

# Photosensitivity of Optical Materials for Photonics and Integrated Optics

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**Functional Glasses:  
Properties and Applications for Energy and Information**

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# Learning To Love the Materials that Make Glass – SAND in Sergipe

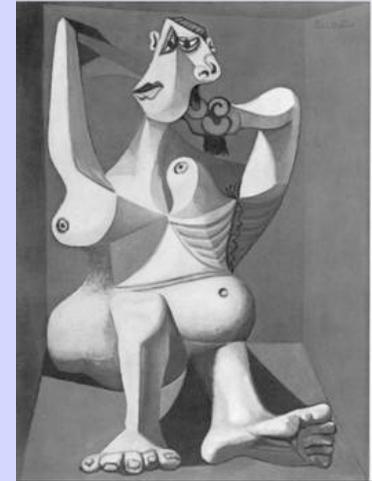


# Outline of Talk

- Introduction to Photosensitivity:
  - Historical Perspective:
    - Art, Photography, Photosensitivity
  - Photo Refractive materials
    - Charge generation in Electro Optic Crystals : change in refractive index
    - Grating Formation
- Photosensitive Materials:
  - Defects in glass
    - Two Photon and Single photon excited charge generation: Ge doped Silica
    - Holographic Glass: Silver doped glass, Photothermal Refractive Glass
  - Photoresist, Polymers: Dichromated gelatin (DCG: 1830s), photochromics, Photopolymerisable resins (PR)
  - Chalcogenides: phase transitions
- Femtosecond pulsed laser recording vs CW
  - Imaging of moving biological samples
- Laser Cooling with Quantum Dots in Glass
- Future Prospects

# Introduction

- Our fascination with *Capturing the Image*:  
Since time began.....painting was an inexact art.....has had its advantages!
- Photosensitivity:  
Paintings fade when exposed to light: well known  
Paper colours when exposed to light..c.f. Kodak  
Museum.



Nude lady combing her hair, Picasso, 1940

- The **REVERSE** process: *Photo-Darkening*  
Daguerre-type photographic process captures images permanently on glass coated with silver-halide emulsion : 1820
- Changing absorption into refractive index change by bleaching:  
Lippmann: 1894..... **More later.....**
- However,.....**All materials** change properties when exposed to electro-magnetic radiation of some frequency!
- High Energy FEMTOSECOND LASER INTERACTION!

Louis-Jacques Mande Daguerre: Born Cormeilles,  
Normandie region, 1787:

DATA STORAGE: Boulevard du Temple, 1838

Basic Material is Silver Nitrate, **fixed** as Silver Oxide  
with an **associated Refractive Index change**



# Another Material From Sicily can also Change Your Refractive Index

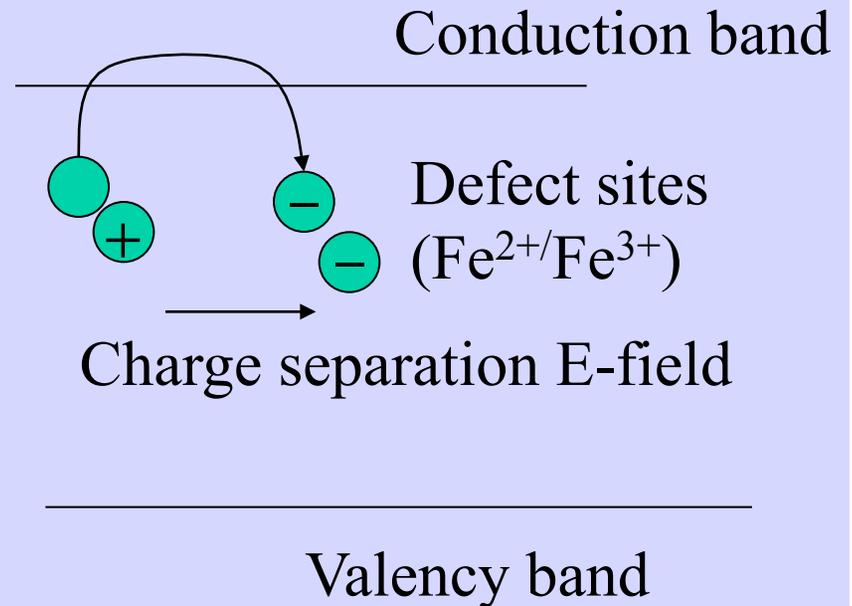


# Photorefractivity

- Photoexcitation of low lying defects in Electro-Optic crystals

- Charge migration:

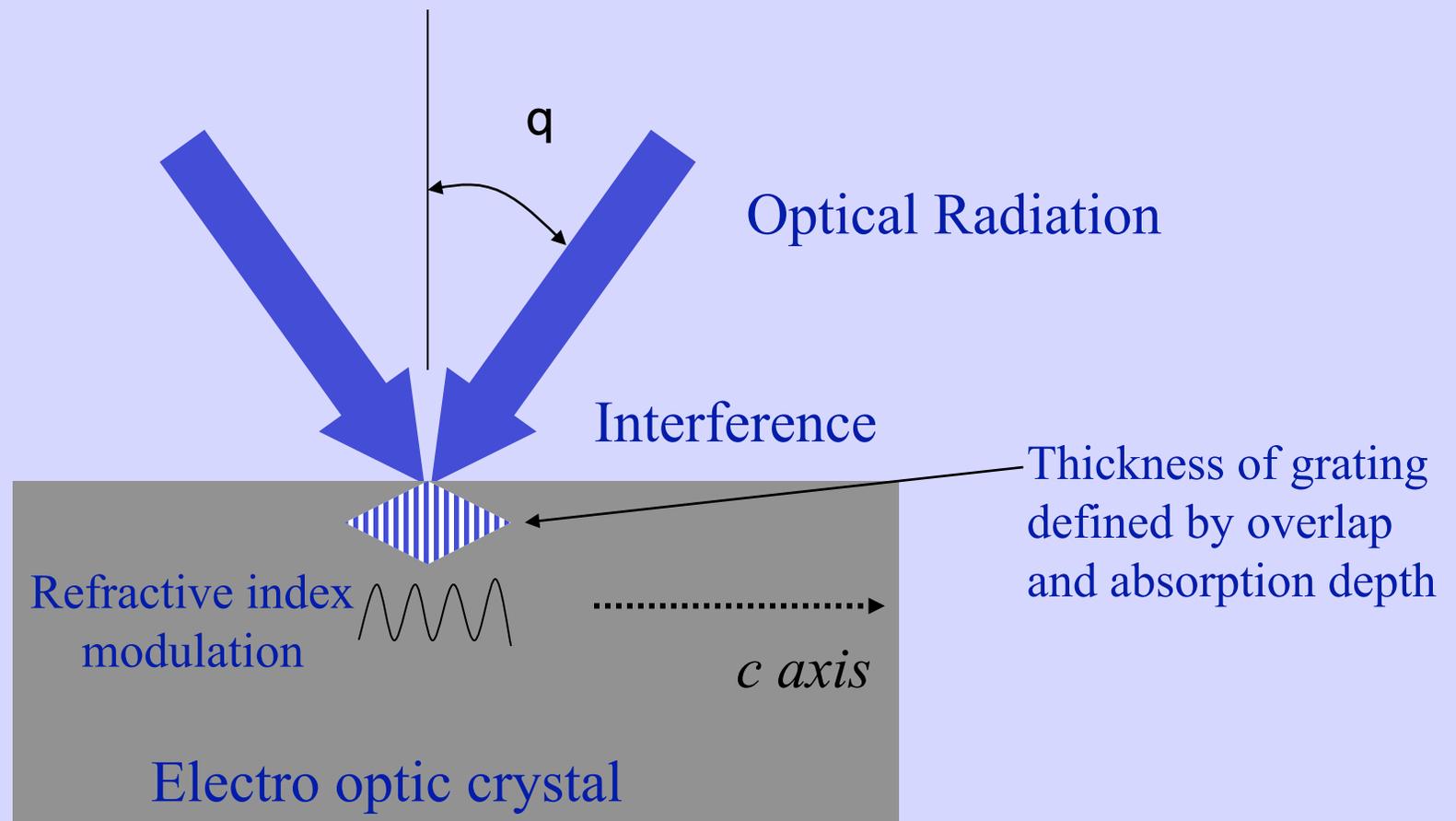
- Under external field (enhanced effects)
- Without external field (weak effect)



- Elevated temperature allows ionic diffusion to neutralise charges

- Room temp diffusion of ions is slow.
- Electrons remain in deep traps  quasi permanent E-field.

