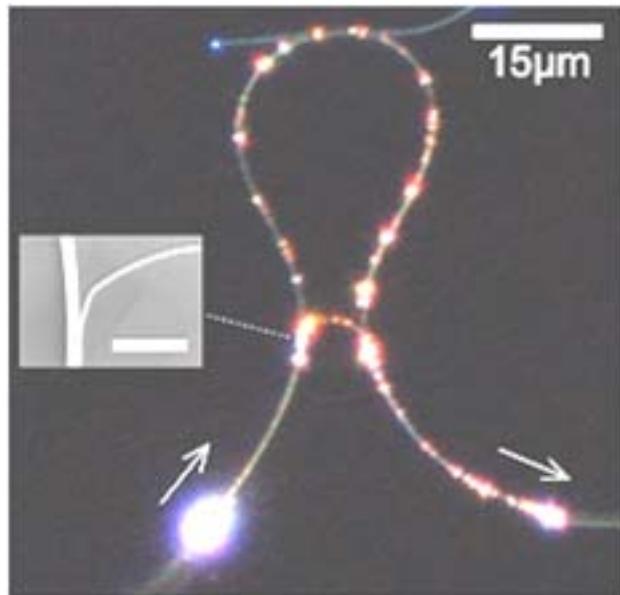
ZnO Nanowire: D 330 nm, L 89  $\mu$ m

Ag Nanowire: D 120 nm, L 6.5  $\mu$ m



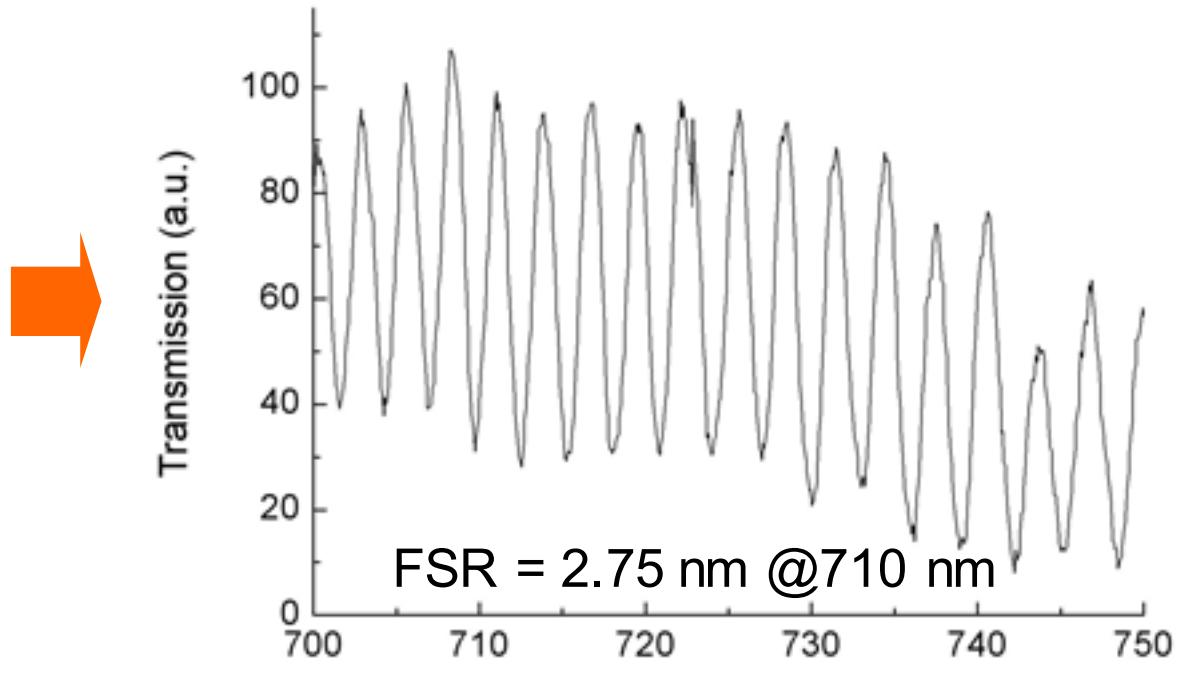
# Hybrid “Photon-Plasmon” circuits and devices

## Mach-Zehnder Interferometer



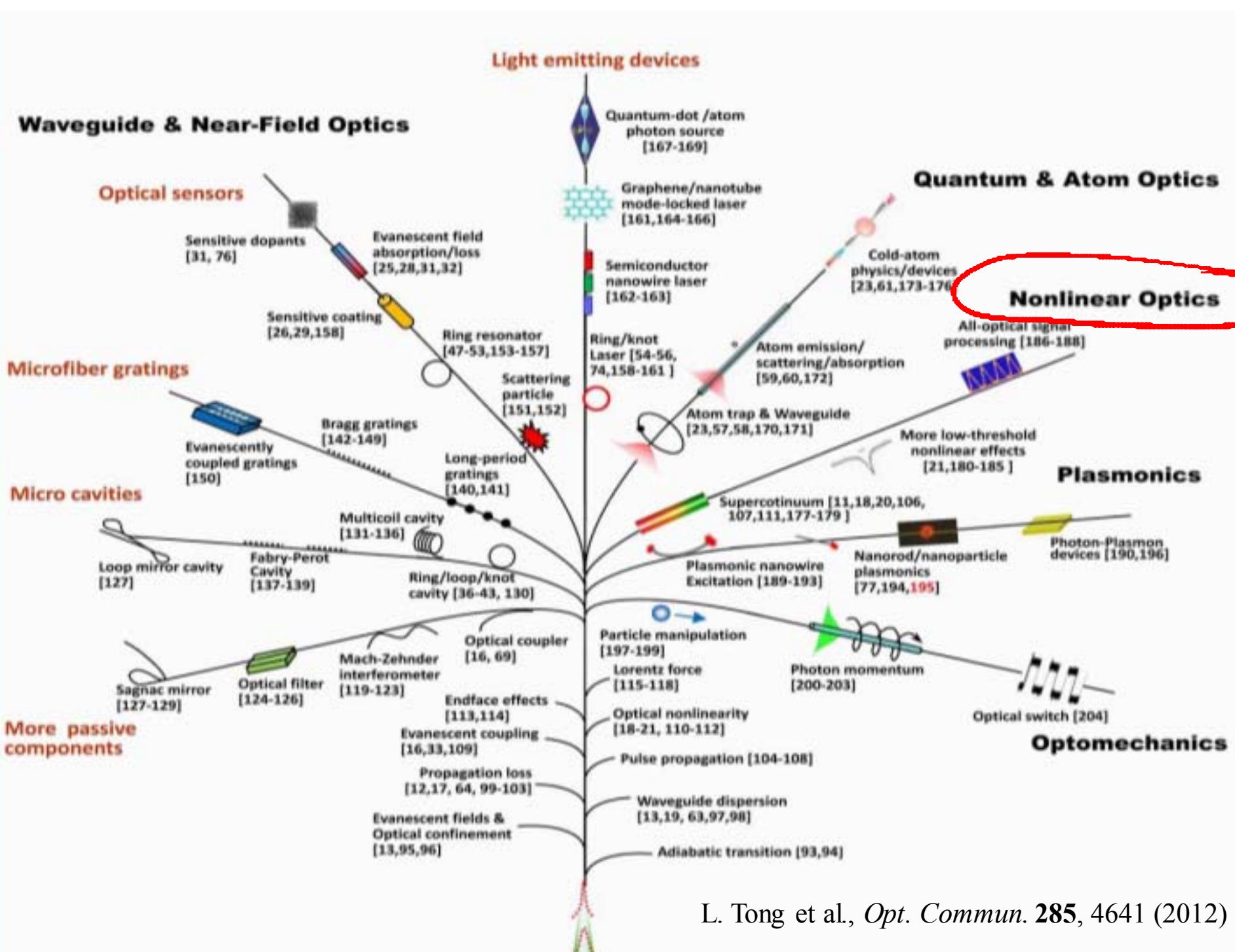
ZnO Nanowire: D 330 nm, L89  $\mu$ m

Ag Nanowire: D 120 nm, L 6.5  $\mu$ m



Potential device applications: sensors, modulators etc.

