

# Classical Control

## Topics covered:

Modeling. ODEs. Linearization.

Laplace transform. Transfer functions.

Block diagrams.

Time response specifications.

Effects of zeros and poles.

Stability.

Feedback: Disturbance rejection, Sensitivity, Steady-state tracking.

PID controllers and Ziegler-Nichols tuning procedure.

# Classical Control

**Text:** *Feedback Control of Dynamic Systems*,  
8<sup>th</sup> Edition, G.F. Franklin, J.D. Powell and A. Emami-Naeini  
Prentice Hall 2002.

# What is control?

For any analysis we need a mathematical MODEL of the system

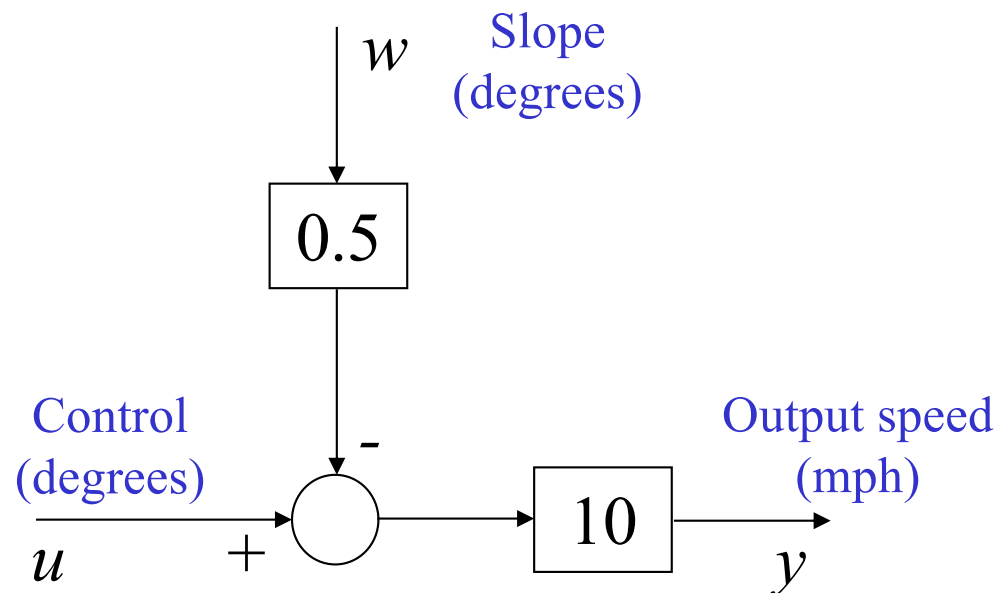
Model → Relation between gas pedal and speed:

10 mph change in speed per each degree rotation of gas pedal

Disturbance → Slope of road:

5 mph change in speed per each degree change of slope

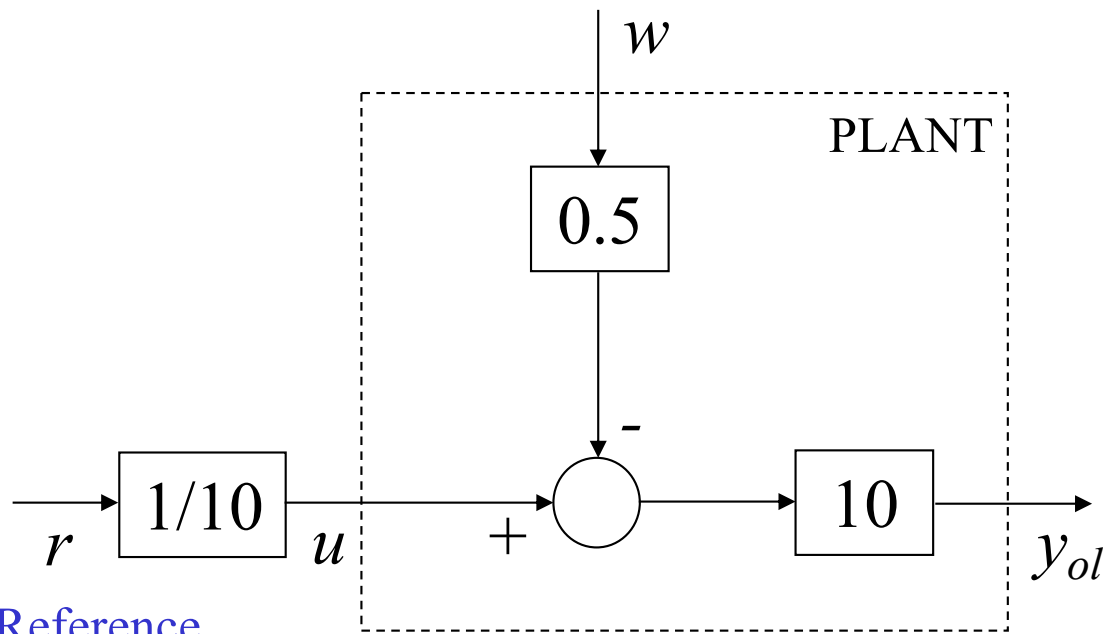
Block diagram for the cruise control “plant”:



$$y \square 10(u - 0.5w)$$

# What is control?

## Open-loop cruise control:



Reference  
(mph)

$$u \square \frac{r}{10}$$

$$y_{ol} \square 10(u - 0.5w)$$

$$\square 10\left(\frac{r}{10} - 0.5w\right)$$

$$\square r - 5w$$

$$e_{ol} \square r - y_{ol} \square 5w$$

$$e_{ol}[\%] \square \frac{r - y_{ol}}{r} \square 500 \frac{w}{r}$$

$$r \square 65, w \square 0 \Rightarrow e_{ol} \square 0$$

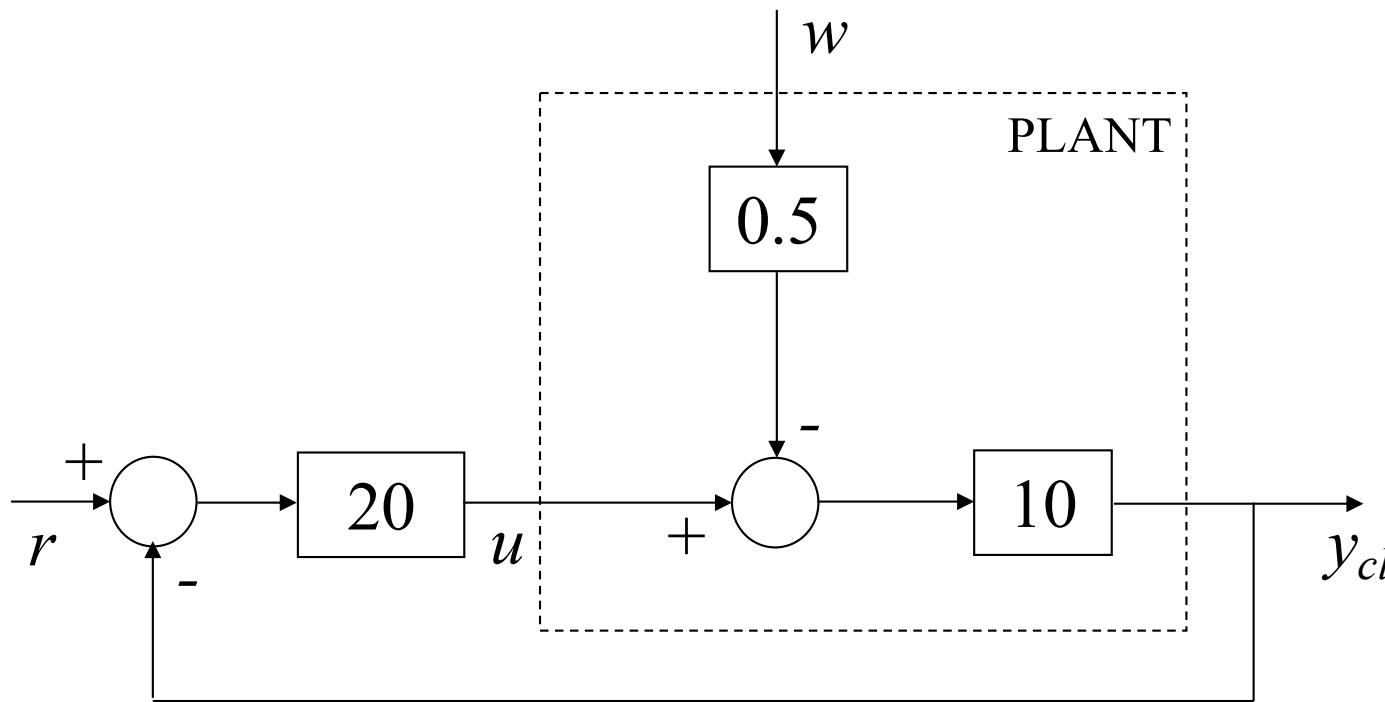
$$r \square 65, w \square 1 \Rightarrow e_{ol} \square 5\text{mph}, e_{ol} \square 7.69\%$$

OK when:

- 1- Plant is known exactly
- 2- There is no disturbance

# What is control?

## Closed-loop cruise control:



$$u = 20(r - y_{cl})$$

$$y_{cl} = 10(u - 0.5w)$$

$$= \frac{200}{201}r - \frac{5}{201}w$$

$$e_{cl} = r - y_{cl} = \frac{1}{201}r - \frac{5}{201}w$$

$$e_{cl}[\%] = \frac{r - y_{cl}}{r} = \frac{1}{201} - \frac{5}{201} \frac{w}{r}$$

$$r = 65, w = 0 \Rightarrow e_{cl} = \frac{1}{201}\% \approx 0.5\%$$

$$r = 65, w = 1 \Rightarrow e_{cl} = \frac{1}{201} + \frac{5}{201} \frac{1}{65}\% \approx 0.69\%$$

# What is control?

Feedback control can help:

- reference following (tracking)
- disturbance rejection
- changing dynamic behavior

LARGE gain is essential but there is a STABILITY limit

“The issue of how to get the gain as large as possible to reduce the errors due to disturbances and uncertainties without making the system become unstable is what much of feedback control design is all about”

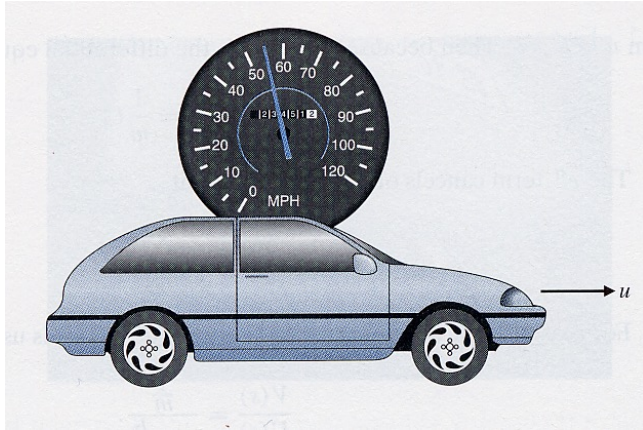
First step in this design process: DYNAMIC MODEL

# Dynamic Models

MECHANICAL SYSTEMS:

$$F \square ma$$

Newton's law



$$m\ddot{x} \square u - b\dot{x}$$

$$v \square \dot{x}$$

velocity

$$a \square \dot{v} \square \ddot{x}$$

acceleration

$$\dot{v} \square \frac{b}{m} v \square \frac{u}{m} \xrightarrow{v \square V_o e^{st}, u \square U_o e^{st}} \frac{V_o}{U_o} \square \frac{1/m}{s \square b/m}$$

Transfer Function

$$\frac{d}{dt} \rightarrow s$$

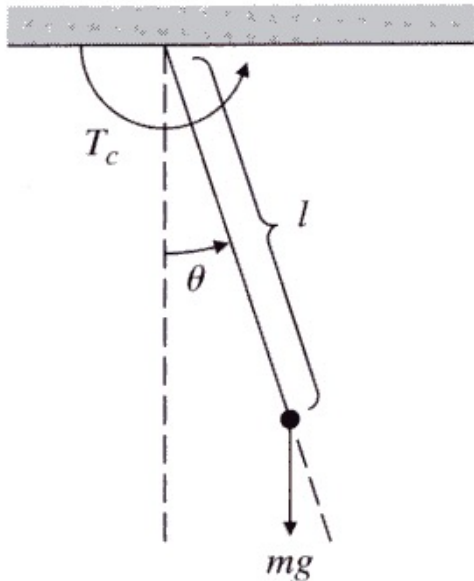
How do we compute the transfer function?

# Dynamic Models

MECHANICAL SYSTEMS:

$$F \square I\alpha$$

Newton's law



$$ml^2\ddot{\theta} \square -lmg \sin \theta \square T_c$$

$$\omega \square \dot{\theta}$$

angular velocity

$$\alpha \square \dot{\omega} \square \ddot{\theta}$$

angular acceleration

$$I \square ml^2$$

moment of inertia

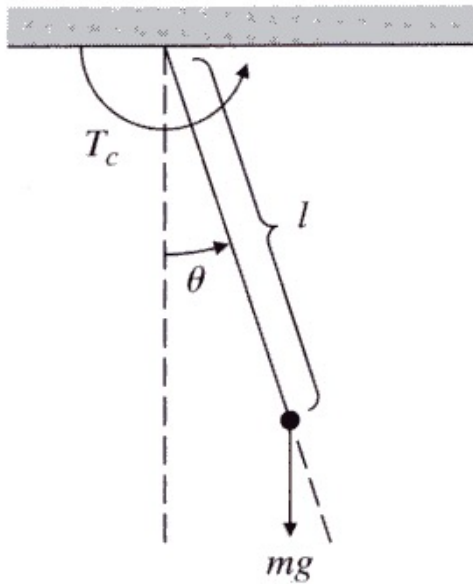
$$\ddot{\theta} \square \frac{g}{l} \sin \theta \square \frac{T_c}{ml^2} \xrightarrow{\sin \theta \approx \theta} \ddot{\theta} \square \frac{g}{l} \theta \square \frac{T_c}{ml^2}$$

Linearization

How do we linearize the nonlinear dynamics?



