

# ***Fundamentals of Indentation Cracking in Glass: A Measure of Strength?***

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S. Iwata, H. Sawasato, and BS and MS students

# *Outline*

## 1. Background

- » Strong glasses around us
- » What factors determine glass strength? ⋯ Cracks

## 2. Indentation cracking

- » What factors affect indentation cracking?
- ⋯ Densification

## 3. Micro-photoelastic imaging technique

- » Elastic and residual stresses around a ball indentation
- » Compositional variation of the residual stress

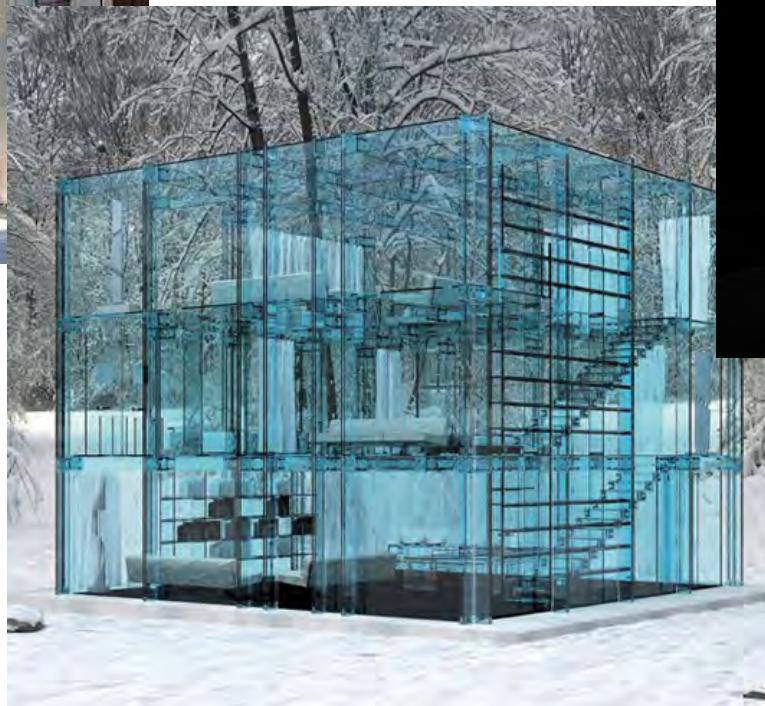
## 4. Summary

# Background

## Strong glasses around us



Apple Store,  
New York City



Glass House,  
Milan, Italy

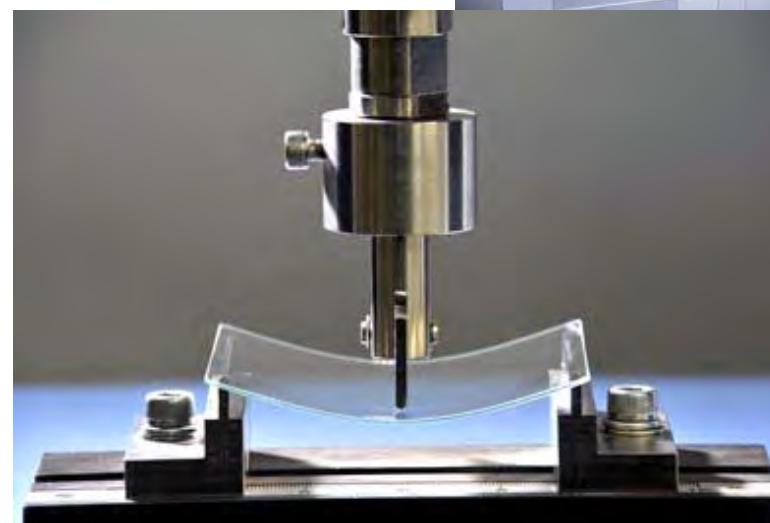


Glass Violin,  
Hario Glass, Japan

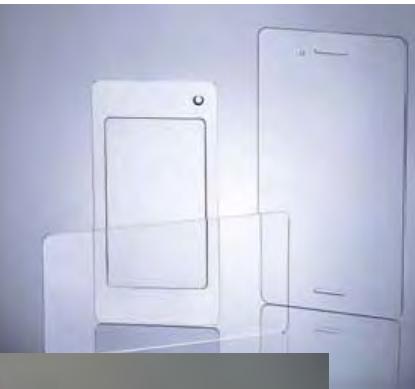
# ***Background***

Strong glasses around us

Corning  
Gorilla  
(ion-exchanged)

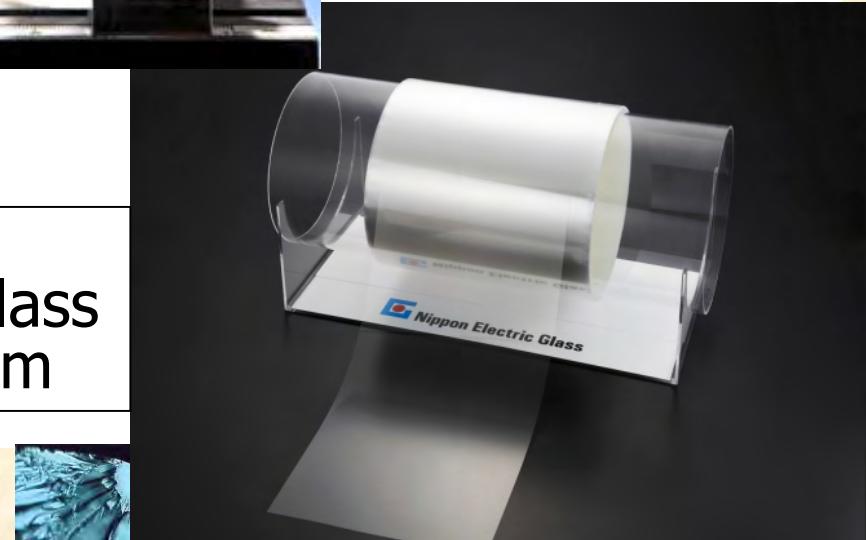


NEG  
Thin-Film Glass  
 $t = 0.05 \text{ mm}$



Schott AG  
Xensation  
(ion-exchanged)

Asahi, AGC  
Dragon trail  
(ion-exchanged)



# *Background*

Fracture of glass is one of the crucial issues.



iPad



Aquarium glass tank (Tempered)  
in Toyohashi, Japan  
A sea otter broke it using a shell.

# *Background*

We need a simple evaluation method  
of glass strength.

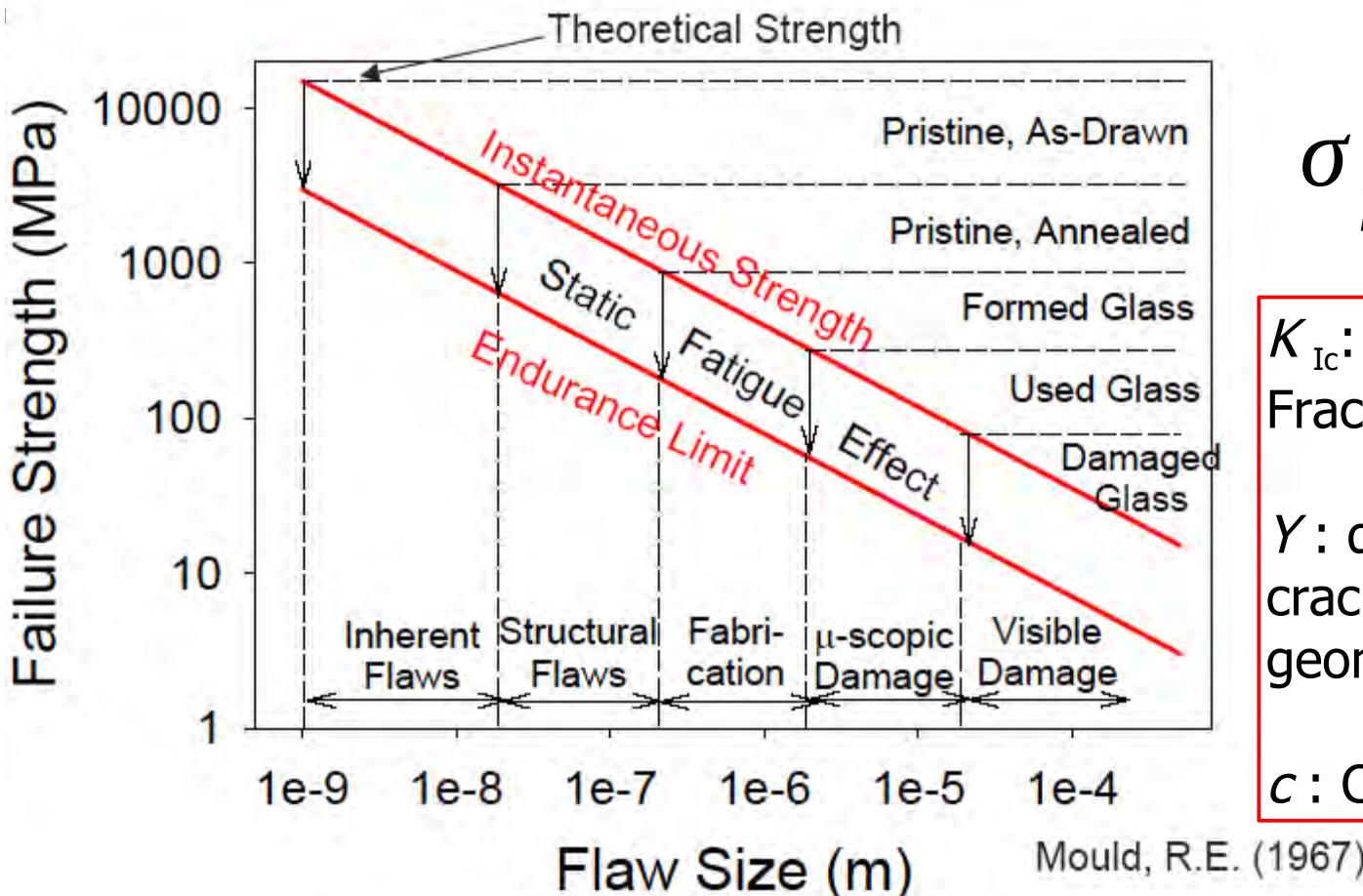


We must know

What determines the glass strength?

# Background

A larger crack results in a lower fracture stress.



$$\sigma_f = Y \frac{K_{Ic}}{\sqrt{C}}$$

$K_{Ic}$ :  
Fracture toughness

$Y$ : depends on the  
crack and loading  
geometries.

$c$ : Crack size

# *Background*

$K_{Ic}$  of glass shows a less compositional variation.

Glass	Fracture toughness SEPB (MPam <sup>1/2</sup> )
LCD backlight tube	0.73
LCD substrate	0.79
Microscope slide	0.76
CRT tube	0.71
PDP substrate	0.73
X-ray shield (lead glass)	0.66
Mother glass of glass-ceramic(Li-Al-Si)	0.84

$$\sigma_f = Y \frac{K_{Ic}}{\sqrt{C}}$$

$K_{Ic}$ :  
Fracture toughness  
 $Y$ : depends on the  
crack and loading  
geometries.

Y. Kato *et al.*, *J. Non-Cryst. Solids* **356**(2010)1768.

Crack size ( $\sqrt{c}$ ) is a critical factor of glass strength !

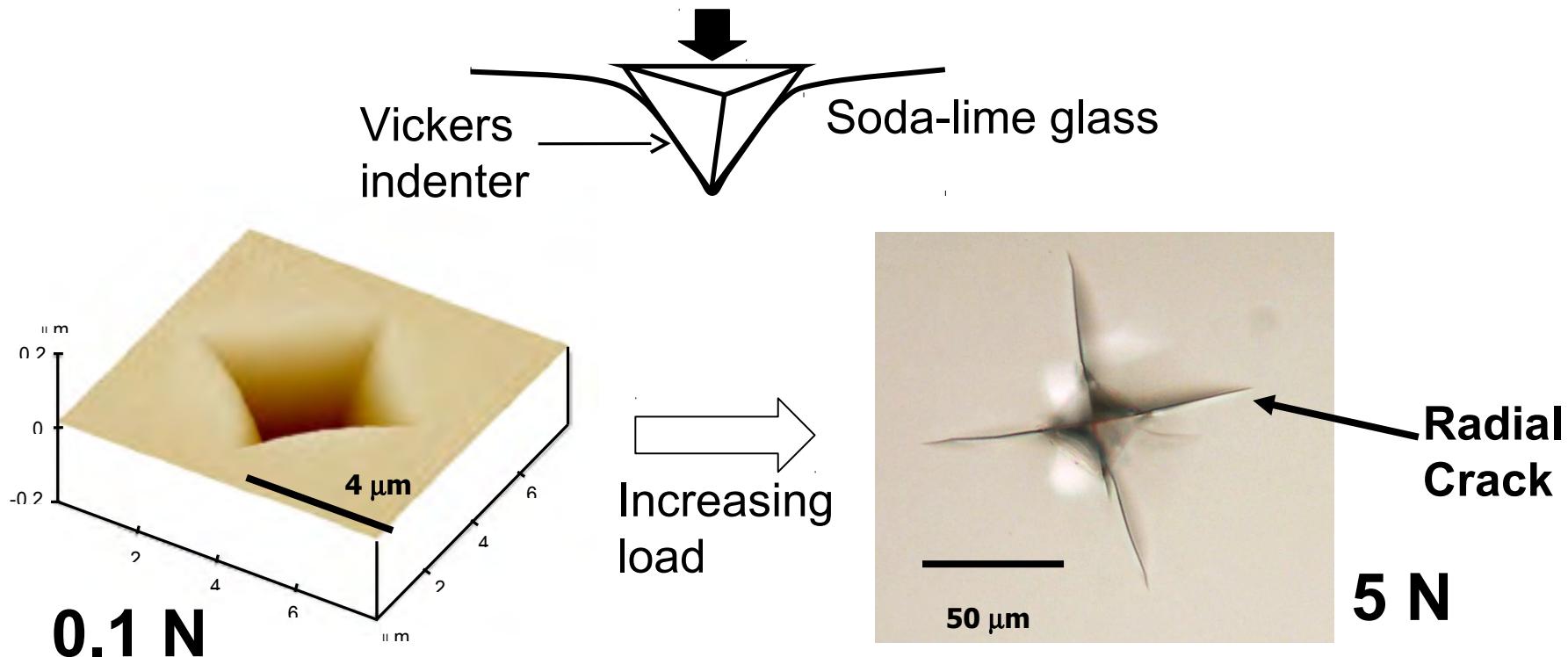
# Indentation cracking

- » One measure to evaluate Crack Resistance
- » One of the simplest fracture tests



# Indentation Cracking

Indentation is used to model Contact Damage, or Crack Nucleation.



