

Backstepping Control of Density and Energy Profiles in a Burning Tokamak Plasma

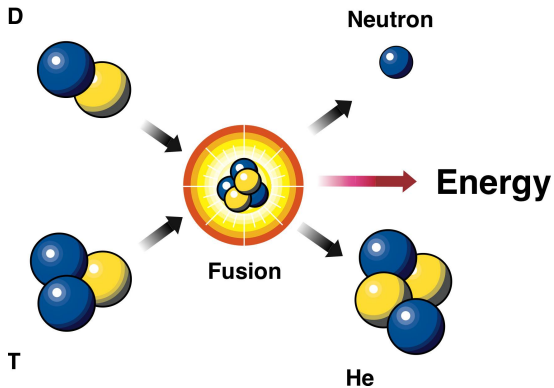
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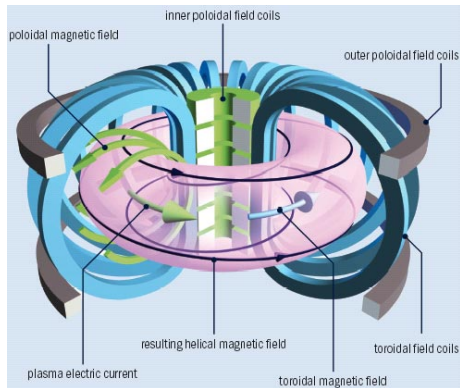
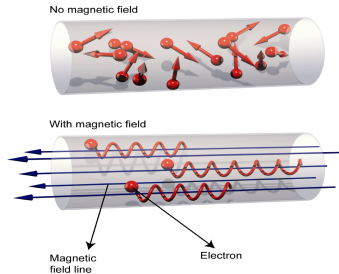


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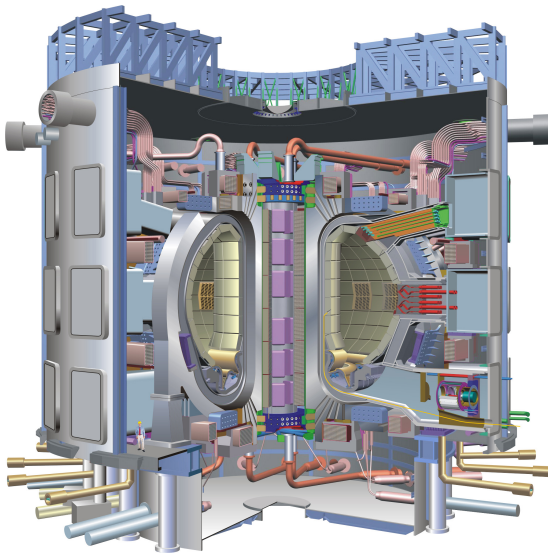
Nuclear fusion



The tokamak

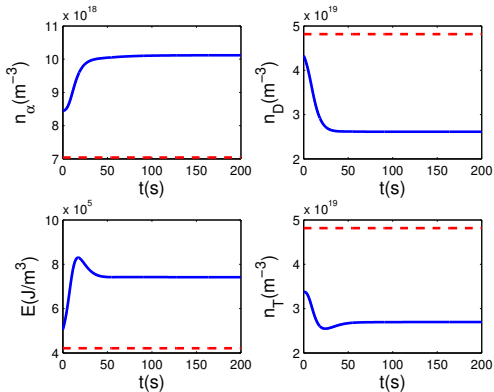


The next step: ITER



Burn control

- Regulation of plasma density, temperature, and fusion power
- Control needed for modification of burn condition during operation
- For certain operating conditions, the burn condition is unstable:

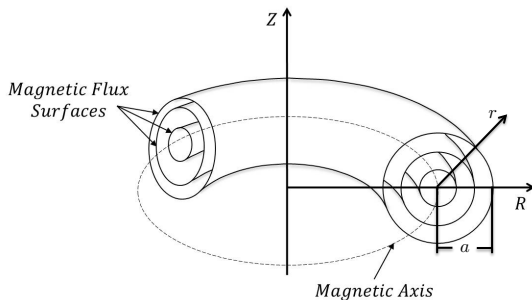


Previous approaches to burn control

- Previous work has focused on controlling spatial averages of density and temperature
- Most approaches consider only one of the available actuators (SISO) and design controllers based on linearized models
- In previous work, we have proposed a nonlinear controller design using the available actuators simultaneously
 - ▶ Much better performance results from this design
 - ▶ Still, the spatial distribution of parameters is not considered

