INDUSTRY LIAISON PROGRAM AGREEMENT

Form C – for interactions with ACADEMIC or GOVERNMENT ENTITIES.
(Not for industry participants)

This AGREEMENT (hereinafter the “Agreement”) with the Center for Advanced Materials and Nanotechnology (hereinafter “CAMN at Lehigh University, effective as of the ___ day of __________, 20____ (hereinafter the “Effective Date”), is by and between LEHIGH UNIVERSITY, a Pennsylvania non-profit corporation (hereinafter referred to as University”) and _______________________________________, an ___Academic Institution ___Government Entity registered, incorporated, or located in the state of ____________________, in __________________(Country).

The work taking place using University facilities will be performed by:
_____ Participant only _______ University only _______ both Participant and University personnel.
University agrees to provide Participant with: ____ facility and equipment access ____ training and support ____ equipment operation and data____ processed materials.

University is a tax-exempt institution of higher education. The CAMN operates to further the University’s mission of education, research, and advancement and dissemination of knowledge. The objectives of the CAMN are to identify, promote and engage in strategic areas of research and education in advanced materials and nanotechnology that meet the needs of industry, government and students. This is accomplished through collaborations, partnerships, and access to expertise, facilities, and educational programs.

The CAMN Industry Liaison Program (hereinafter “ILP”) provides a mechanism and resources to establish connections with external partners to foster collaborative opportunities, develop and commercialize technologies, solve problems, and exchange ideas. The ILP also supports student learning through participation in projects, internships and employment opportunities.

This agreement covers access to University facilities for material synthesis, testing, or analysis work, and applies if the Participant is an academic or government entity. University research facilities and equipment are non-profit, tax-exempt resources and are therefore not available for direct use or rental by Participant for mercantile purposes. Equipment use and labor rates are the same as those for members of the CAMN ILP, but there is no annual member fee for academic and government Participants. The work may be done in University facilities by the Participant, or University may perform the work for the Participant. The work plan is specified by the Participant so intellectual property (IP) rights are not addressed in this Agreement. If generation of IP or publishable data by the University is anticipated, this agreement must not be used; instead, the project must be conducted through University’s Office of Research and Sponsored Programs under a separately negotiated agreement.

WITNESSETH:

WHEREAS, Participant may, from time to time, require research or testing that will further the educational and research objectives of University in a manner consistent with its status as a non-profit, tax-exempt educational institution, and may derive benefits for both Participant and University through research findings, inventions, and/or discoveries;
WHEREAS, this Agreement and any associated Research and Testing Scope of Work attached hereto as Appendices, and incorporated herein, will describe each Project performed, hereinafter referred to as “Project”;

NOW, THEREFORE, in consideration of the promises, the mutual covenants herein contained and intending to be legally bound, the parties hereto agree to the following:

**ARTICLE 1 – ILP BENEFITS**

Participant shall receive the following benefits:

1) Access to faculty, staff, and qualified students, including opportunities to discuss Project approaches and results.

2) Access to contacts or collaboration with resources and other Research Centers at Lehigh University.

3) Use of CAMN equipment and facilities at published rates.

4) Invitation to periodic meetings, workshops, seminars, etc.

**ARTICLE 2 – TERMS AND FEES**

A. EFFECTIVE DATE, TERM, and RENEWALS

Upon signing this Agreement and payment of all fees, the Agreement term is one year, beginning on the Effective Date, and will automatically renew unless terminated or modified by the parties in accordance with Article 10.

B. ANNUAL MEMBERSHIP FEES

There are no member fees for academic or government participants in the ILP.

C. BILLING AND PAYMENTS

1) Participant shall pay for labor and equipment usage at rates published at http://www.lehigh.edu/nano/userates.html.

2) Participant shall be invoiced quarterly from the Effective Date for Project activities, with payment due 30 days after receipt of invoices, payable by check to “Lehigh University” sent to: Bursar’s Office, Lehigh University, 27 Memorial Drive West, Bethlehem, PA 18015, Attention: Accounts Receivable.

3) Anything herein to the contrary notwithstanding, in the event of early termination of this Agreement by either party, Participant shall pay all costs and non-cancellable commitments incurred by University through and including the date of termination as provided in Article 10.

4) Any sums due and owed by Participant to University shall bear interest at the rate of 18% per annum from the date due until paid. Participant shall be liable for any and all costs and expenses incurred by University in enforcing this Agreement.
ARTICLE 3 – RESEARCH AND TESTING

A. CONDUCT OF RESEARCH

1) University shall use reasonable efforts to commence the Project promptly after the Effective Date and to conduct the Project substantially in accordance with the terms and conditions of this Agreement and Appendix A. Participant acknowledges that University must conduct the Project in a manner consistent with University’s educational and research missions.