# Comp. dependence of indentation cracking

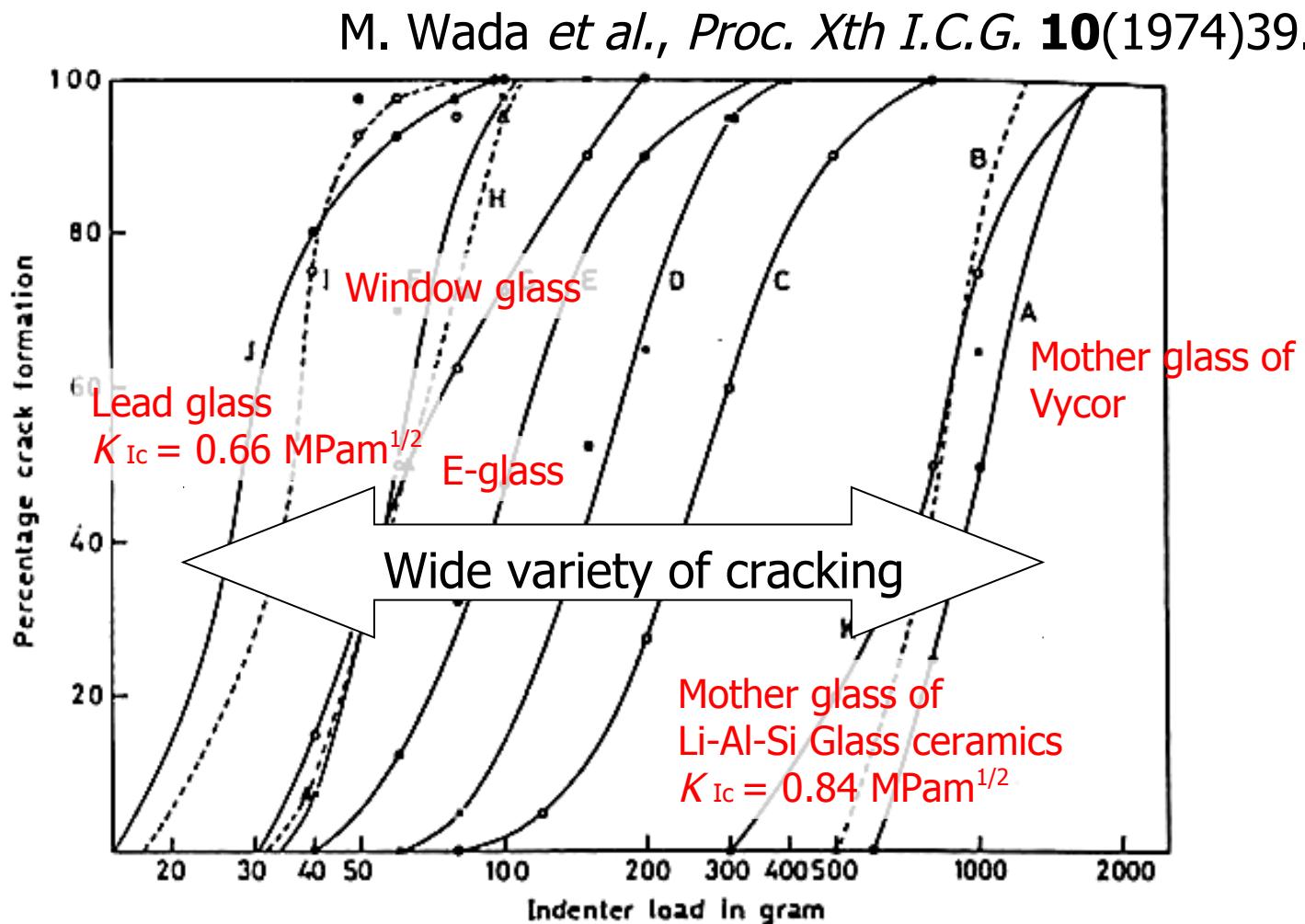
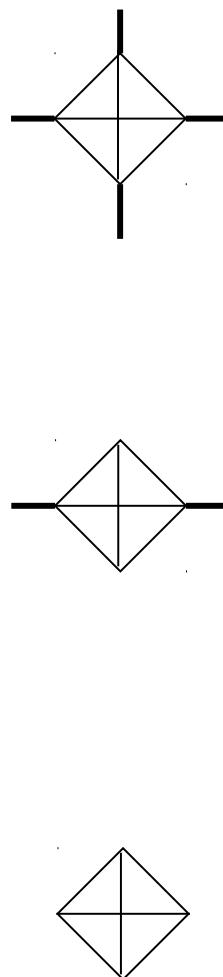
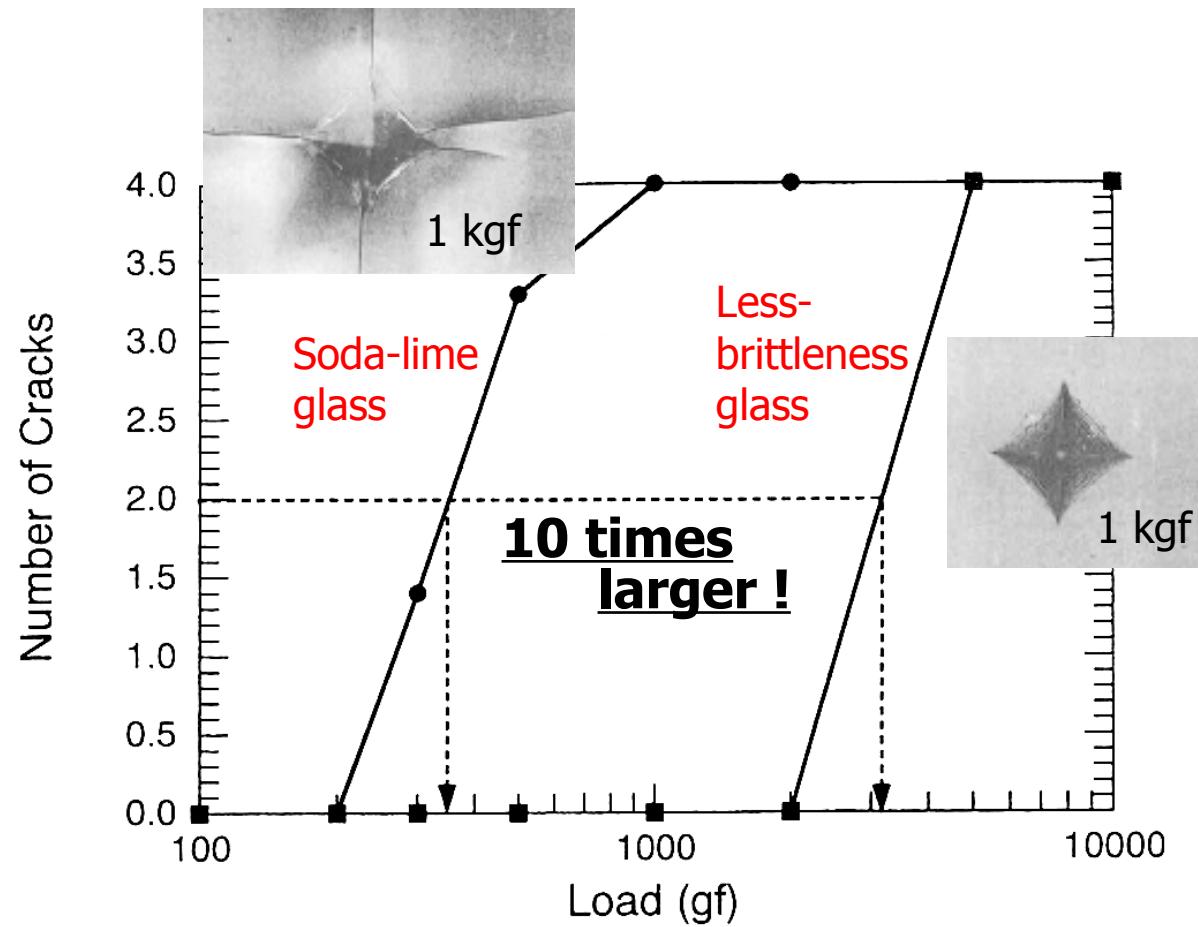
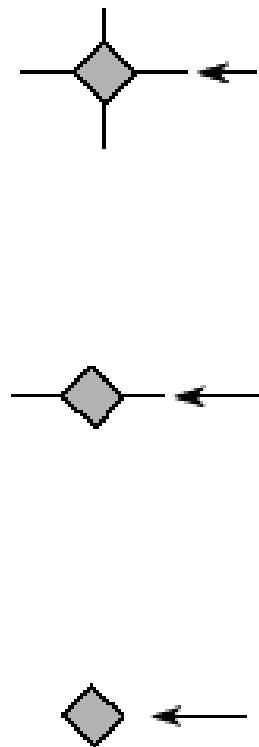


Figure 4. Crack resistances of various glasses.

