

ANNE-MARIE ANDERSON

A. Biographical Data

Office Address: Perella Department of Finance
Lehigh University
College of Business & Economics
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Education:

Ph.D., University of Arizona, Tucson, Arizona, Management (Finance), May 2003

MBA, University of Tulsa, Tulsa, Oklahoma, Finance, May 1998

B.S., United States Military Academy, West Point, New York, Mathematics/Operations Research, May 1987

Employment:

Associate Professor, Lehigh University, Bethlehem, Pennsylvania, 2009-present

Director – Financial Services Laboratory, Lehigh University, Bethlehem, Pennsylvania,
June 2009-present

Assistant Professor, Lehigh University, Bethlehem, Pennsylvania, 2003-2009

Consultant, Arizona Ambulance, Tucson, Arizona, 2001-2002

Graduate Assistant, University of Arizona, Tucson, Arizona, 1998-2003

Graduate Assistant, University of Tulsa, Tulsa, Oklahoma, 1996-1998

Plant Engineer, Lanier Clothes, Atlanta, Georgia, 1991-1996

First Lieutenant, Corps of Engineers, United States Army, 1987-1991

B. Publications

Books:

“Microfinance and Capital Markets: The Initial Listing/Public Offering of Four Leading Institutions,” (with Ira W. Lieberman, Zach Grafe, Bruce Campbell, and Daniel Kopf), 2008, forthcoming in *Moving beyond Storytelling: Emerging Research in Microfinance*, Karen Hicks and Todd A. Watkins eds., Elsevier Press/Emerald.

Articles in Refereed Journals:

“A Cross Country Comparison of Corporate Governance and Firm Performance Nexus: Do Financial Structure & Legal System of a Country Matter?” (with Parveen Gupta), 2009, forthcoming *Journal of Contemporary Accounting & Economics*.

“Debt Issuance in the Face of Tax Loss Carryforwards,” (with Nandu Nayar), 2008, forthcoming, *The Financial Review*.

“IPO Listings: Where and Why?” (with Edward A. Dyl), *Financial Management*, Spring 2008, Volume 37, 23-43.

“Measures of Income and Firm Valuation,” (with Roger P. Bey and Samuel C. Weaver), *Corporate Finance Review*, March/April 2008, 10-15.

“The Cost of Being Good,” (with David H. Myers), *Review of Business*, Fall 2007, Volume 28, Number 1, 5-17.

“Trading Volume,” (with Edward A. Dyl), 2007, *Financial Analysts Journal*, Volume 63, Number 3, 79-86.

“Market Structure and Trading Volume,” (with Edward A. Dyl), *Journal of Financial Research*, Spring 2005, Volume 28, 115-131

“Determinants of Premiums on Self-tender Offers,” (with Edward A. Dyl), *Financial Management*, Spring 2004, 25-45.

“Contingent Claims Analysis Applied in Credit Risk Modeling,” (with William Maxwell and Theodore M. Barnhill), *Journal of Fixed Income*, Volume 12, December 2002, 24-31.

Unpublished papers:

“IPO Listing Choices and the Regulation of Post-IPO Sales of Restricted Stock,” (with Edward A. Dyl and Laurie Krigman), 2005.

“Selecting the Key to Unlock Hidden Value,” 2003.

“An Analysis of Parent and Subsidiary Post Carve-out Valuation,” 2000

Working Papers:

“Corporate Strategy and Stockholder Returns in a Declining Industry,” (with Mary Beth Deily), 2008.

“Why do NYSE Trade Sizes Continue to Decline? Technological & Routing Enhancements Only Begin to Explain It,” (with M. Cary Collins and Edward A. Dyl), 2008.

“The shareholder democracy paradox: An empirical examination,” (with Ann Buchholtz, Jill Brown, and Kareem Shabana), 2009, under review for presentation at the *2009 Academy of Management Conference*, Chicago, IL.

“Classified Boards: Friend or Foe?” (with Ann Buchholtz and Jill Brown), 2009, under review for presentation at the 2009 *International Association of Business & Society Conference*, Steamboat Springs, CO

“Empirical Estimates of the Value of Voting Rights in Mergers,” (with Nandu Nayar), 2008.