X. Guo et al., *Nano Lett.* **9**, 4515 (2009)



### (3) Nonlinear Optics

---

#### Nanofibers for nonlinear optics

**For nonlinear effects, nanofibers present advantages including:**

- **Small mode area :  $D_{eff} < \lambda$**
- **Effective nonlinearity :  $\gamma = (2\pi/\lambda)n_2/A_{eff}$  → Large  $\gamma$**
- **Dispersion : Diameter-dependent → manageable**

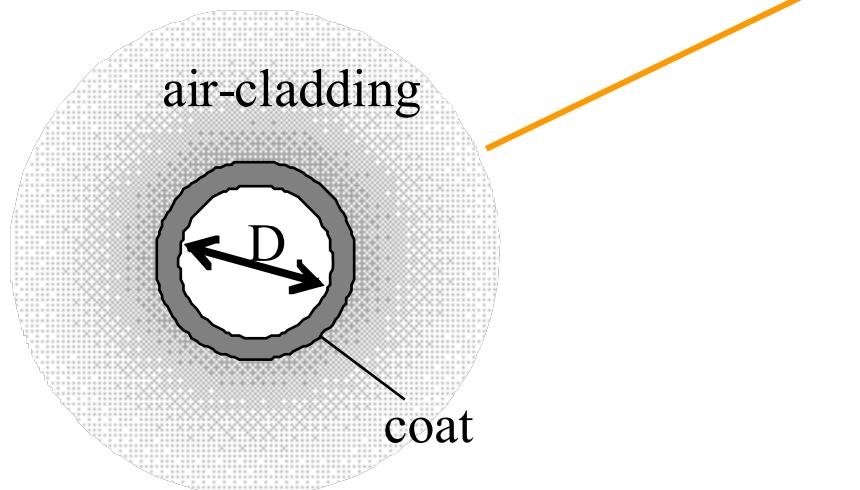
### (3) Nonlinear Optics

---

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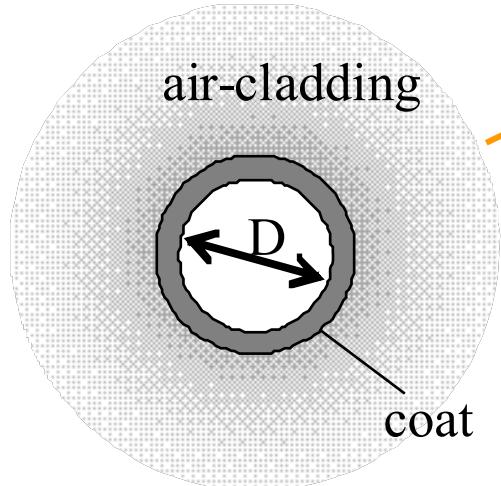


### (3) Nonlinear Optics

#### Nanofibers for nonlinear optics

For nonlinear effects, nanofibers present advantages including:

- Small mode area :  $D_{eff} < \lambda$
- Effective nonlinearity :  $\gamma = (2\pi/\lambda)n_2/A_{eff}$  → Large  $\gamma$
- Dispersion : Diameter-dependent → manageable

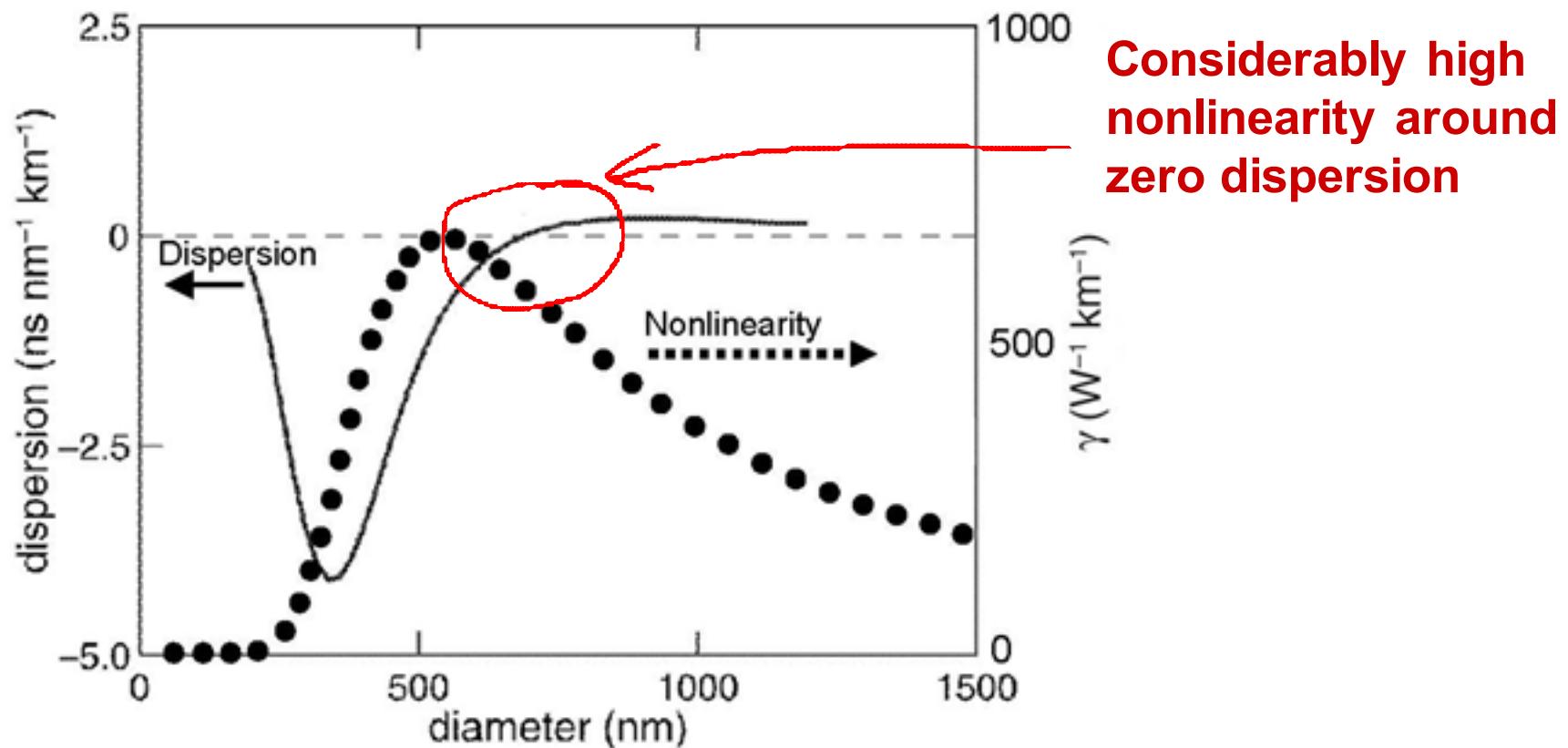


- Low threshold
- Short interaction length
- possible to work with very small quantity of samples