# Process of phase grating formation



- **Development of internal field through charge separation**

# Energy requirements for 1% diffraction efficiency: EO photorefractives

| Material  | 1% eff<br>mJ/cm <sup>2</sup> | Dark<br>Storage<br>time (yr) | Wavelength (nm)               | Ext. E-field (kV/<br>cm) |
|---|------------------------------|------------------------------|-------------------------------|--------------------------|
| LiTaO <sub>3</sub> :Fe  | <b>11</b>                    | <b>10</b>                    | 351                           | 15                       |
| LiNbO <sub>3</sub> :Fe  | 200<br>300                   | 1<br>0.1                     | 351<br>488                    | 15<br>0                  |
| Sr <sub>0.75</sub> Ba <sub>1.25</sub><br>Nb <sub>2</sub> O <sub>6</sub> :Ce | 1.5                          | 0.1                          | 488                           | 0                        |
| Bi <sub>12</sub> SiO <sub>20</sub>  | 0.3                          | 0.003                        | 514                           | 6                        |
| KTa <sub>0.65</sub> Nb <sub>0.3</sub><br>O <sub>3</sub>                     | 0.05                         | 0.001                        | 530<br>2 photon<br>absorption | 6                        |

Review by: A M Glass, Opt. Eng. 17, 470-9, 1978

# Glass Photosensitivity

# Photosensitivity

- Definition:
  - *the change in the optical transmission properties of a material on the exposure to light*
  - *Transient or Permanent*
- *Indirect process*  
Charge trapping, self electro-optic induced refractive index change: *Photorefractive, photothermal*
- *Direct process*  
Creation of defects and free charges, breakage of molecular bonds, stress alteration  
> changes in absorption (*photochromic*) and refractive index

**•PHOTOSENSITIVITY IS A TOOL FOR CREATING DEVICES**

# Photosensitive Inorganic glasses:

- Photo bleaching Glasses:
- Historical inorganic glasses for phase holograms:
  - Bleaching of color centers by UV radiation: 2 and single photon. 3mm thick, diffraction efficiencies: 1%, up to 6000L/mm\*
  - Borosilicate glass (BK-7) also low refractivity (stress/differential etching)
  - Porous silica impregnated photo-polymer† – residual photosensitivity and high scattering (Sol-Gel)
  - **Ge doped silica fibre: bleaching and stress relief**
  - **Excellent stability and low loss: *Something to Bragg about!***
    - Bulk gratings difficult
    - Hydrogen loading and formation of GeH, GeOH....

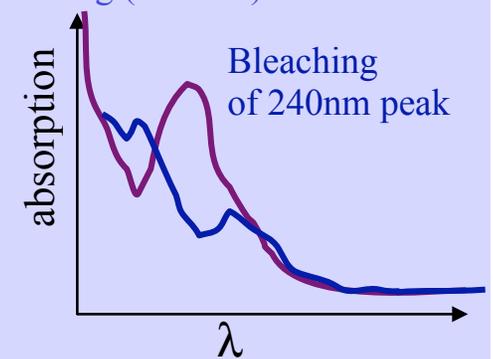
## • Photothermal Refractive (PTR) Glass:

Li-Al-SiO<sub>2</sub>:Ce, Na-Zn-Al-SiO<sub>2</sub>:Ce

### ➤ Process...aka...Daguerre

#### ➤ UV exposure and & heat treatment\*\*

#### ➤ Greater than 95% reflection gratings: 10,000L/mm



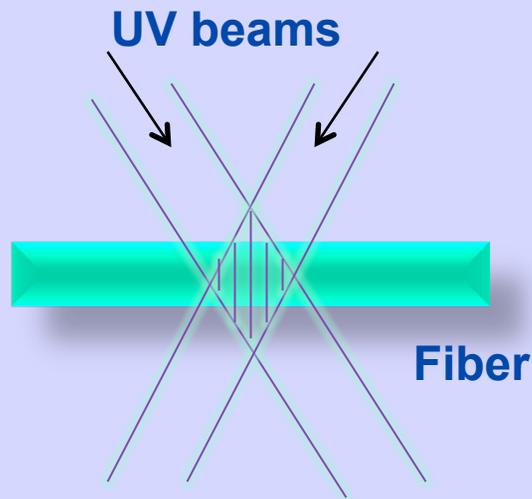
\*Kondrashov E B & Tuninamova I V, 'The holographic characteristic curves of photochromic glasses, Sov. J. of Technol., 39, 482-485, 1972.

†Cheben P and Calvo M, 'APL78, 1490-1492, 2001

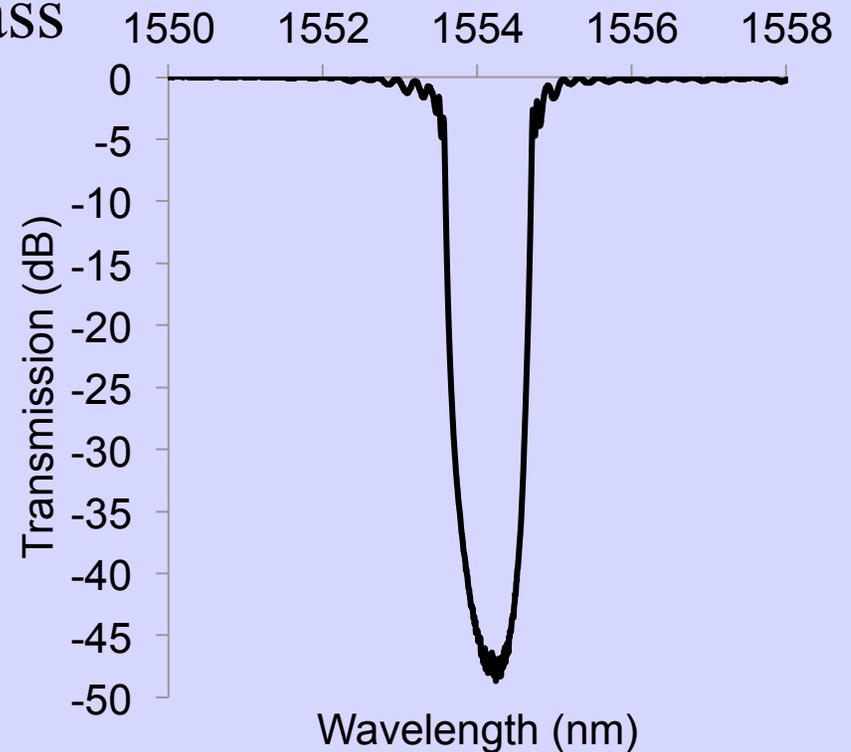
\*\*Borgman V A *et al.*, 'Photothermal refractive effect in silicate glasses', Sov. Phy. Dokl., 35, 878 (1990) & Glebov *et al.*, SPIE 4724 (2002), pp101-109

# Fibre Bragg Gratings Basics

- ▶ Most glass is photosensitive to UV laser light
- ▶ A diffractive interference pattern can be printed in glass using phase mask



Transmission Spectrum



# FBG basics

- Fiber Bragg grating: periodic modulation of the index in the core of an optical fiber that allows a Bragg wavelength to be reflected.

Bragg wavelength:

$$\lambda_B = 2n_e \Lambda$$

Reflection:

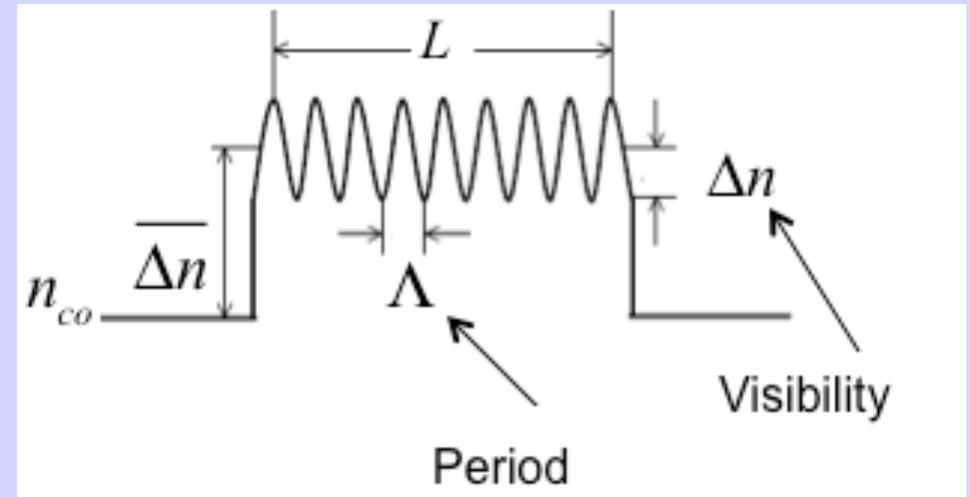
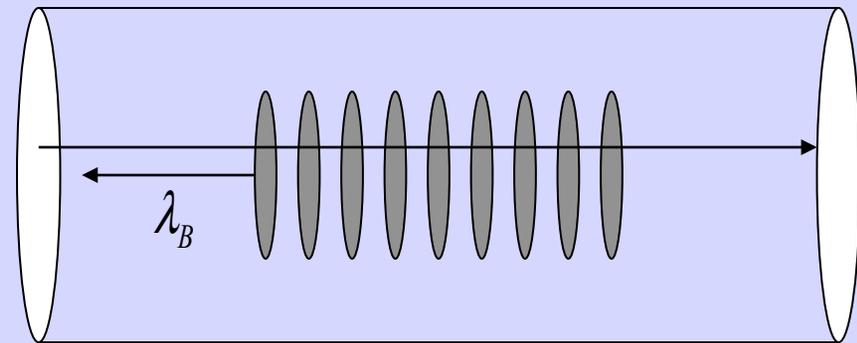
$$R = \tanh^2(k_{ac}L)$$