# Dynamic Models



$$\ddot{\theta} = \frac{g}{l} \theta = \frac{T_c}{ml^2}$$

Reduce to first order equations:

$$\begin{aligned} x_1 &= \theta & \dot{x}_1 &= x_2 \\ x_2 &= \dot{\theta} & \dot{x}_2 &= -\frac{g}{l} x_1 = \frac{T_c}{ml^2} \end{aligned}$$

$$x \equiv \begin{bmatrix} x_1 \\ x_2 \end{bmatrix}, u \equiv \frac{T_c}{ml^2} \Rightarrow \dot{x} = \begin{bmatrix} 0 & 1 \\ -\frac{g}{l} & 0 \end{bmatrix} x = \begin{bmatrix} 0 \\ 1 \end{bmatrix} u$$

State Variable Representation

General case:  $\dot{x} = Fx + Gu$

$$y = Hx + Ju$$

# Dynamic Models

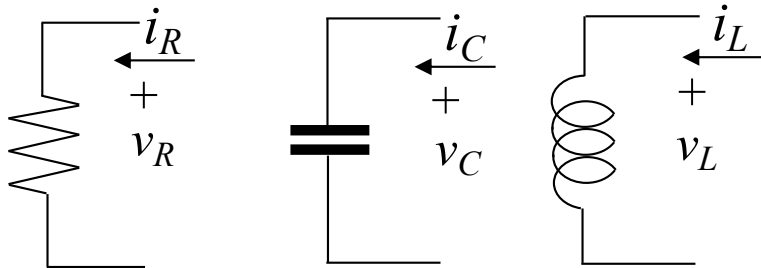
## ELECTRICAL SYSTEMS:

### Kirchoff's Current Law (KCL):

*The algebraic sum of currents entering a node is zero at every instant*

### Kirchoff's Voltage Law (KVL)

*The algebraic sum of voltages around a loop is zero at every instant*



### Resistors:

$$v_R(t) = Ri_R(t) \Leftrightarrow i_R(t) = Gv_R(t)$$

### Capacitors:

$$i_C(t) = C \frac{dv_C(t)}{dt} \Leftrightarrow v_C(t) = \frac{1}{C} \int_0^t i_C(\tau) d\tau + v_C(0)$$

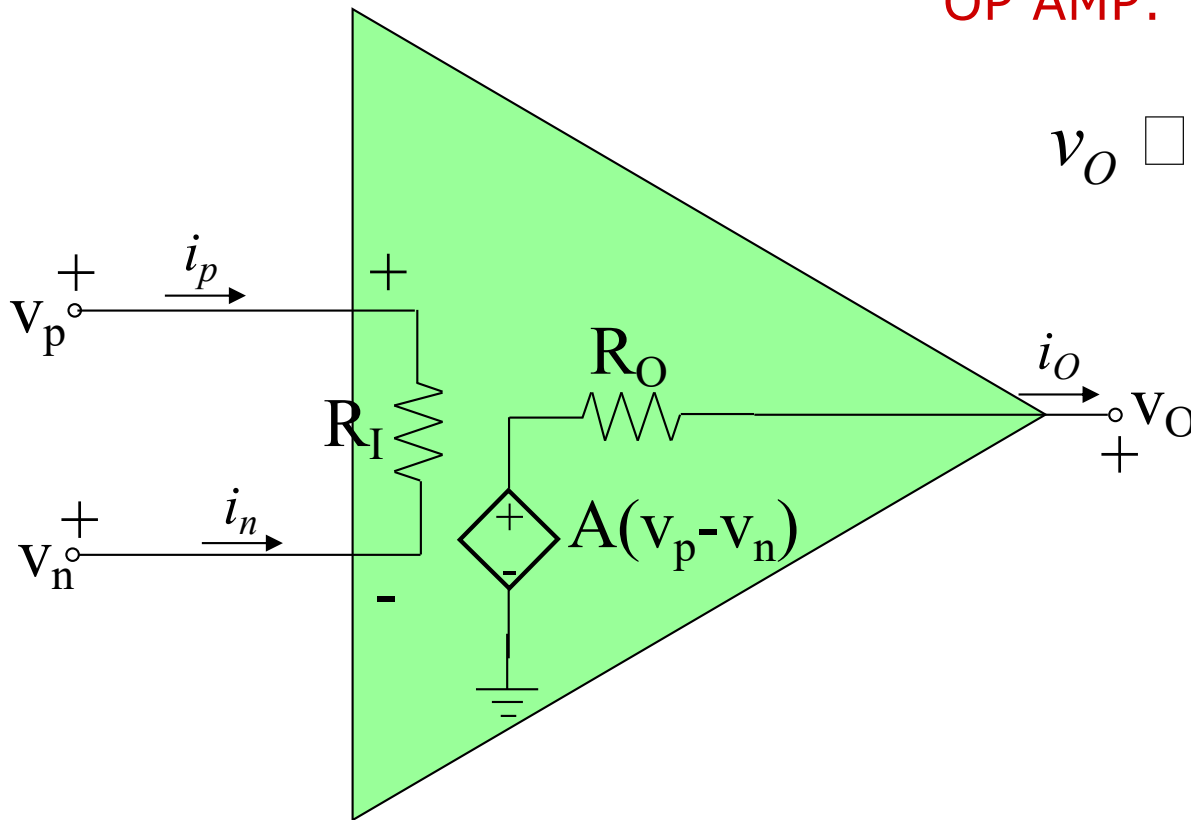
### Inductors:

$$v_L(t) = L \frac{di_L(t)}{dt} \Leftrightarrow i_L(t) = \frac{1}{L} \int_0^t v_L(\tau) d\tau + i_L(0)$$

# Dynamic Models

ELECTRICAL SYSTEMS:

OP AMP:



$$v_O \square A(v_p - v_n), A \rightarrow \infty$$

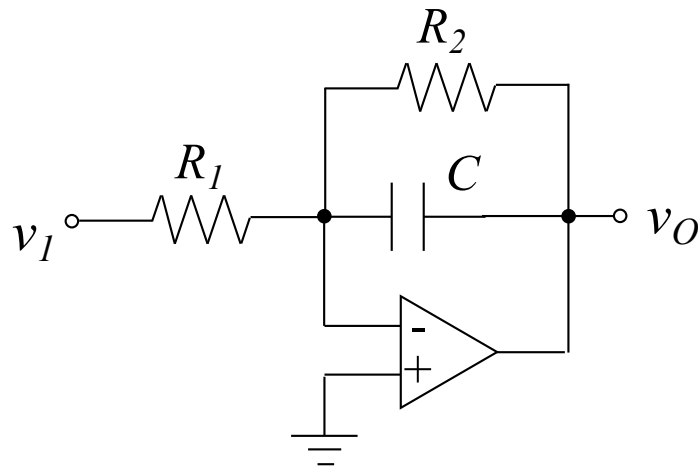
$$v_p \square v_n$$

$$i_p \square i_n \square 0$$

To work in the linear mode we need FEEDBACK!!!

# Dynamic Models

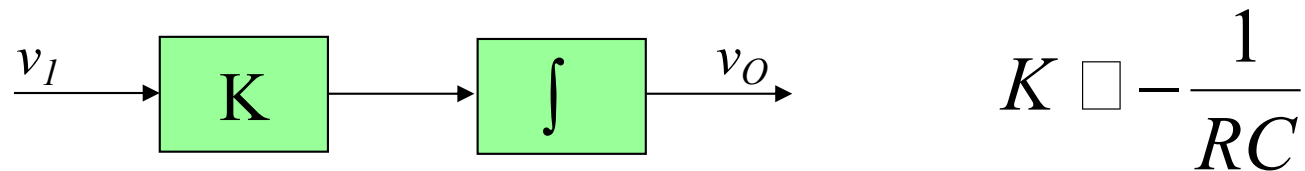
## ELECTRICAL SYSTEMS:



KCL:

$$\frac{dv_O}{dt} = \frac{1}{R_2 C} v_O - \frac{1}{R_1 C} v_I$$

$$R_2 \rightarrow \infty \text{ (OC)} \Rightarrow v_O(t) = v_O(0) - \frac{1}{R_1 C} \int_0^t v_I(\tau) d\tau$$

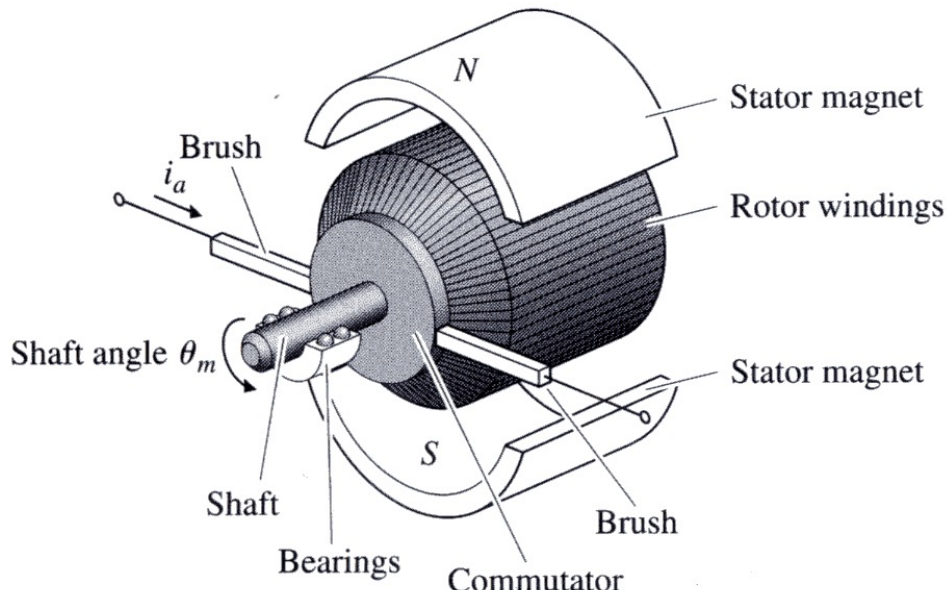


$$K = -\frac{1}{RC}$$

**Inverting integrator**

# Dynamic Models

## ELECTRO-MECHANICAL SYSTEMS: DC Motor



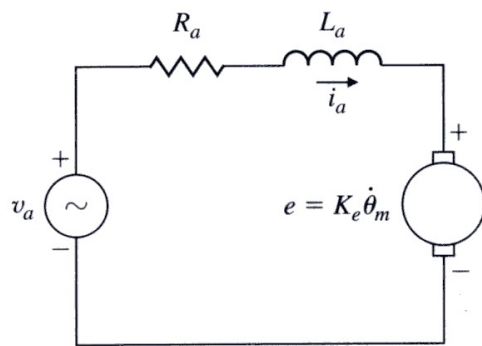
torque                      armature current

$$T \square K_t i_a$$

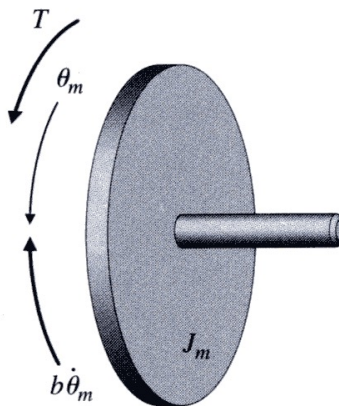
$$e \square K_e \dot{\theta}_m$$

emf

shaft velocity



(a)



(b)

$$J_m \ddot{\theta}_m \square -b \dot{\theta}_m \square T$$

$$-v_a \square R_a i_a \square L \frac{di_a}{dt} \square e \square 0$$

Obtain the State Variable Representation

# Dynamic Models

HEAT-FLOW:

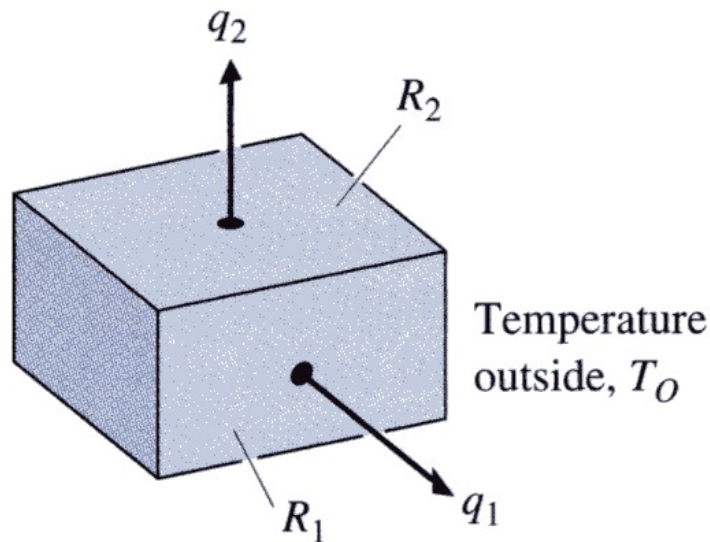
Heat Flow

Temperature Difference

$$q = \frac{1}{R} (T_1 - T_2)$$

$$\dot{T} = \frac{1}{C} q$$

Thermal capacitance      Thermal resistance



$$\dot{T}_I = \frac{1}{C_I} \left( \frac{1}{R_1} + \frac{1}{R_2} \right) (T_o - T_I)$$

# Dynamic Models

FLUID-FLOW:

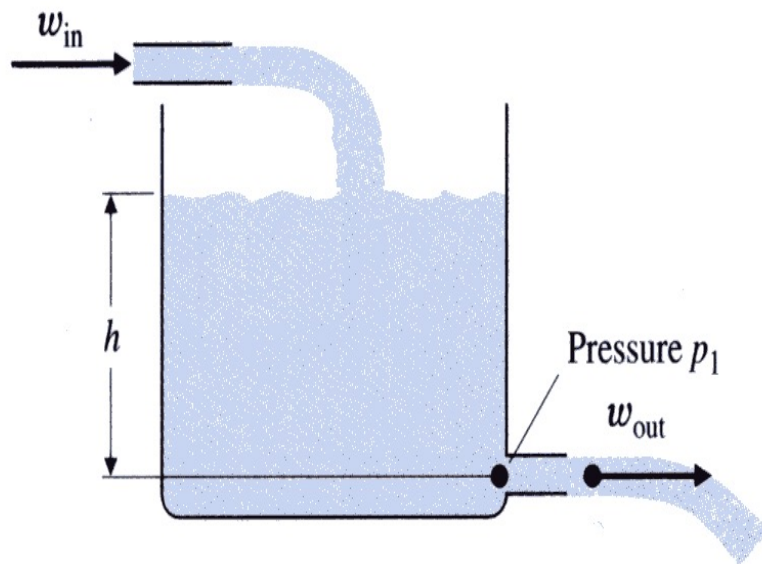
Mass rate

Mass Conservation law

$$\dot{m} = w_{in} - w_{out}$$

Inlet mass flow

Outlet mass flow



$$\dot{m} = \rho A \dot{h} \Rightarrow \dot{h} = \frac{1}{\rho A} [w_{in} - w_{out}]$$

A: area of the tank  
 $\rho$ : density of fluid  
 h: height of water

# Linearization

Dynamic System:  $\dot{x} = f(x, u)$

$$0 = f(x_o, u_o) \quad \text{Equilibrium}$$

Denote  $\delta x = x - x_o, \delta u = u - u_o$

$$\delta \dot{x} = f(x_o + \delta x, u_o + \delta u)$$

Taylor Expansion

$$\delta \dot{x} \approx f(x_o, u_o) + \left. \frac{\partial f}{\partial x} \right|_{x_o, u_o} \delta x + \left. \frac{\partial f}{\partial u} \right|_{x_o, u_o} \delta u$$

$$F \equiv \left. \frac{\partial f}{\partial x} \right|_{x_o, u_o}, G \equiv \left. \frac{\partial f}{\partial u} \right|_{x_o, u_o} \Rightarrow \delta \dot{x} \approx F \delta x + G \delta u$$



# Linearization

$$\delta \dot{x} \approx F \delta x + G \delta u$$

$$F \equiv \left. \frac{\partial f}{\partial x} \right|_{x_o, u_o} + \begin{bmatrix} \frac{\partial f_1}{\partial x_1} & \dots & \frac{\partial f_1}{\partial x_n} \\ \vdots & & \vdots \\ \frac{\partial f_n}{\partial x_1} & \dots & \frac{\partial f_n}{\partial x_n} \end{bmatrix}_{x_o, u_o}, G \equiv \left. \frac{\partial f}{\partial u} \right|_{x_o, u_o} + \begin{bmatrix} \frac{\partial f_1}{\partial u_1} & \dots & \frac{\partial f_1}{\partial u_m} \\ \vdots & & \vdots \\ \frac{\partial f_n}{\partial u_1} & \dots & \frac{\partial f_n}{\partial u_m} \end{bmatrix}_{x_o, u_o}$$

Example: **Pendulum with friction**

$$\ddot{\theta} + \frac{k}{m} \dot{\theta} + \frac{g}{l} \sin \theta = 0$$

$$\dot{x} = \begin{bmatrix} 0 & 1 \\ -\frac{g}{l} \cos x_1 & -\frac{k}{m} \end{bmatrix}_{x_o} x$$

# Laplace Transform

- Function  $f(t)$  of time

- Piecewise continuous and exponential order  $|f(t)| \leq Ke^{bt}$

$$F(s) \triangleq \int_{0^-}^{\infty} f(t)e^{-st} dt \qquad \mathcal{L}^{-1}\{F(s)\} \triangleq f(t) \triangleq \frac{1}{2\pi j} \int_{\alpha-j\infty}^{\alpha+j\infty} F(s)e^{st} ds$$

- $0^-$  limit is used to capture transients and discontinuities at  $t=0$
- $s$  is a complex variable ( $\sigma+j\omega$ )
  - There is a need to worry about regions of convergence of the integral
- Units of  $s$  are  $\text{sec}^{-1}=\text{Hz}$ 
  - A frequency
- If  $f(t)$  is volts (amps) then  $F(s)$  is volt-seconds (amp-seconds)