2) Participant shall promptly provide University with such information or documents of whatever form or nature, or undertake such actions as University may reasonably require in order to conduct the Project.

B. SAFETY AND TRAINING

Participant assumes primary responsibility for the personal safety of its employees/agents. Participant will operate all University instruments and equipment in a safe and professional manner, consistent with any operating instructions and laboratory rules that are communicated. The Participant represents that its employees’ and agents’ knowledge of the facilities is adequate to permit the safe pursuit of the work for the Project. University shall have the right to prohibit use by any employee or agent of Participant if University, in its sole discretion, believes that Participant has breached this representation. If deemed necessary by University, or requested by Participant, training on laboratory equipment will be provided by University at rates published at http://www.lehigh.edu/nano/userates.html.

C. UNIVERSITY PROPERTY

Participant shall be responsible for any damage to or loss or theft of University’s property that is attributable to Participant’s use of facilities or equipment, reasonable wear and tear excepted.

ARTICLE 4 – INTELLECTUAL PROPERTY, RESEARCH DATA, AND PUBLICATIONS

Under this Agreement, University’s role is limited to training, operational assistance, production of material according to the specifications of Participant, testing, and/or analysis. Therefore University has no interest in ownership of intellectual property that may emerge directly from the Project activities or deliverables produced. Research data will be owned by Participant. In addition, the University does not require publication rights related to the Project activities or deliverables produced.

ARTICLE 5 – PUBLICITY AND USE OF NAME

Participant shall not use the name of University or any member of University's Project staff in any publicity, advertising or news release without the prior written approval of the University’s Director of the Office of Research and Sponsored Programs and the Vice President for University Relations or their authorized designees. Likewise, the University shall not use the name of Participant or any member of Participant's Project staff, in any publicity, advertising or news release without the prior written approval of Participant, except that University may include Participant’s name and/or logo in publications or reports that include lists of CAMN collaborations or participants.

ARTICLE 6 – CONFIDENTIALITY

Any confidential or proprietary information exchanged between University and Participant shall be governed by a separate University-approved confidentiality agreement.

ARTICLE 7 – PROJECT REPORTS
ARTICLE 8 – DISCLAIMER OF WARRANTIES; INDEMNIFICATION

A. DISCLAIMER OF WARRANTIES

ANY AND ALL RESULTS OF THE PROJECT, REPORTS, OR OTHER MATERIALS PROVIDED BY UNIVERSITY UNDER THIS AGREEMENT ARE PROVIDED ON AN “AS IS” BASIS. UNIVERSITY MAKES NO WARRANTIES OF ANY KIND, EXPRESS OR IMPLIED, AS TO ANY MATTER WHATSOEVER, INCLUDING, WITHOUT LIMITATION, WARRANTIES WITH RESPECT TO THE MERCHANTABILITY OR FITNESS FOR A PARTICULAR PURPOSE OF THE PROJECT RESULTS, REPORTS, OR ANY OTHER MATERIALS. UNIVERSITY MAKES NO WARRANTIES OF ANY KIND WITH RESPECT TO FREEDOM FROM PATENT, TRADEMARK, COPYRIGHT OR TRADE SECRET INFRINGEMENT ARISING FROM THE USE OF THE PROJECT RESULTS, REPORTS, OR OTHER MATERIALS PROVIDED HEREUNDER. UNIVERSITY SHALL NOT BE LIABLE FOR ANY DIRECT, INDIRECT, CONSEQUENTIAL, PUNITIVE OR OTHER DAMAGES SUFFERED BY SPONSOR OR ANY OTHER PARTY RESULTING FROM THE PROJECT OR THE USE OF ANY PROJECT RESULTS, REPORTS, OR OTHER MATERIALS.

B. INDEMNIFICATION

Except to the extent caused by the sole negligence of any of the Indemnified Persons (as hereinafter defined), Participant shall defend, indemnify and hold harmless University, its trustees, officers, faculty, students, employees, subsidiaries, affiliates and agents (hereinafter referred to collectively as the "Indemnified Persons") from and against any and all liability, claims, lawsuits, losses, damages, costs or expenses (including without limitation attorneys' fees), which the Indemnified Persons may hereafter incur or be required to pay as a result of: (a) Participant's use of the results of Project or any reports or other materials; or (b) any breach of this Agreement; or (c) any act or omission of Participant, its employees, subsidiaries, affiliates, contractors, licensees or agents. University shall notify Participant upon learning of the institution or threatened institution of any such liability, claims, lawsuits, losses, damages, costs and expenses and University shall cooperate with Participant as reasonable in the defense or settlement thereof at Participant’s request and expense.