### (3) Nonlinear Optics

#### Nanofibers for nonlinear optics

Diameter-dependent dispersion and nonlinearity of an air-cladding silica nanofiber at 800-nm wavelength



L. Tong et al., *Opt. Express* **12**, 1025 (2004)

M. A. Foster et al., *Opt. Express* **12**, 2880 (2004)

# Optical Nonlinearity in high nonlinear microfibers

Enhanced nonlinearity in  
sub-wavelength-diameter  
 $\text{As}_2\text{Se}_3$  fibers

CUDOS, Australia

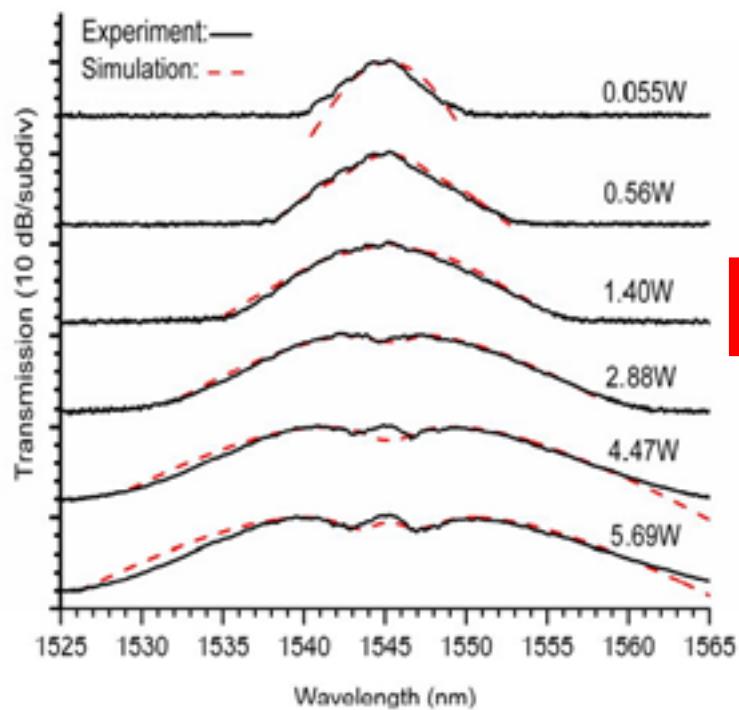
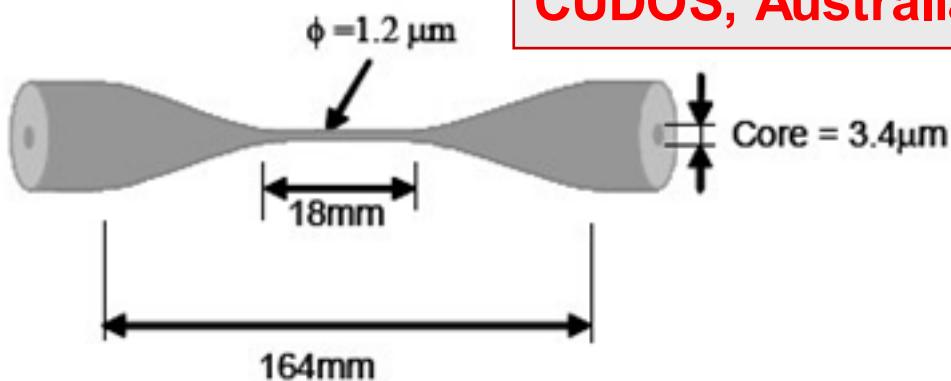


Fig. 5. SPM spectra under different incident peak power in the  $\text{As}_2\text{Se}_3$  fiber

Enhanced nonlinearity of  $68 \text{ W}^{-1}\text{m}^{-1}$

v.s. SMF28:  $\gamma \sim 1 \times 10^{-3} \text{ W}^{-1} \text{ m}^{-1}$

62,000 times larger  
(500 times larger  $n_2$  and 125 times smaller  
effective mode area)

### (3) Nonlinear Optics

#### Supercontinuum generation

- with ns pulses [12]

U Bath (UK)

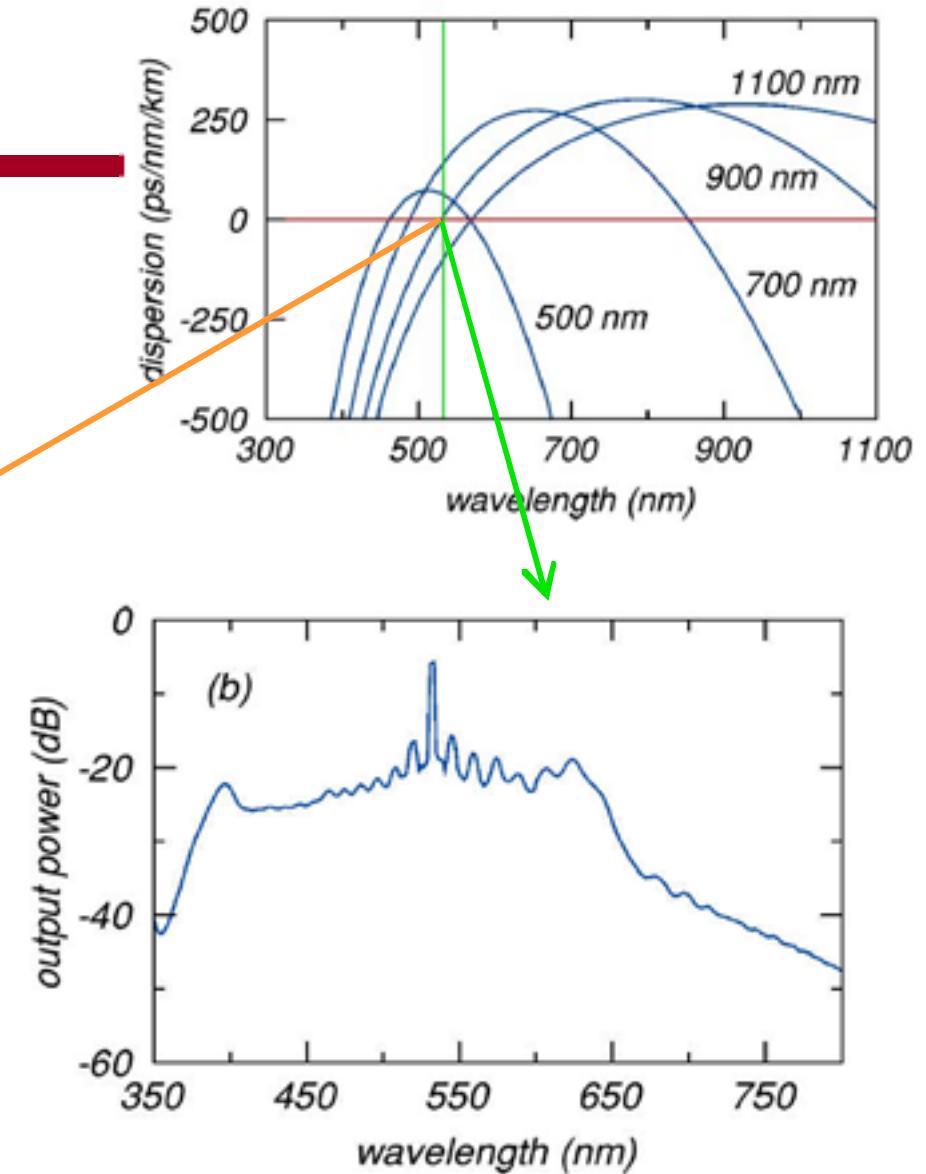
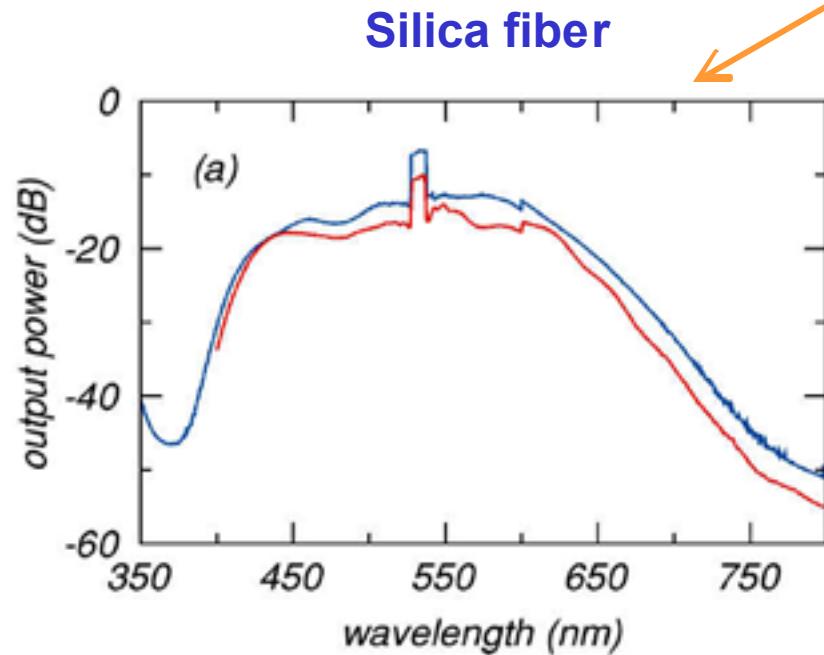