Profile control in burning plasmas

- For optimal reactor performance, the spatial profiles, not just average values, of many of the plasma parameters must be controlled
- Density and current profile control can improve:
 - ▶ energy confinement
 - ▶ fusion power
 - ▶ plasma stability
 - ▶ the fraction of non-inductive plasma current



Nonlinear processes effecting the burn condition

Reaction rate

$$S_{\alpha} = \left(\frac{n_{DT}}{2} \right)^2 \langle \sigma \nu \rangle \quad (1)$$

where $\langle \sigma \nu \rangle = \exp \left(\frac{a_1}{T^r} + a_2 + a_3 T + a_4 T^2 + a_5 T^3 + a_6 T^4 \right) \quad (2)$

$$T = \frac{2}{3} \frac{E}{n} \quad (3)$$

$$n = 2n_{DT} + 3n_{\alpha} \quad (4)$$

Radiation losses

$$P_{rad} = A_b Z_{eff} n_e^2 \sqrt{T} \quad (5)$$

where $n_e = n_{DT} + 2n_{\alpha} \quad (6)$

$$Z_{eff} = \sum_i \frac{n_i Z_i^2}{n_e} = \frac{n_{DT} + 4n_{\alpha}}{n_e} \quad (7)$$

1-D burning plasma model

Energy and density transport

$$\frac{\partial n_\alpha}{\partial t} = \frac{1}{r} \frac{\partial}{\partial r} r \left(D \frac{\partial n_\alpha}{\partial r} \right) + S_\alpha \quad (8)$$

$$\frac{\partial n_{DT}}{\partial t} = \frac{1}{r} \frac{\partial}{\partial r} r \left(D \frac{\partial n_{DT}}{\partial r} \right) - 2S_\alpha + S_{DT}(r) \quad (9)$$

$$\frac{\partial E}{\partial t} = \frac{1}{r} \frac{\partial}{\partial r} r \left(D \frac{\partial E}{\partial r} \right) + Q_\alpha S_\alpha - P_{rad} + P_{aux}(r) \quad (10)$$

Boundary conditions

$$\left. \frac{\partial n_\alpha}{\partial r} \right|_{r=a} = -k_\alpha n_\alpha(a) + \Delta(\tilde{n}_\alpha)_r \quad (11)$$

$$\left. \frac{\partial n_{DT}}{\partial r} \right|_{r=a} = -k_{DT} n_{DT}(a) + \Delta(\tilde{n}_{DT})_r \quad (12)$$

$$\left. \frac{\partial E}{\partial r} \right|_{r=a} = -k_E E(a) + \Delta\tilde{E}_r \quad (13)$$

Desired equilibrium

Energy and density transport

$$0 = \frac{1}{r} \frac{\partial}{\partial r} r \left(D \frac{\partial \bar{n}_\alpha}{\partial r} \right) + \bar{S}_\alpha \quad (14)$$

$$0 = \frac{1}{r} \frac{\partial}{\partial r} r \left(D \frac{\partial \bar{n}_{DT}}{\partial r} \right) - 2\bar{S}_\alpha + \bar{S}_{DT}(r) \quad (15)$$

$$0 = \frac{1}{r} \frac{\partial}{\partial r} r \left(D \frac{\partial \bar{E}}{\partial r} \right) + Q_\alpha \bar{S}_\alpha - \bar{P}_{rad} + \bar{P}_{aux}(r) \quad (16)$$

Boundary conditions

$$\left. \frac{\partial \bar{n}_\alpha}{\partial r} \right|_{r=a} = -k_\alpha \bar{n}_\alpha(a) \quad (17)$$

$$\left. \frac{\partial \bar{n}_{DT}}{\partial r} \right|_{r=a} = -k_{DT} \bar{n}_{DT}(a) \quad (18)$$

$$\left. \frac{\partial \bar{E}}{\partial r} \right|_{r=a} = -k_E \bar{E}(a) \quad (19)$$

Deviations from equilibrium

Energy and density transport

$$\frac{\partial \tilde{n}_\alpha}{\partial t} = D \frac{\partial^2 \tilde{n}_\alpha}{\partial r^2} + \frac{1}{r} D \frac{\partial \tilde{n}_\alpha}{\partial r} + \tilde{S}_\alpha \quad (20)$$

$$\frac{\partial \tilde{n}_{DT}}{\partial t} = D \frac{\partial^2 \tilde{n}_{DT}}{\partial r^2} + \frac{1}{r} D \frac{\partial \tilde{n}_{DT}}{\partial r} - 2\tilde{S}_\alpha \quad (21)$$

$$\frac{\partial \tilde{E}}{\partial t} = D \frac{\partial^2 \tilde{E}}{\partial r^2} + \frac{1}{r} D \frac{\partial \tilde{E}}{\partial r} + Q_\alpha \tilde{S}_\alpha - \tilde{P}_{rad} \quad (22)$$