# Laplace transform examples

- Step function – unit Heavyside Function

- After Oliver Heavyside (1850-1925)

$$u(t) = \begin{cases} 0, & \text{for } t < 0 \\ 1, & \text{for } t \geq 0 \end{cases}$$

$$F(s) = \int_{0^-}^{\infty} u(t)e^{-st} dt = \int_{0^-}^{\infty} e^{-st} dt = \left. -\frac{e^{-st}}{s} \right|_0^{\infty} = \left. -\frac{e^{-(\sigma + j\omega)t}}{\sigma + j\omega} \right|_0^{\infty} = \frac{1}{s} \quad \text{if } \sigma > 0$$

- Exponential function

- After Oliver Exponential (1176 BC- 1066 BC)

$$F(s) = \int_0^{\infty} e^{-\alpha t} e^{-st} dt = \int_0^{\infty} e^{-(s+\alpha)t} dt = \left. -\frac{e^{-(s+\alpha)t}}{s+\alpha} \right|_0^{\infty} = \frac{1}{s+\alpha} \quad \text{if } \sigma > -\alpha$$

- Delta (impulse) function  $\delta(t)$

$$F(s) = \int_{0^-}^{\infty} \delta(t)e^{-st} dt = 1 \quad \text{for all } s$$

Example: [ME207\\_Laplace.m](#)

# Laplace Transform Pair Tables

Signal	Waveform	Transform
impulse	$\delta(t)$	1
step	$u(t)$	$\frac{1}{s}$
ramp	$tu(t)$	$\frac{1}{s^2}$
exponential	$e^{-\alpha t} u(t)$	$\frac{1}{s + \alpha}$
damped ramp	$te^{-\alpha t} u(t)$	$\frac{1}{(s + \alpha)^2}$
sine	$\sin \beta t u(t)$	$\frac{\beta}{s^2 + \beta^2}$
cosine	$\cos \beta t u(t)$	$\frac{s}{s^2 + \beta^2}$
damped sine	$e^{-\alpha t} \sin \beta t u(t)$	$\frac{\beta}{(s + \alpha)^2 + \beta^2}$
damped cosine	$e^{-\alpha t} \cos \beta t u(t)$	$\frac{s + \alpha}{(s + \alpha)^2 + \beta^2}$

# Laplace Transform Properties

**Linearity: (absolutely critical property)**

$$\mathcal{L}\{Af_1(t) + Bf_2(t)\} = A\mathcal{L}\{f_1(t)\} + B\mathcal{L}\{f_2(t)\} = AF_1(s) + BF_2(s)$$

**Integration property:**

$$\mathcal{L}\left\{\int_0^t f(\tau)d\tau\right\} = \frac{F(s)}{s}$$

**Differentiation property:**

$$\mathcal{L}\left\{\frac{df(t)}{dt}\right\} = sF(s) - f(0-)$$

$$\mathcal{L}\left\{\frac{d^2 f(t)}{dt^2}\right\} = s^2 F(s) - sf(0-) - f'(0-)$$

$$\mathcal{L}\left\{\frac{d^m f(t)}{dt^m}\right\} = s^m F(s) - s^{m-1} f(0-) - s^{m-2} f'(0-) - \dots - f^{(m)}(0-)$$

# Laplace Transform Properties

## Translation properties:

$s$ -domain translation:  $\mathcal{L}\{e^{-\alpha t} f(t)\} = F(s + \alpha)$

$t$ -domain translation:  $\mathcal{L}\{f(t - a)u(t - a)\} = e^{-as} F(s)$  for  $a \geq 0$

## Initial Value Property:

$$\lim_{t \rightarrow 0^+} f(t) = \lim_{s \rightarrow \infty} sF(s)$$

## Final Value Property:

$$\lim_{t \rightarrow \infty} f(t) = \lim_{s \rightarrow 0} sF(s)$$

If all poles of  $F(s)$  are in the LHP

# Laplace Transform Properties

Time Scaling:  $\mathcal{L}\{f(at)\} \square \frac{1}{|a|} F\left(\frac{s}{a}\right)$

Multiplication by time:  $\mathcal{L}\{tf(t)\} \square -\frac{dF(s)}{ds}$

Convolution:  $\mathcal{L}\left\{\int_0^t f(\tau)g(t-\tau)d\tau\right\} \square F(s)G(s)$

Time product:  $\mathcal{L}\{f(t)g(t)\} \square \frac{1}{2\pi j} \int_{\sigma-j\omega}^{\sigma+j\omega} F(s)G(s-\lambda)d\lambda$

# Laplace Transform

**Exercise:** Find the Laplace transform of the following waveform

$$f(t) = 2 \sin(2t) - 2 \cos(2t) u(t) \quad F(s) = \frac{4s - 2}{s^2 + 4}$$

**Exercise:** Find the Laplace transform of the following waveform

$$f(t) = e^{-4t} u(t) + 5 \int_0^t \sin(4x) dx \quad F(s) = \frac{s^3 + 36s + 80}{s^2 + 4s + 16}$$

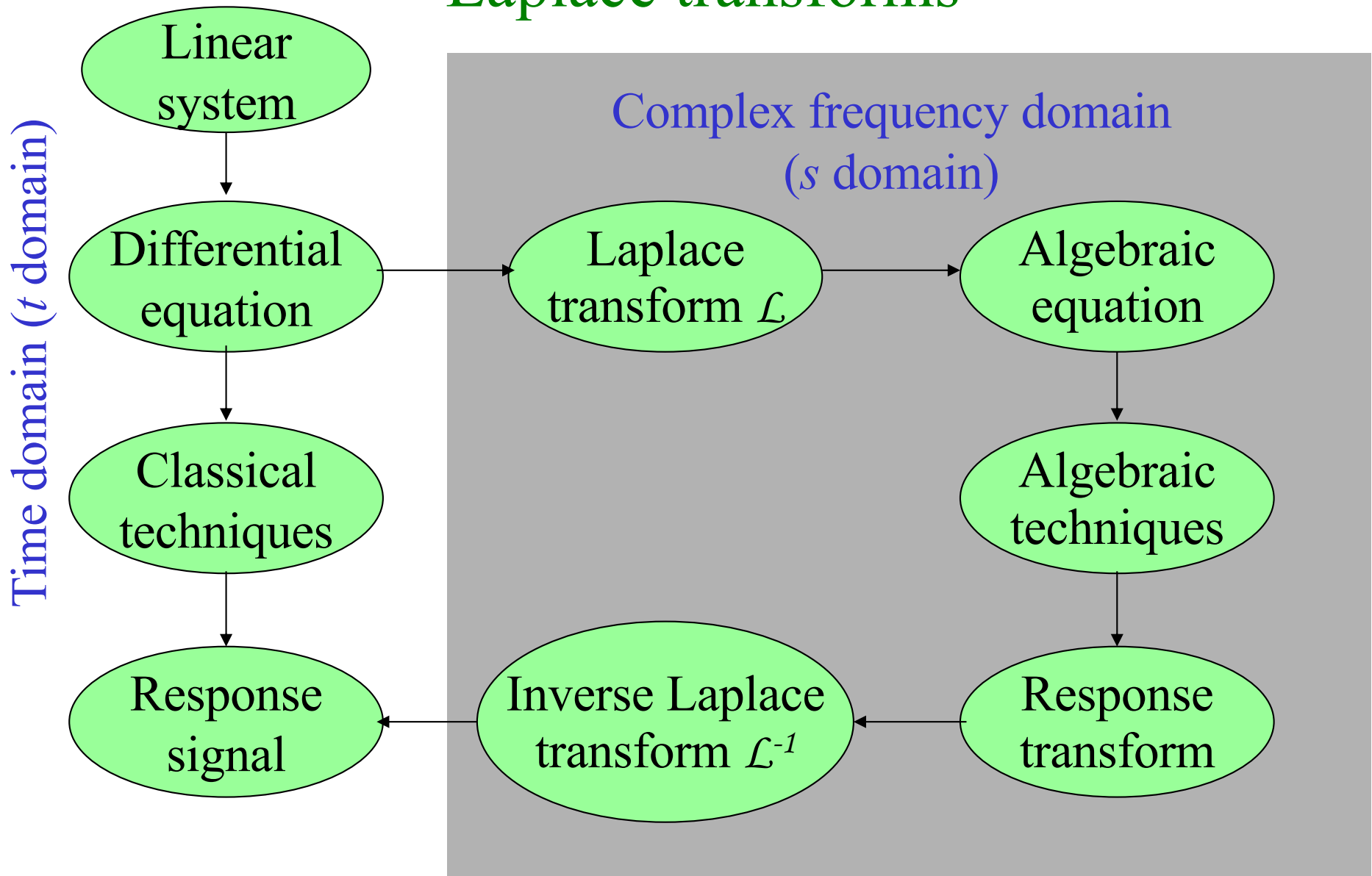
$$f(t) = 5e^{-40t} u(t) + \frac{d}{dt} (5te^{-40t}) u(t) \quad F(s) = \frac{10s + 200}{s + 40}$$

**Exercise:** Find the Laplace transform of the following waveform

$$f(t) = Au(t) - 2Au(t - T) + Au(t - 2T) \quad F(s) = \frac{A(1 - e^{-Ts})^2}{s}$$



# Laplace transforms



- **The diagram commutes**
  - Same answer whichever way you go

# Solving LTI ODE's via Laplace Transform

$$y^{(n)} + a_{n-1}y^{(n-1)} + \dots + a_0y = b_m u^{(m)} + b_{m-1}u^{(m-1)} + \dots + b_0u$$

**Initial Conditions:**  $y^{(n-1)}(0), \dots, y(0), u^{(m-1)}(0), \dots, u(0)$

**Recall**  $\mathcal{L}\left\{\frac{d^k f(t)}{dt^k}\right\} = s^k F(s) - \sum_{j=0}^{k-1} f^{(k-1-j)}(0)s^j$

$$s^n Y(s) - \sum_{j=0}^{n-1} y^{(n-1-j)}(0)s^j + \sum_{i=0}^{n-1} a_i \left[ s^i Y(s) - \sum_{j=0}^{i-1} y^{(i-1-j)}(0)s^j \right] = \sum_{i=0}^m b_i \left[ s^i U(s) - \sum_{j=0}^{i-1} u^{(i-1-j)}(0)s^j \right]$$

$$Y(s) = \frac{b_m s^m + b_{m-1} s^{m-1} + \dots + b_1 s + b_0}{s^n + a_{n-1} s^{n-1} + \dots + a_1 s + a_0} U(s) + \frac{\sum_{i=0}^{n-1} a_i \sum_{j=0}^{i-1} y^{(i-1-j)}(0)s^j - \sum_{i=0}^m b_i \sum_{j=0}^{i-1} u^{(i-1-j)}(0)s^j}{s^n + a_{n-1} s^{n-1} + \dots + a_1 s + a_0}$$

For a given rational  $U(s)$  we get  $Y(s) = Q(s)/P(s)$

# Laplace Transform

**Exercise:** Find the Laplace transform  $V(s)$

$$\frac{dv(t)}{dt} = 6v(t) - 4u(t) \quad V(s) = \frac{4}{s(s-6)} - \frac{3}{s-6}$$
$$v(0^-) = -3$$

**Exercise:** Find the Laplace transform  $V(s)$

$$\frac{d^2v(t)}{dt^2} = 4\frac{dv(t)}{dt} - 3v(t) - 5e^{-2t} \quad V(s) = \frac{5}{(s-1)(s-2)(s-3)} - \frac{2}{s-1}$$
$$v(0^-) = -2, v'(0^-) = 2$$

What about  $v(t)$ ?

# Transfer Functions

$$y^n + a_{n-1}y^{n-1} + \dots + a_0y = b_{m-1}u^{m-1} + \dots + b_0u$$

Assume all Initial Conditions Zero:

$$s^n + a_{n-1}s^{n-1} + \dots + a_1s + a_0 Y(s) = b_{m-1}s^{m-1} + \dots + b_1s + b_0 U(s)$$

Output

Input

$$Y(s) = \frac{b_{m-1}s^{m-1} + \dots + b_1s + b_0}{s^n + a_{n-1}s^{n-1} + \dots + a_1s + a_0} U(s) = \frac{B(s)}{A(s)} U(s)$$

$$H(s) = \frac{Y(s)}{U(s)} = \frac{b_{m-1}s^{m-1} + \dots + b_1s + b_0}{s^n + a_{n-1}s^{n-1} + \dots + a_1s + a_0}$$

$$= K \frac{(s - z_1)(s - z_2) \dots (s - z_m)}{(s - p_1)(s - p_2) \dots (s - p_n)}$$

# Rational Functions

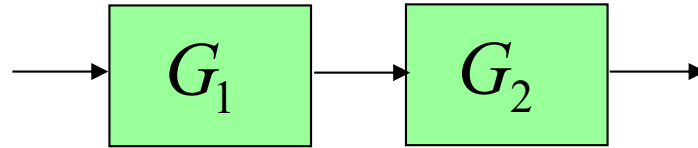
- We shall mostly be dealing with LTs which are rational functions – ratios of polynomials in  $s$

$$F(s) = \frac{b_m s^m + b_{m-1} s^{m-1} + \dots + b_1 s + b_0}{a_n s^n + a_{n-1} s^{n-1} + \dots + a_1 s + a_0}$$
$$= K \frac{(s - z_1)(s - z_2) \dots (s - z_m)}{(s - p_1)(s - p_2) \dots (s - p_n)}$$

- $p_i$  are the poles and  $z_i$  are the zeros of the function
- $K$  is the scale factor or (sometimes) gain
- A proper rational function has  $n \geq m$
- A strictly proper rational function has  $n > m$
- An improper rational function has  $n < m$

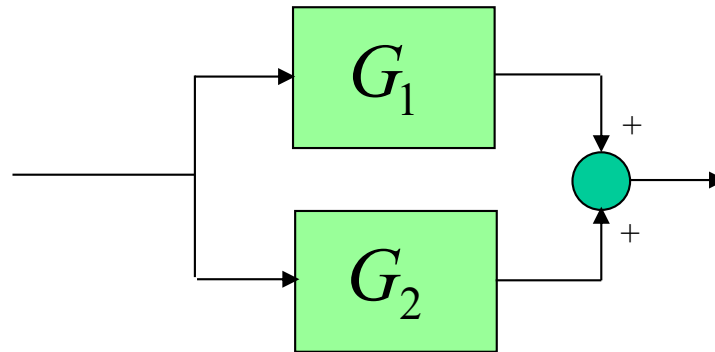
# Block Diagrams

Series:



$$G \square G_1 G_2$$

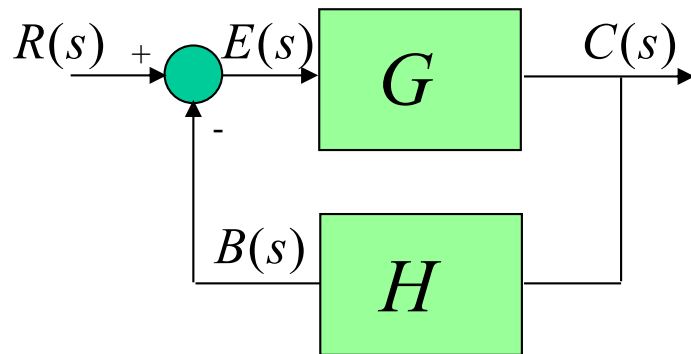
Parallel:



$$G \square G_1 \square G_2$$

# Block Diagrams

## Negative Feedback:



$R$

Reference input

$E \square R - B$

Error signal

$C \square GE$

Output

$B \square HC$

Feedback signal

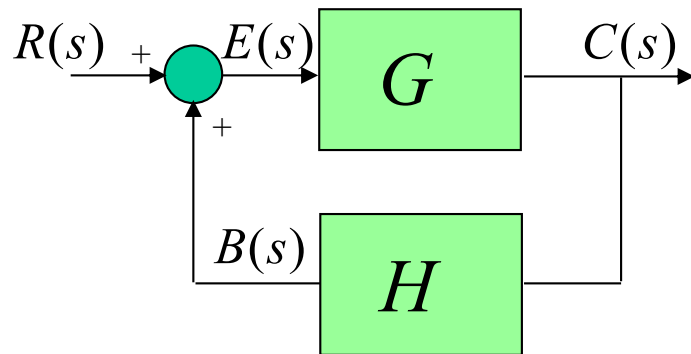
$$C \square GR - GHC \Rightarrow (1 \square GH)C \square GR \Rightarrow \frac{C}{R} \square \frac{G}{(1 \square GH)}$$

$$E \square R - HGE \Rightarrow (1 \square GH)E \square R \Rightarrow \frac{E}{R} \square \frac{1}{(1 \square GH)}$$