ARTICLE 9 – INSURANCE

A. REQUIRED COVERAGE

Participant shall carry the following insurance coverage with companies licensed to do insurance business in the Commonwealth of Pennsylvania and acceptable to University:

1) Commercial General Liability, including Contractual Liability and Completed Operations/Products Liability coverage, at the minimum limit of $1,000,000 per project/ per occurrence (depending on degree of risk, other limits may be appropriate) and $2,000,000 aggregate.

2) Automobile Liability for Participant-owned vehicles at $1,000,000 per occurrence (if Participant will be performing or overseeing Project activity on University property).

3) Workers' Compensation at statutory limits and Employer's Liability coverage at a minimum limit of $1,000,000 (if Participant will be performing or observing Project activity on University property). NOTE: Worker's Compensation may be waived if Participant submits a letter stating that their business is a sole proprietorship with the owner as the sole employee.
5) **Professional Liability** – Whenever work under this Agreement involves professional services excluded from the Participant’s Commercial General Liability Insurance and/or it is standard practice in Participant’s profession to do so (e.g., Architectural, Engineering, Medical, Internet/Information Technology, etc.), Participant shall carry Professional Liability Insurance and/or Technology Errors and Omissions Insurance to protect the Participant and University from any liability arising out of the professional obligations performed pursuant to the requirement of the Agreement. Participant shall evidence Professional Liability Insurance and/or Technology Errors and Omissions Insurance with a limit of not less than $1,000,000 per occurrence and $3,000,000 aggregate (retroactive date prior to work, and extended reporting period of 36 months.

6) **Pollution Liability** – The University may require this coverage whenever material or activity under this Agreement involves pollution risk to the environment. This coverage is to include sudden and gradual coverage for third-party liability including defense costs and completed operations.

7) All policies of insurance described above shall be on a primary basis non-contributory with any other insurance coverages and/or self-insurance carried by the University.

8) If the nature and/or scope of the Project justify it, University may require Participant to provide evidence of higher coverage limits. The procuring of insurance required under this article shall not relieve Participant of any obligation or liability assumed under this Agreement nor of any obligation or liability imposed by operation of law.

B. **PROOF OF INSURANCE**

If Participant is performing all or part of the work on University property, or if otherwise requested by University, Participant shall furnish University with proof of insurance, satisfactory to University in its sole discretion, prior to the commencement of the Project. Such proof shall be evidenced by duly authenticated certificates of insurance, delivered to University, which shall show the insurance type, amount, class of operations covered, effective dates, and dates of expiration of policies. Such certificates shall:

1) Name the University as an Additional Insured on all such policies except Workers’ Compensation and Professional Liability.

2) Contain the following statement or its substantial equivalent: "Should any of the above described policies be cancelled before the expiration date thereof, notice will be delivered in accordance with the policy provisions."

Self-insured Sponsors shall provide above proof of insurance directly, or through their claims payer. Failure of Participant to comply with insurance requirements, or to secure endorsements on insurance policies, or to provide proof of insurance shall not limit or relieve the Participant from any of its obligations under the Agreement, including Article 9 Insurance and Article 8.B. Indemnification.

**ARTICLE 10 – TERMINATION**

A. **EFFECTIVE DATE, TERMINATION**

Either party may terminate this Agreement upon thirty (30) days prior written notice to the other party.

B. **EVENT OF BREACH OR DEFAULT**

In the event that either party hereto shall commit any breach of or default in any of the terms or conditions of this Agreement, and also shall fail to remedy such default or breach within twenty (20)
days (except with respect to a default or breach relating to the insurance requirements of Article 9 hereof, for which the cure period shall be one (1) business day) after receipt of written notice thereof from the other party hereto, the party giving notice may, at its option and in addition to any other remedies which it may have at law or in equity, terminate this Agreement by sending notice of termination in writing to the other party to such effect, and such termination shall be effective as of the date of the receipt of such notice.