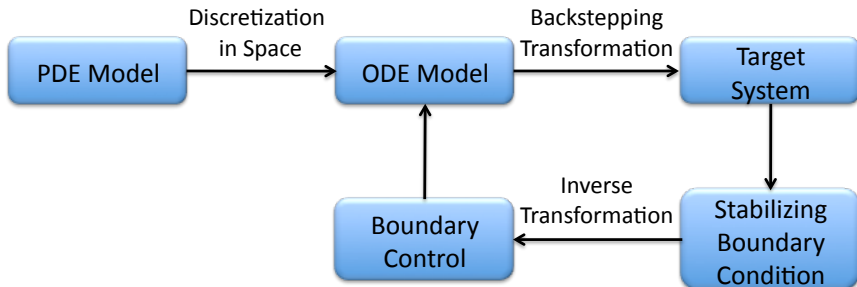
Boundary conditions

$$\left. \frac{\partial \tilde{n}_\alpha}{\partial r} \right|_{r=a} = -k_\alpha \tilde{n}_\alpha(a) + \Delta(\tilde{n}_\alpha)_r \quad (23)$$

$$\left. \frac{\partial \tilde{n}_{DT}}{\partial r} \right|_{r=a} = -k_{DT} \tilde{n}_{DT}(a) + \Delta(\tilde{n}_{DT})_r \quad (24)$$

$$\left. \frac{\partial \tilde{E}}{\partial r} \right|_{r=a} = -k_E \tilde{E}(a) + \Delta \tilde{E}_r \quad (25)$$

Backstepping technique



Controller design: discretization

First, we discretize each subsystem and desired target system:

System

$$\begin{aligned}\dot{\tilde{n}}_{DT}^i = & D \frac{\tilde{n}_{DT}^{i+1} - 2\tilde{n}_{DT}^i + \tilde{n}_{DT}^{i-1}}{h^2} \\ & + \frac{1}{ih} D \frac{\tilde{n}_{DT}^{i+1} - \tilde{n}_{DT}^i}{h} - 2\tilde{S}_{\alpha}^i,\end{aligned}$$

$$\frac{\tilde{n}_{DT}^N - \tilde{n}_{DT}^{N-1}}{h} = -k_{DT}\tilde{n}_{DT}^N + \Delta(\tilde{n}_{DT})_r$$

Target System

$$\begin{aligned}\dot{\tilde{m}}^i = & D \frac{\tilde{m}^{i+1} - 2\tilde{m}^i + \tilde{m}^{i-1}}{h^2} \\ & + \frac{1}{ih} D \frac{\tilde{m}^{i+1} - \tilde{m}^i}{h} - C_m \tilde{m}\end{aligned}$$

→

$$\frac{\tilde{m}^N - \tilde{m}^{N-1}}{h} = -G\tilde{m}^N$$

Controller design: backstepping transformation

We then seek a backstepping transformation of the form

$$\tilde{m}^i = \tilde{n}_{DT}^i - \beta^{i-1}(\tilde{E}^0, \dots, \tilde{E}^{i-1}, \tilde{n}_{DT}^0, \dots, \tilde{n}_{DT}^{i-1}, \tilde{n}_\alpha^0, \dots, \tilde{n}_\alpha^{i-1}) \quad (26)$$

This can be done taking the time derivative of the transformation:

$$\dot{\beta}^{i-1} = \dot{\tilde{n}}_{DT}^i - \dot{\tilde{m}}^i \quad (27)$$

and solving the resulting expression for β^i , yielding:

$$\beta^i = \frac{1}{D + D/i} \left[\left(2D + \frac{D}{i} + C_m h^2 \right) \beta^{i-1} - D \beta^{i-2} \right. \quad (28)$$

$$\left. - h^2 C_m \tilde{n}_{DT}^i + h^2 \dot{\beta}^{i-1} + 2h^2 \tilde{S}_\alpha^i \right] \quad (29)$$

This expression can then be recursively evaluated starting with $\beta^0 = 0$.