Bandwidth:

$$2\Delta\lambda = \frac{\lambda^2}{\pi n_e L} \sqrt{(\kappa_{ac} L)^2 + \pi^2}$$

Length

Coupling constant



Raman Kashyap, Fiber Bragg Gratings, Academic Press, 2009

# Phase mask technique

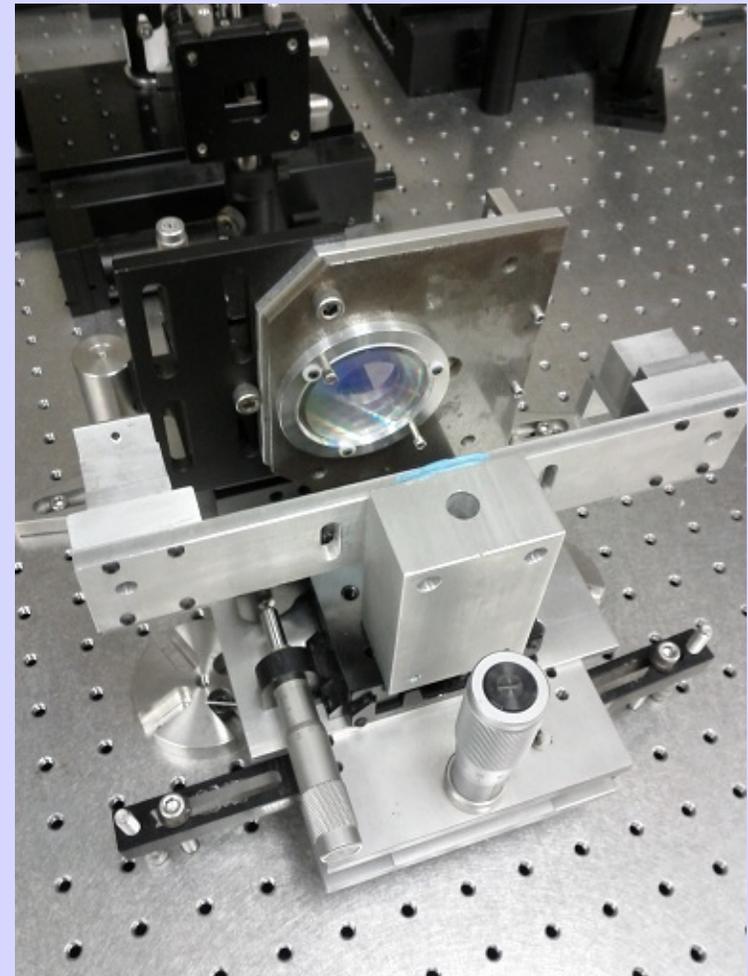


Diffractive phase mask

- Grating period fixed by phase mask

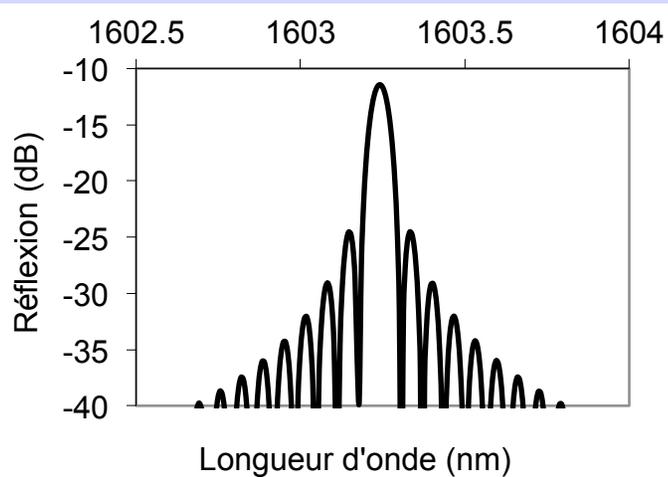
$$\Lambda_g = \frac{N\lambda_{Bragg}}{2n_e} = \frac{\Lambda_{pm}}{2}$$

- Very simple to align
- Length is limited by phase mask (10cm)