**Rule:** Transfer Function = Forward Gain / (1 + Loop Gain)

# Block Diagrams

## Positive Feedback:



$R$

Reference input

$E \square R \square B$

Error signal

$C \square GE$

Output

$B \square HC$

Feedback signal

$$C \square GR \square GHC \Rightarrow (1 - GH)C \square GR \Rightarrow \frac{C}{R} \square \frac{G}{(1 - GH)}$$

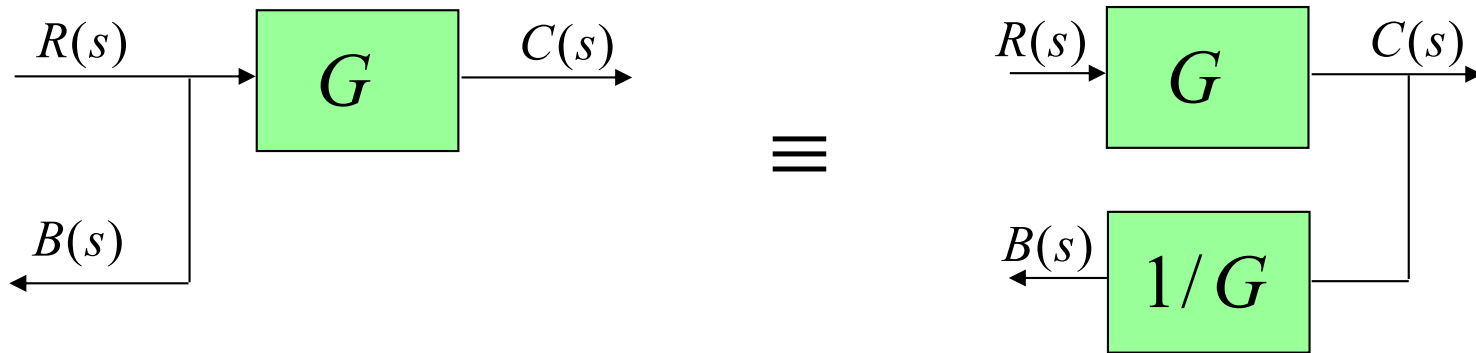
$$E \square R \square HGE \Rightarrow (1 - GH)E \square R \Rightarrow \frac{E}{R} \square \frac{1}{(1 - GH)}$$

**Rule: Transfer Function=Forward Gain/(1-Loop Gain)**

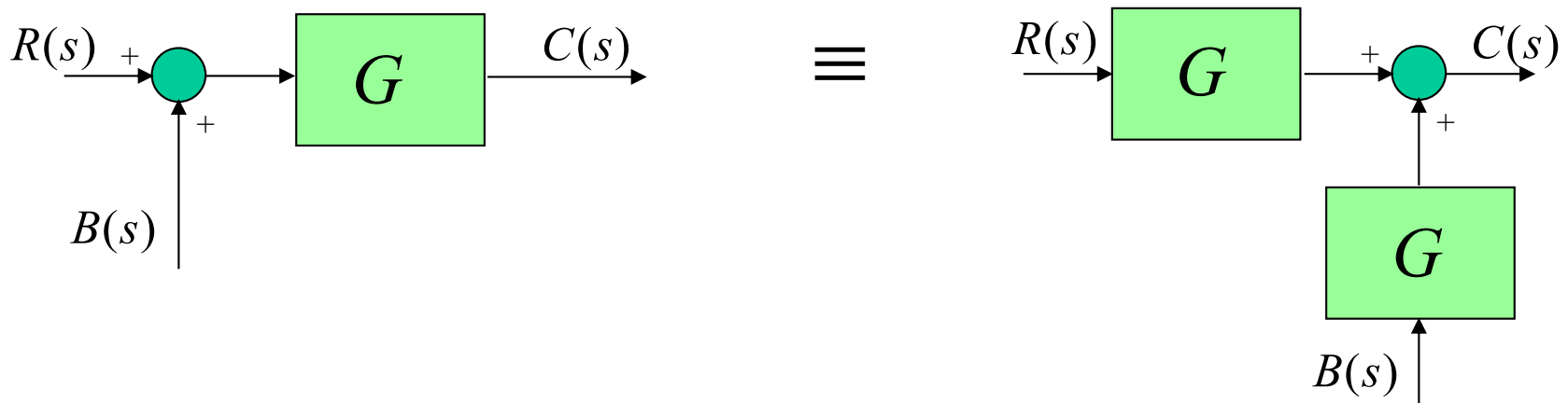


# Block Diagrams

Moving through a branching point:

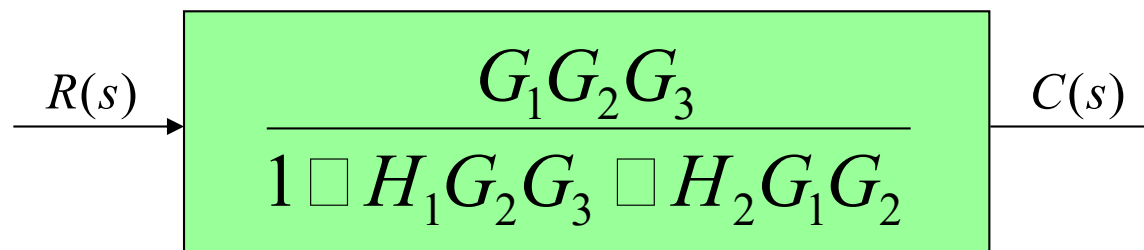
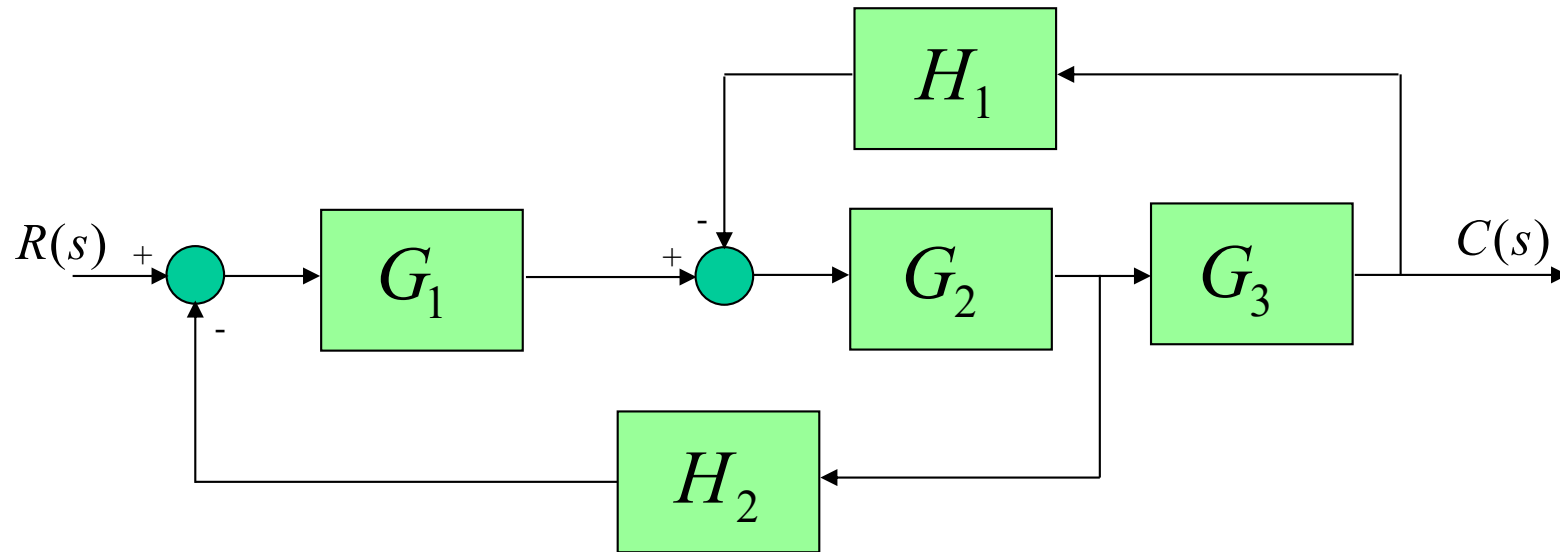


Moving through a summing point:



# Block Diagrams

Example:



# Impulse Response

Dirac's delta:

$$\int_0^{\infty} u(\tau) \delta(t - \tau) d\tau \square u(t)$$

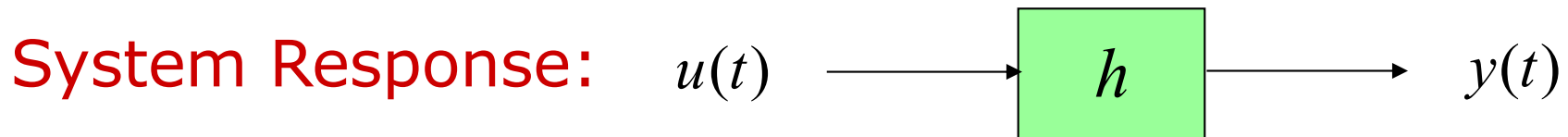
Integration is a limit of a sum



$u(t)$  is represented as a sum of impulses

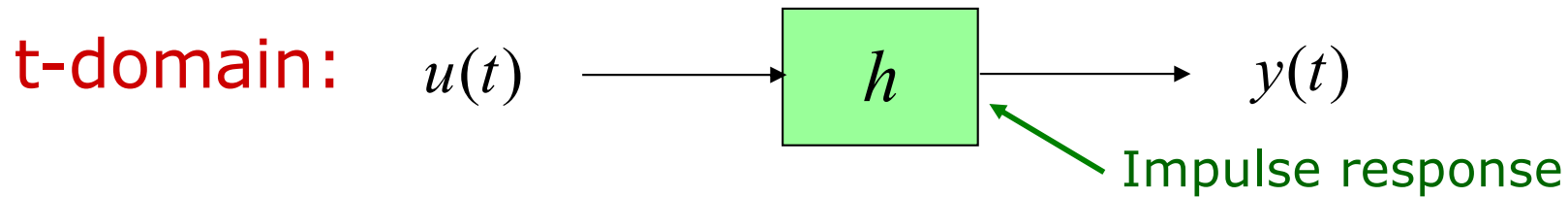
By superposition principle, we only need unit impulse response

$h(t - \tau)$  Response at  $t$  to an impulse applied at  $\tau$



$$y(t) \square \int_0^{\infty} u(\tau) h(t - \tau) d\tau$$

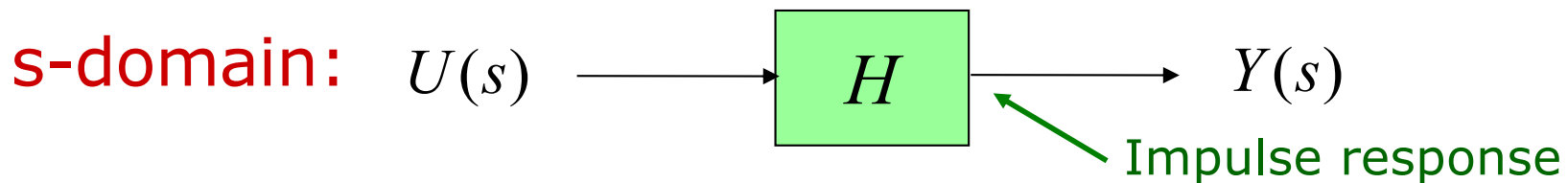
# Impulse Response



$$y(t) \square \int_0^{\infty} u(\tau)h(t-\tau)d\tau \qquad u(t) \square \delta(t) \Rightarrow y(t) \square h(t)$$

The system response is obtained by convolving the input with the impulse response of the system.

**Convolution:**  $\mathcal{L}\left\{\int_0^{\infty} u(\tau)h(t-\tau)d\tau\right\} \square H(s)U(s)$



$$Y(s) \square H(s)U(s) \qquad u(t) \square \delta(t) \Rightarrow U(s) \square 1 \Rightarrow Y(s) \square H(s)$$

The system response is obtained by multiplying the transfer function and the Laplace transform of the input.

# Time Response vs. Poles

Real pole:  $H(s) \square \frac{1}{s \square \sigma} \Rightarrow h(t) \square e^{-\sigma t}$

Impulse Response

$\sigma \square 0$

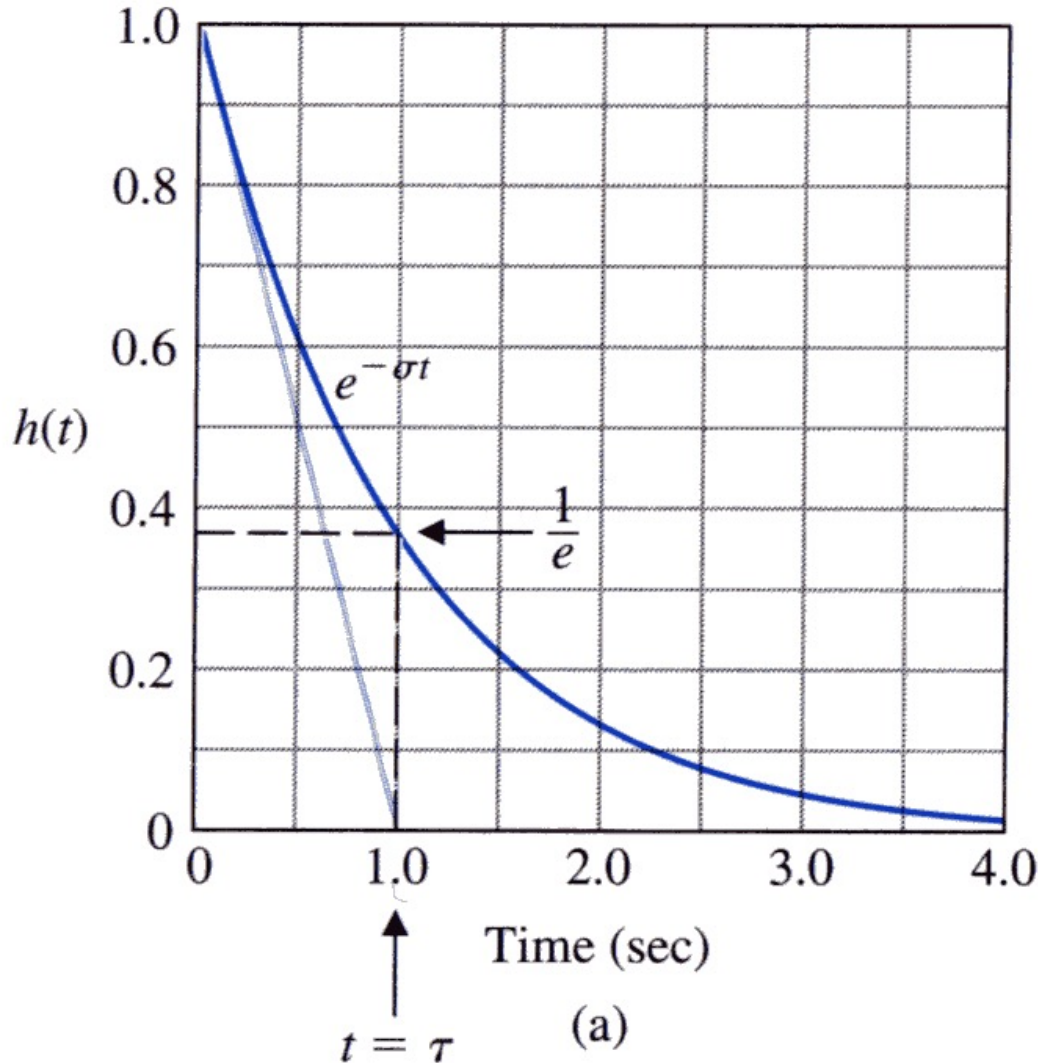
Stable

$\sigma \square 0$

Unstable

$\tau \square \frac{1}{\sigma}$

Time Constant



# Time Response vs. Poles

Real pole:

$$H(s) = \frac{\sigma}{s + \sigma} \Rightarrow h(t) = \sigma e^{-\sigma t}$$

Impulse  
Response

$$\tau = \frac{1}{\sigma} \quad \text{Time Constant}$$

$$Y(s) = \frac{\sigma}{s + \sigma} \frac{1}{s} \Rightarrow y(t) = 1 - e^{-\sigma t}$$