Fig. 4. SC spectra generated by taper waists for diameter, length and average laser power of (a) 920 nm, 90 mm and  $\sim 3$  mW, and (b) 510 nm, 20 mm and  $\sim 1.5$  mW, respectively. The red curve is for a sample made from Nufern 630-HP fibre instead of Corning SMF-28.

### (3) Nonlinear Optics

#### Supercontinuum generation

- with fs pulses

Pumping light :  $\lambda \sim 800 \text{ nm}$ ,  $\tau \sim 100 \text{ fs}$

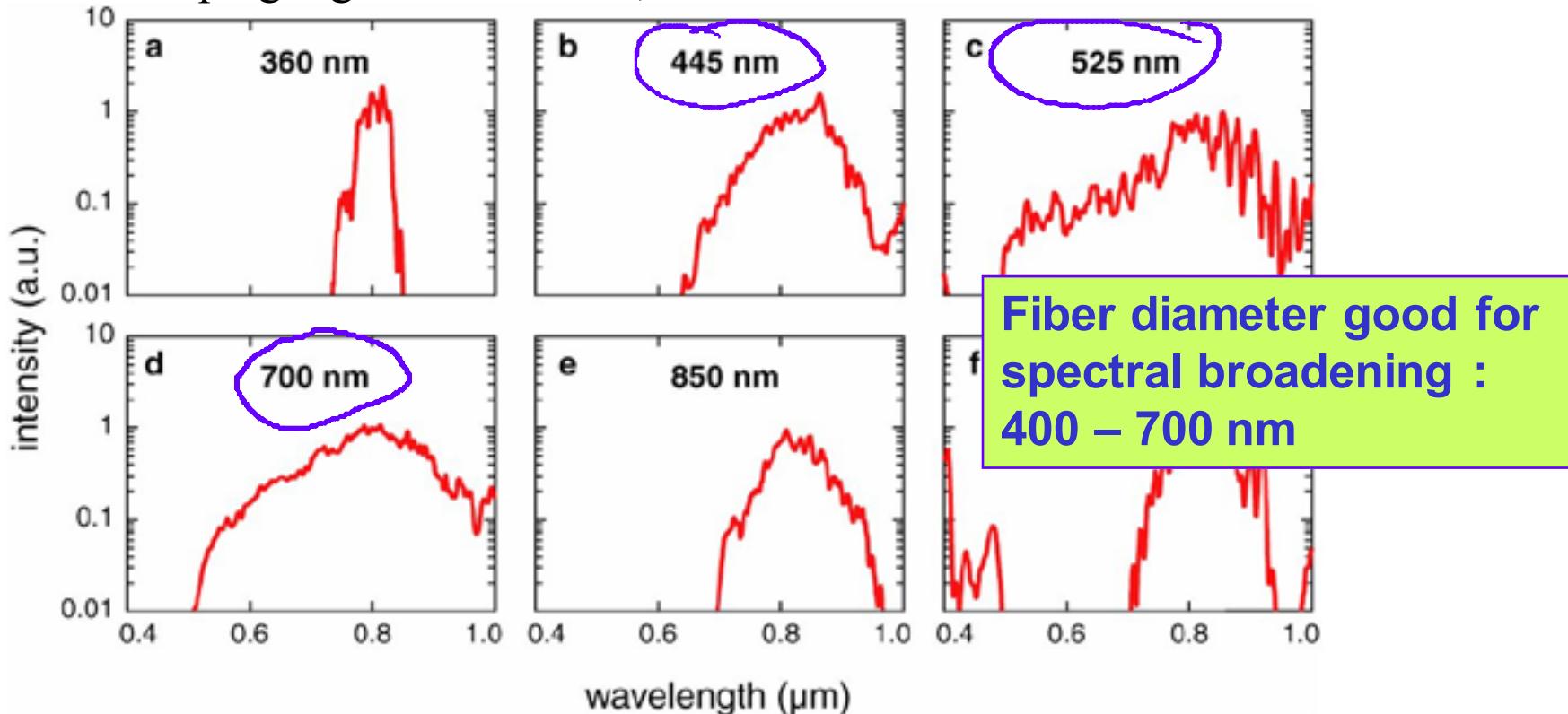


Fig. 2. Supercontinuum spectra for the six fibers of Fig. 1. The transmitted pulse energies are: (a) 0.3 nJ, (b) 4 nJ, (c) 6 nJ, (d) 4 nJ, (e) 7 nJ and (f) 2.5 nJ.