# Comp. dependence of indentation cracking

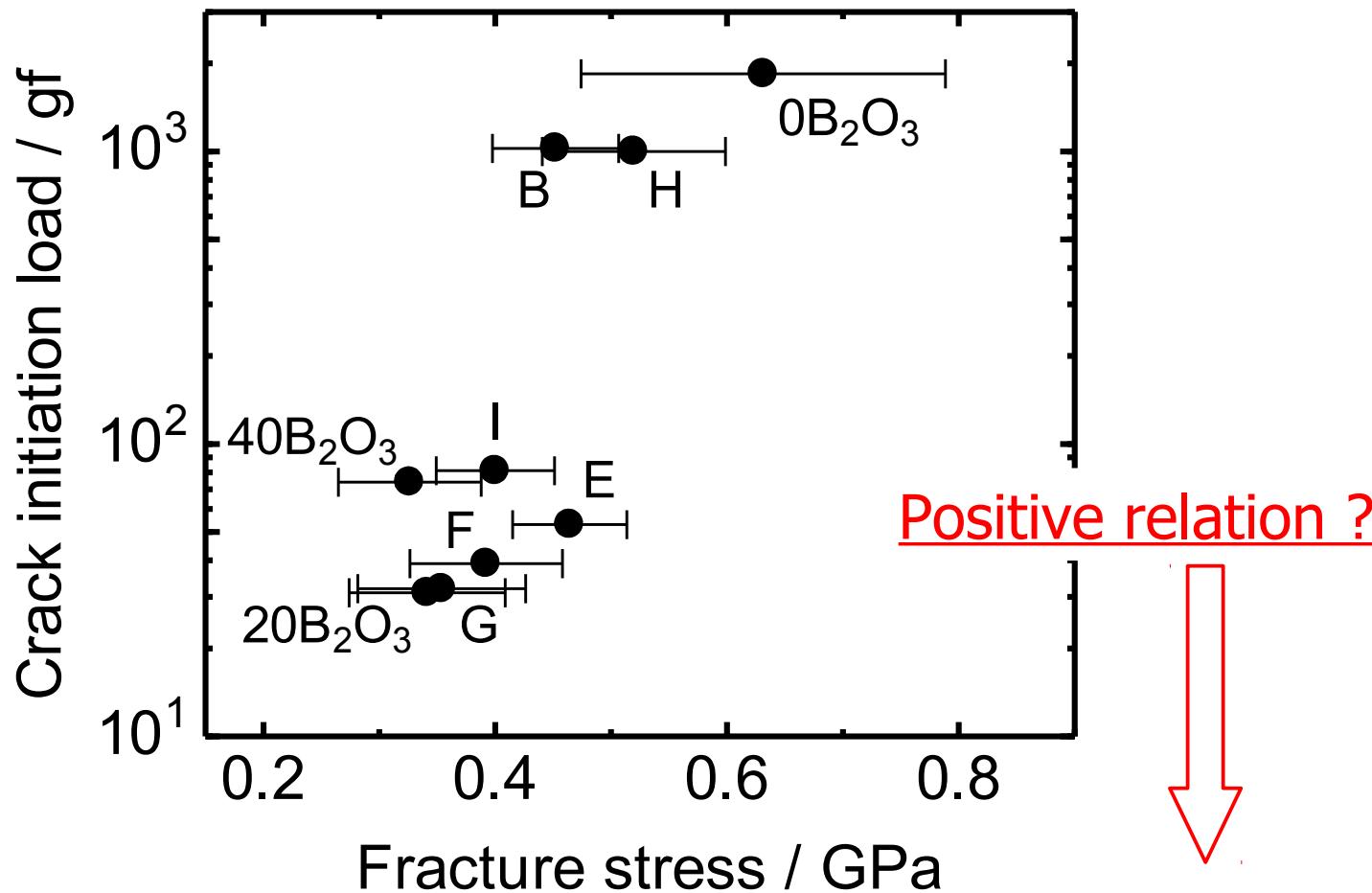


J. Sehgal & S. Ito, *J. Am. Ceram. Soc.* **81**(1998)2485.

# What factors determine the crack initiation load?



# Relation between crack initiation load and Ring-on-Ring fracture stress

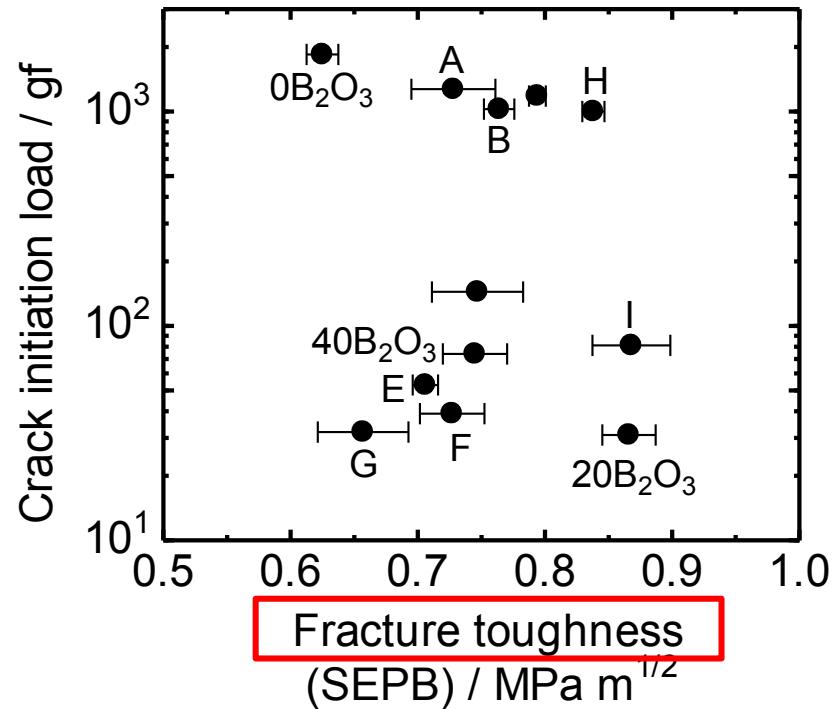
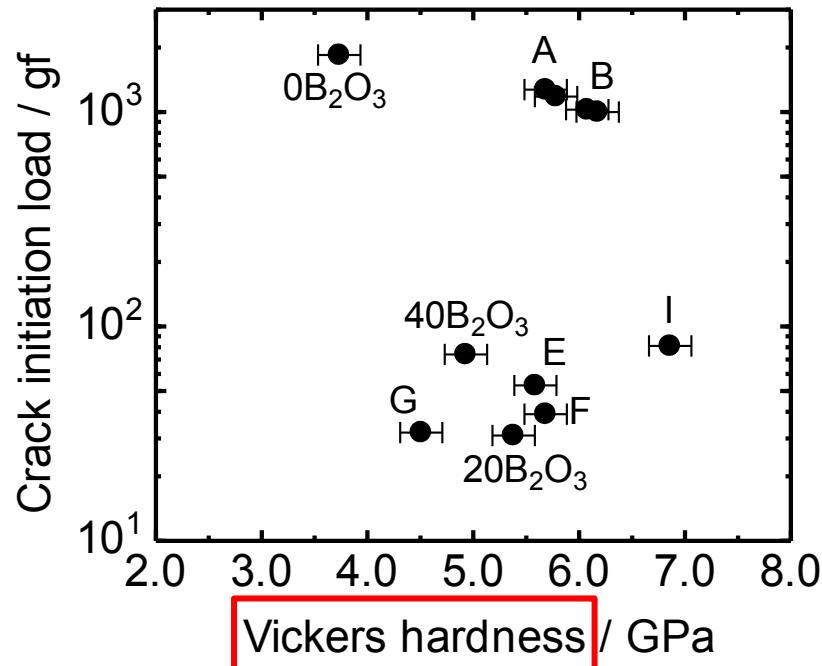


We are on the right track.

But, the compositional variation of ROR fracture stress is not so large.

# No relation between crack initiation and other mechanical properties

S. Yoshida, XIXth I.C.G. (2007)  
Y. Kato, JNCS (2010)



A: SiO<sub>2</sub>-B<sub>2</sub>O<sub>3</sub>-K<sub>2</sub>O

D: SiO<sub>2</sub>-CaO-Na<sub>2</sub>O

G: SiO<sub>2</sub>-B<sub>2</sub>O<sub>3</sub>-PbO

0B<sub>2</sub>O<sub>3</sub>, 20B<sub>2</sub>O<sub>3</sub>, 40B<sub>2</sub>O<sub>3</sub>: (80-x)SiO<sub>2</sub>-x B<sub>2</sub>O<sub>3</sub>-20Na<sub>2</sub>O

B: SiO<sub>2</sub>-B<sub>2</sub>O<sub>3</sub>-Na<sub>2</sub>O

E: SiO<sub>2</sub>-SrO-Na<sub>2</sub>O

H: SiO<sub>2</sub>-Al<sub>2</sub>O<sub>3</sub>-Li<sub>2</sub>O

C: SiO<sub>2</sub>-Al<sub>2</sub>O<sub>3</sub>-B<sub>2</sub>O<sub>3</sub>

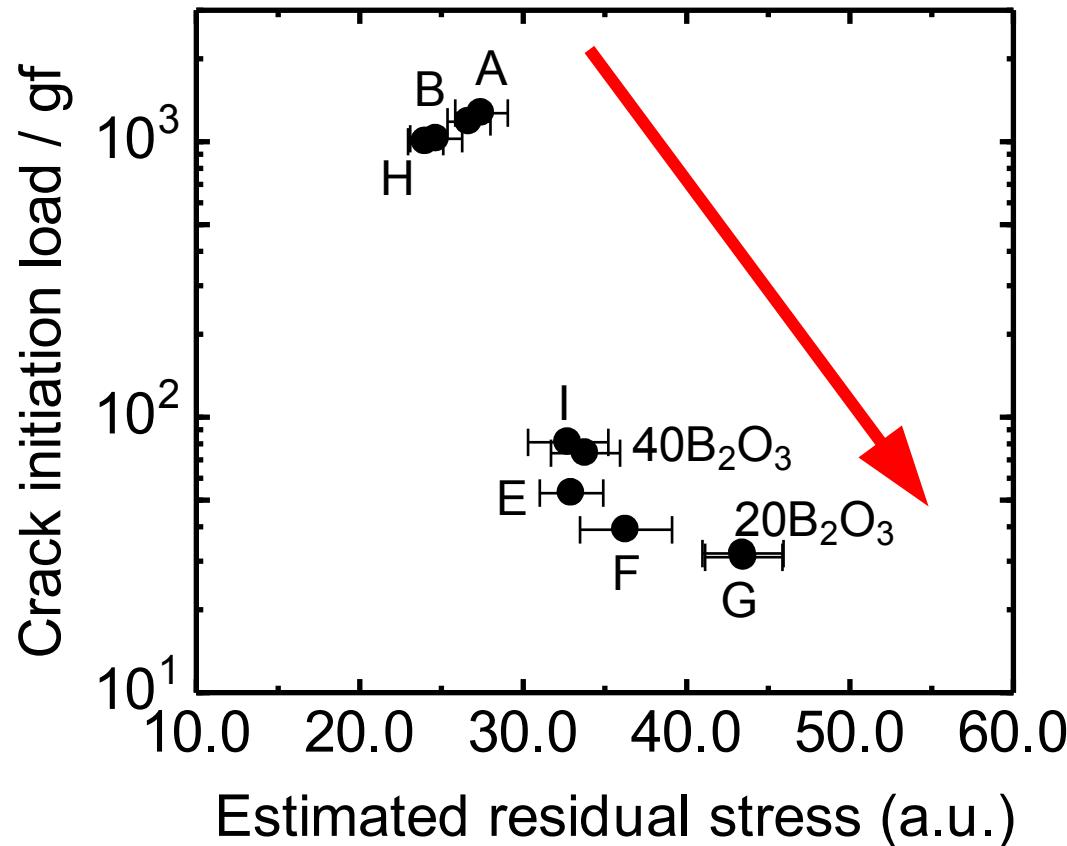
F: SiO<sub>2</sub>-SrO-K<sub>2</sub>O

I: Li-Al-Si Glass-ceramics

Even though the indentation load is identical,  
the driving force for crack initiation would be different  
among glass compositions.

# Crack initiation load decreases with increasing the estimated residual stress.

S. Yoshida, XIXth I.C.G. (2007)  
Y. Kato, JNCS (2010)



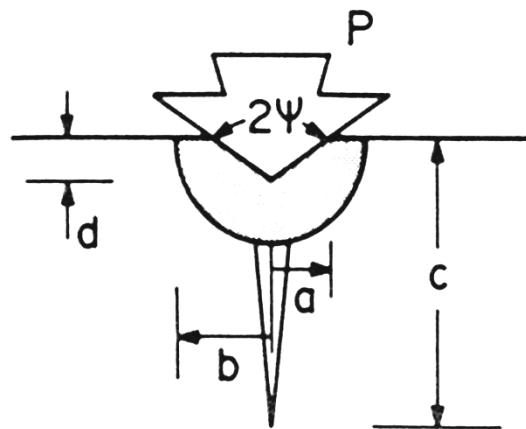
$$\text{Residual stress} = \text{Bulk modulus} \times \text{Volume strain}$$

## How can we estimate the residual stress?

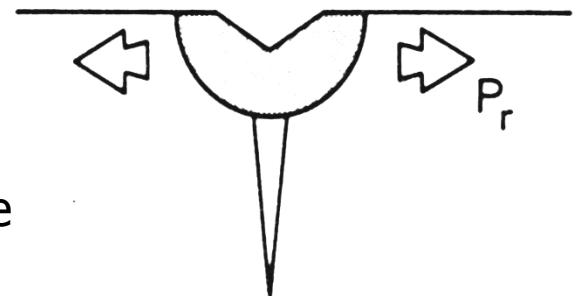


# Indentation Fracture (Median/Radial Crack)

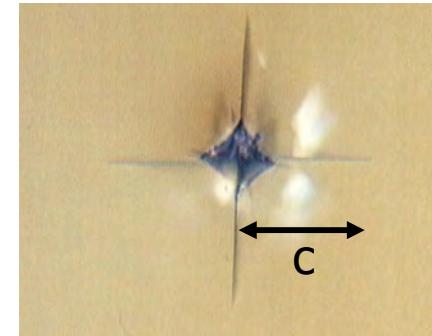
Lawn, Evans, Marshall(1980)



$P_r$ : Residual force  
for crack initiation



- a: Contact size
- b: Radius of plastic zone
- c: Median crack length
- d: Depth of impression
- P: Indentation load
- $P_r$ : Residual force  
for crack initiation



Median/Radial cracks are generated by the residual force.

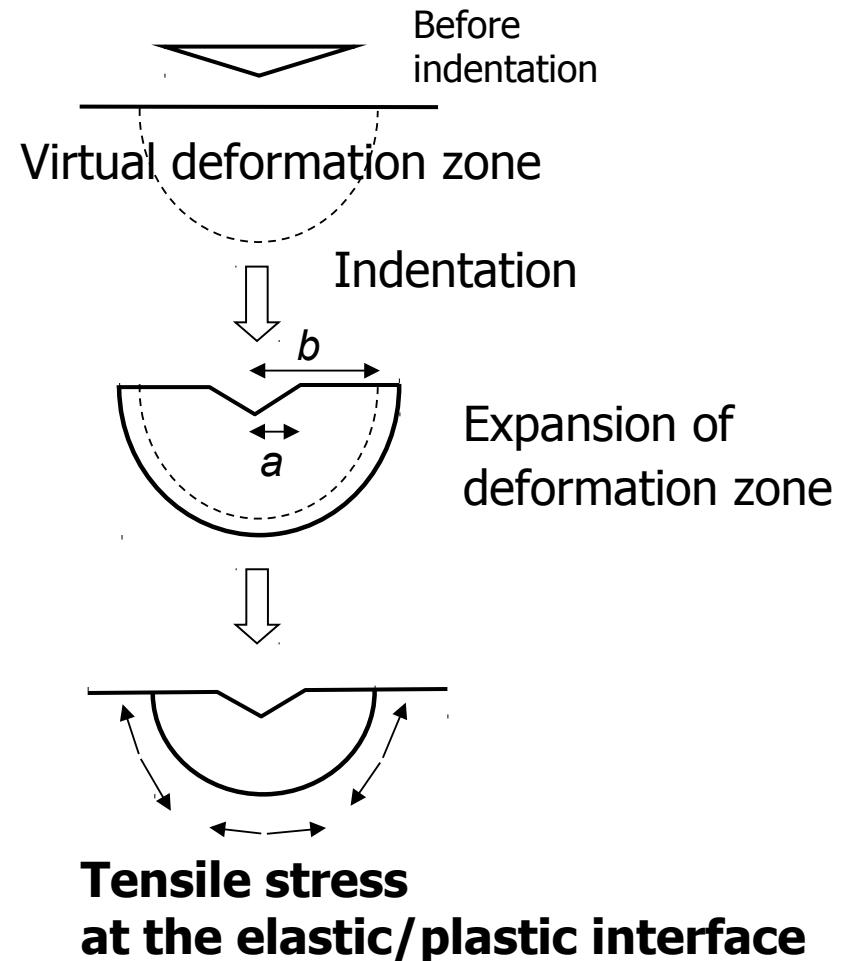
# Indentation Fracture (Median/Radial Crack)

Residual stress =  
Bulk modulus x Volume strain

$$\sigma_R = \kappa \frac{\Delta V}{V}$$

$$\Delta V \propto a^3, V \propto b^3$$

$\kappa$ : Bulk modulus



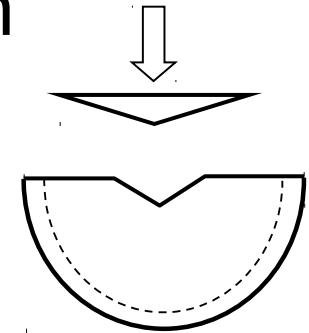
Lawn, Evans, Marshall(1980)

Indentation on glass @RT results in both

1. Shear flow (Volume conservative)

and

2. Densification (Shrinkage)



Expansion of  
plastic zone

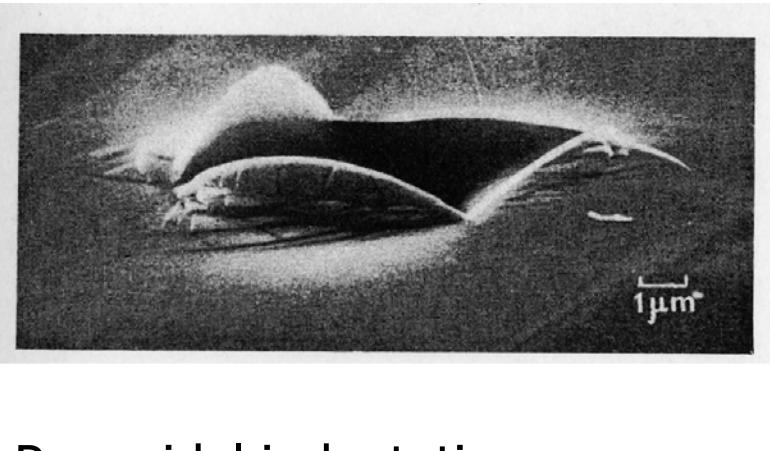
Densification does not contribute to  
expansion of plastic zone.