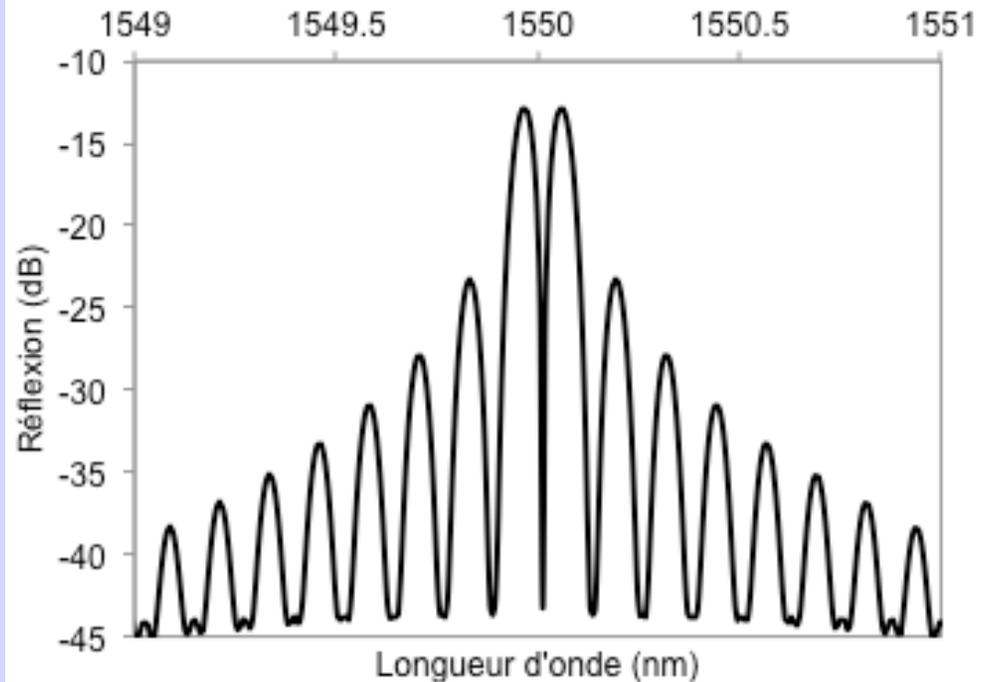


# Reflection Spectra: FBG types

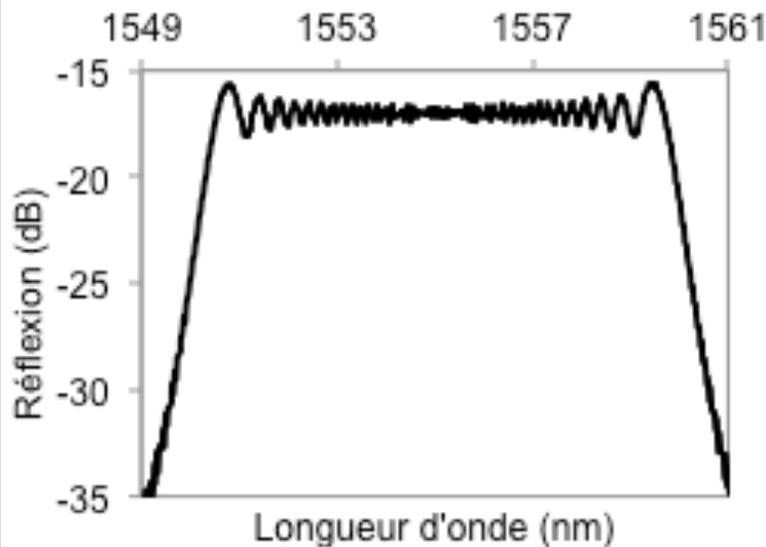
Uniform



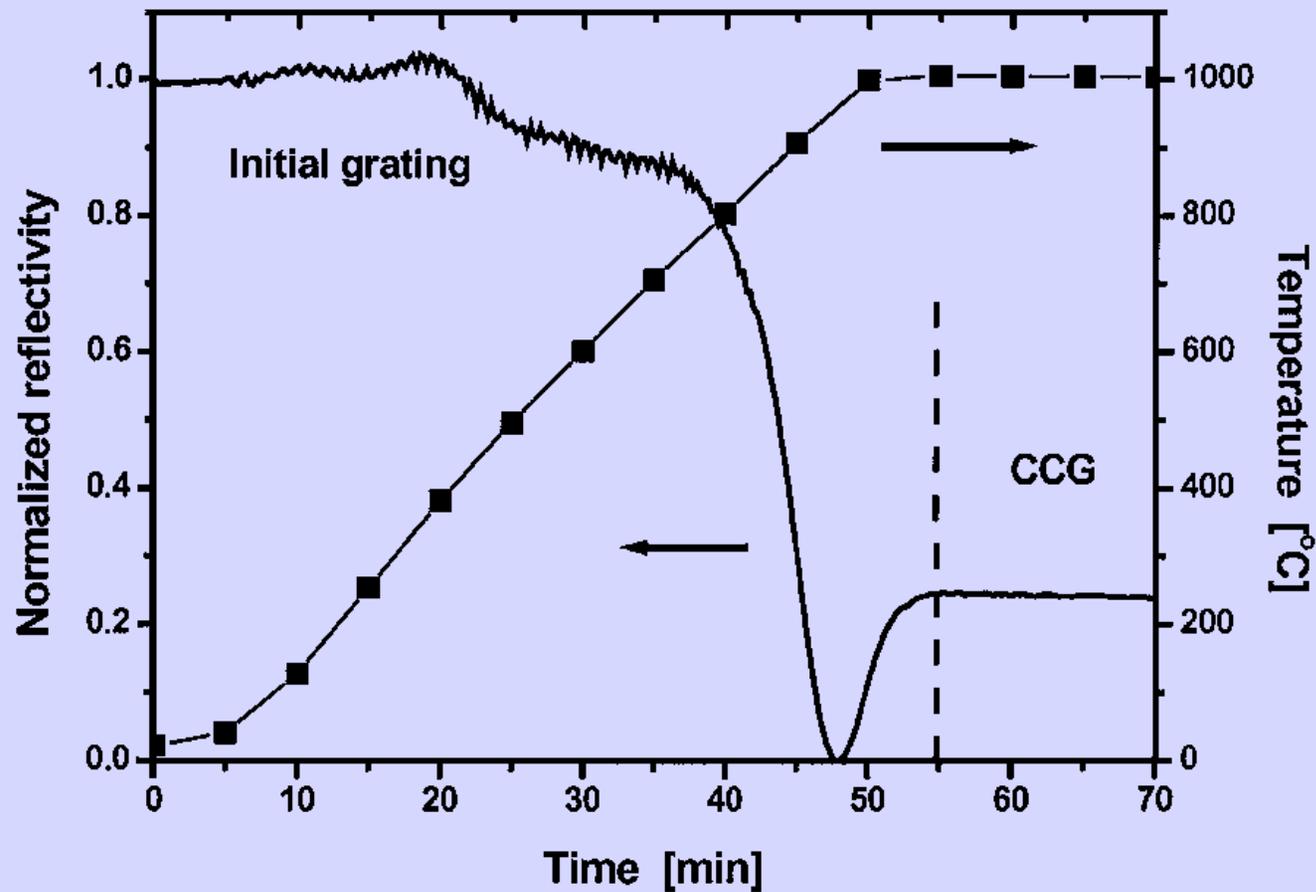
Phase shifted (DFB)