120

[13] R. R. Gattass et al., *Opt. Express* **14**, 9408 (2006)

## Waveguide & Near-Field Optics

### Optical sensors

Sensitive dopants [31, 76]

Evanescence field absorption/loss [25, 28, 31, 32]

Sensitive coating [26, 29, 158]

Ring resonator [47-53, 153-157]

Scattering particle [151, 152]

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Bragg gratings [142-149]

Long-period gratings [140, 141]

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Optical coupler [16, 69]

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Evanescence coupling [16, 33, 109]

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## Quantum & Atom Optics

## Nonlinear Optics

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Supercotinuum [11, 18, 20, 106, 107, 111, 177-179]

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Optical nonlinearity [18-21, 110-112]

Pulse propagation [104-108]

Waveguide dispersion [13, 19, 63, 97, 98]

Adiabatic transition [93, 94]

## Optomechanics

## (4) Optomechanics

**Feel momentum of light**

**Extremely light in mass**



**Weight & elastic bending force  
of a silica nanofiber is comparable  
to the force caused by  
momentum change of light**



Feel the momentum of light  
guided through

Sun Yat-Sen Univ (China) 中山大学

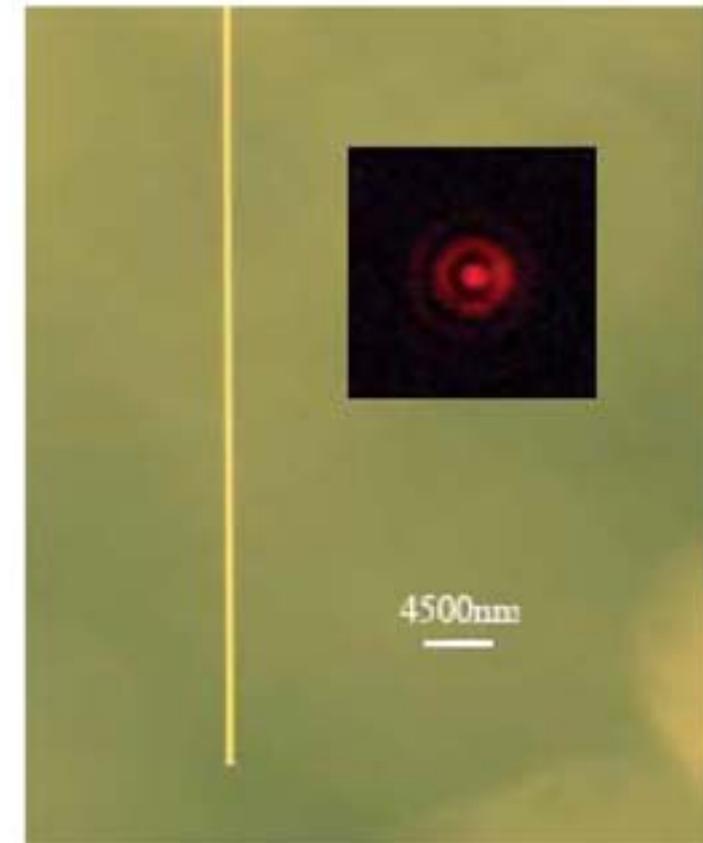


FIG. 1 (color online). The stationary micrograph of the tip of the SF, showing that the diameter of the SF tip is about 450 nm. The inset is the enlarged profile of a weak red light beam outgoing from the SF end face.

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[Phys. Rev. Lett. 101, 243601](#)

(issue of 12 December 2008)

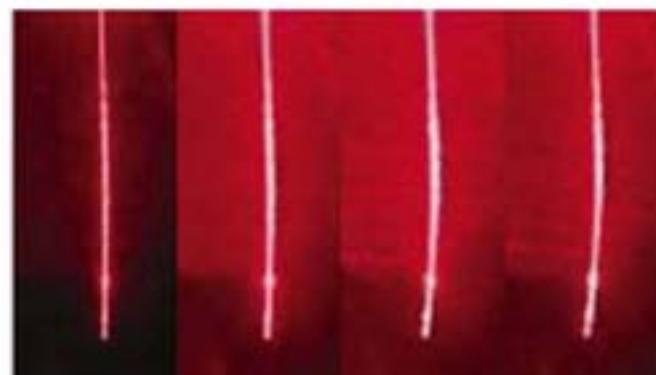
[Title and Authors](#)

10 December 2008

## Light Bends Glass

Light gives a push rather than a pull when it exits an optical fiber, according to experiments reported in the 12 December *Physical Review Letters*. The observations address a 100-year-old controversy over the momentum of light in a transparent material: Is it greater or smaller than in air? In the experiments, a thin glass fiber bends as light shines out the end, apparently a recoil in response to the light gaining momentum as it passes from glass to air. But the many experimental subtleties mean that the issue is unlikely to be settled soon.

Light moves slower inside a material than it does in air or vacuum. In 1909



[Phys. Rev. Lett. 101, 243601 \(2008\)](#)

**Recoil action.** A thin glass fiber goes from straight (far left) to bent (far right) after a laser pulse shoots out the fiber's tip. The effect suggests that light gains momentum as it exits the fiber and exceeds one side in a constant force.

43601 (2008)

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[Phys. Rev. Lett. 101, 243601](#)

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## Light Bends Glass

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Light moves slower inside a material than it does in air or vacuum. In 1909

10 December 2008

**Observed a push force on the endface of a nanofiber exerted by outgoing light**



**Suggested Abraham's momentum in transparent dielectrics**

$$P = E/(nc)$$

[Phys. Rev. Lett. 101, 243601 \(2008\)](#)

**Recoil action.** A thin glass fiber goes from straight (far left) to bent (far right) after a laser pulse shoots out the fiber's tip. The effect suggests that light gains momentum as it exits the fiber and exceeds one side in a certain loss

43601 (2008)

## (4) Optomechanics

---

### Feel momentum of light

There was a debate on She's results [PRL 101, 243601(2008)], on the fractional momentum and mechanical momentum of photons [PRL103, 019301 (2009)].


$$\text{Lorentz force density } \mathbf{f} = (\mathbf{P} \cdot \nabla) \mathbf{E} + \frac{\partial \mathbf{P}}{\partial t} \times \mu_0 \mathbf{H}$$

$$\text{Longitudinal component } \mathbf{f}_z = (\mathbf{P} \cdot \nabla) \mathbf{E}_z + \left( \frac{\partial \mathbf{P}}{\partial t} \times \mu_0 \mathbf{H} \right)_z$$

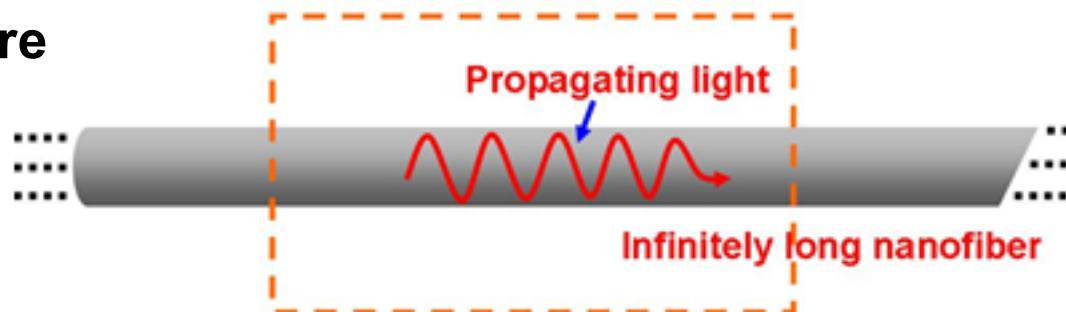
$$\text{Mechanical momentum } p_{\text{mech}}^z = \Delta v \int_0^T \mathbf{f}_z dt$$