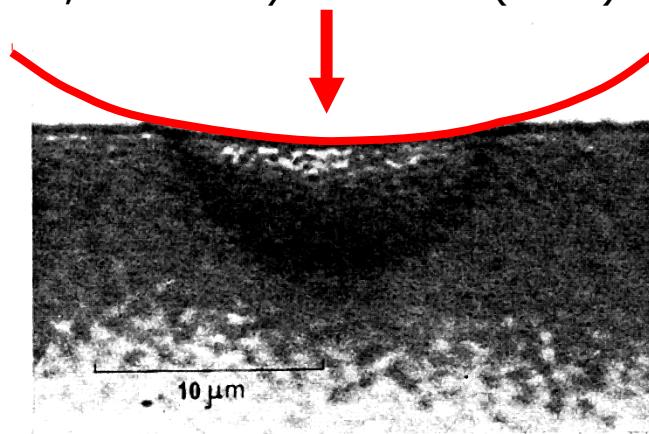
# Indentation-induced flow and densification

Plastic flow and/or Densification

K.W. Peter, *J. Non-Cryst. Solids* 5(1970) 103.



Pyramidal indentation on  
**soda-lime glass**  
(Opposite face angle = 70 °)  
Cf. Vickers 136 °



Ball indentation on **soda-lime glass**  
(Radius = 20 μm, Load = 100 gf)

**Sharp indenter**  
**Piling-up ! (Shear flow)**

**Blunt indenter**  
**Densification !**

# *What is Densification?*

Glass increases in its density (or index) under a high compressive stress.

## Under hydrostatic stresses

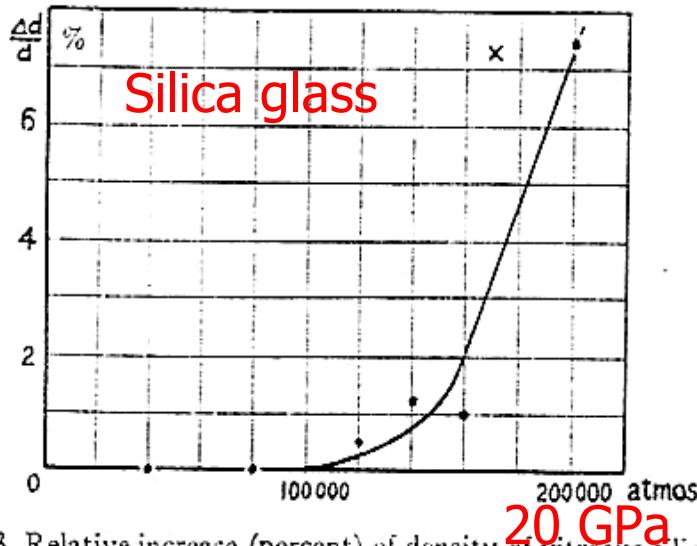


FIG. 3. Relative increase (percent) of density of vitreous silica as a function of applied pressure.

P.W. Bridgman and I. Simon, *J. Appl. Phys.*, **24**(1953)405.

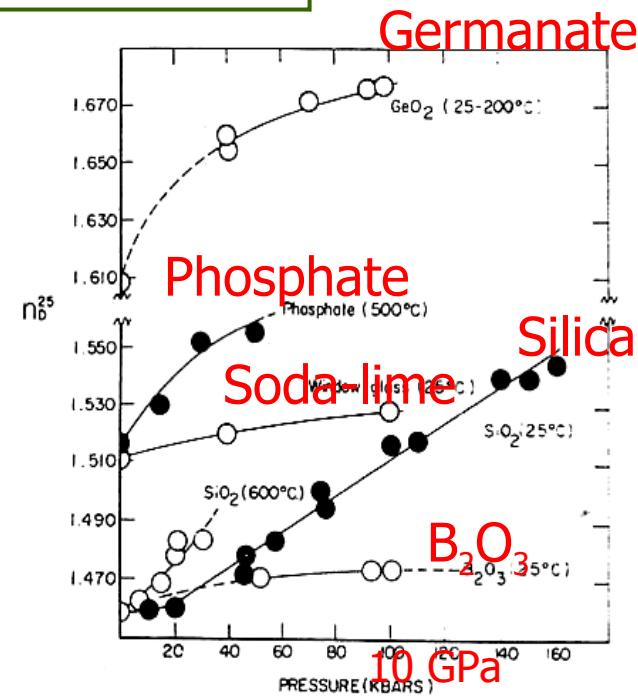


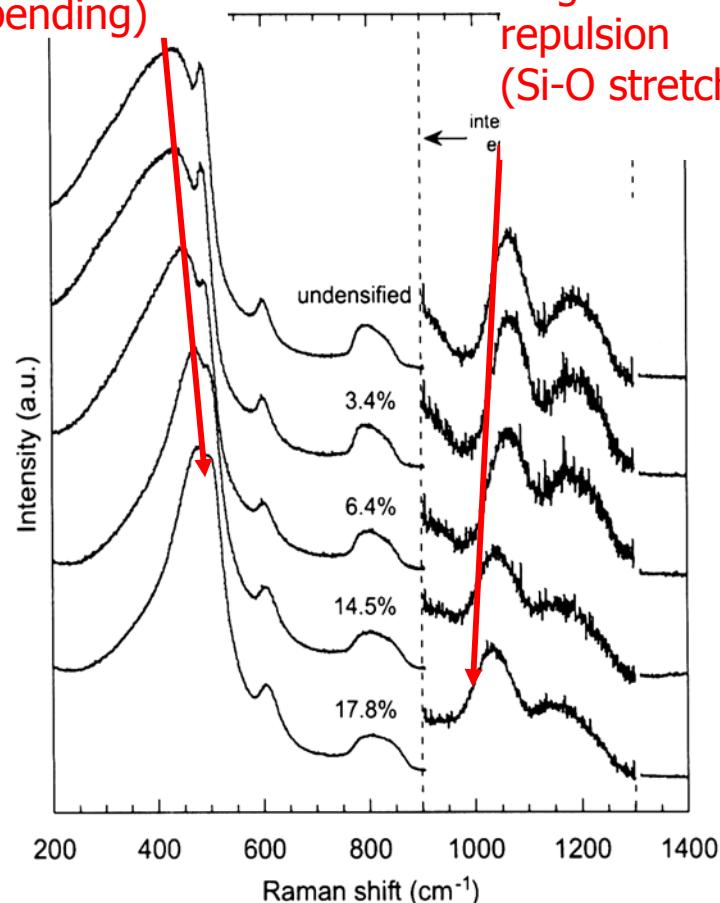
Fig. 1. Index of refraction of the quenched phase as a function of the pressure of the run. Each point represents a spread of  $\pm 0.005$  index of refraction units.

H.M. Cohen and R. Roy, *J. Am. Ceram. Soc.*, **44**(1961)523.

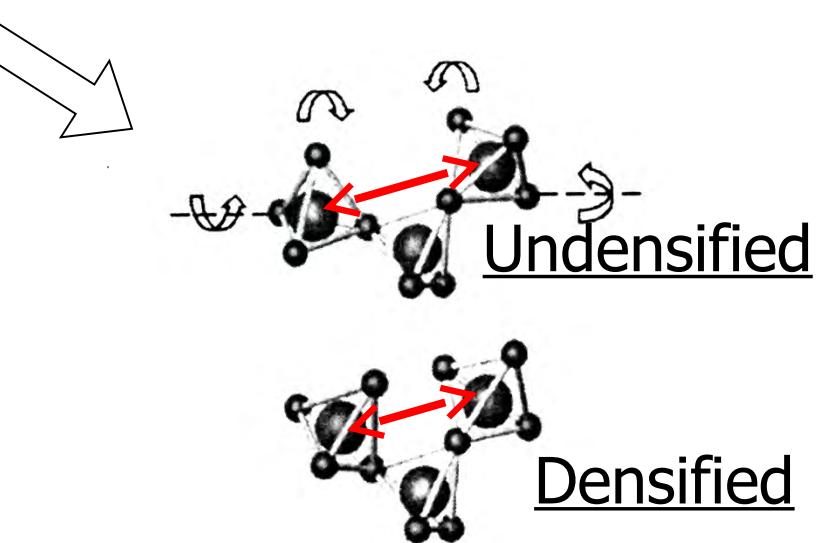


# *Raman spectra of hydrostatically densified silica glass*

Decrease in  
the bond angle  
(Si-O-Si bending)



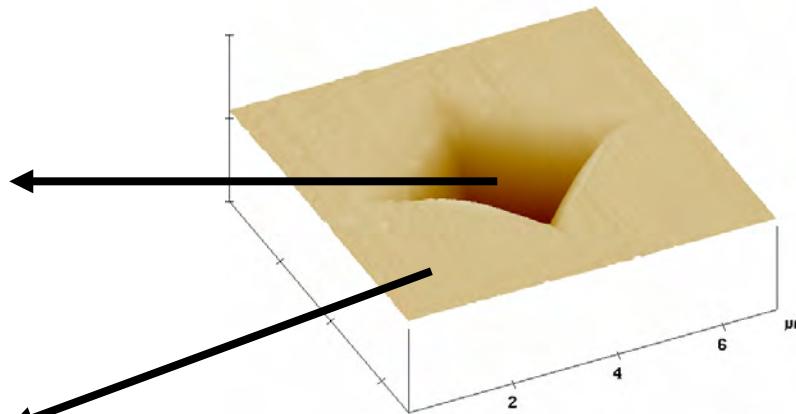
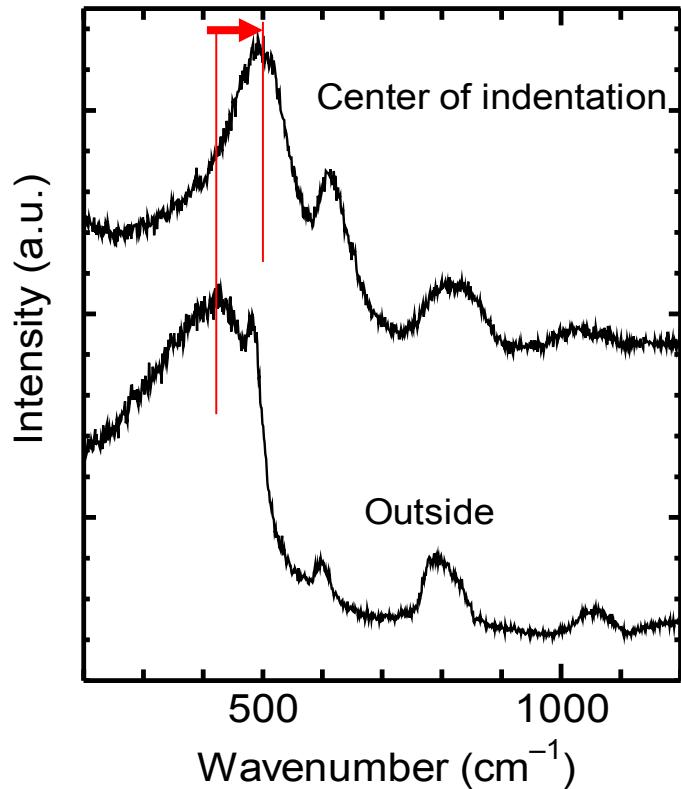
Increase in the Si-O bond  
length because of Si-Si  
repulsion  
(Si-O stretching)



Sampath *et al.*, *Phys. Rev. Lett.* (2003)

Poe *et al.* *J. Non-Cry.* (2004)

# *Indentation also induces densification*



**AFM image of Vickers indentation**

Raman spectra of silica glass



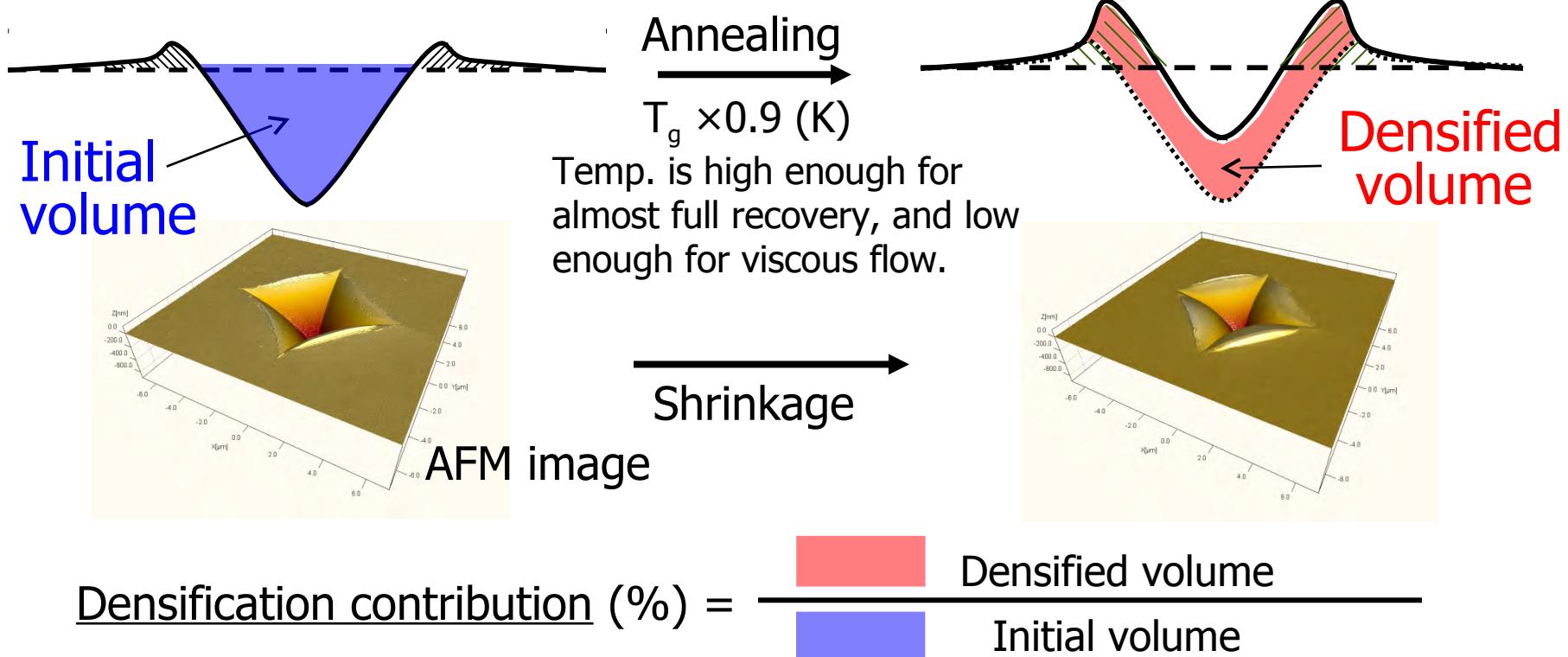
How do we estimate  
the densification contribution  
to total indentation deformation ?