Chirped

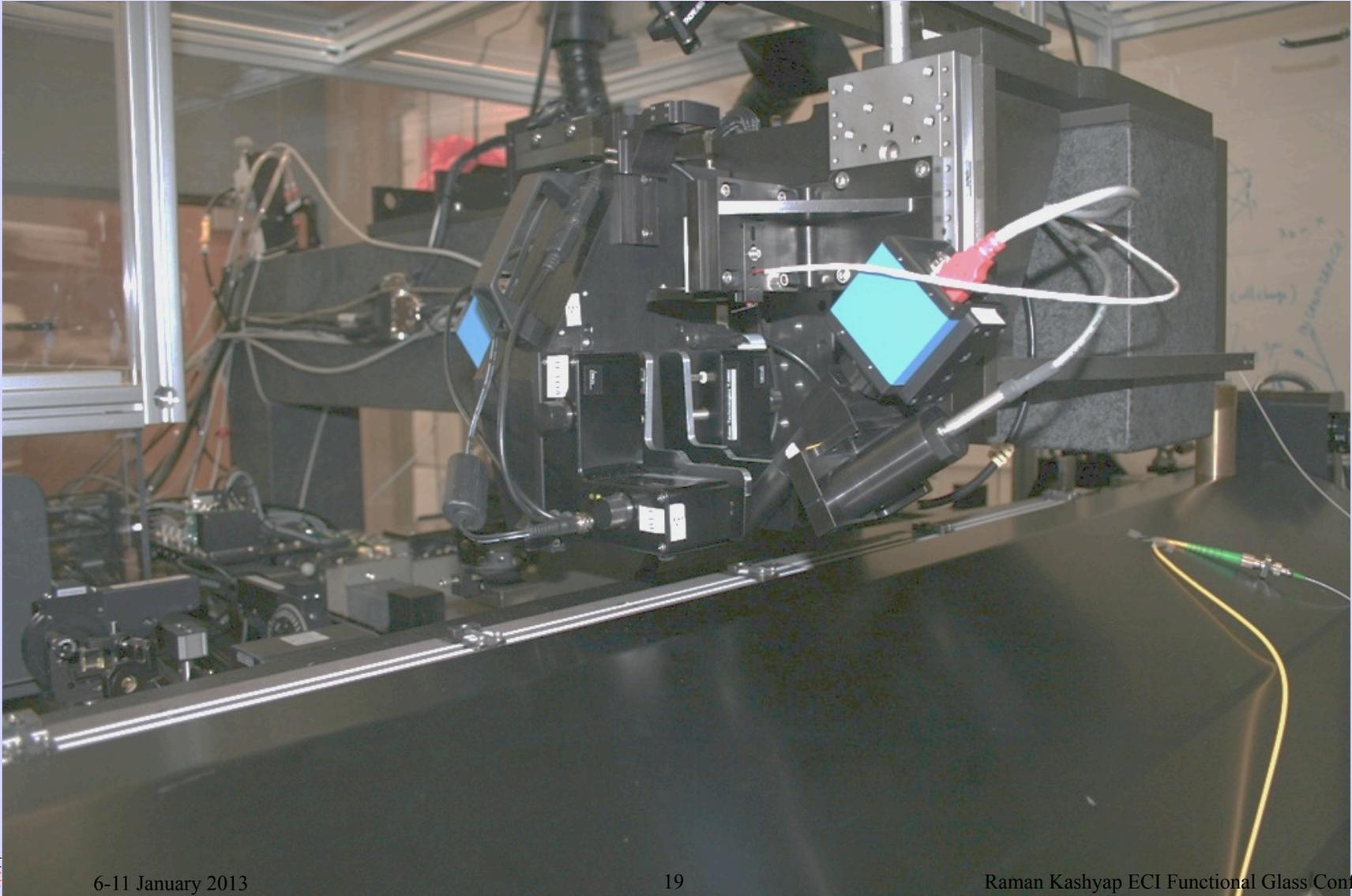


# High Temperature Chemical Composition Gratings in F:Ge: Silica

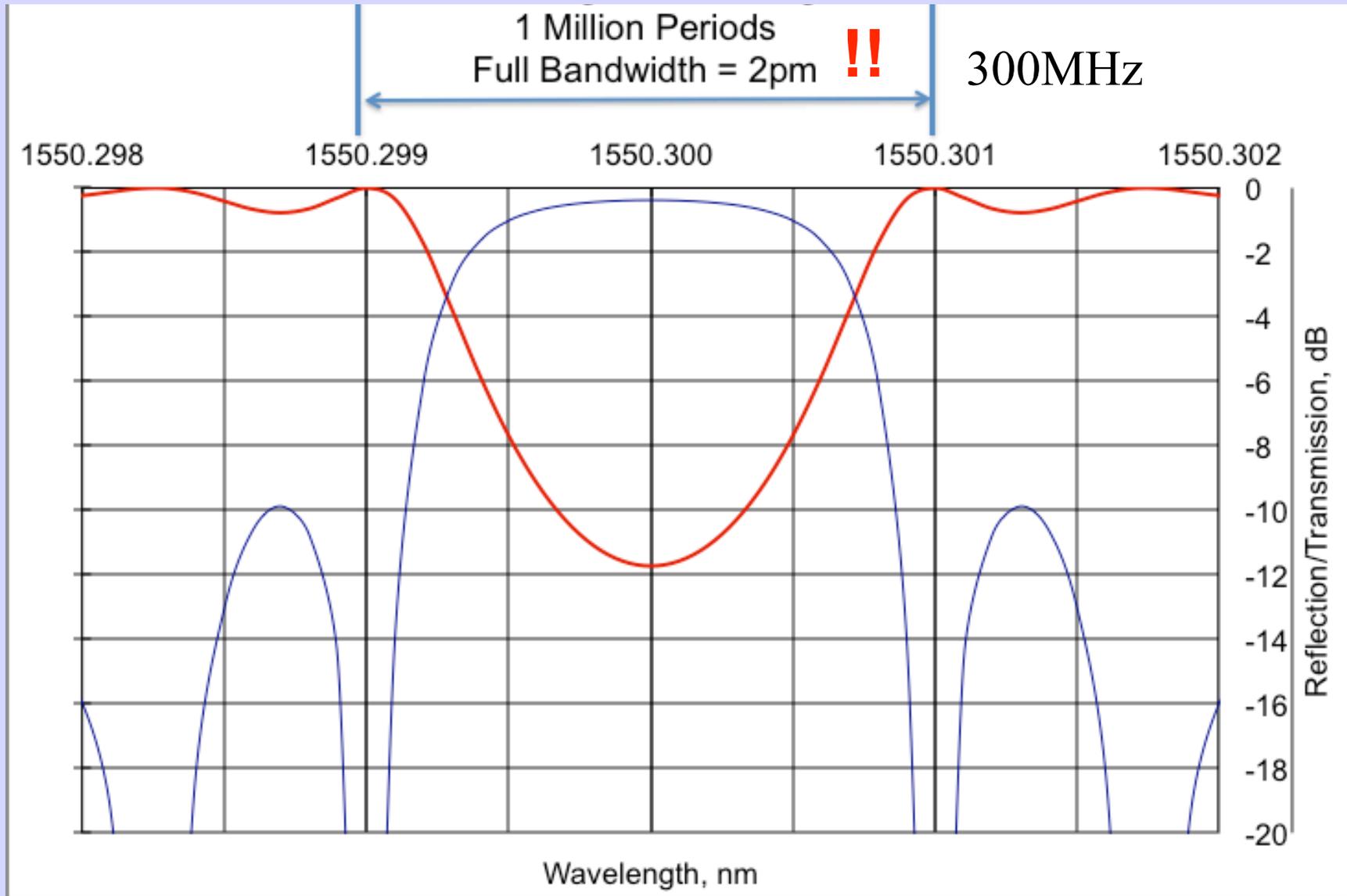


Michael Fokine, "Formation of thermally stable chemical composition gratings in optical fibers," J. Opt. Soc. Am. B 19(8), 1759-1765, August 2002.