**For continuous  
wave**  $\rightarrow$   $p_{\text{mech}}^z = 0$   
 $P_z/P > 90\%$

## (4) Optomechanics

### Longitudinal Lorentz force

#### (1) in a infinitely long nanofibre

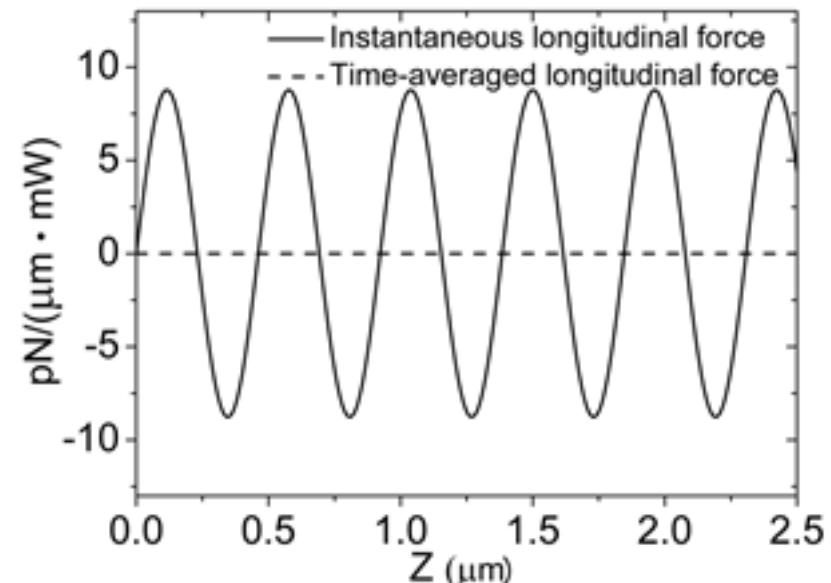


Longitudinal Lorentz force density

$$\mathbf{f}_z = \rho_b \mathbf{E}_z + (\mathbf{J}_b \times \mu_0 \mathbf{H})_z$$



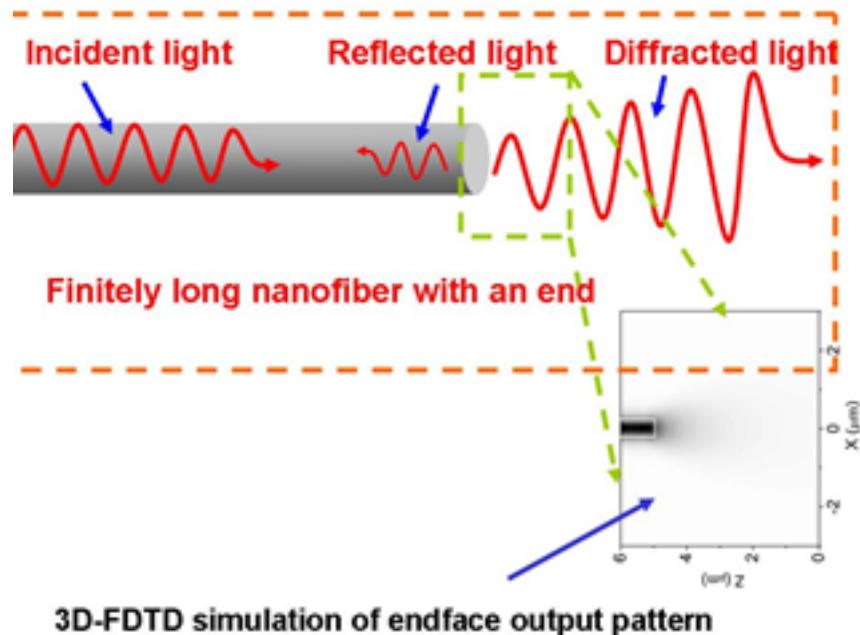
Silica nanofibre D=450 nm  
Light wavelength = 980 nm



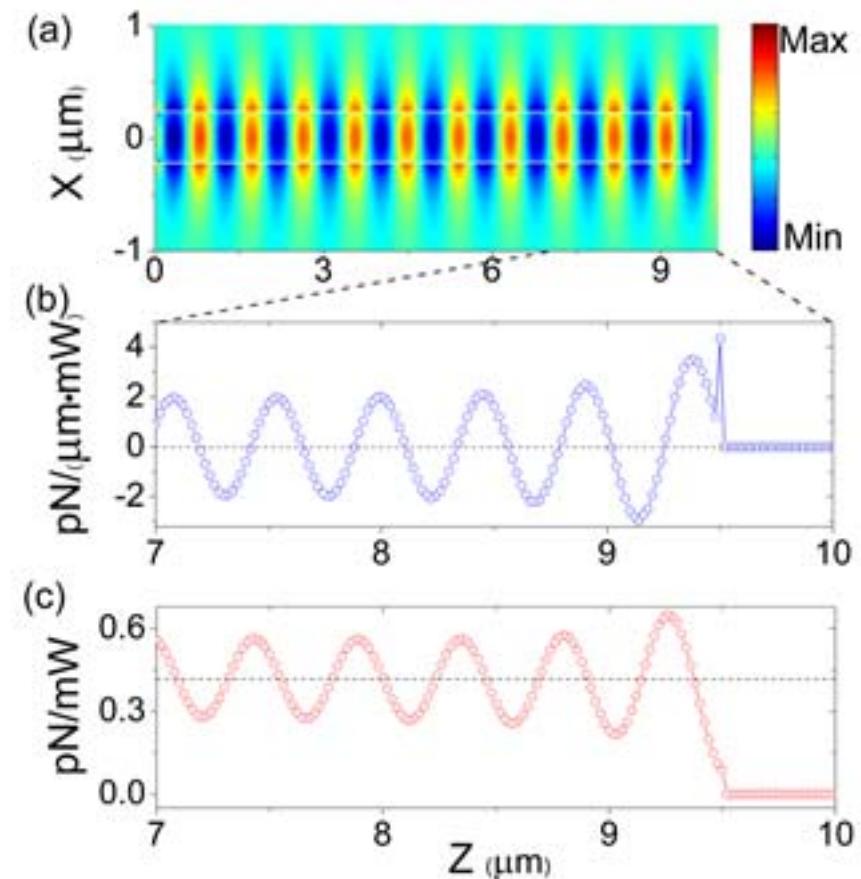
## (4) Optomechanics

### Longitudinal Lorentz force

#### (2) in a nanofibre with endface



Silica nanofibre D=450 nm  
Light wavelength = 980 nm



H. K. Yu et al., *Phys. Rev. A* **83**, 058380 (

Precisely determined Lorentz force can be used for intriguing nanofibre optomechanical devices

# Outline

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- Introduction

- 1. Fabrication

- 2. Optical Properties

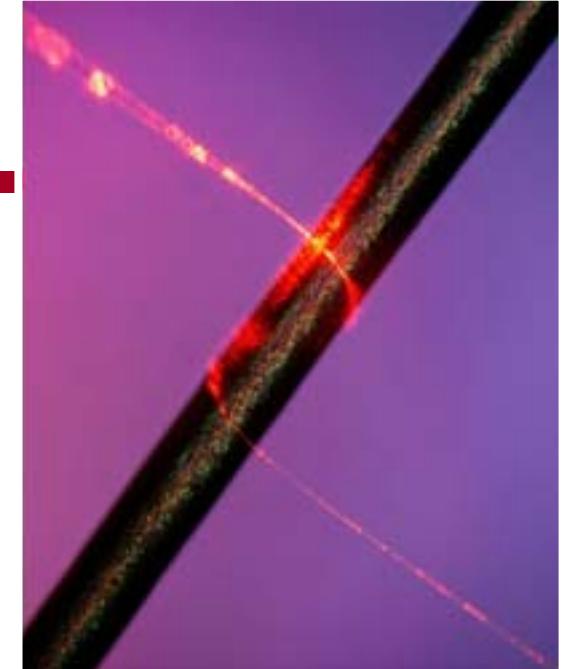
- 3. Potentials and Applications

- Summary

# Summary

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Glass micro/nanofibres offer favorable properties for manipulating light on the nanoscale.