Step  
Response

Example: [ME207\\_TimeResponse\\_1.m](#)

# Time Response vs. Poles

Complex poles:  $H(s) \square \frac{\omega_n^2}{s^2 \square 2\zeta\omega_n s \square \omega_n^2}$

Impulse Response

$$\square \frac{\omega_n^2}{[s \square \zeta\omega_n]^2 \square \omega_n^2 [1 - \zeta^2]}$$

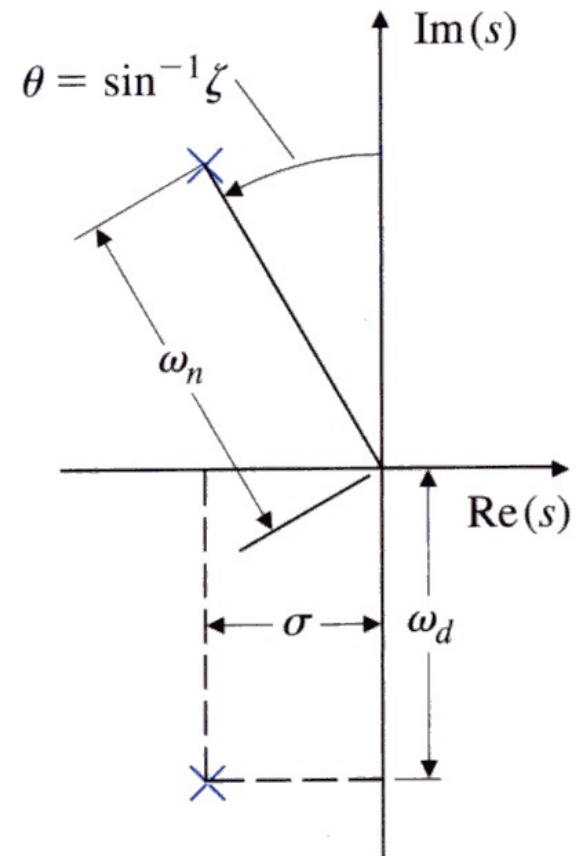
$\omega_n$ : Undamped natural frequency

$\zeta$ : Damping ratio

$$H(s) \square \frac{\omega_n^2}{[s \square \sigma \square j\omega_d][s \square \sigma - j\omega_d]}$$

$$\square \frac{\omega_n^2}{[s \square \sigma]^2 \square \omega_d^2}$$

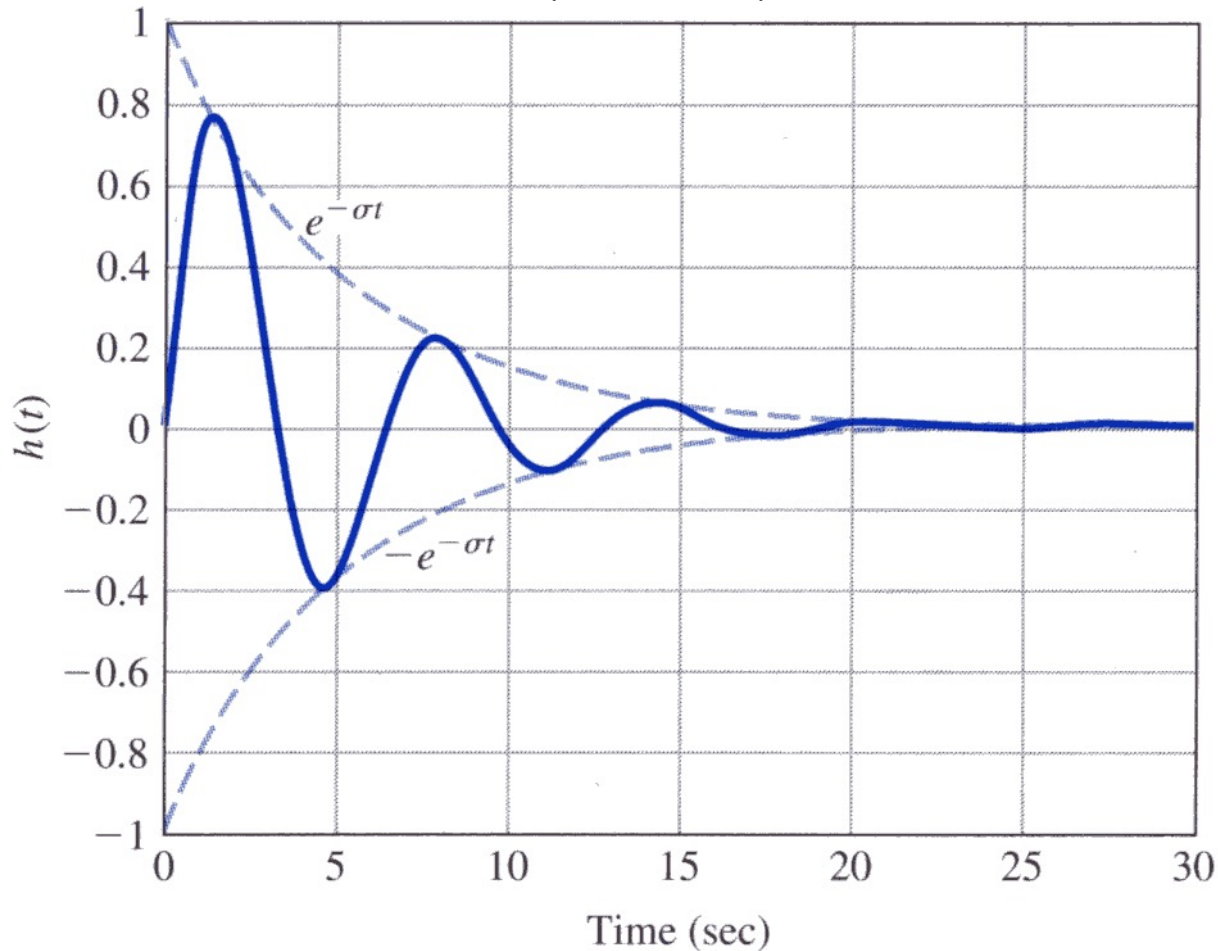
$$\sigma \square \zeta\omega_n, \omega_d \square \omega_n \sqrt{1 - \zeta^2}$$



# Time Response vs. Poles

Complex poles:

$$H(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2} \rightarrow h(t) = \frac{\omega_n}{\sqrt{1-\zeta^2}} e^{-\sigma t} \sin[\omega_d t]$$



Impulse  
Response

$$\sigma < 0$$

Stable

$$\sigma > 0$$

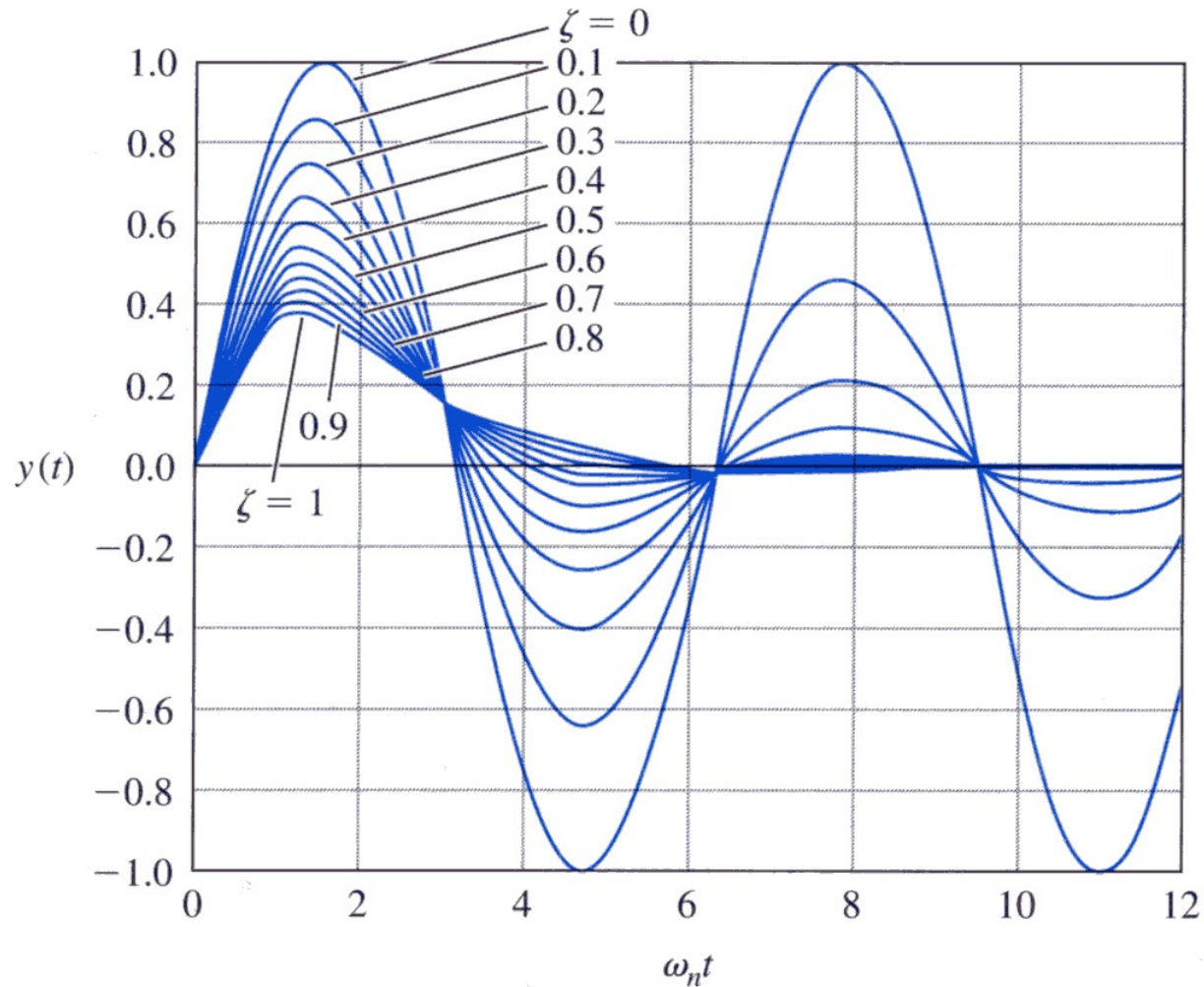
Unstable



# Time Response vs. Poles

Complex poles:

$$H(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2} \rightarrow h(t) = \frac{\omega_n}{\sqrt{1-\zeta^2}} e^{-\zeta\omega_n t} \sin[\omega_d t]$$

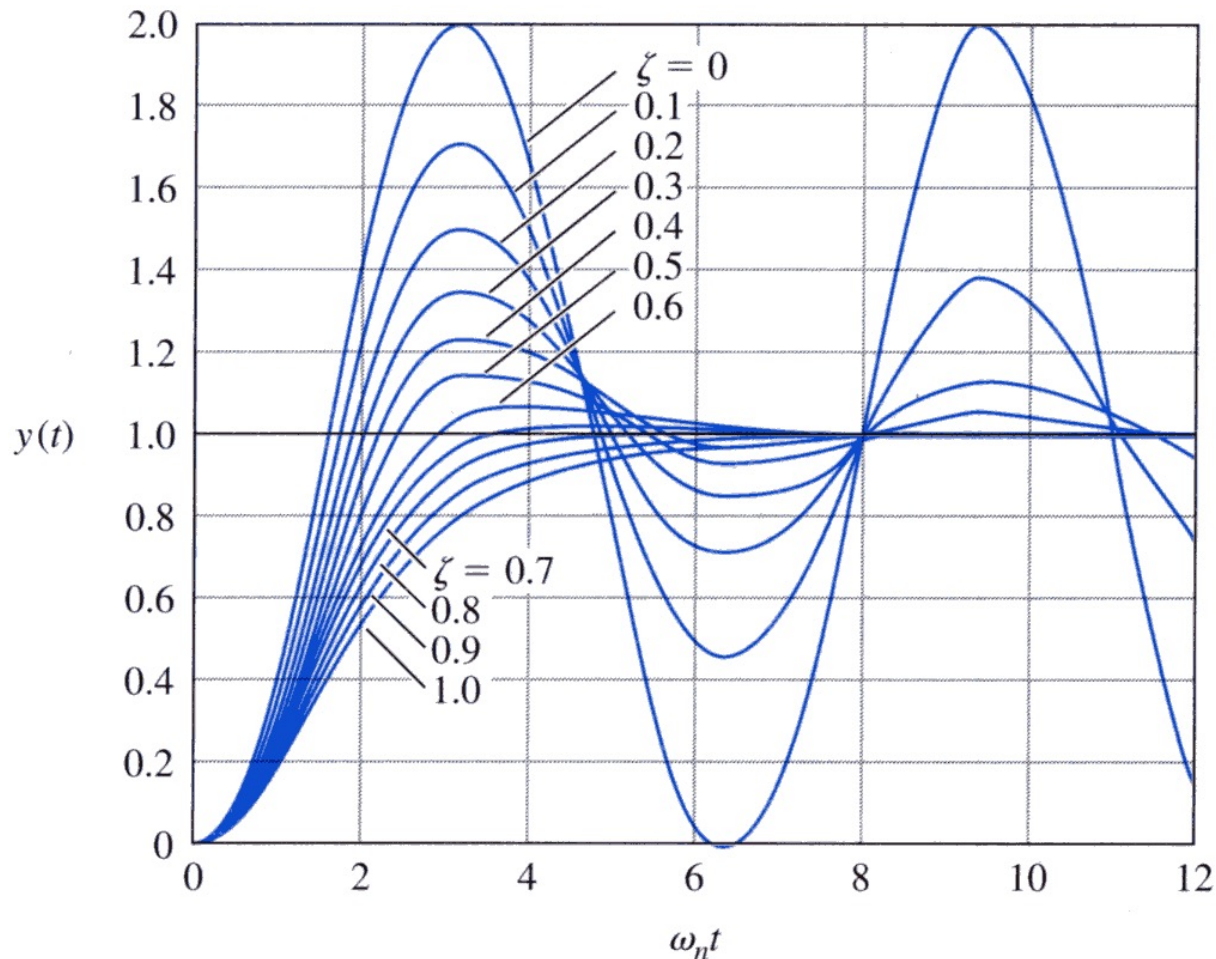


Impulse  
Response

# Time Response vs. Poles

## Complex poles:

$$Y(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2} \frac{1}{s} \rightarrow y(t) = 1 - e^{-\sigma t} \left[ \cos \omega_d t + \frac{\sigma}{\omega_d} \sin \omega_d t \right]$$