# 1 m long FBG Writing Station



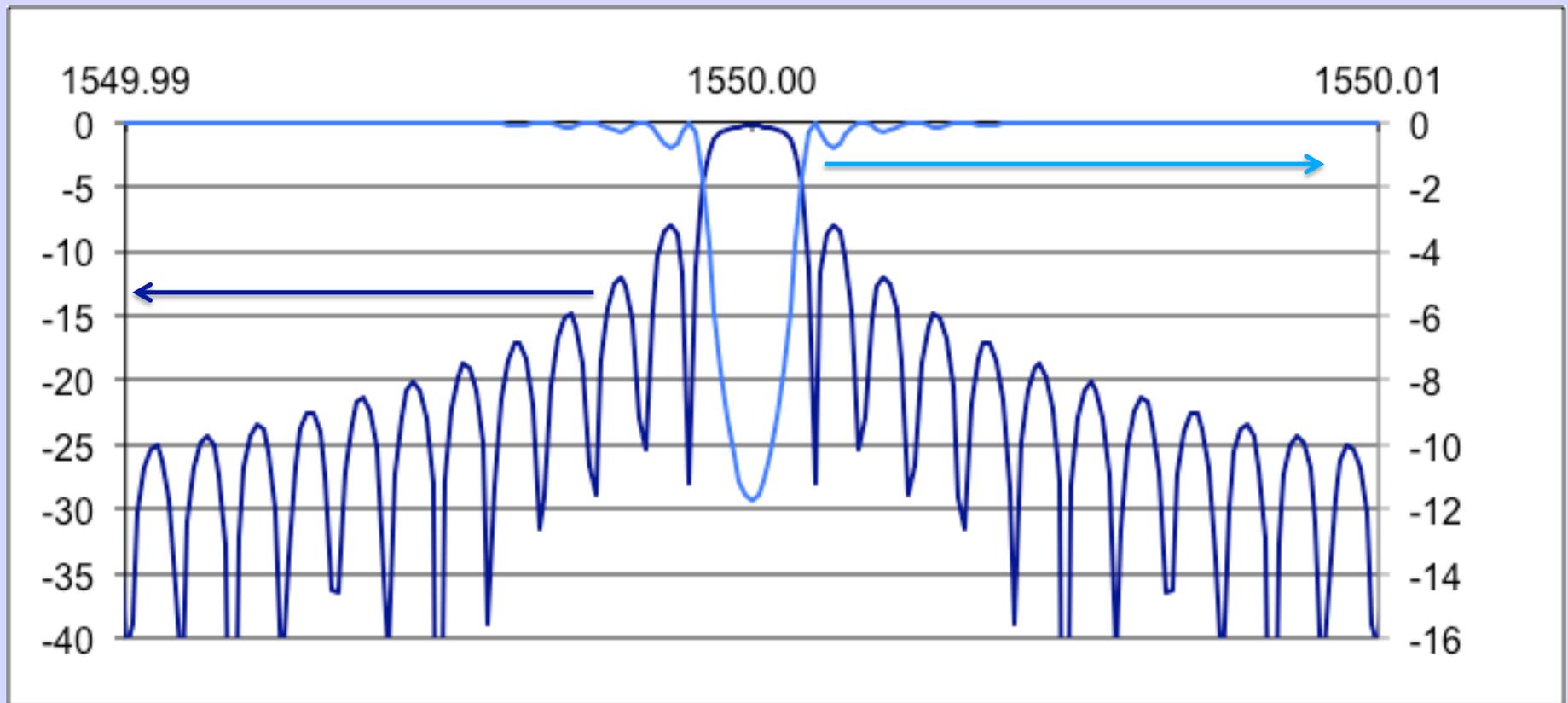
# 1 Meter Long FBG: Simulation



# Impact of non-uniformities

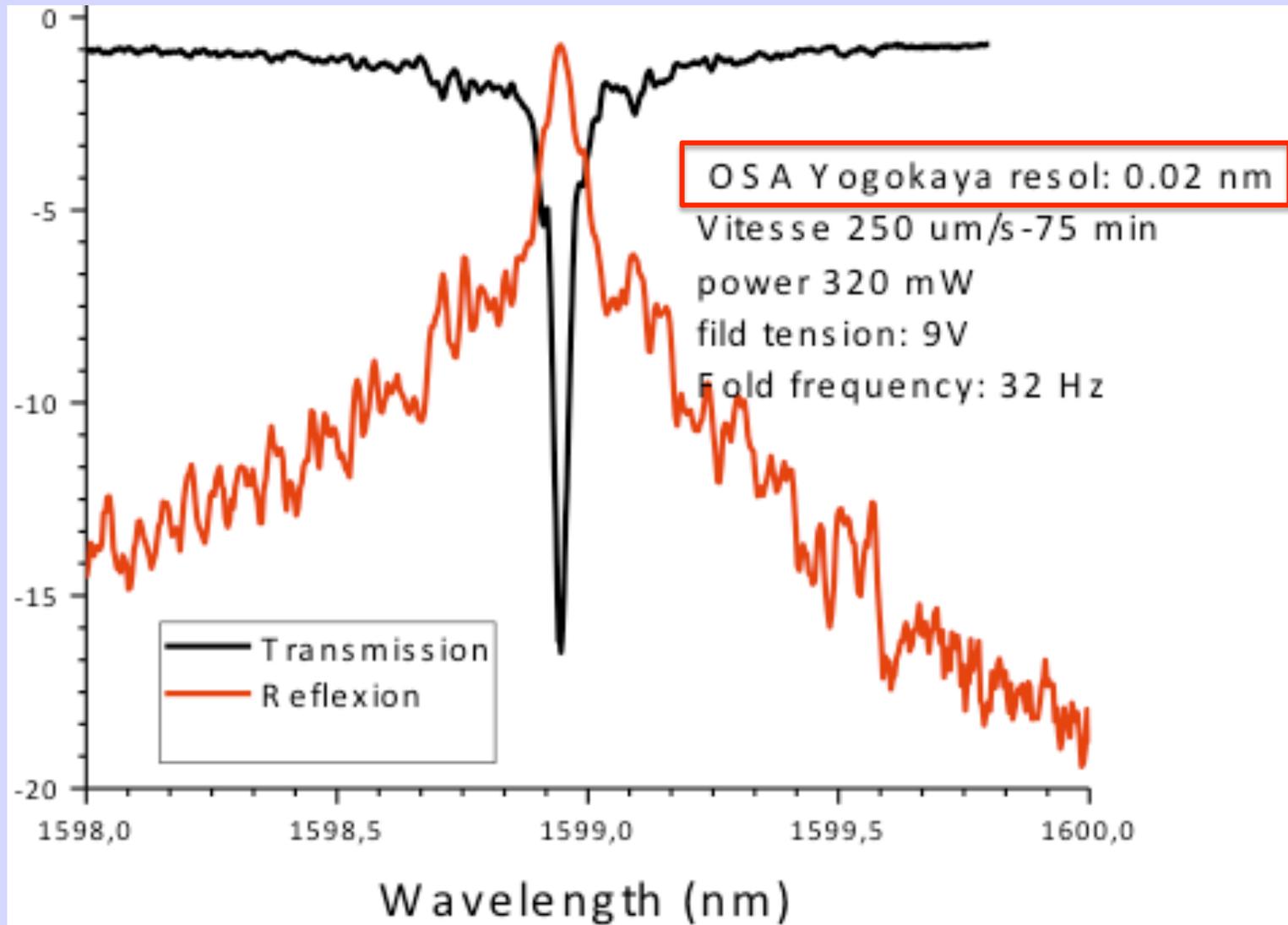
- Can we achieve Perfect Device characteristics?
- What is an acceptable random variation?
- How uniform does a material have to be?

# Reflection & Transmission of 1M periods: $dn = 10^{-6}$



Wavelength

# 1m Long FBG (Bandwidth < 80pm)



# Summary on Uniformity

- A refractive index modulation of only  $10^{-6}$  is needed for meter long gratings....
- However
  - $10^{-6}$  random change in refractive index visibly affects performance
  - 0.1% variation in the core diameter is equivalent to a change of  $\sim 1.5 \times 10^{-6}$  in refractive index!
  - SO ???????

# Photosensitivity & Materials Requirements

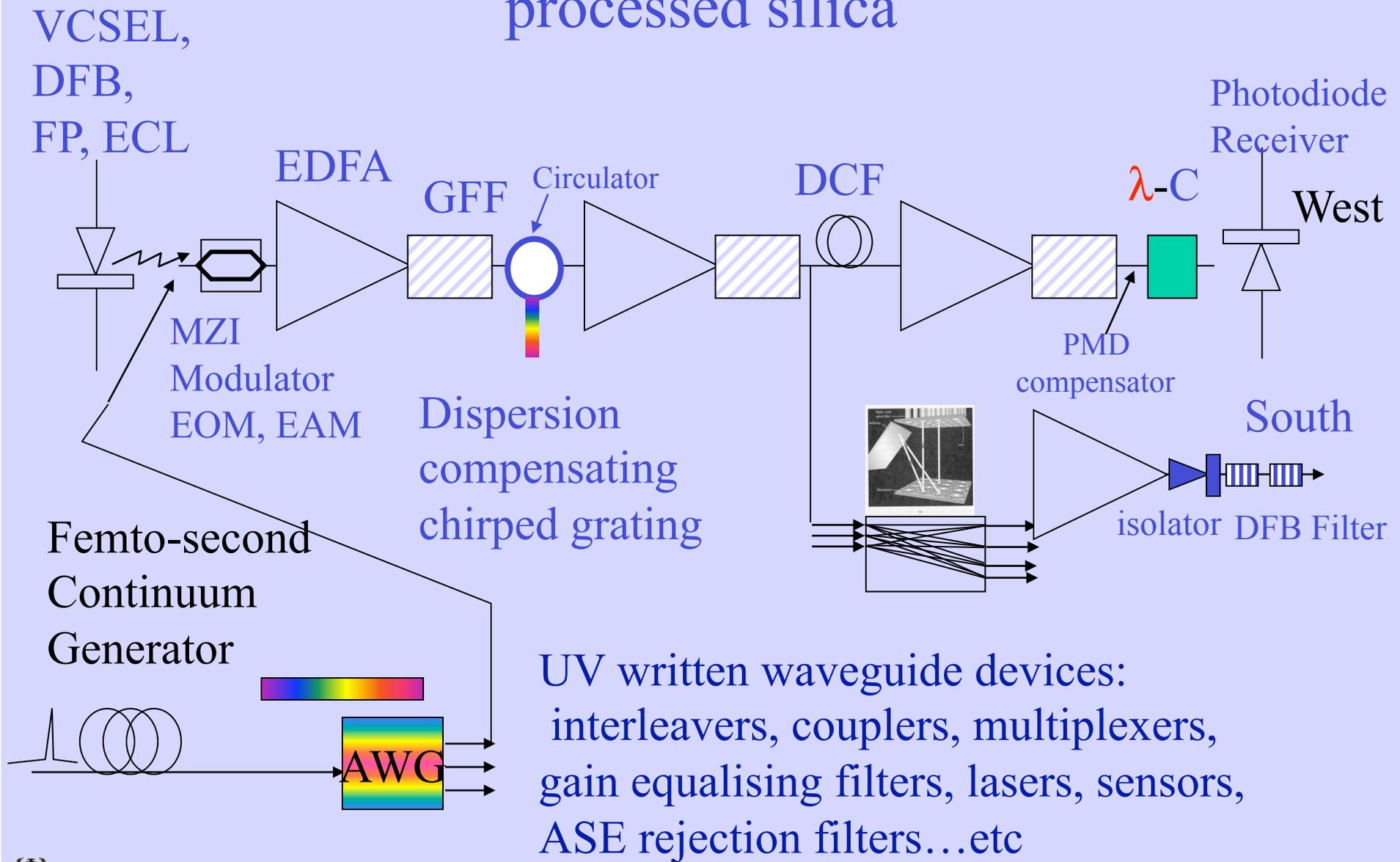


6-11 January 2013

Raman Kashyap ECI Functional Glass Conference



# Photonics in Action: Applications of UV processed silica



UV written waveguide devices:  
interleavers, couplers, multiplexers,  
gain equalising filters, lasers, sensors,  
ASE rejection filters...etc

# Photosensitive Material Issues For Photonics Applications

- High Transparency in region of interest
- Low Scatter loss
- **Zero** Absorption loss
- Resistant to Bleaching
- **Large** controllable refractive index change
- High Optical Damage Threshold
- Low Dispersion
- High Stability with temperature and time
- Good Reliability & Lifetime (10-20 years)
- Durability
- Process **Repeatability** and **Adjustability**
- Low Reversability
- Ease of Handling
- Long Storage & large operating temperature range

# Photosensitivity: Challenging Device Issues

- Uniform characteristics
- Guided wave devices with CONSTANT properties:  
Ultra-low variation in dimensions ~ **few nm over meter lengths...0.1% .... ~ 5nm in 5 micron!**
- Low variation in refractive index with distance:  
 **$< 10^{-7}$  over meter lengths: ~0.01% in waveguide refractive index difference**
- Increase Change in Refractive Index to 0.1

# Some words about Ge:Silica

- Fascinating material!  
If you thought you knew everything about silica....wait|  
**It has ALL the properties one would lust after!**

## Makes:

- Near perfect waveguides.....but we are at the limit
- Dope with all sorts of materials: lasing
- Make complex near-perfect grating filters optically in waveguide core
- Infinitely adaptable for device fabrication
- Stable  $>500^{\circ}\text{C}$
- High Quality and.....well.....er.....understood?