C. TERMINATION PRIOR TO COMPLETION

In the event of termination of this Agreement prior to the completion of the Agreement Term, whether for breach or for any other reason whatsoever, University shall be entitled to retain from the payments made by Participant prior to termination University’s reasonable costs of concluding work in progress on the Project. Allowable costs include, without limitation, all costs or non-cancellable commitments incurred prior to the receipt, or issuance, by University of the notice of termination. In the event of termination, University shall submit a final report of all costs incurred and all funds received under this Agreement within sixty (60) days after the effective termination date. The report shall be accompanied by a check in the amount of any excess of funds advanced over costs and allowable commitments incurred. In case of a deficit of funds, Participant shall pay University the amount needed to cover costs and allowable commitments incurred by University under this Agreement.

D. RIGHTS AND OBLIGATIONS SURVIVE TERMINATION

Termination of this Agreement by either party for any reason shall not affect the rights and obligations of the parties accrued prior to the effective date of termination of this Agreement. Furthermore, no termination or expiration of this Agreement, however effectuated, shall release the parties hereto from their respective rights and obligations under Articles 2, 4, 5, 8, 9, 10, 11, 12, 13, 14, and 18, which such Articles shall survive in their entirety any termination or expiration of this Agreement.

ARTICLE 11 – INDEPENDENT CONTRACTOR

In the conduct of the Project hereunder, University shall be deemed to be and shall be an independent contractor. Neither party is authorized or empowered to act as agent for the other for any purpose and shall not on behalf of the other enter into any contract, warranty or representation as to any matter. Neither party shall be bound by the acts or conduct of the other.

ARTICLE 12 – COMPLIANCE WITH LAWS AND POLICIES

Participant shall comply with all laws, regulations and other legal requirements applicable to Participant in connection with this Agreement, including but not limited to any legal requirements applicable to Participant’s use of the results of the Project or any reports or other materials and laws controlling the export of technical data, computer software, laboratory prototypes, and all other export controlled commodities.

In the event the Participant intends to disclose to the University technology or technical data subject to control under the Commerce Control List of the Export Administration Regulations, 15 CFR 730-774, or the U.S. Munitions List of the International Traffic in Arms Regulations, 22 CFR 120-130, (collectively, “export controls regulations”), the Participant will inform the University, in writing, prior to disclosing any such technology or data of its intention to do so and provide sufficient information (e.g., ECCNs, USML categories/articles) to allow the University to comply with any applicable export controls, provided the University agrees to accept any such technology or data.

ARTICLE 13 – GOVERNING LAW AND JURISDICTION
This Agreement shall be governed by and construed in accordance with the laws of the Commonwealth of Pennsylvania, without giving effect to conflict of law provisions. The parties hereby submit to the exclusive jurisdiction of the courts in Northampton County, Pennsylvania or the federal courts located within the Eastern District of Pennsylvania with respect to any and all disputes concerning the subject of this Agreement.

ARTICLE 14 – NOTICES

Notices hereunder shall be deemed made if given in writing and addressed to the party to receive such notice, invoice, or communication at the address given below, or such other address as may hereafter be designated by notice in writing:

If to Participant:
_________________________________________
_________________________________________
_________________________________________
_________________________________________

If to University:    Center for Advanced Materials and Nanotechnology
Attn: Administrative Manager
Lehigh University
Whitaker Laboratory
5 E. Packer Avenue
Bethlehem, PA 18015

With copies to:    Office of Research and Sponsored Programs
Attn:  Director
Lehigh University
526 Brodhead Avenue
Bethlehem, PA 18015

Office of the General Counsel
Lehigh University
27 Memorial Drive West
Bethlehem, PA  18015

ARTICLE 15 – FORCE MAJEURE

In the event that either party is unable, wholly or in part, to carry out its obligations under this Agreement by reason of acts of God or public enemy, wars, insurrections, civil disturbances, epidemics, labor disputes, failure of government approval, accidents, failure of utilities, material shortages, fires, storms, floods and any other causes, whether of the kind enumerated herein or otherwise, not within the control of the party unable to perform, then the obligations of this Agreement shall be suspended during the reasonable continuance of any inability so caused.

ARTICLE 16 – NONDISCRIMINATION

University and Member shall not discriminate against any person or group based on age, color, disability, gender identity or expression, genetic information, marital or familial status, national or ethnic origin, race, religion, sex, sexual orientation, or veteran status.

ARTICLE 17 – ASSIGNMENT
This Agreement shall not be assigned by either party without the prior written consent of the other party hereto. This Agreement shall be binding upon and inure to the benefit of the respective successors and permitted assigns of the parties.

ARTICLE 18 – AGREEMENT MODIFICATION

Any agreement to change the terms of this Agreement in any way shall be valid only if the change is made in writing and signed by a duly authorized representative of each party hereto.