# *Determination of '%Densification'*

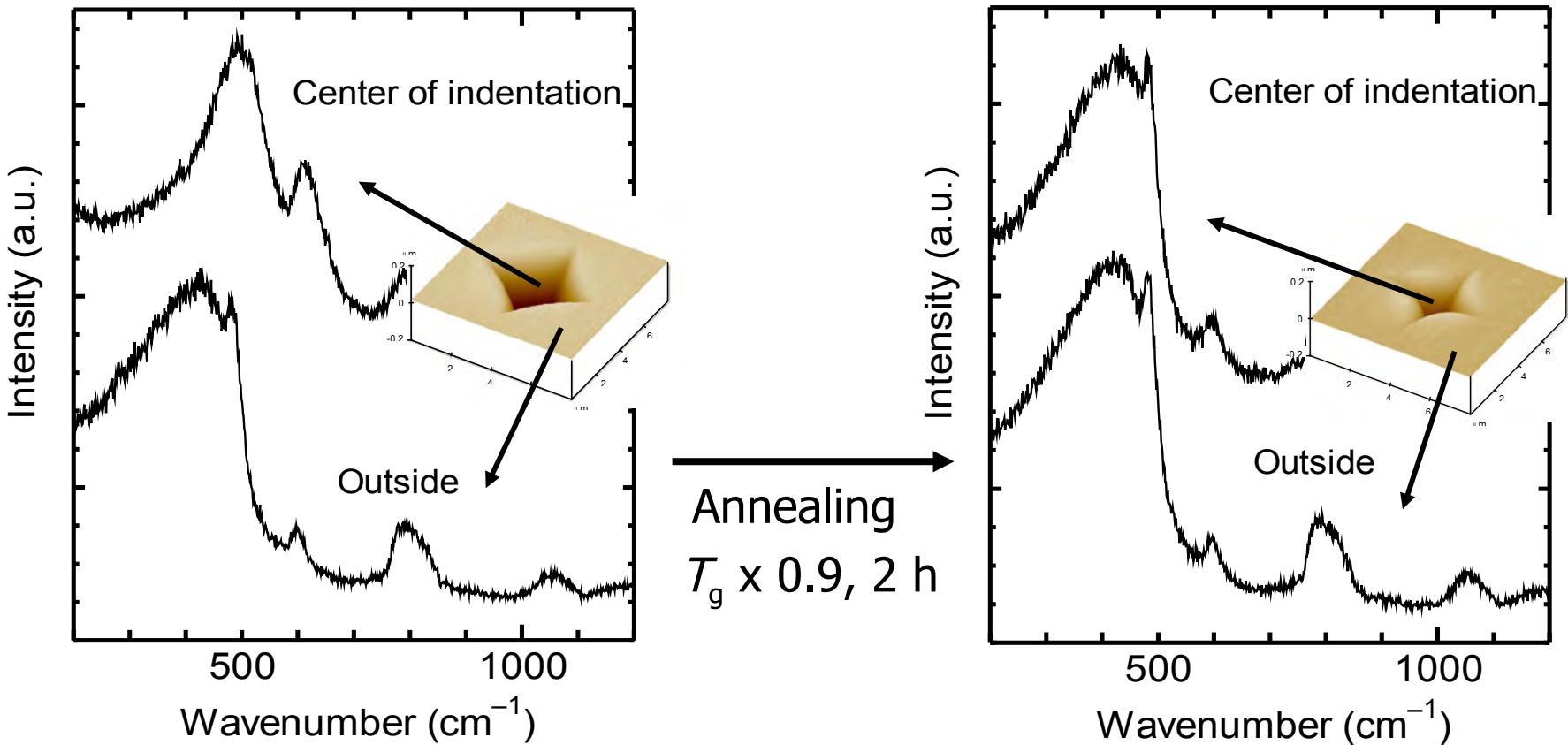
Densified region can be relaxed by annealing at around  $T_g$

Mackenzie(1963), Neely & Mackenzie(1968), Yoshida (2001, 2005, 2007, 2010)

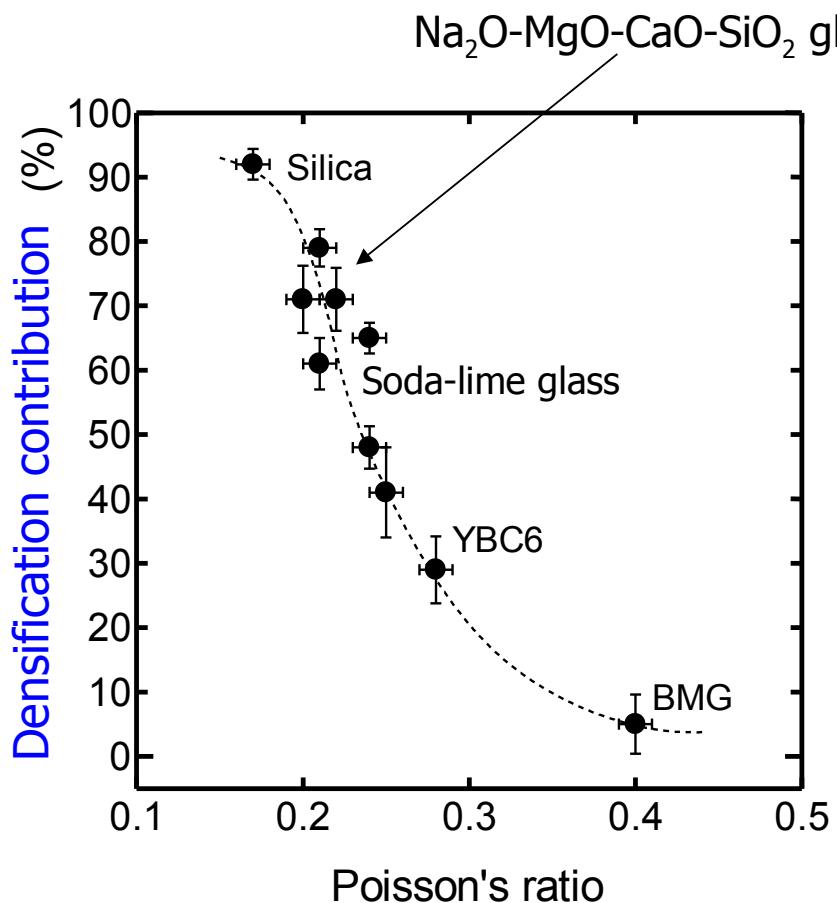


# *Raman spectra of silica glass before and after annealing*

The densified structure is relaxed by annealing at  $T_g \times 0.9$ .



# Comp. dependence of densification contribution



Every glass is densified under Vickers indenter.

YBC6: Oxynitride glass

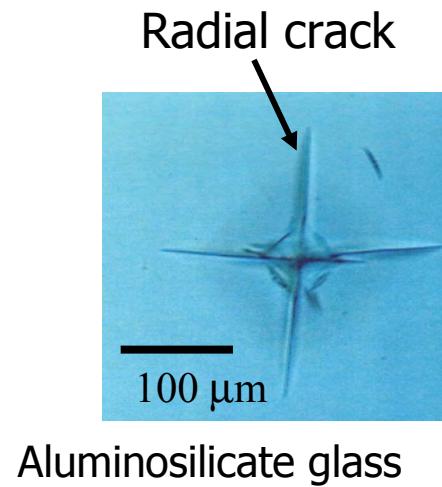
BMG: Bulk metallic glass

Densification contribution decreases with increasing Poisson's ratio.

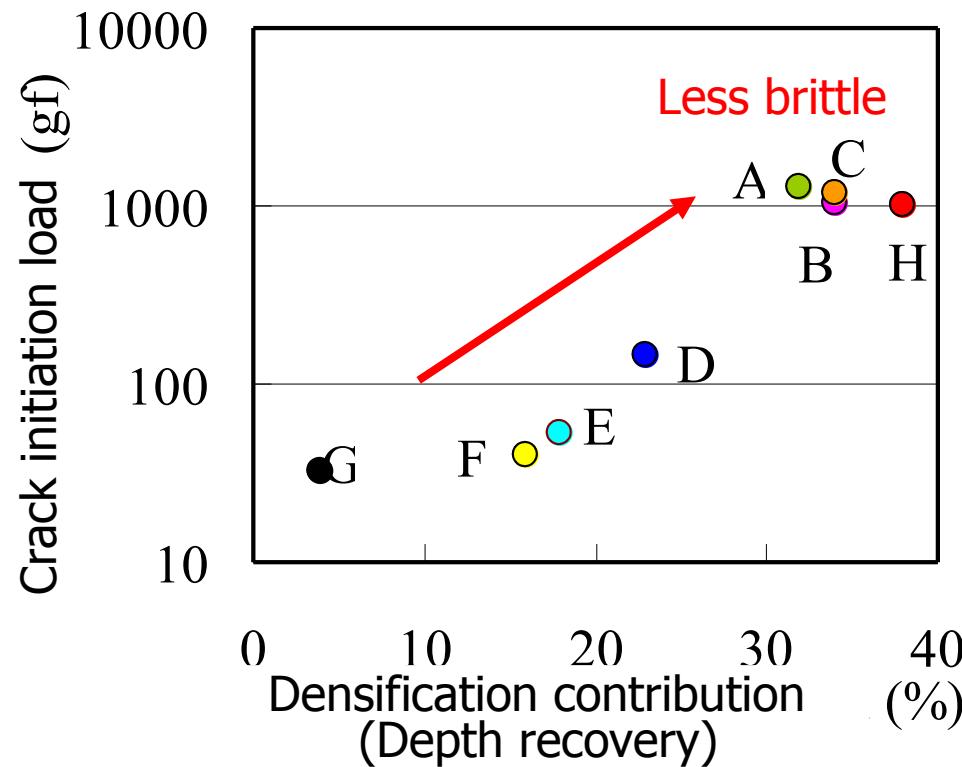
Yoshida, J.-C. Sangleboeuf, T. Rouxel (2005), *J. Mater. Res.* **20**, p. 3404.

# Higher %Densification, Better Crack Resistance!!

, because densification reduces the residual stress.



Aluminosilicate glass



Y. Kato *et al.*, *J. Non-Cryst. Solids* **356**(2010)1768.

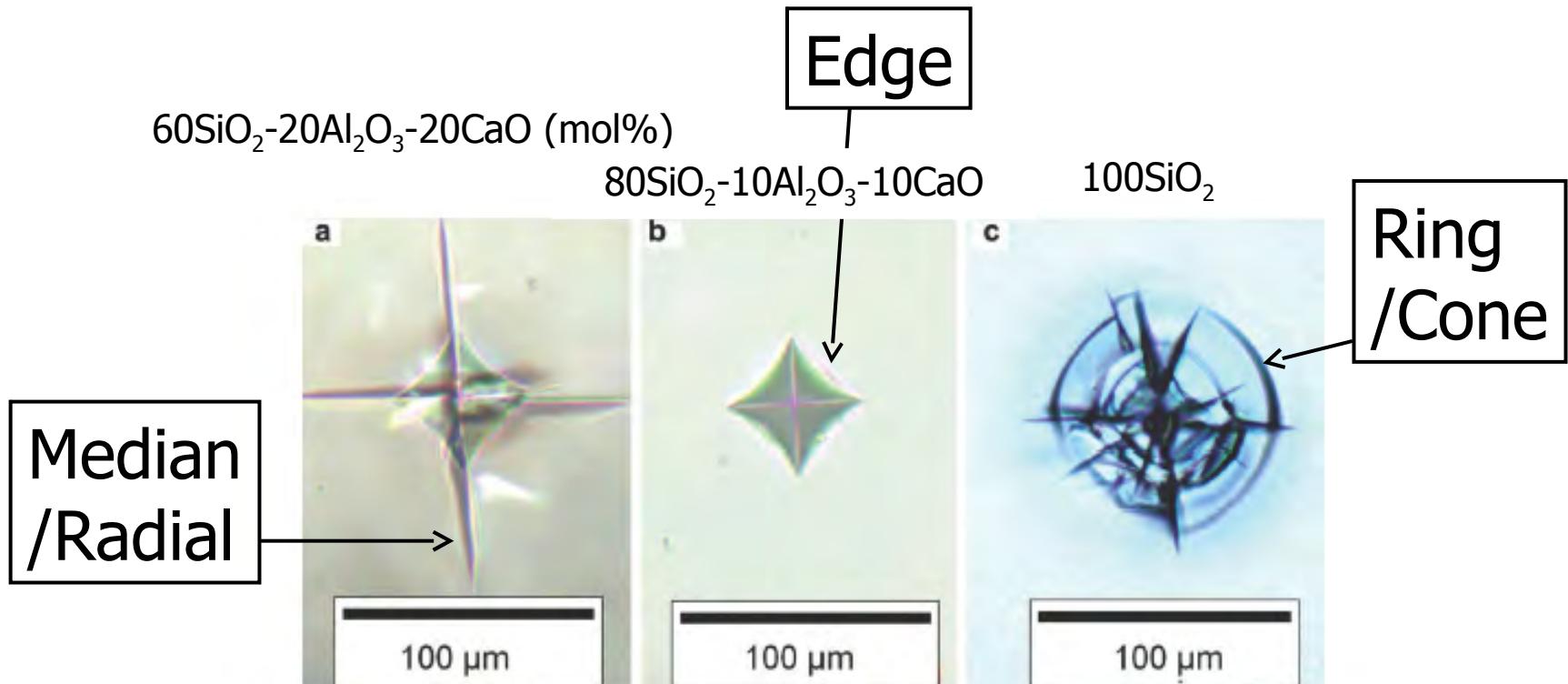
# Indentation-induced densification is affected by

1. Glass composition, *J. Mater. Res.*, **20** (2005) 3404.
2. Indenter geometry (not shown today),  
*J. Mater. Res.*, **25** (2010) 2203.
3. Indentation load (not shown today),  
*Int. J. Mater. Res.*, **98** (2007) 360.
4. Fictive temperature (not shown today).  
*I.C.G.*, Salvador (2010) .
5. Water in glass (not shown today).