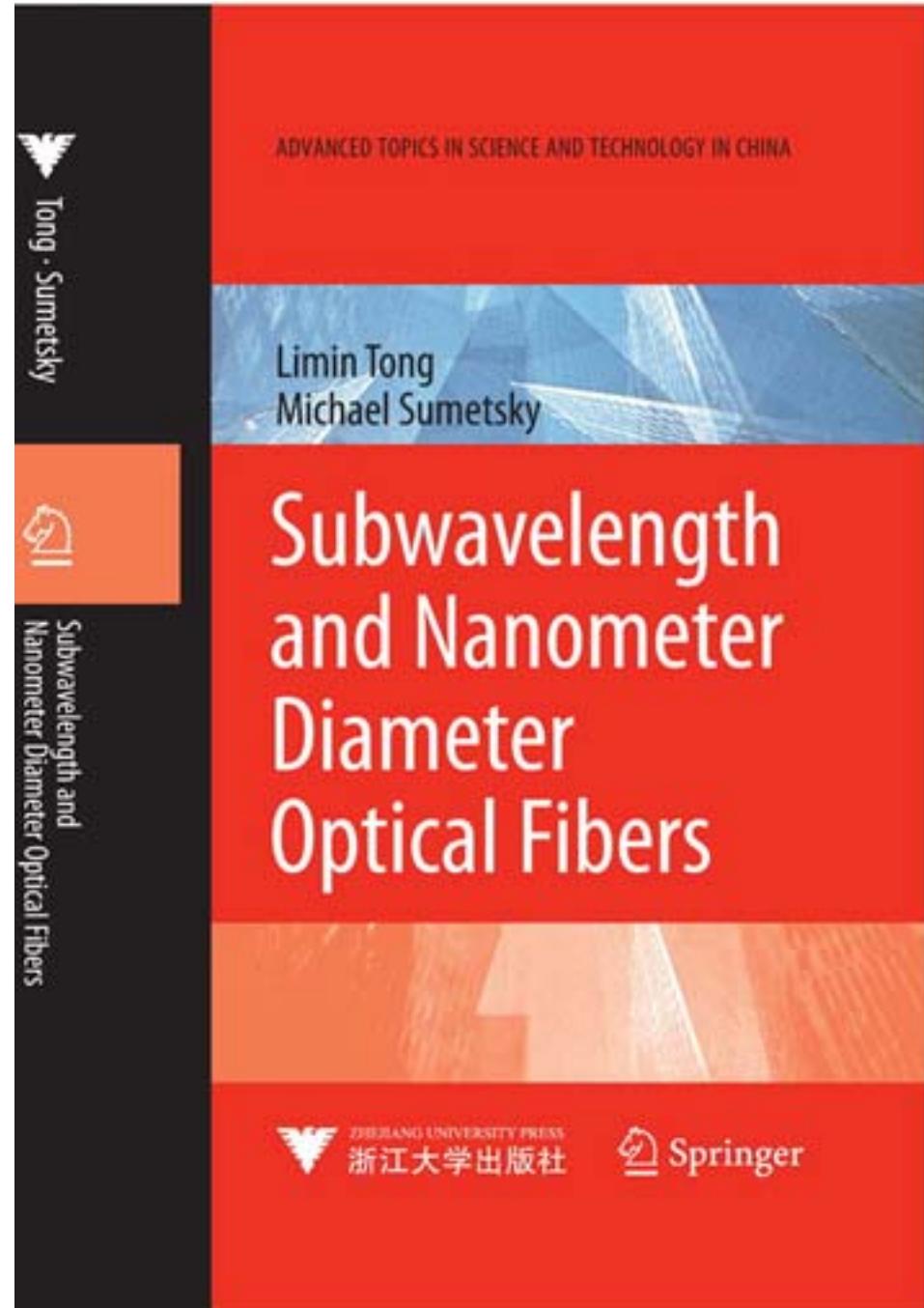


When incorporated with guide wave optics, near-field optics, nonlinear optics, plasmonics and optomechanics, these 1-D glass nanostructures may bring new opportunities for both fundamental research and technological applications.

# Summary

**More details on nanofibre**

Limin Tong, Michael Sumetsky, *Subwavelength and Nanometer Diameter Optical Fibers*, Zhejiang University Press, Springer, 2009.