So.....why do we need other materials?

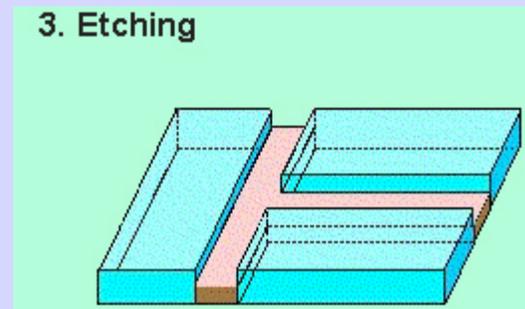
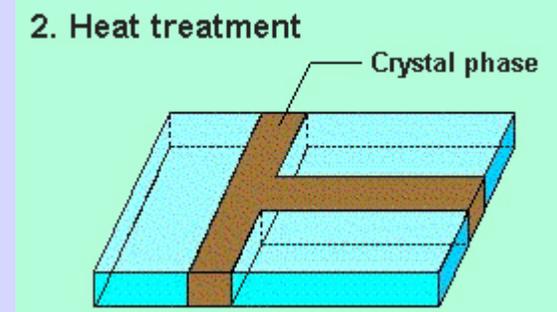
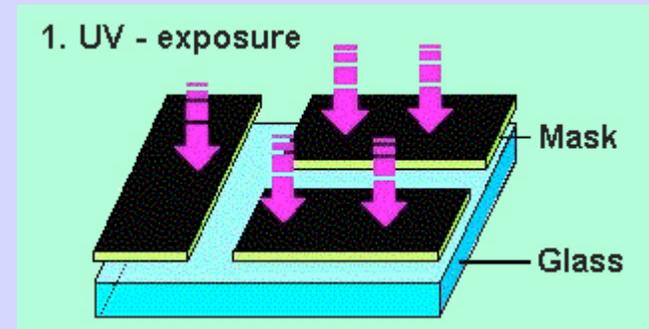
Lets consider some other materials & applications.....

# Photosensitive Glass: Foturan® Schott: Silver loaded silicate

1. UV radiation between 290-330nm  
@ 2 Joule/cm<sup>2</sup>

2. Silver atoms formed in illuminated regions. Heat treatment between 500° and 600°C crystallizes glass around silver atoms.

3. Room temperature etch in 10% HF:  
➤ Etching rate of crystalline 20 x higher than vitreous regions.  
High aspect ratios: **25 micron** feature size with micron size roughness.



Main application: Masking and feature formation: NEW APPLICATIONS??

# Foturan Glass

- Excellent processability
- Excellent aspect ratios
- Durable
- Transparent
  
- But not acceptable as Optical glass....loss due to scattering
  
- Is there anything else.....????

# PhotoThermal Refractive (PTR) Glasses

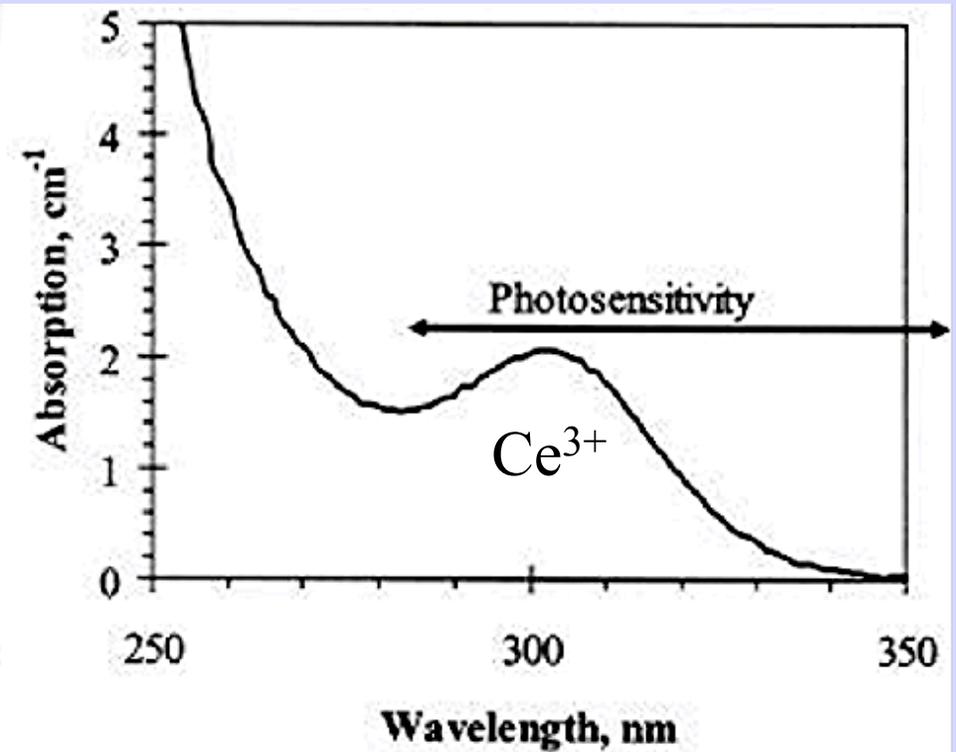
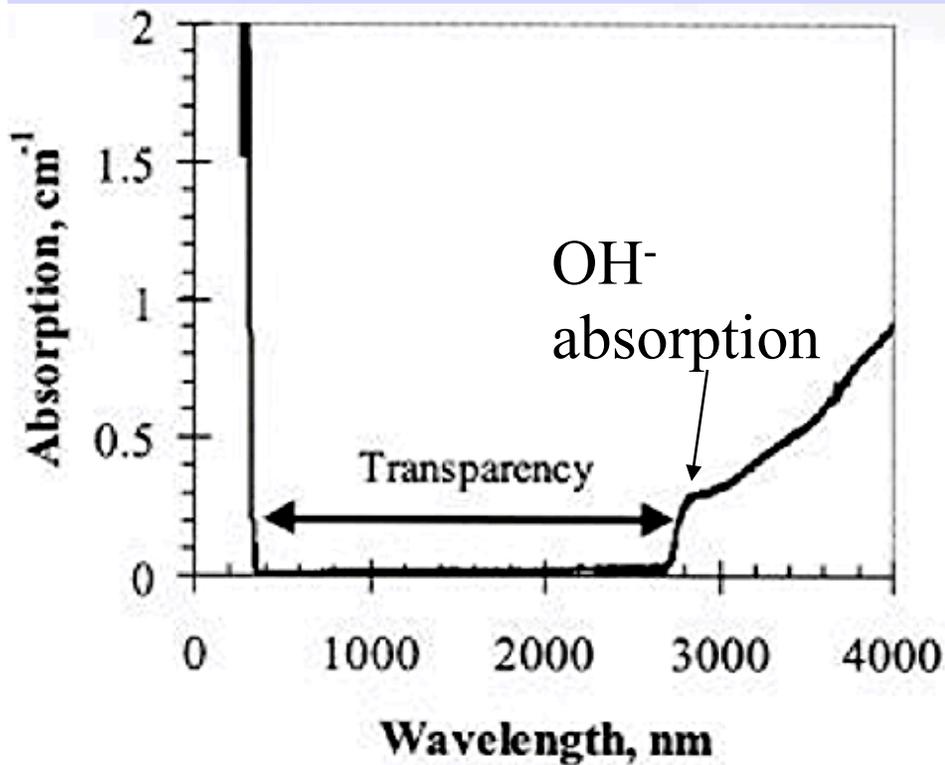
- Probably most promising material
- Properties:
- Inorganic glass
  - Stable to greater than 400° C
  - Index change 0.001 ....on low end of scale
  - Low loss
  - Bulk processing
  - High optical damage resistance
  - Volume phase holograms
  - Commercial applications in lasers and beam combiners

# Photo-Thermal Refractive Process

- Na-Zn-Al-SiO<sub>2</sub>: Ag, Ce, F
  - Precipitation of dielectric micro-crystals in the bulk of glass exposed to UV radiation.
  - Electron released by
$$\text{Ce}^{3+} = \text{Ce}^{4+} + e^{-}$$
is trapped at nearby Ag ion → neutral atom (latent image)
    - But NO significant change in refractive index or coloration....
- UNTIL HEATING:
  - 3 Hrs at 450-550°C → diffusion of Ag atoms to form tiny crystals → nucleation site for NaF crystal growth
  - dn