Controller design: boundary control law

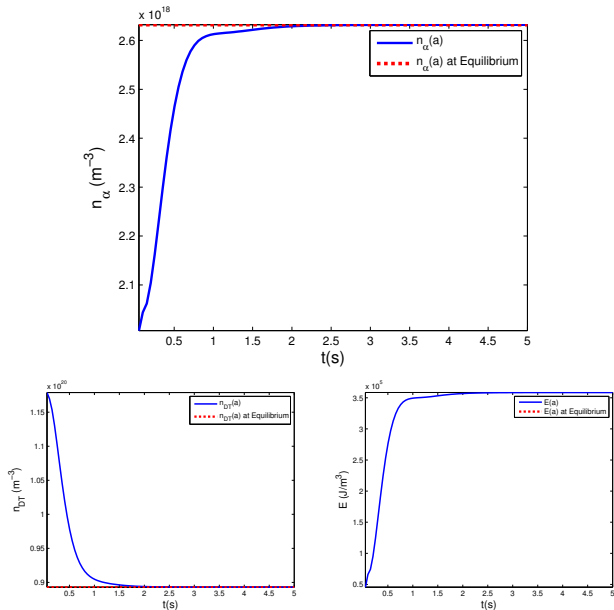
By subtracting the boundary condition of the target system from the boundary condition of the original system and solving for the input, we obtain:

$$\Delta(\tilde{n}_{DT})_r = \frac{\beta^{N-1} - \beta^{N-2}}{h} + k_{DT}\tilde{n}_{DT}^N - G(\tilde{n}_{DT}^N - \beta^{N-1}) \quad (30)$$

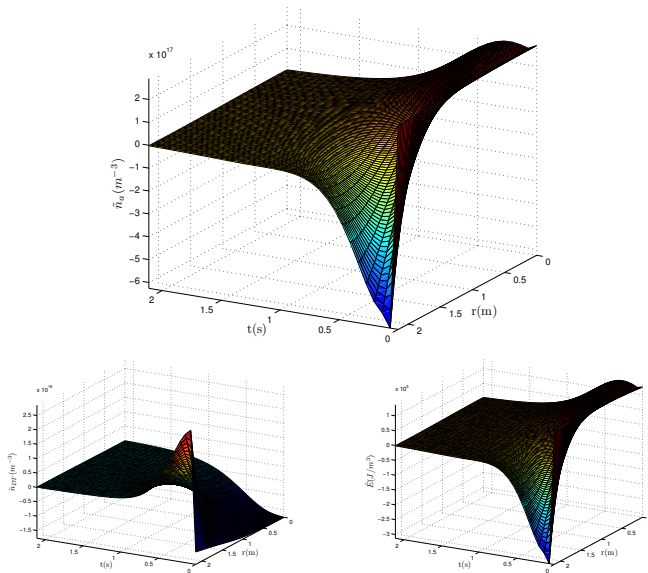
which is the desired boundary control law. Since we have discretized the system, we can put this in terms of the value of the density at the plasma's edge:

$$\tilde{n}_{DT}^N = \beta^{N-1} + \frac{1}{(1 + Gh)} \left[\tilde{n}_{DT}^{N-1} - \beta^{N-2} \right] \quad (31)$$

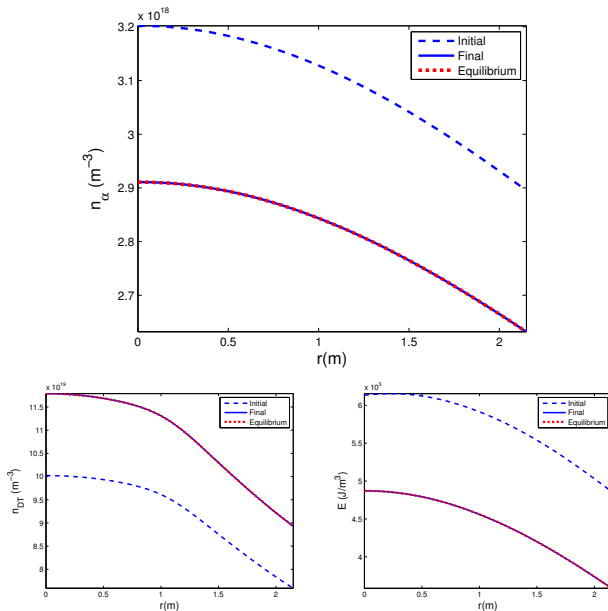
Simulation results - actuation at the plasma's edge



Simulation results - profile error evolution



Simulation results - Initial, final, and desired profiles



Conclusions & Future work

- A nonlinear boundary feedback controller for kinetic profiles within a burning plasma has been developed.
- Simulations show that a controller designed with just one step of backstepping is able to stabilize a particular unstable equilibrium
- Future work:
 - ▶ Develop a model of the plasma scrape-off layer to create more realistic boundary conditions
 - ▶ Include interior actuation through pellet injection and auxiliary heating
 - ▶ Include ways to deal with uncertainty in the model