C. Honors and Awards:

Joseph R. Perella and Amy M. Perella Chair, July 2009-present
Lehigh Junior Award for Distinguished Teaching – 2005
Theodore A. Lauer Professor, July 2004- June 2009

D. Research Funding

Research Grant, CBE, Summer 2005, \$10,000, with Parveen Gupta
Research Grant, New York Stock Exchange, 2001 and 2003

E. Scholarly Presentations:

“A Cross Country Comparison of Corporate Governance and Firm Performance Nexus: Do Financial Structure & Legal System of a Country Matter?” (with Parveen Gupta) – Midwest Finance Association, Chicago, IL, March 2009[also presented at CAR/JCAE Special Joint Symposium on Asia-related issues (Received the Best Paper Award) , January 2009; 20th Asian Pacific Conference on International Accounting Issues (Received The Vernon Zimmerman Best Paper Award), November 2008; AACSB Ethics and Governance Conference (Atlanta, Georgia), 2007; George Mason University, 2006; Villanova University, 2006; 27th McMasters World Congress (Hamilton, Ontario), 2006; 2nd Workshop on Corporate Governance (Brussels, Belgium), 2005]

“Debt Issuance in the Face of Tax Loss Carryforwards,” (with Nandu Nayar), Financial Management Association, 2008.

Corporate Social Responsibility Panel, Eastern Finance Association, 2008

“Performance and Predictability of Social Screens” (with David H. Myers), Financial Management Association, 2007

“The Cost of Being Good” (with David H. Myers), Financial Services Institute’s Symposium , St. John’s University, 2006

“Post-IPO Restricted Stock Sales and Listing Choices” (with Edward A. Dyl), Financial Management Association, 2005

“IPO Listing Decisions, Restricted Shares, and SEC Rule 144” (with Edward A. Dyl), New York Stock Exchange, 2004

“Rule 144 and the IPO Exchange Listing Decision” (with Edward A. Dyl), Villanova University, 2004

“Economic Value Added Adjustments: Much to Do About Nothing” (with Roger Bey and Samuel Weaver), Midwest Finance Association, 2004

“Market Structure and Trading Volume” (with Edward A. Dyl), Financial Management Association, 2003

“Selecting the Key to Unlock Hidden Value”, Financial Management Association, 2002 [also presented at Southern Finance Association, 2002]

“Tender Offers and Stock Price Elasticity” (with Edward A. Dyl), Financial Management Association, 2002

“An Empirical Analysis of the Consistency, Sensitivity, and Validity of Economic Value Added” (with Roger Bey), Financial Management Association, 1998

F. Teaching:

Lehigh University:

Corporate Financial Policy (Undergraduate)
Financial Management (Graduate)

University of Arizona:

Corporate Finance
International Finance

G. Service:

University:

Service to University:

Faculty Financial Planning and Operations Committee, Fall 2008-present

Strategic Planning – Student Life and Learning Working Group, Spring 2008 - present

Faculty Athletic Council, Fall 2007 - present

Academic Integrity Sanction Committee, Fall 2007 - present

University Committee on Discipline, Fall 2007 - present

First Year Book Selection Committee, 2005 - Present

Honorary Degrees Committee, 2005 – 2008 (Chairman, 2007-2008)

Student Appraisal of Instruction Committee, 2004- 2007

Reader at University Commencement –2005, 2006, 2007, 2008

Governor’s School – 2007, 2008

First Year MOOV – 2006, 2007, 2008

Lehigh Life Days – 2005, 2006, 2007, 2008

Global Citizen Faculty Seminar, Spring 2006

Service to College:

CBE Global Lehigh Initiative, Benchmarking Subcommittee, Spring 2008

Microfinance Committee, 2005 - Present

Economics Department Search Committee – 2005-2006, 2007-2008

Dean Search Committee, 2005-2006

Service to Department:

Faculty Advisor – Finance Club 2008- present

Finance Department Search Committee – 2005-2006, 2007-2008, 2008-2009

Mentor Real Estate Practicum – Spring 2006, Spring 2007

Judge for Annual Collins Family Scholarship – 2004, 2005

Professional:

Referee:

Journal of Economics and Business

Journal of Corporate Finance

Managerial Finance

Financial Analysts Journal

The Financial Review

International Review of Economics and Finance

Journal of Small Business Management

Quarterly Review of Economics and Finance

H. Professional Affiliations:

American Finance Association

Midwest Finance Association

Southern Finance Association

Financial Management Association

Eastern Finance Association