The stress is a tensor quantity, not a simple scalar.

We should know stress components.

# A wide variety of crack morphology comes from different stress states.



Indentation imprints (1 kgf) on different glasses

T.M. Gross *et al.*, *J. Non-Cryst. Solids* **355**(2009)563.

One solution to obtain stress components  
is Birefringence technique.

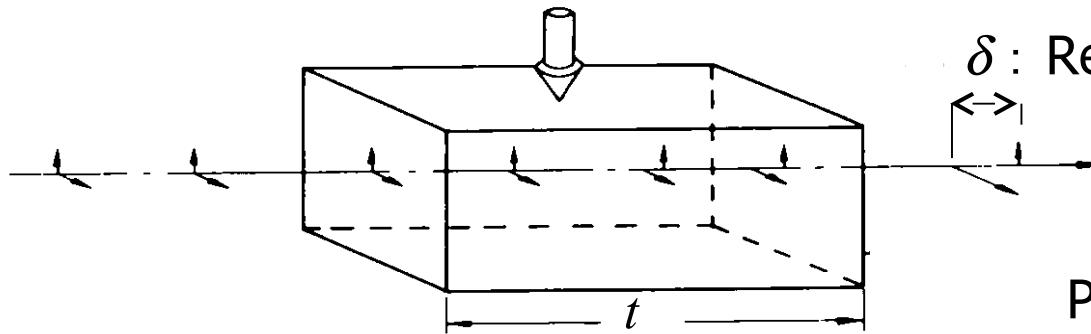
With Dr. C.R. Kurkjian (Univ. Southern Maine)  
Dr. A. Errapart (Tallinn Univ. Tech.)

# Birefringence, or Photoelasticity

2-Dimensional

$$\delta = (n_1 - n_2)t = C(\sigma_1 - \sigma_2)t$$

Stress Optical  
Coefficient:  $C$



$\delta$ : Retardation  $\Rightarrow \sigma_1 - \sigma_2$

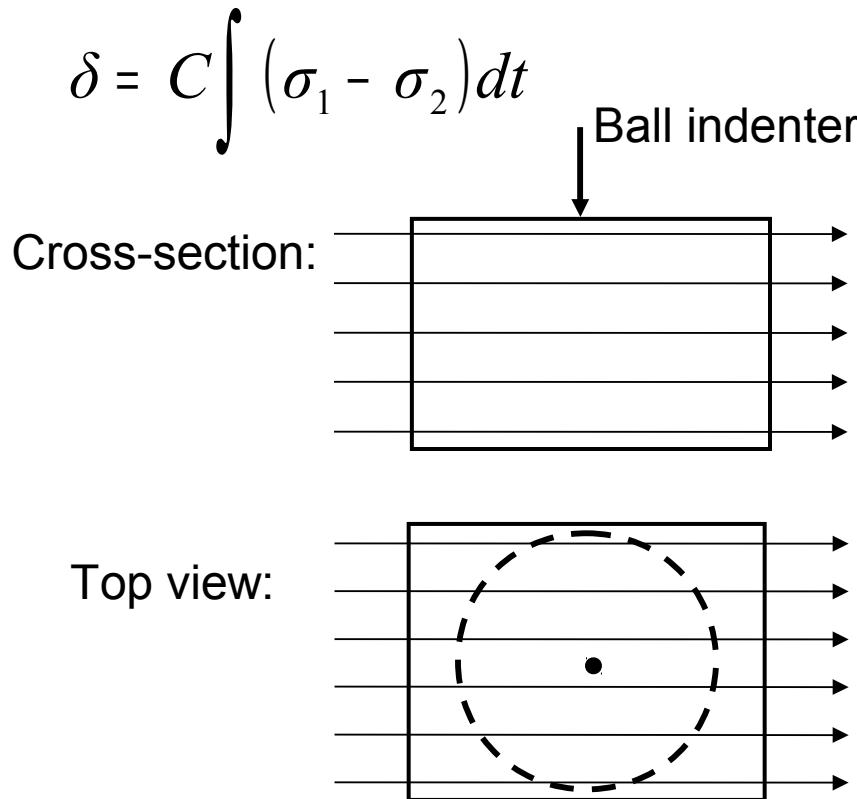
Principal  
stresses:  $\sigma_1, \sigma_2$   
(Membrane stresses)

The stress state is biaxial.

Principal refractive  
indices:  $n_1, n_2$

# Determination of stress distribution

3-Dimensional

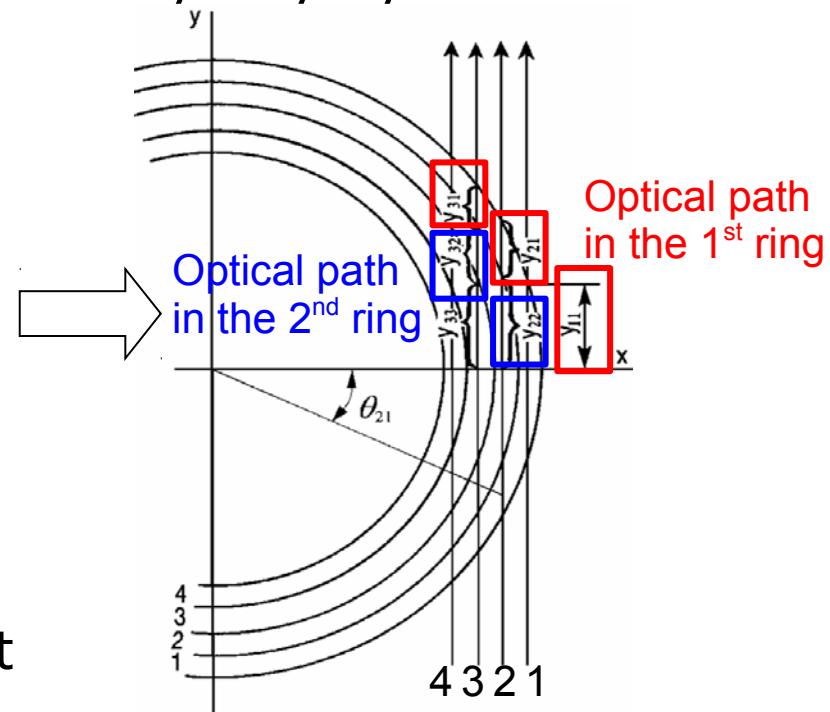


Schematic of transmitted light through a square fiber

H. Aben, C. Guillemet, *Photoelasticity of Glass*, Springer (1993)  
J. Anton, A. Errapart, H. Aben, L. Ainola, *Exp. Mech.* **48**(2008)613.

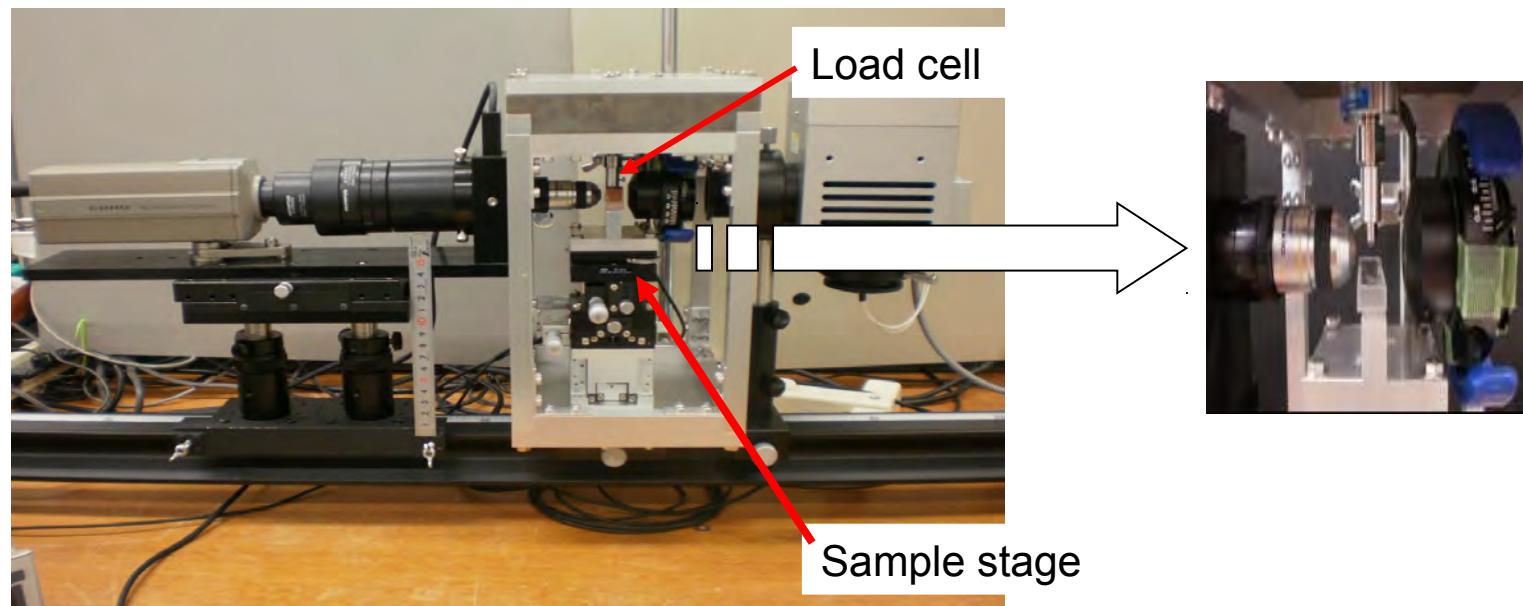
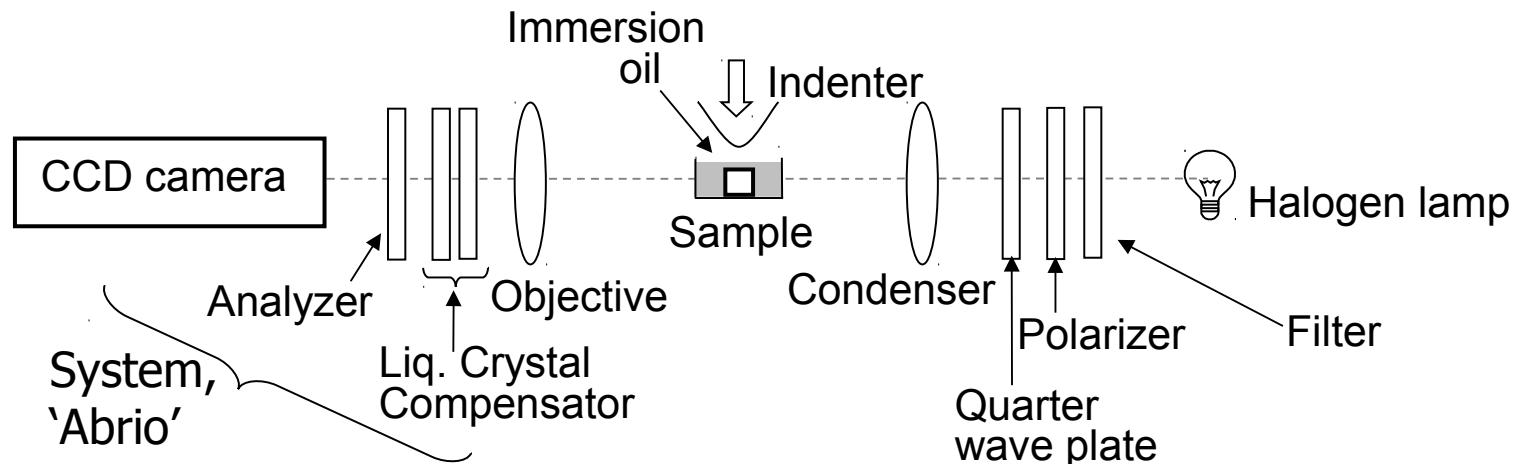
## Onion peeling method

Stresses are calculated in layer-by-layer manner.