Step  
Response

# Time Response vs. Poles

Complex poles:

$$H(s) = \frac{\omega_n^2}{s^2 + 2\zeta\omega_n s + \omega_n^2}$$

$$= \frac{\omega_n^2}{[s + \zeta\omega_n]^2 + \omega_n^2[1 - \zeta^2]}$$

## CASES:

$\zeta = 0: s^2 + \omega_n^2$  Undamped

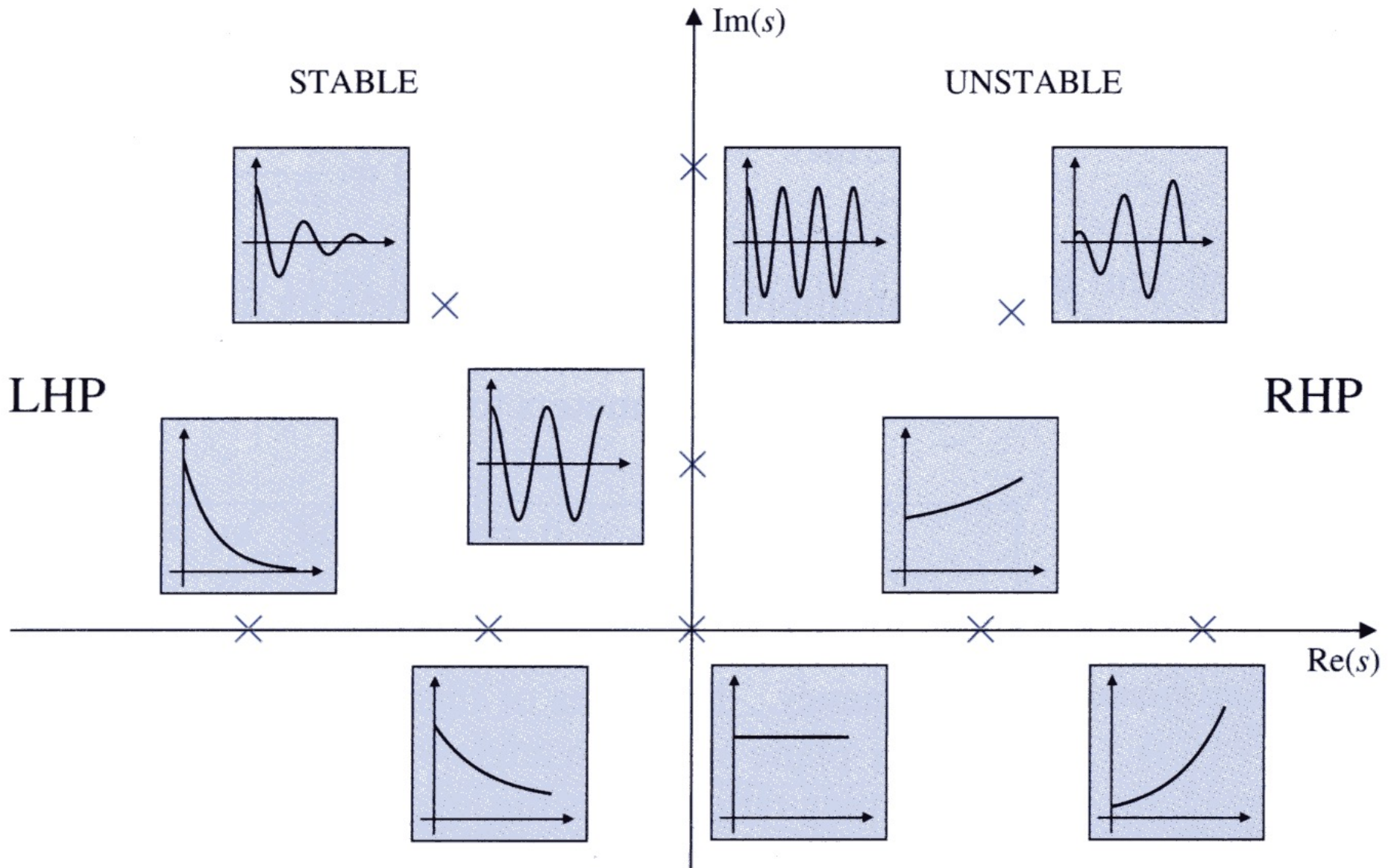
$\zeta < 1: [s + \zeta\omega_n]^2 + \omega_n^2[1 - \zeta^2]$  Underdamped

$\zeta = 1: [s + \omega_n]^2$  Critically damped

$\zeta > 1: [s + \zeta\omega_n + \sqrt{\zeta^2 - 1}\omega_n][s + \zeta\omega_n - \sqrt{\zeta^2 - 1}\omega_n]$  Overdamped

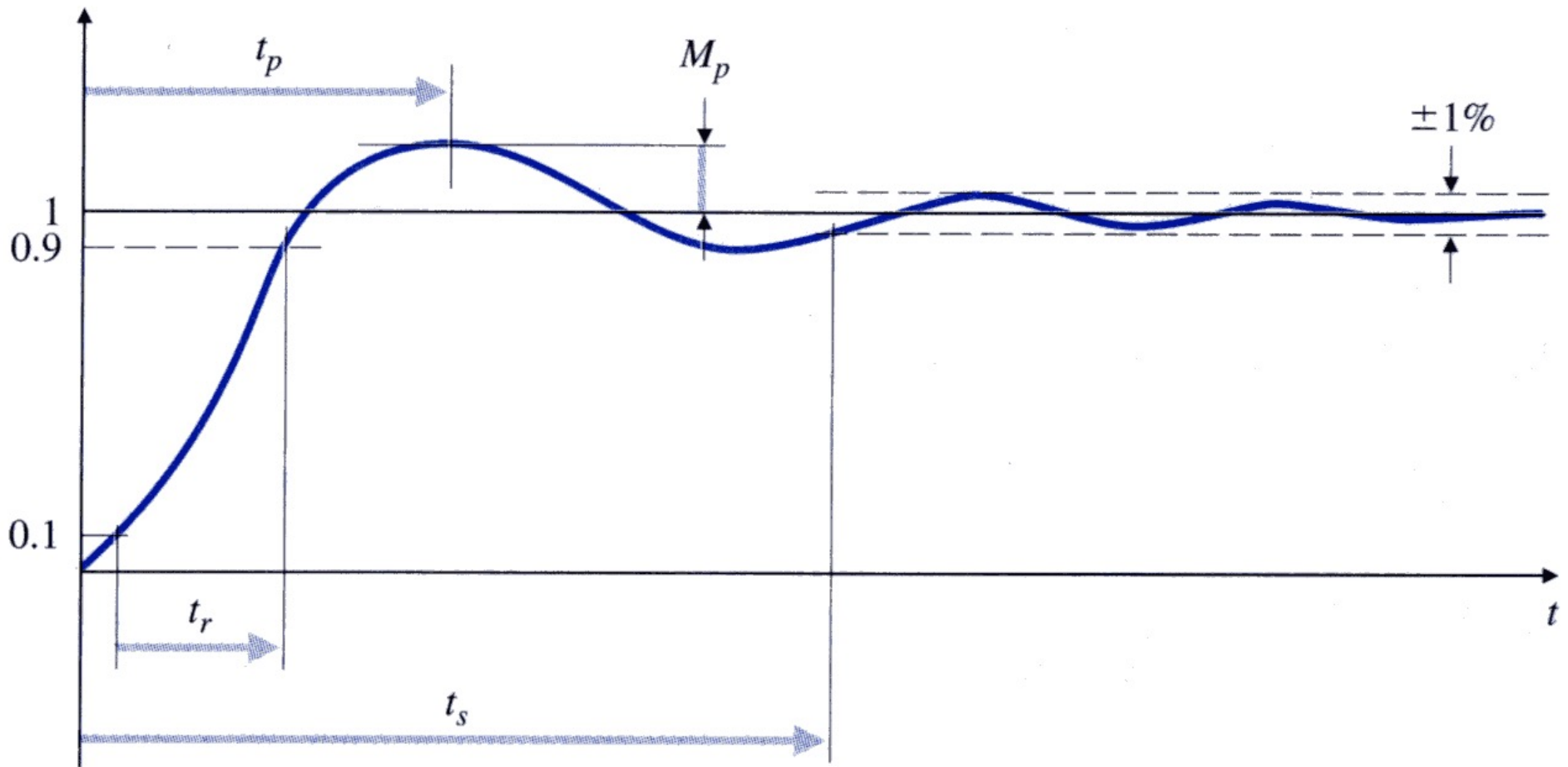
Example: [ME207\\_TimeResponse\\_2.m](#)

# Time Response vs. Poles



Example: [ME207\\_TimeResponse\\_Multiple.m](#)

# Time Domain Specifications



# Time Domain Specifications

- 1- The **rise time**  $t_r$  is the time it takes the system to reach the vicinity of its new set point
- 2- The **settling time**  $t_s$  is the time it takes the system transients to decay
- 3- The **overshoot**  $M_p$  is the maximum amount the system overshoot its final value divided by its final value
- 4- The **peak time**  $t_p$  is the time it takes the system to reach the maximum overshoot point

$$t_p \approx \frac{\pi}{\omega_n \sqrt{1-\zeta^2}} \quad t_r \approx \frac{1.8}{\omega_n}$$
$$M_p \approx e^{-\pi \frac{\zeta}{\sqrt{1-\zeta^2}}} \quad t_s \approx \frac{4.6}{\zeta \omega_n}$$

# Time Domain Specifications

Design specifications are given in terms of

$$t_r, t_p, M_p, t_s$$

These specifications give the position of the poles

$$\omega_n, \zeta \Rightarrow \sigma, \omega_d$$

**Example:** Find the pole positions that guarantee

$$t_r \leq 0.6 \text{ sec}, M_p \leq 10\%, t_s \leq 3 \text{ sec}$$

$$t_r \leq 0.6 \text{ s} \Leftrightarrow \omega_n \geq \frac{1.8}{0.6 \text{ s}} = \frac{3}{\text{s}}$$

$$t_s \leq 3 \text{ s} \Leftrightarrow \omega_n \geq \frac{4.6}{\zeta 3 \text{ s}} = \frac{1.5333}{\zeta \text{ s}}$$

$$M_p \leq 0.1 \Leftrightarrow \zeta \geq \sqrt{\frac{(\ln 0.1)^2}{\pi^2 + (\ln 0.1)^2}} = 0.5912$$

$$\zeta = 0.6 \Leftrightarrow \omega_n \geq \frac{4.6}{\zeta 3 \text{ s}} = \frac{2.5556}{\text{s}} \Rightarrow \omega_n = 3$$

**Example:** ME207\_TimeSpecifications.m

# Effect of Zeros and Additional poles

## Additional poles:

- 1- can be neglected if they are sufficiently to the left of the dominant ones.
- 2- can increase the rise time if the extra pole is within a factor of 4 of the real part of the complex poles.

Example: [ME207\\_TimeResponse\\_ExtraPole.m](#)



# Effect of Zeros and Additional poles

## Zeros:

- 1- a zero near a pole reduces the effect of that pole in the time response.
- 2- a zero in the LHP will increase the overshoot if the zero is within a factor of 4 of the real part of the complex poles (due to differentiation).
- 3- a zero in the RHP (nonminimum phase zero) will depress the overshoot and may cause the step response to start out in the wrong direction.

Example: [ME207\\_TimeResponse\\_ExtraZero.m](#)

# Stability

$$\frac{Y(s)}{R(s)} = \frac{b_m s^m + b_{m-1} s^{m-1} + \dots + b_1 s + b_0}{s^n + a_{n-1} s^{n-1} + \dots + a_1 s + a_0}$$

$$\frac{Y(s)}{R(s)} = K \frac{(s - z_1)(s - z_2) \dots (s - z_m)}{(s - p_1)(s - p_2) \dots (s - p_n)}$$

$$\frac{Y(s)}{R(s)} = \frac{k_1}{(s - p_1)} + \frac{k_2}{(s - p_2)} + \dots + \frac{k_n}{(s - p_n)}$$

Impulse response:

$$R(s) = 1 \Rightarrow Y(s) = \frac{k_1}{(s - p_1)} + \frac{k_2}{(s - p_2)} + \dots + \frac{k_n}{(s - p_n)}$$

$$y(t) = k_1 e^{p_1 t} + k_2 e^{p_2 t} + \dots + k_n e^{p_n t}$$

(See Laplace Transform table)

# Stability

$$y(t) = k_1 e^{p_1 t} + k_2 e^{p_2 t} + \dots + k_n e^{p_n t}$$

**We want:** 
$$e^{p_i t} \xrightarrow{t \rightarrow \infty} 0 \quad \forall i = 1 \dots n$$

**Definition:** A system is **asymptotically stable (a.s.)** if

$$\operatorname{Re}\{p_i\} < 0 \quad \forall i$$

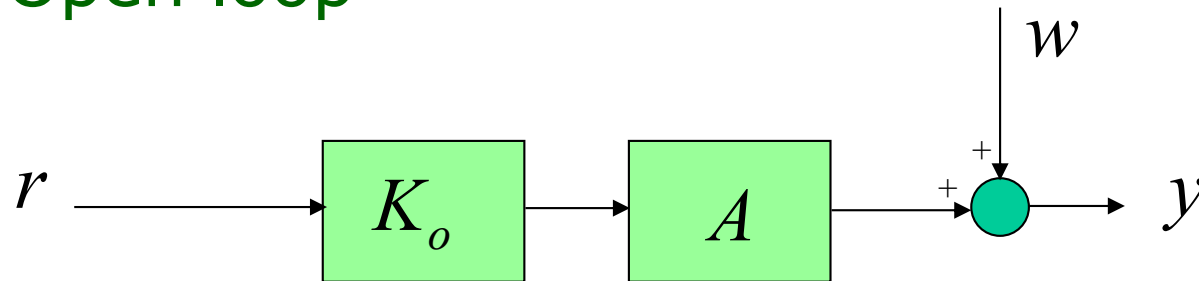
**Characteristic polynomial:** 
$$a(s) = s^n + a_{n-1} s^{n-1} + \dots + a_1 s + a_0$$

**Characteristic equation:** 
$$a(s) = 0$$

# Properties of feedback

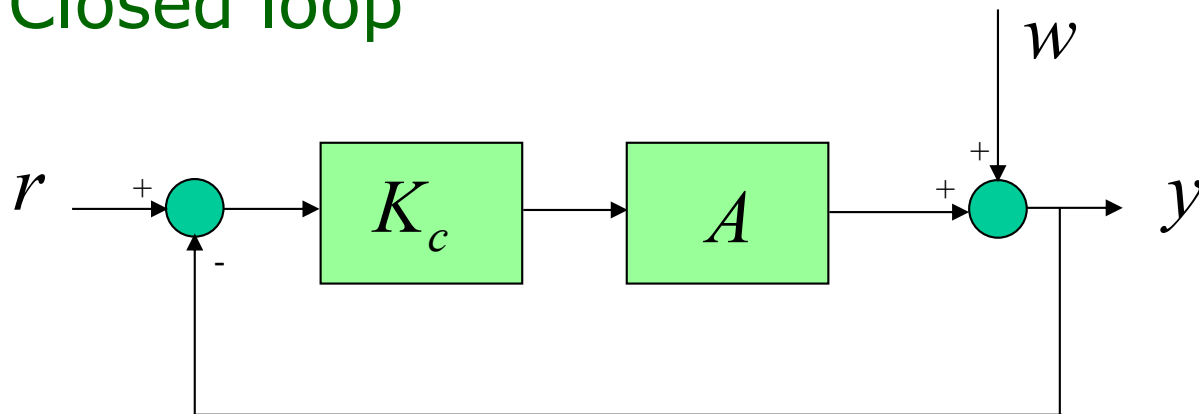
## Disturbance Rejection:

### Open loop



$$y = K_o A r + w$$

### Closed loop



$$y = \frac{K_c A}{1 + K_c A} r + \frac{1}{1 + K_c A} w$$

# Properties of feedback

## Disturbance Rejection:

Choose control s.t. for  $w=0, y \approx r$

Open loop:  $K_o \square \frac{1}{A} \Rightarrow y \square r \square w$

Closed loop:  $K_c \square \square \frac{1}{A} \Rightarrow y \approx r \square 0w \square r$

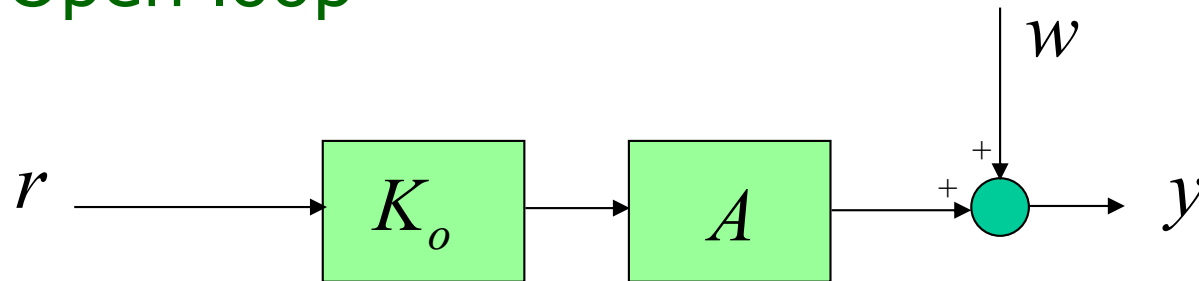
Feedback allows attenuation of disturbance without having access to it (without measuring it)!!!