ARTICLE 19 – PRIORITY

Notwithstanding anything to the contrary contained herein, in the event that the terms of this document conflict with the terms of any Research and Testing Scope of Work or Sponsored Research Agreement, as documented in writing by a duly authorized representative of the University, the terms of any such agreement will take precedence over any conflicting terms contained herein.

ARTICLE 20 – ENTIRE AGREEMENT

This Agreement and any appendices attached hereto constitute and expresses the entire agreement of the parties hereto with reference to the subject matter hereof, with all prior promises, undertakings, representations, agreements, understandings and arrangements relative thereto having been herein merged into this Agreement.

In the event a purchase order is issued by Member under this Agreement and such purchase order contains standardized terms and conditions, the terms and conditions of this Agreement shall supersede and replace all such purchase order standardized terms and conditions.

ARTICLE 21 – SIGNATURES AND COUNTERPARTS

This agreement may be executed in duplicate with each party signing one original and providing a fax or scanned copy to the other party. The parties further agree that the faxed or scanned signature shall be treated as if it were an original signature and neither party shall contest the validity of this agreement based on the use of faxed or scanned signatures.

IN WITNESS WHEREOF the parties have caused this Agreement to be executed, each by its duly authorized representative, to be effective as of the Effective Date defined herein.

PARTICIPANT: ................................................................. 

LEHIGH UNIVERSITY: ......................................................

By: ________________________________  
Title: ________________________________  
Date: ________________________________

By: ________________________________  
Title: ________________________________  
Date: ________________________________
Please enter all applicable information. If multiple projects, Appendices A1, A2..., etc. may be created

LEHIGH UNIVERSITY
CENTER FOR ADVANCED MATERIALS AND NANOTECHNOLOGY
INDUSTRY LIAISON PROGRAM

Company or Organization:

Technical Contact:

Title:

Billing Contact Name:

Address Line 1:

Address Line 2:

Telephone:  
Fax

E-Mail:

LEHIGH UNIVERSITY CONTACTS
Address: CAMN
Lehigh University
5 E. Packer Ave
Bethlehem, PA 18015

Name: Gene Lucadamo
Title: Industrial Liaison Officer
Telephone: 610-758-4855
E-Mail: eal7@lehigh.edu

Name: Katrina Kraft
Title: Administrative Manager
Telephone: 610-758-3863
E-Mail: kmk207@lehigh.edu

Other:

Title:

Telephone:

E-Mail:
APPENDIX A - Page 2 of 2

A. CONTRACT NUMBER (to be entered by CAMN): CAMN - _______________

B. CONTRACT EFFECTIVE / EXPIRATION DATES: ________________ to ________________

C. FULL MEMBER ($10,000/yr) ___ PARTIAL MEMBER ($3,000/yr) ___
   Non-Member ___ Academic ___ Government ___

D. CONFIDENTIALITY AGREEMENT REQUIRED? YES ___ NO ___
   EXECUTED? YES ___ NO ___
   MUTUAL ___ ONE-WAY ___

E. BRIEF DESCRIPTION OF WORK AND OBJECTIVES (to identify Project for record purposes only)
   __________________________________________________________________________
   __________________________________________________________________________
   __________________________________________________________________________

F. MAIN EQUIPMENT, INSTRUMENTS, OR FACILITIES REQUIRED (IF KNOWN)
   __________________________________________________________________________
   __________________________________________________________________________

G. WORK WILL BE PERFORMED BY: UNIVERSITY ___ PARTICIPANT ___ BOTH ___
   Name of Student (for payroll purposes - student must be vetted by K. Kraft prior to the start of work) and Participant Equipment Users:
   __________________________________________________________________________
   __________________________________________________________________________

H. COST ESTIMATE OR SPENDING LIMITS, IF ANY
   __________________________________________________________________________
   __________________________________________________________________________

I. REPORTING OR MEETING REQUIREMENTS, IF ANY
   __________________________________________________________________________
   __________________________________________________________________________

J. OTHER COMMENTS OR INSTRUCTIONS
   __________________________________________________________________________
   __________________________________________________________________________

K. CAN PROJECT ACTIVITIES EXPOSE PERSONNEL TO THE FOLLOWING?
   Radioactive material? ___ No ___ Yes ________________
   Infectious agents, including human blood or tissue? ___ No ___ Yes ________________
   Human carcinogens? ___ No ___ Yes ________________
   In-vitro formation of recombinant DNA? ___ No ___ Yes ________________
   Other potentially hazardous materials? ___ No ___ Yes ________________