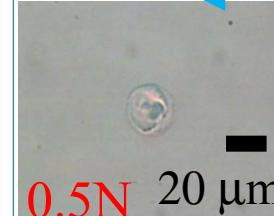
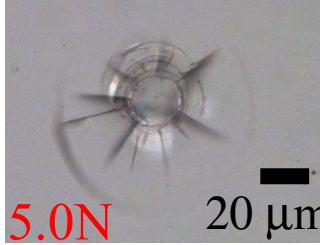
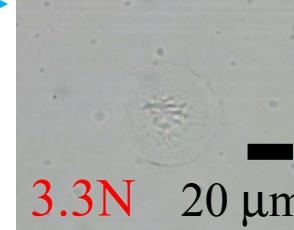
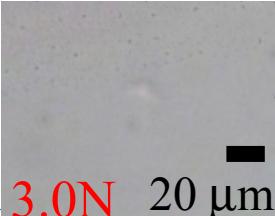
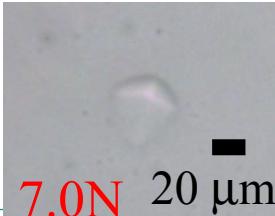
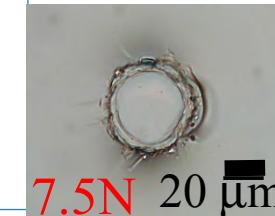


# *In-situ imaging system with an indenter*

S. Yoshida *et al.*, *J. Non-Cryst. Solids* **358** (2012) 3465.



# Mechanical responses of glasses (Ball indentations)

Sample	Ball indenter	Indentation load /N						
		0	1.0	3.0	5.0	7.0	9.0	
Soda-lime	0.05R	Elastic deformation				Cracking		
		 0.5N 20 μm	Inelastic deformation without cracks		 5.0N 20 μm			
Silica	0.1R	Elastic deformation				Cracking		
		 3.3N 20 μm						
	0.05R	Elastic deformation		Inelastic deformation without cracks		Cracking		
		 3.0N 20 μm		 7.0N 20 μm		 7.5N 20 μm		
	0.1R	Elastic deformation						

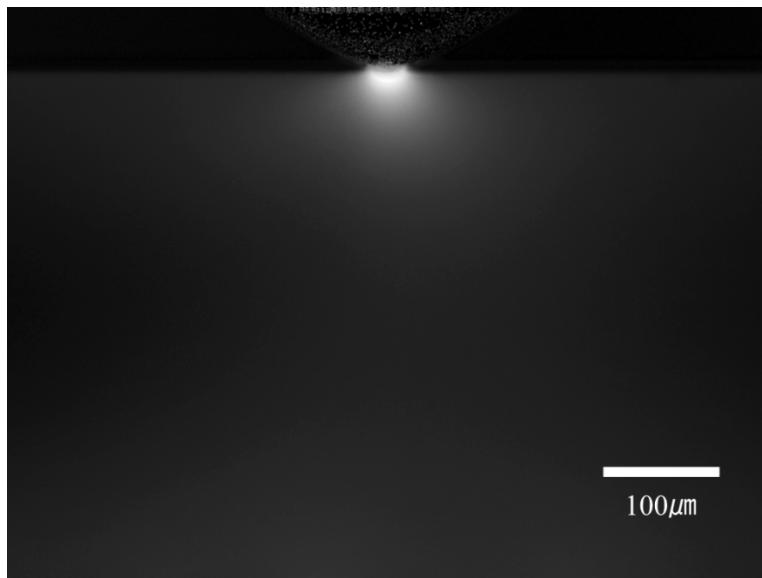
# *BR images during indentation*

Soda-lime glass

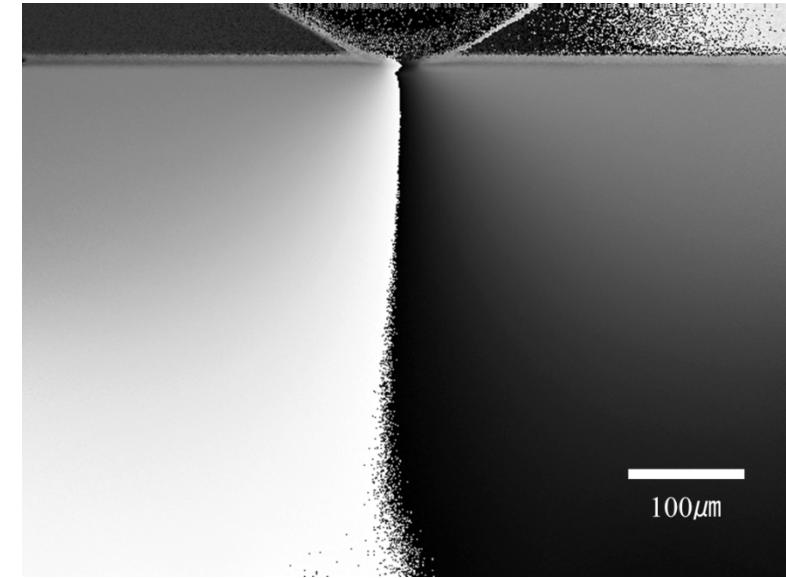
$R = 0.1$  mm indenter

Indentation load = 3.0 N

During loading  
Only Elastic.



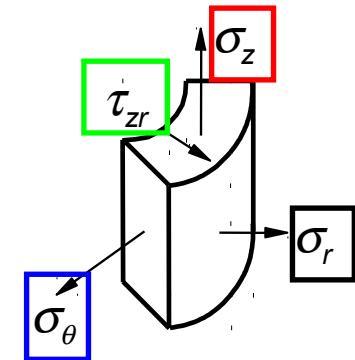
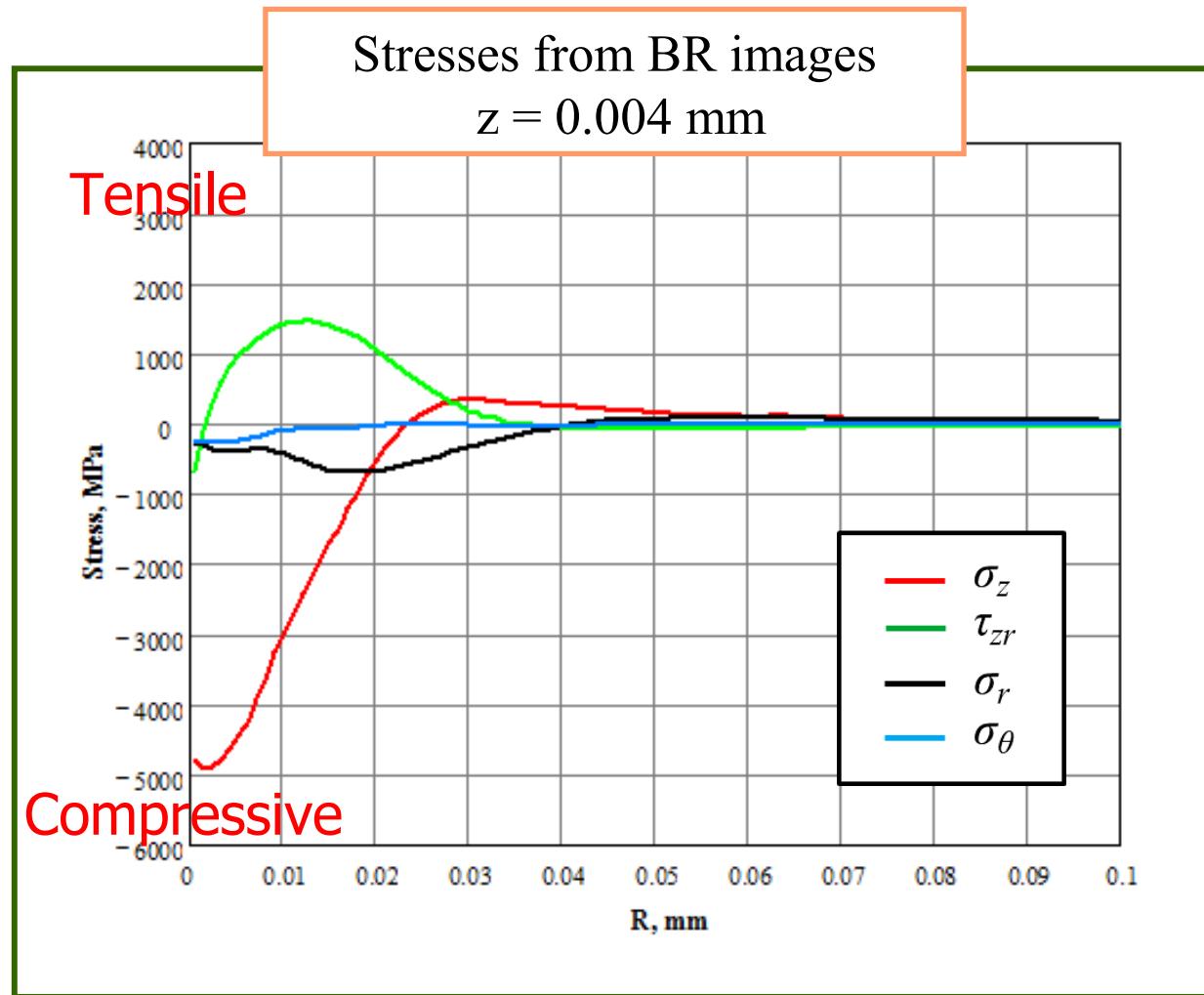
Retardance  
 $0 \sim 250$  nm  
Black to White



Slow axis orientation  
 $0 \sim 180^\circ$   
Black to White

# Elastic stresses (SLS)

Soda-lime,  $R = 0.1$  mm, Load = 3.0 N



$\sigma_z$  : Axial stress

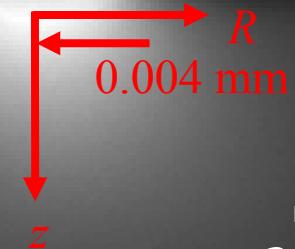
$\tau_{zr}$  : Shear stress

$\sigma_r$  : Radial stress

$\sigma_\theta$  : Circumferential,  
or hoop, stress

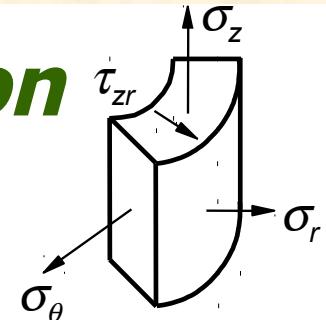
Retardance

Indenter

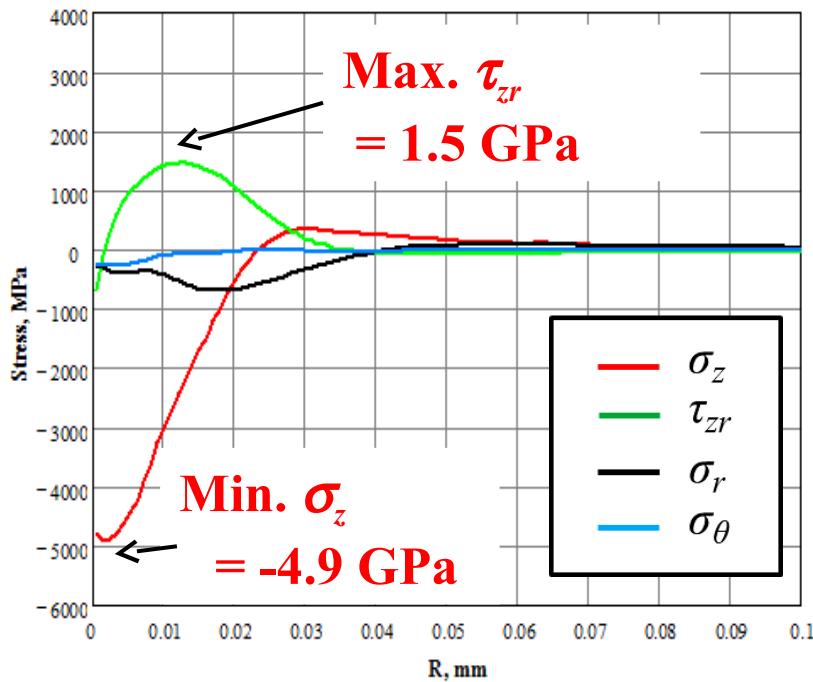


# *Comparison with analytical solution*

Soda-lime,  $R = 0.1$  mm, Load = 3.0 N



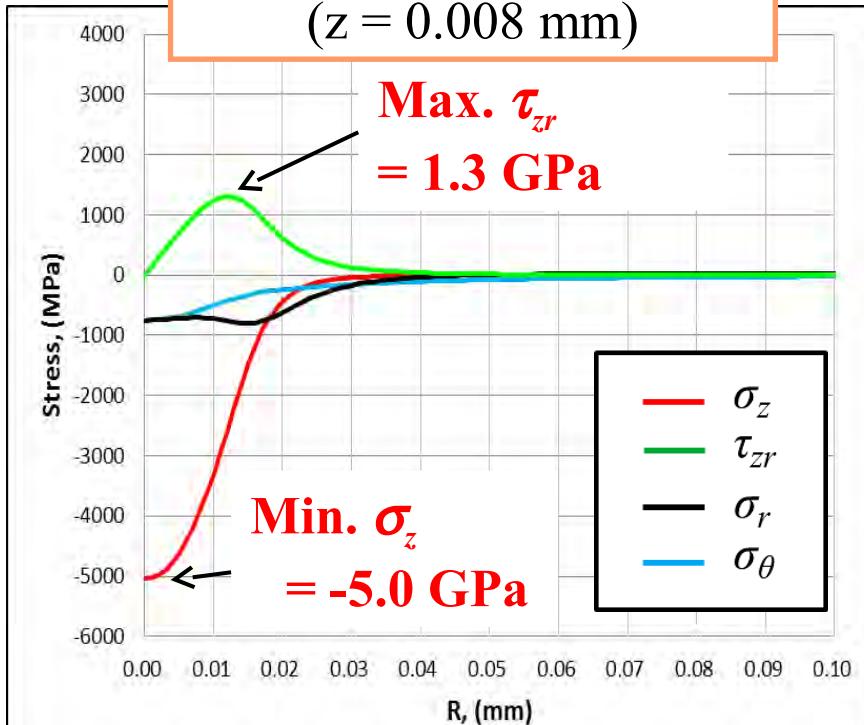
BF exp. ( $z = 0.004$  mm)



Max.  $\tau_{zr}$   
= 1.5 GPa

Min.  $\sigma_z$   
= -4.9 GPa

Hertzian solutions  
( $z = 0.008$  mm)



Max.  $\tau_{zr}$   
= 1.3 GPa

Min.  $\sigma_z$   
= -5.0 GPa

Obtained stresses are in agreement with Hertzian solutions.