**IMPORTANT:** High gain is dangerous for dynamic response!!!

# Properties of feedback

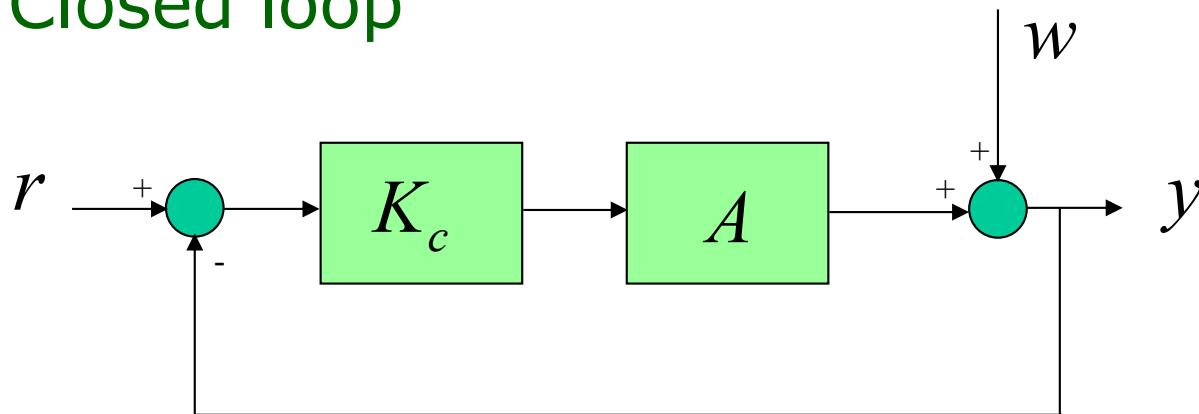
## Sensitivity to Gain Plant Changes

### Open loop



$$T_o \equiv \left( \frac{y}{r} \right)_o \equiv AK_o$$

### Closed loop



$$T_c \equiv \left( \frac{y}{r} \right)_c \equiv \frac{AK_c}{1 \mp AK_c}$$

# Properties of feedback

## Sensitivity to Gain Plant Changes

Let the plant gain be  $A \pm \delta A$

Open loop: 
$$\frac{\delta T_o}{T_o} \approx \frac{\delta A}{A}$$

Closed loop: 
$$\frac{\delta T_c}{T_c} \approx \frac{\delta A}{A} \frac{1}{1 \pm AK_c} \approx \frac{\delta A}{A} \approx \frac{\delta T_o}{T_o}$$

Feedback reduces sensitivity to plant variations!!!

Sensitivity: 
$$S_A^T \approx \frac{dT/T}{dA/A} \approx \frac{A}{T} \frac{dT}{dA}$$

Example: 
$$S_A^{T_c} \approx \frac{1}{1 \pm AK_c}, S_A^{T_o} \approx 1$$

# Simulation of feedback systems via Simulink

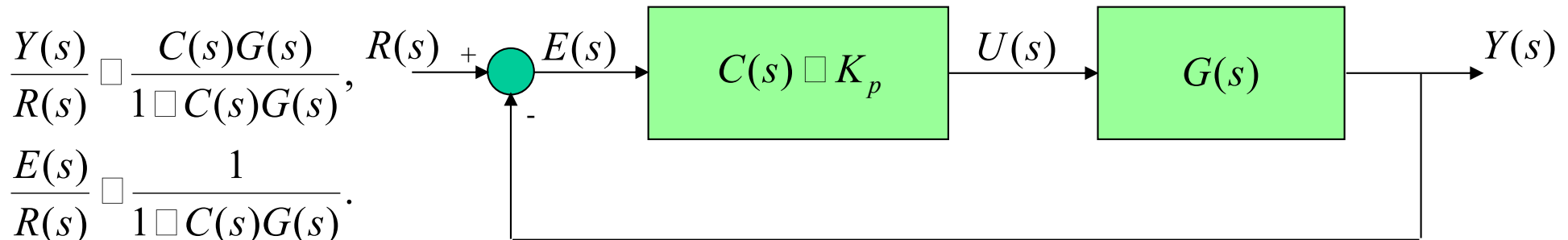
**Example:** ME207\_TimeResponse\_cldesign\_setup.m  
ME207\_TimeResponse\_cldesign.mdl  
ME207\_TimeResponse\_cldesign\_run.m



# PID Controller

PID: Proportional – Integral – Derivative

P Controller:



$$\frac{Y(s)}{R(s)} = \frac{C(s)G(s)}{1 + C(s)G(s)},$$

$$\frac{E(s)}{R(s)} = \frac{1}{1 + C(s)G(s)}.$$

$$u(t) = K_p e(t), \quad U(s) = K_p E(s)$$

Step Reference:

$$R(s) = \frac{1}{s} \Rightarrow e_{ss} = \lim_{s \rightarrow 0} sE(s) = \lim_{s \rightarrow 0} s \frac{1}{1 + K_p G(s)} \frac{1}{s} = \frac{1}{1 + K_p G(0)}$$

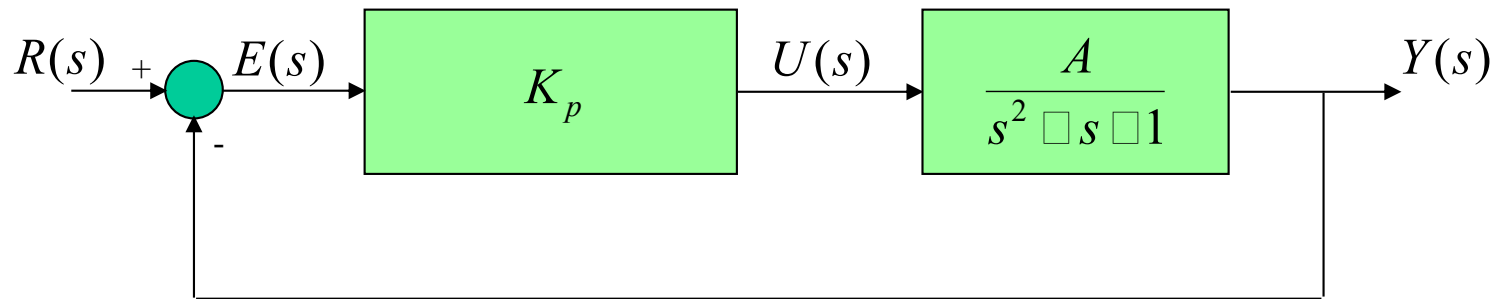
$$e_{ss} = 0 \Leftrightarrow K_p G(0) \rightarrow \infty \quad \text{True when:}$$

- Proportional gain is high
- Plant has a pole at the origin

High gain proportional feedback (needed for good tracking) results in underdamped (or even unstable) transients.

# PID Controller

## P Controller: Example (ME207\_P\_Controller.m)



$$\frac{Y(s)}{R(s)} = \frac{K_p G(s)}{1 + K_p G(s)} = \frac{K_p A}{s^2 + s + (1 + K_p A)}$$

$$\begin{aligned} \omega_n^2 &= 1 + K_p A \\ 2\zeta\omega_n &= 1 \end{aligned} \Rightarrow \zeta = \frac{1}{2\omega_n} = \frac{1}{2\sqrt{1 + K_p A}} \xrightarrow{K_p \rightarrow \infty} 0$$

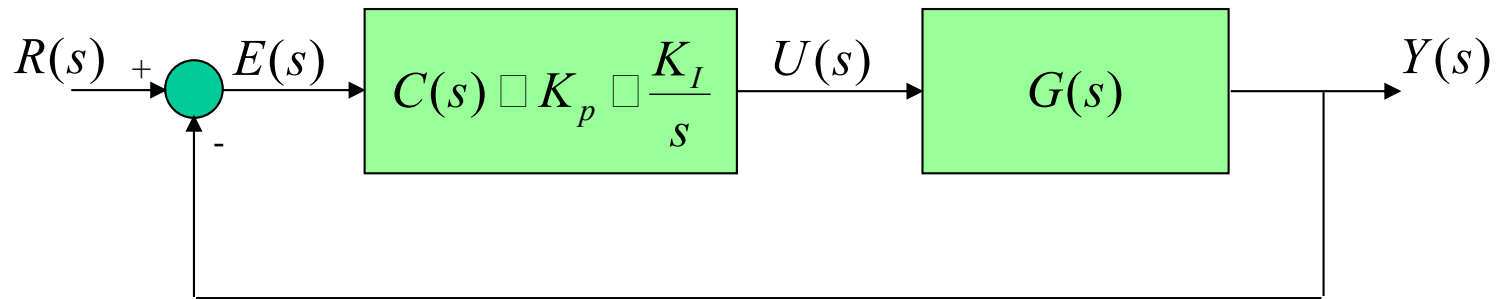
- ✓ Underdamped transient for large proportional gain
- ✓ Steady state error for small proportional gain

# PID Controller

## PI Controller:

$$\frac{Y(s)}{R(s)} = \frac{C(s)G(s)}{1 + C(s)G(s)},$$

$$\frac{E(s)}{R(s)} = \frac{1}{1 + C(s)G(s)}.$$



$$u(t) = K_p e(t) + K_I \int_0^t e(\tau) d\tau, \quad U(s) = \left( K_p + \frac{K_I}{s} \right) E(s)$$

## Step Reference:

$$R(s) = \frac{1}{s} \Rightarrow e_{ss} = \lim_{s \rightarrow 0} s E(s) = \lim_{s \rightarrow 0} s \frac{1}{1 + \left( K_p + \frac{K_I}{s} \right) G(s)} \frac{1}{s} = \lim_{s \rightarrow 0} \frac{1}{1 + \left( K_p + \frac{K_I}{s} \right) G(s)} = 0$$

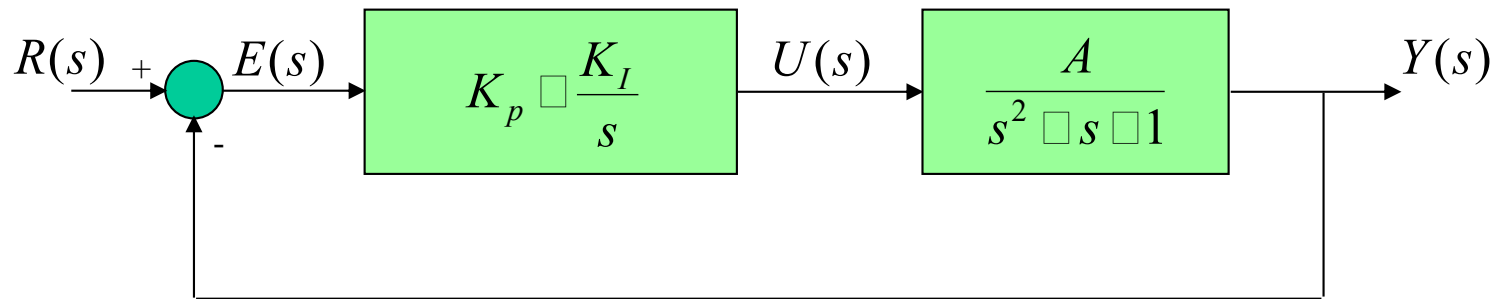
- It does not matter the value of the proportional gain
- Plant does not need to have a pole at the origin. The controller has it!

Integral control achieves perfect steady state reference tracking!!!

Note that this is valid even for  $K_p=0$  as long as  $K_i \neq 0$

# PID Controller

## PI Controller: Example (ME207\_PI\_Controller.m)



$$\frac{Y(s)}{R(s)} = \frac{\left(K_p + \frac{K_I}{s}\right)G(s)}{1 + \left(K_p + \frac{K_I}{s}\right)G(s)} = \frac{K_p s + K_I}{s^3 + s^2 + (1 + K_p A)s + K_I A}$$

**DANGER:** for large  $K_i$  the characteristic equation has roots in the RHP

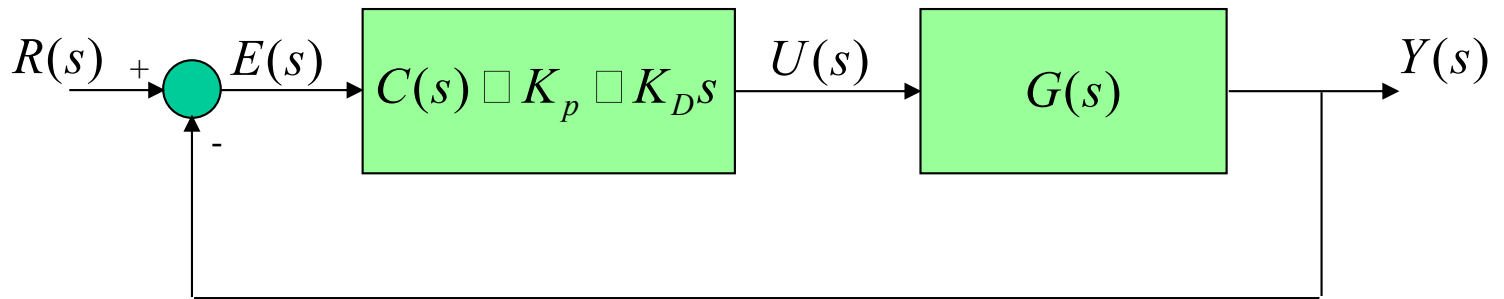
$$s^3 + s^2 + (1 + K_p A)s + K_I A = 0$$

# PID Controller

## PD Controller:

$$\frac{Y(s)}{R(s)} = \frac{C(s)G(s)}{1 + C(s)G(s)},$$

$$\frac{E(s)}{R(s)} = \frac{1}{1 + C(s)G(s)}.$$



$$u(t) = K_p e(t) + K_D \frac{de(t)}{dt}, \quad U(s) = [K_p + K_D s] E(s)$$

## Step Reference:

$$R(s) = \frac{1}{s} \Rightarrow e_{ss} = \lim_{s \rightarrow 0} s E(s) = \lim_{s \rightarrow 0} s \frac{1}{1 + [K_p + K_D s] G(s)} \frac{1}{s} = \frac{1}{1 + K_p G(0)}$$

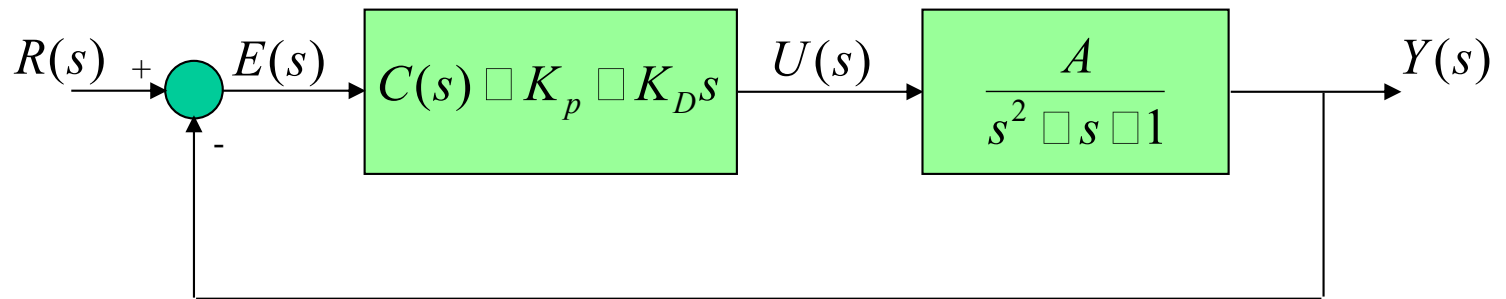
$$e_{ss} = 0 \Leftrightarrow K_p G(0) \rightarrow \infty \quad \text{True when:}$$