# Outlook

---

For nanofiber photonics

**How far can we go ?**

— depends on —

**How well can we confine and transport the light**

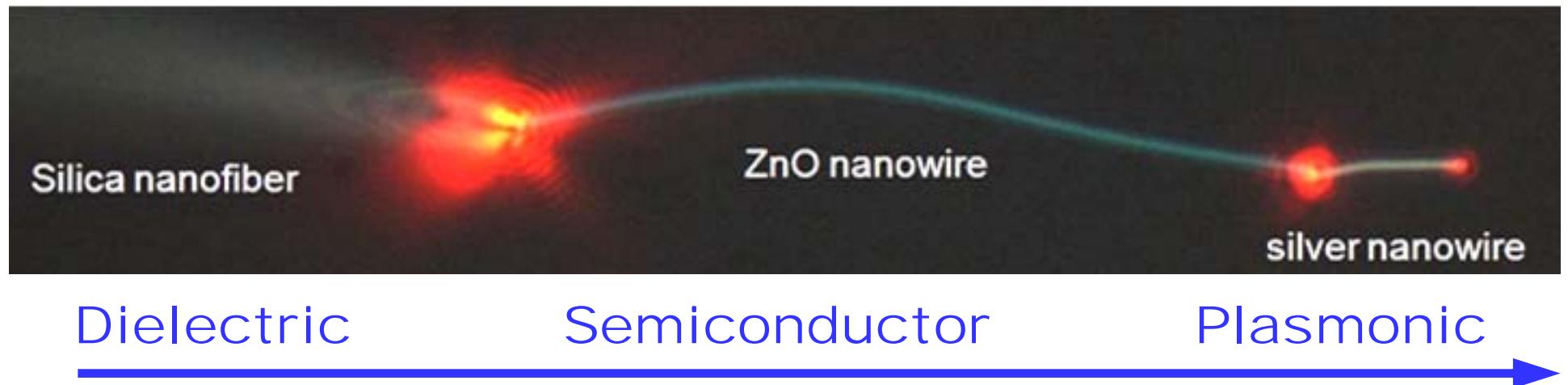
# Outlook

# For nanofiber photonics

# How far can we go ?

## – depends on –

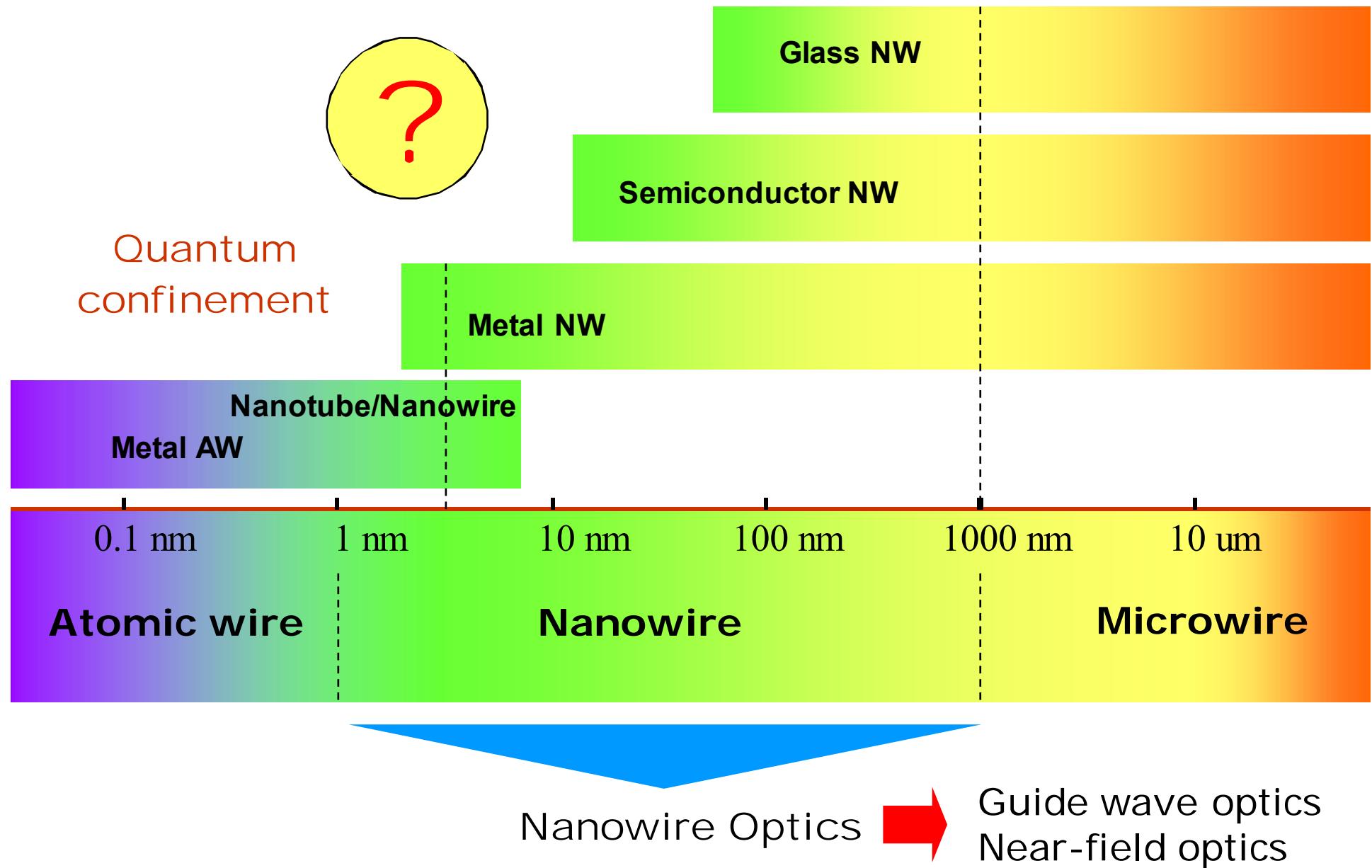
# How well can we confine and transport the light



# What's the next ?

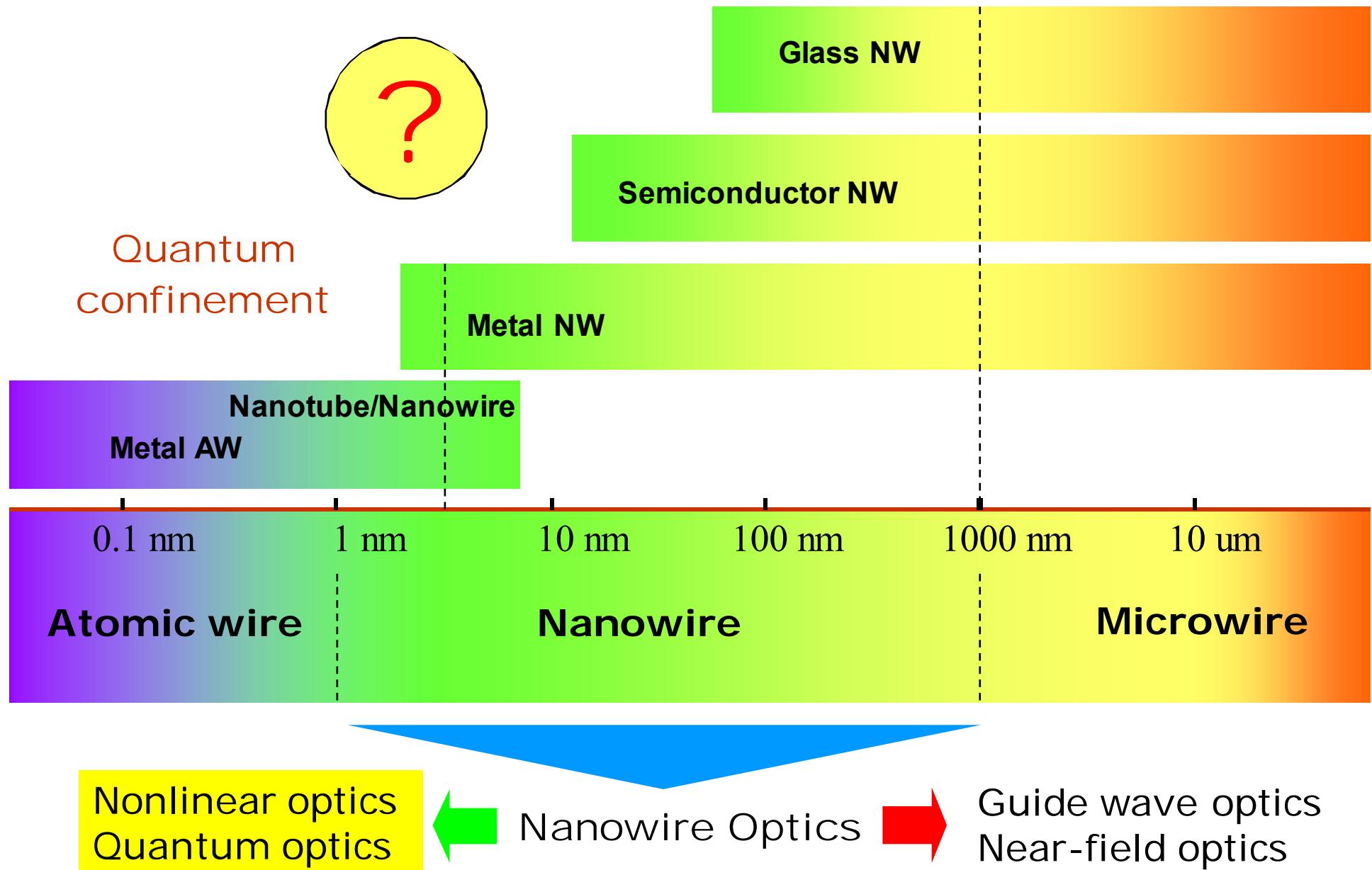
# Nanowire Optics

Optical confinement



# Nanowire Optics

Optical confinement



# Contributed by many colleagues and students of our Nanophotonics Research Group

Group photo 2012-06



Nanophotonics Research Group @ ZJU

[www.nanophotonics.zju.edu.cn](http://www.nanophotonics.zju.edu.cn)

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