## Evaluation to Residual indents

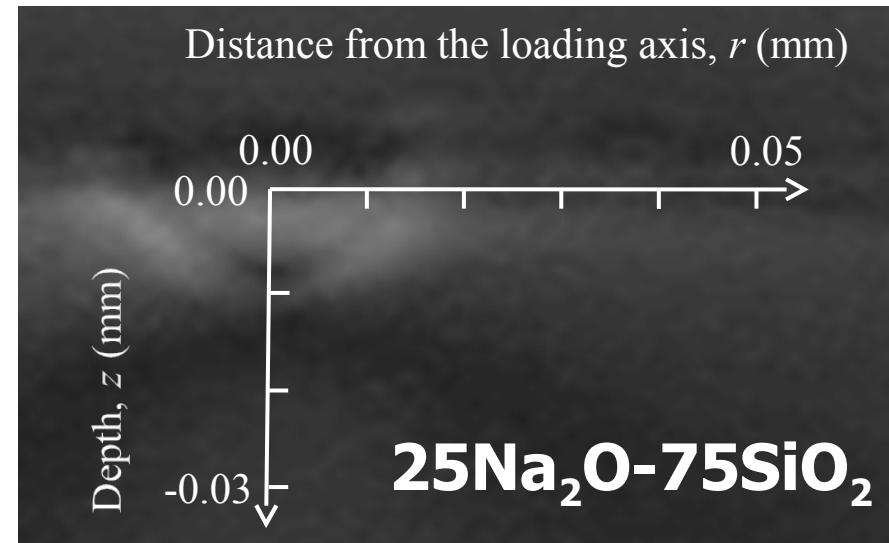
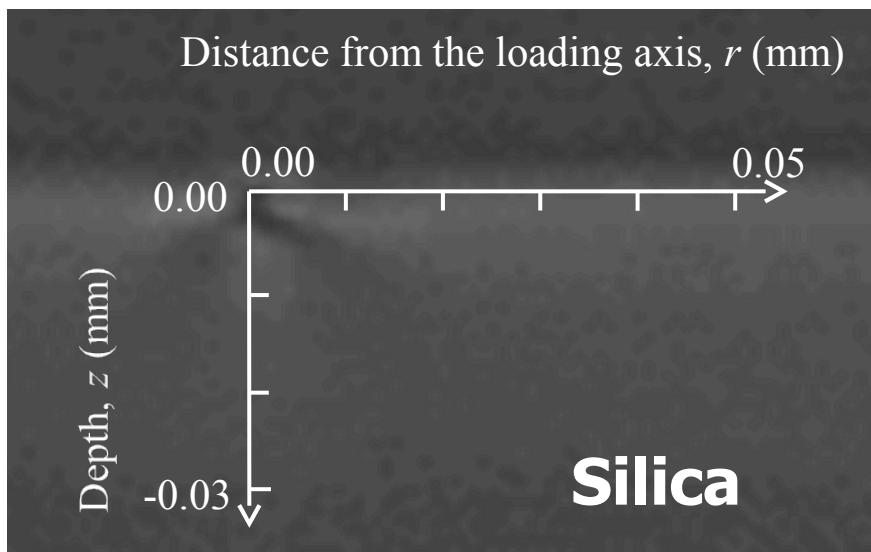
- » Silica (Anomalous)
- » 25Na<sub>2</sub>O-75SiO<sub>2</sub> (mol%) (Normal)

# *Residual stresses*

Retardation maps  
with  
Coordinates for stress calculation

Ball ( $R=0.05\text{mm}$ )  
Max. load = 3.0 N

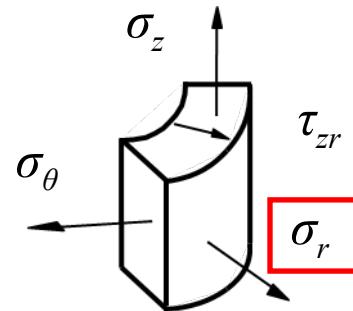
Quite different !



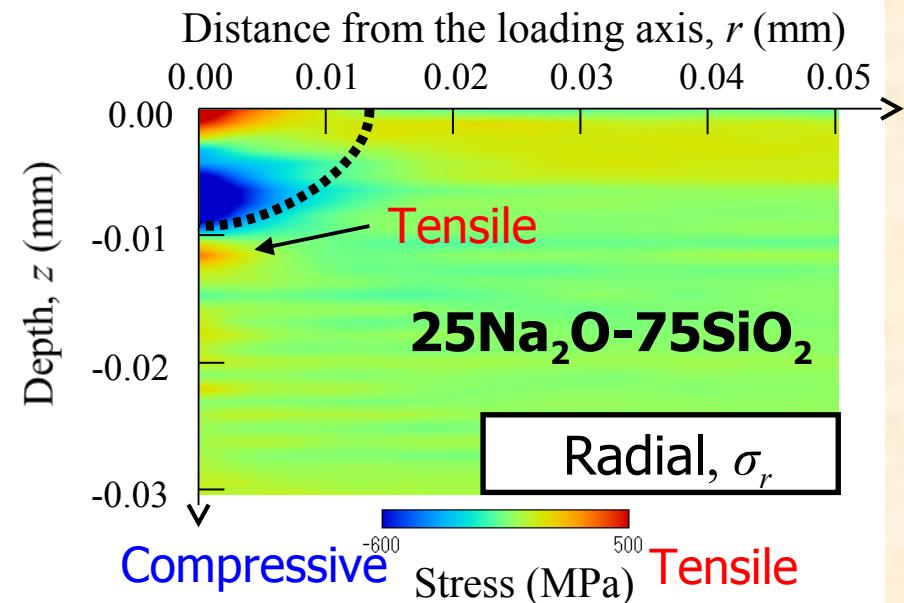
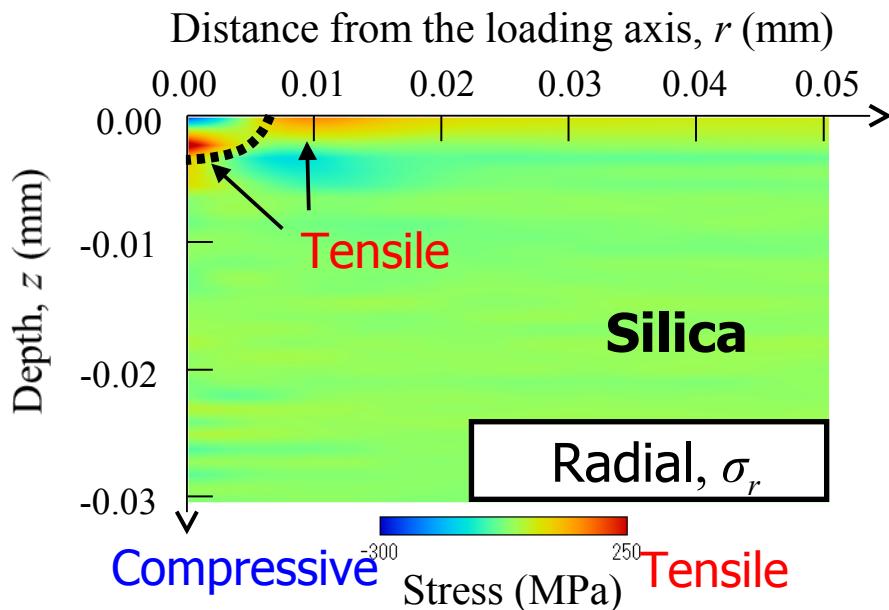
# *Residual stresses*

## *Stress mapping (Radial stress)*

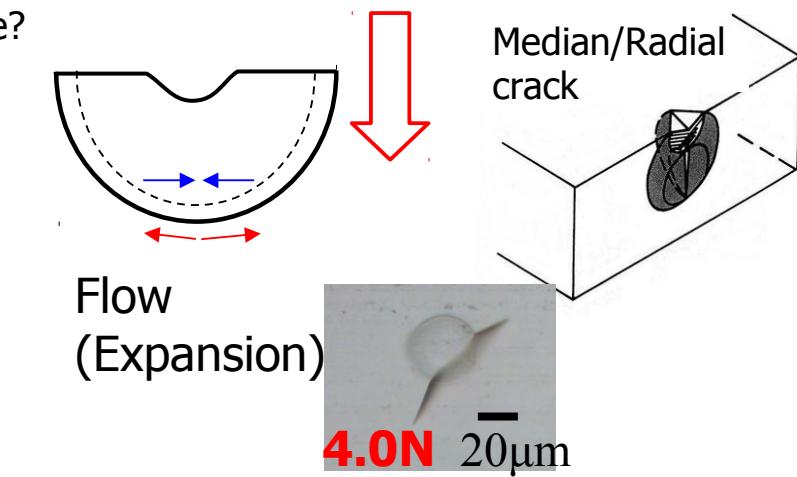
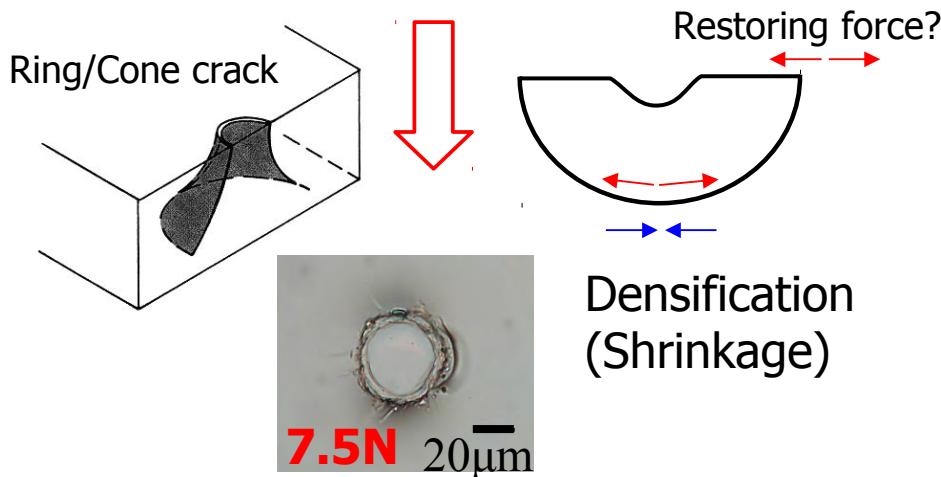
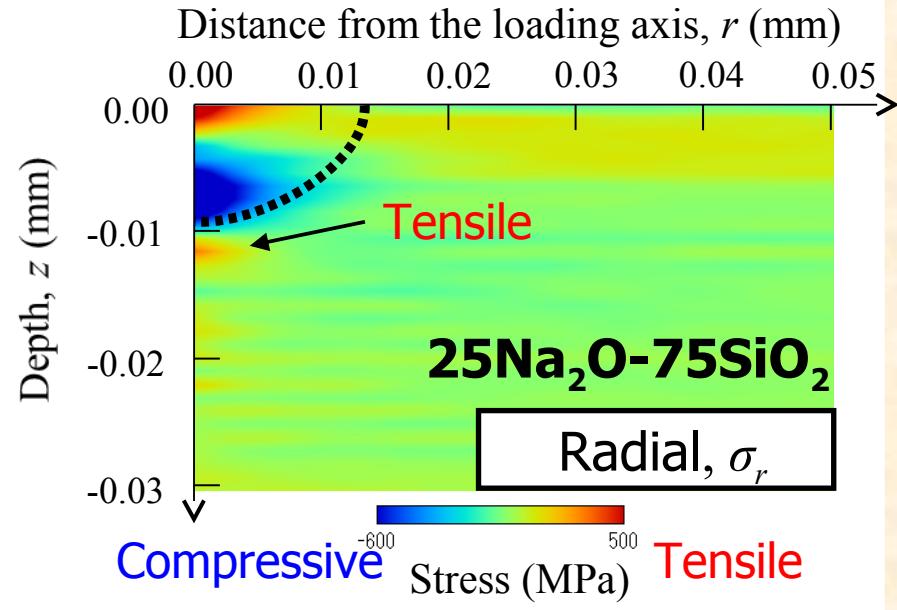
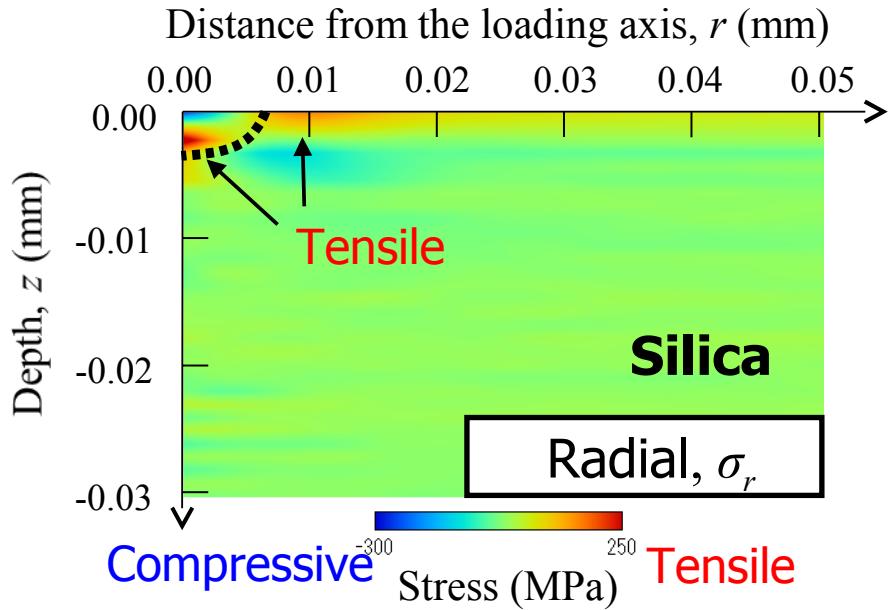
Ball (R=0.05mm)  
Max. load = 3.0 N



[dashed box]: Plastic zone



# *Residual stresses and crack morphology*



BR(Birefringence) stresses after unload  
tell us where a crack will initiate.

# *Summary*

1. Residual stress after indentation is critical for understanding the compositional variation of glass strength.
2. Densification of glass affects the residual stress.
3. Microscopic BR (birefringence) technique is useful in order to evaluate stress components around the indent.
4. Our BR work has just started, but important.  
We have various unsolved questions.