- Proportional gain is high
- Plant has a pole at the origin

PD controller fixes problems with stability and damping by adding "anticipative" action

# PID Controller

## PD Controller: Example (ME207\_PD\_Controller.m)



$$\frac{Y(s)}{R(s)} = \frac{(K_p + K_D s)G(s)}{1 + (K_p + K_D s)G(s)} = \frac{A(K_p + K_D s)}{s^2 + 1 + K_D A s + (1 + K_p A)}$$

$$\omega_n^2 = 1 + K_p A \quad \Rightarrow \quad \zeta = \frac{1 + K_D A}{2\omega_n} = \frac{1 + K_D A}{2\sqrt{1 + K_p A}}$$

$$2\zeta\omega_n = 1 + K_D A$$

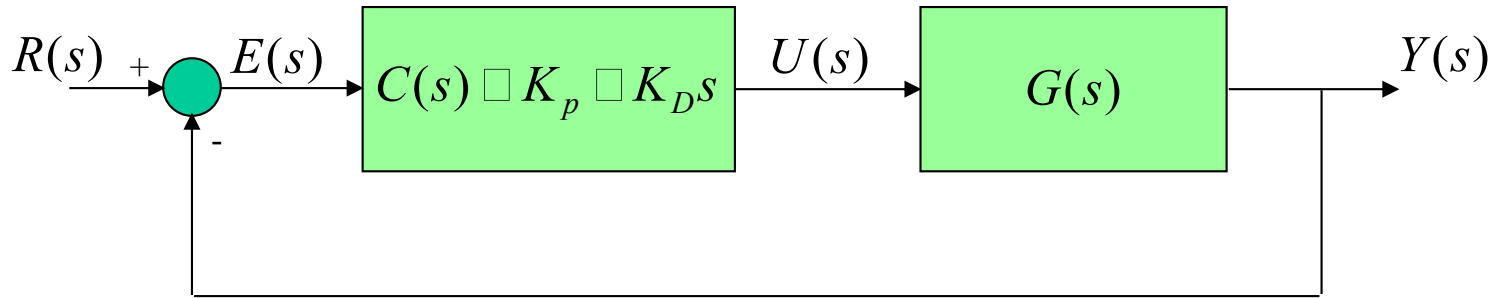
- ✓ The damping can be increased now independently of  $K_p$
- ✓ The steady state error can be minimized by a large  $K_p$

# PID Controller

## PD Controller:

$$\frac{Y(s)}{R(s)} = \frac{C(s)G(s)}{1 + C(s)G(s)},$$

$$\frac{E(s)}{R(s)} = \frac{1}{1 + C(s)G(s)}.$$



$$u(t) = K_p e(t) + K_D \frac{de(t)}{dt}, \quad U(s) = [K_p + K_D s] E(s)$$

**NOTE:** cannot apply pure differentiation.  
In practice,

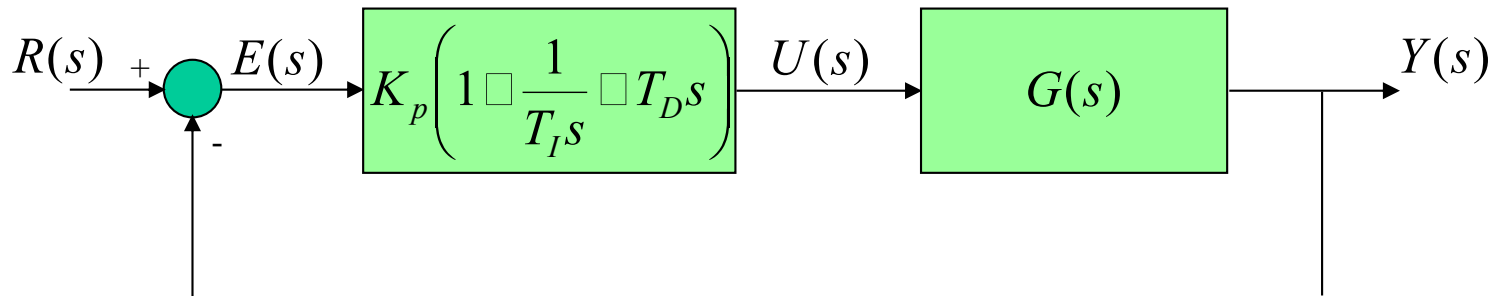
$$K_D s$$

is implemented as

$$\frac{K_D s}{\tau_D s + 1}$$

# PID Controller

**PID: Proportional – Integral – Derivative**



$$u(t) = K_p \left[ e(t) + \frac{1}{T_I} \int_0^t e(\tau) d\tau + T_D \frac{de(t)}{dt} \right] \quad K_I = \frac{K_p}{T_I}, K_D = K_p T_D$$

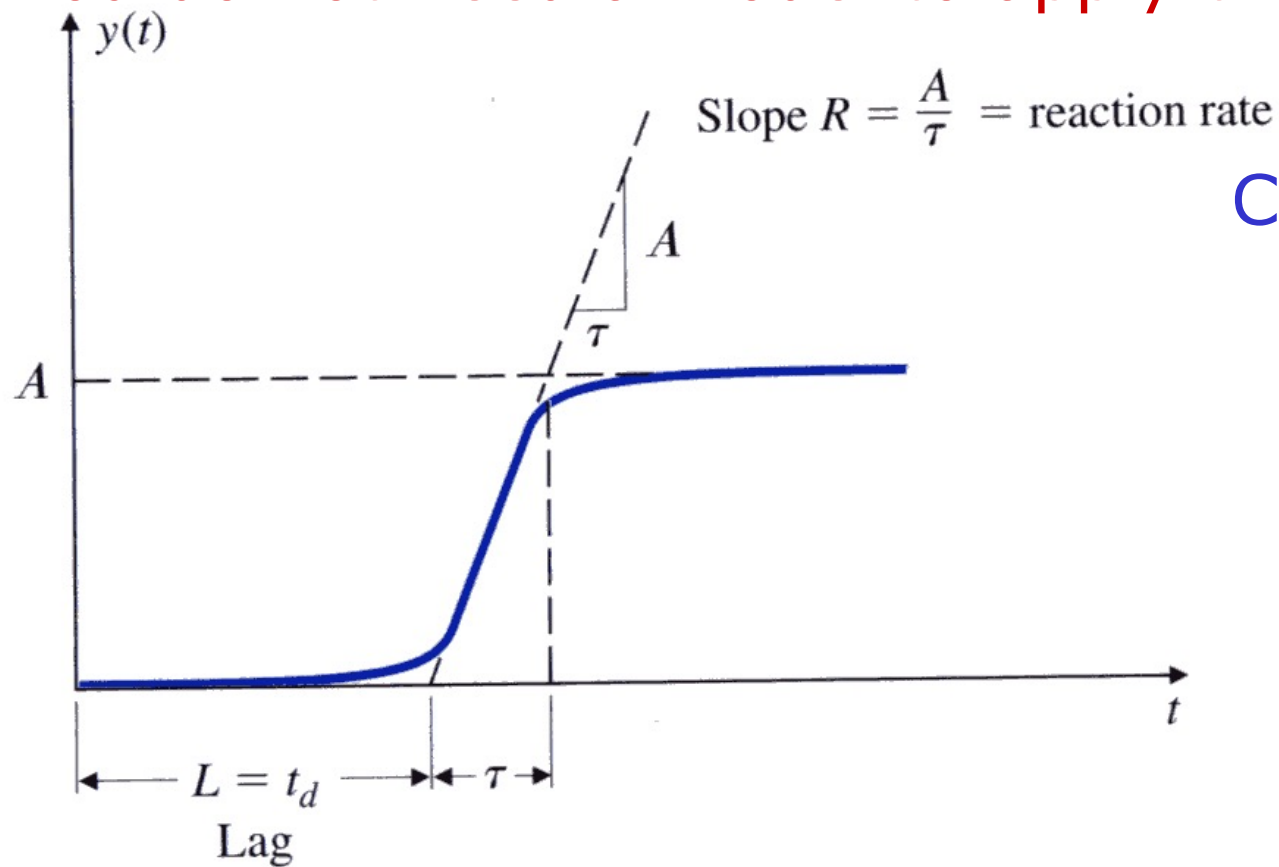
$$\frac{U(s)}{E(s)} = K_p \left( 1 + \frac{1}{T_I s} + T_D s \right)$$

**PID Controller: Example (ME207\_PID\_Controller.m)**



# PID Controller: Ziegler-Nichols Tuning

- Empirical method (no proof that it works well but it works well for simple systems)
- Only for stable plants
- You do not need a model to apply the method

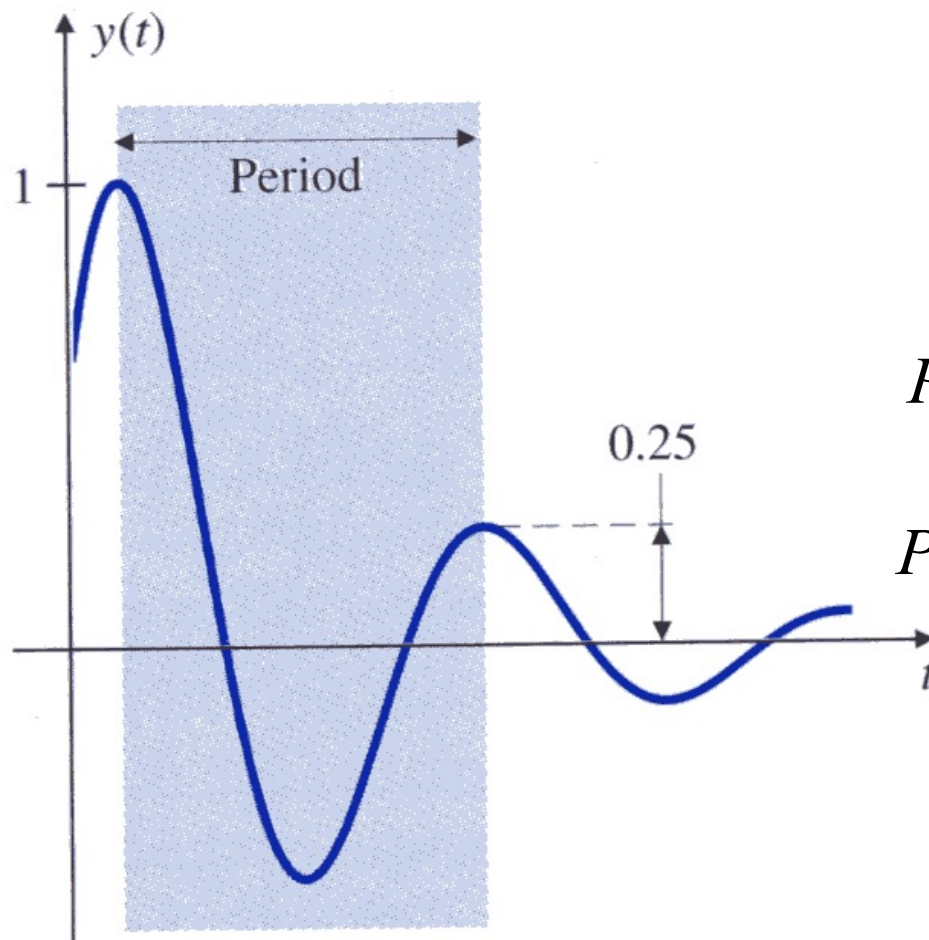


Class of plants:

$$\frac{Y(s)}{U(s)} = \frac{Ke^{-t_d s}}{\tau s + 1}$$

# PID Controller: Ziegler-Nichols Tuning

**METHOD 1:** Based on step response, tuning to decay ratio of 0.25.



## Tuning Table:

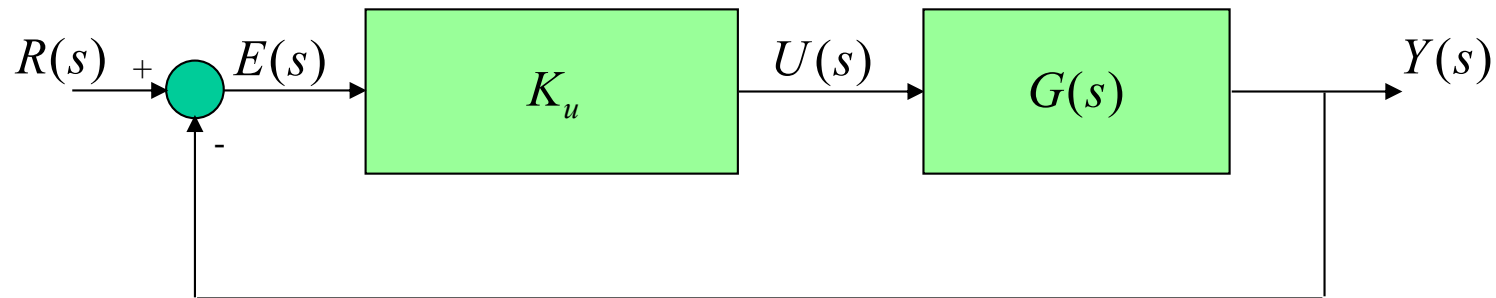
$$P: \quad K_p \square \frac{\tau}{t_d}$$

$$PD: \quad K_p \square 0.9 \frac{\tau}{t_d}, T_I \square \frac{t_d}{0.3}$$

$$PID: \quad K_p \square 1.2 \frac{\tau}{t_d}, T_I \square 2t_d, T_D \square 0.5t_d$$

# PID Controller: Ziegler-Nichols Tuning

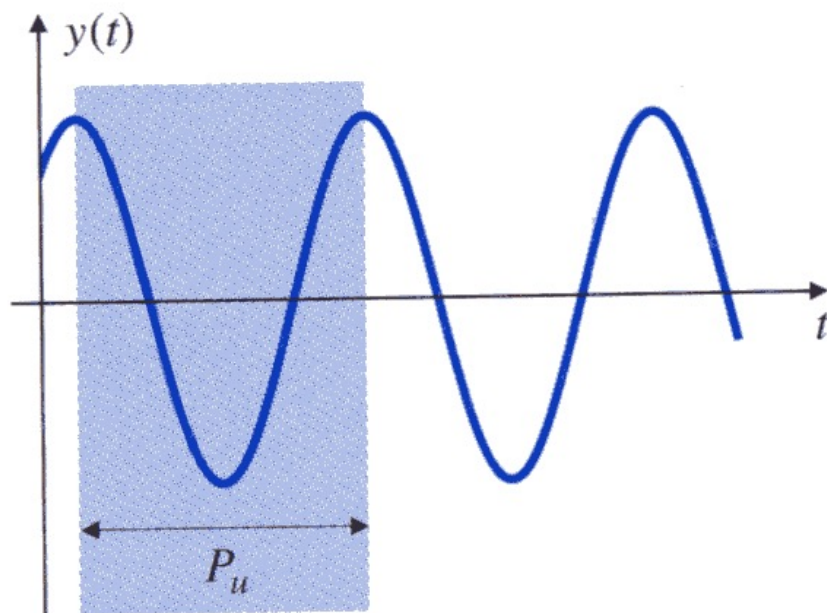
**METHOD 2:** Based on limit of stability, ultimate sensitivity method.



- Increase the constant gain  $K_u$  until the response becomes purely oscillatory (no decay – marginally stable – pure imaginary poles)
- Measure the period of oscillation  $P_u$

# PID Controller: Ziegler-Nichols Tuning

**METHOD 2:** Based on limit of stability, ultimate sensitivity method.



Tuning Table:

$$P: \quad K_p \square 0.5K_u$$

$$PD: \quad K_p \square 0.45K_u, T_I \square \frac{P_u}{1.2}$$

$$PID: \quad K_p \square 0.6K_u, T_I \square \frac{P_u}{2}, T_D \square \frac{P_u}{8}$$

The Tuning Tables are the same if you make:

$$K_u \square 2\frac{\tau}{t_d}, P_u \square 4t_d$$