From: Glebov L B, *Glastech. Ber. Glass Sci. Technol.* 71C, 85-90, 1998

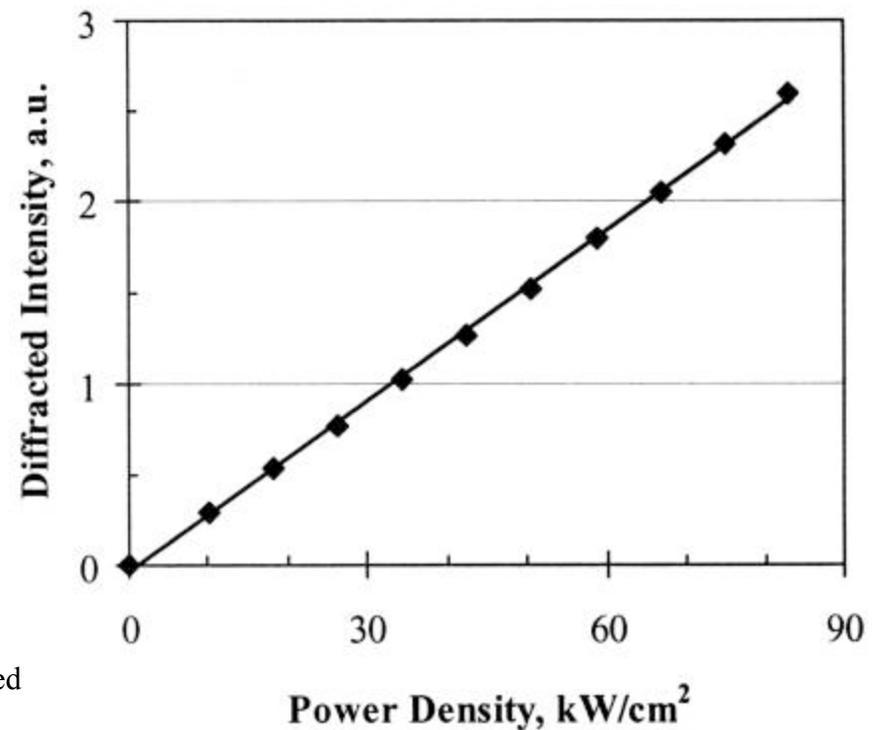
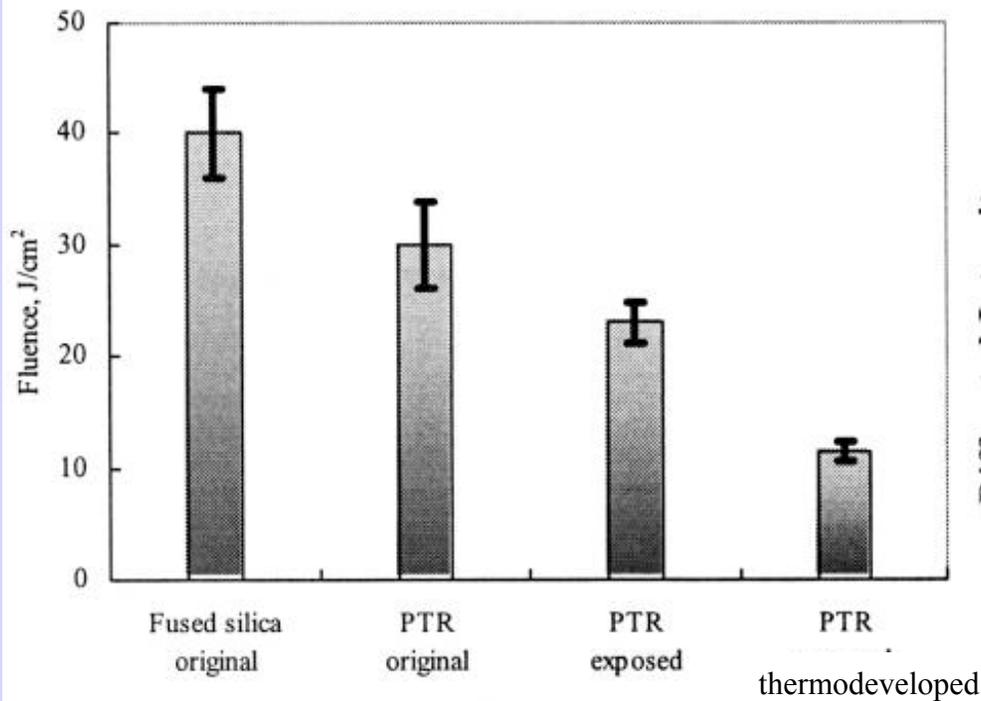
# Transparency and Photosensitivity windows in PTR Glass



## Absorption in Unexposed PTR Glass

From: Glebov L B *et al.*, SPIE 4724, pp101-109

# Optical damage and diffraction in PTR glass

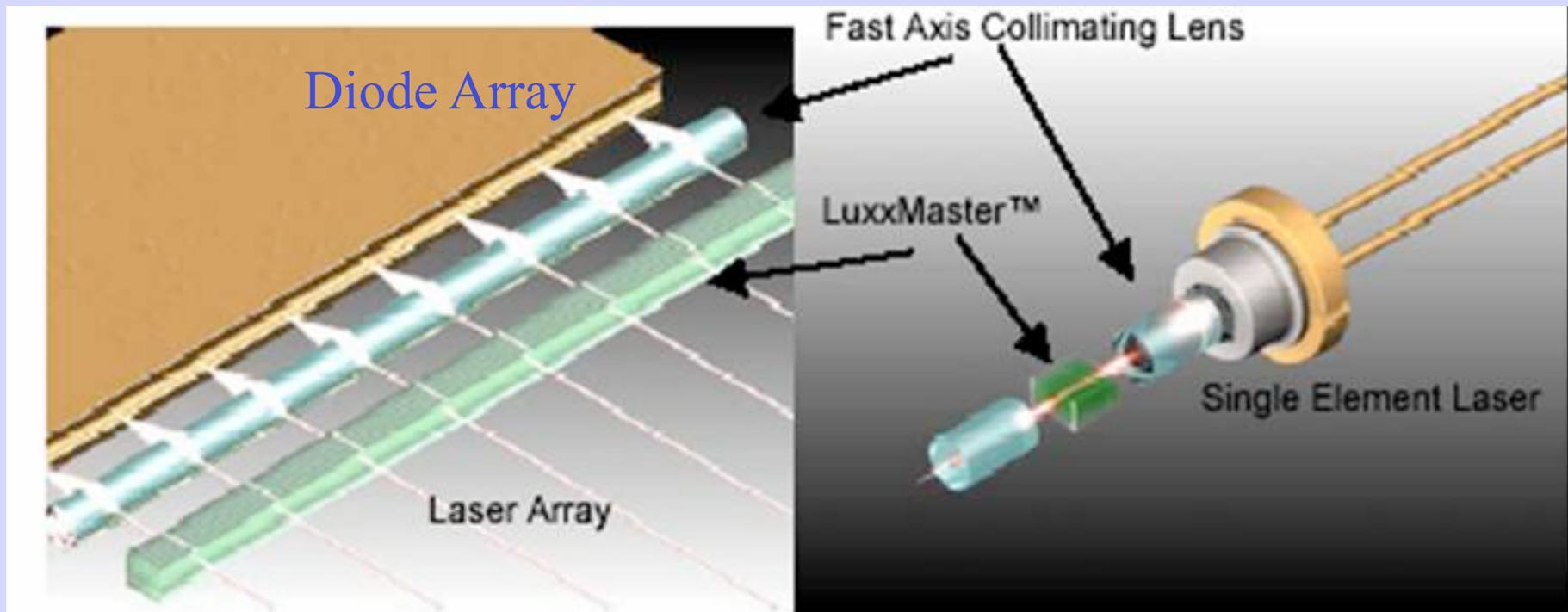


From: Glebov L B *et al.*, SPIE 4724, pp101-109

# Summary: Processed PTR Glass

- Transparent between 350 – 2700nm  
(contamination: OH<sup>-</sup> group)
- Extra loss in UV due to mixtures of Ce & Ag
- Fluoride crystals are transparent but scatter light :
  - Additional absorption  $< 0.3\text{cm}^{-1}$  in blue region
  - $< 0.03\text{ cm}^{-1}$  in red region
- Index change  $\sim 10^{-3}$
- Ideal for PLANE WAVES

# Photosensitive glass: Application



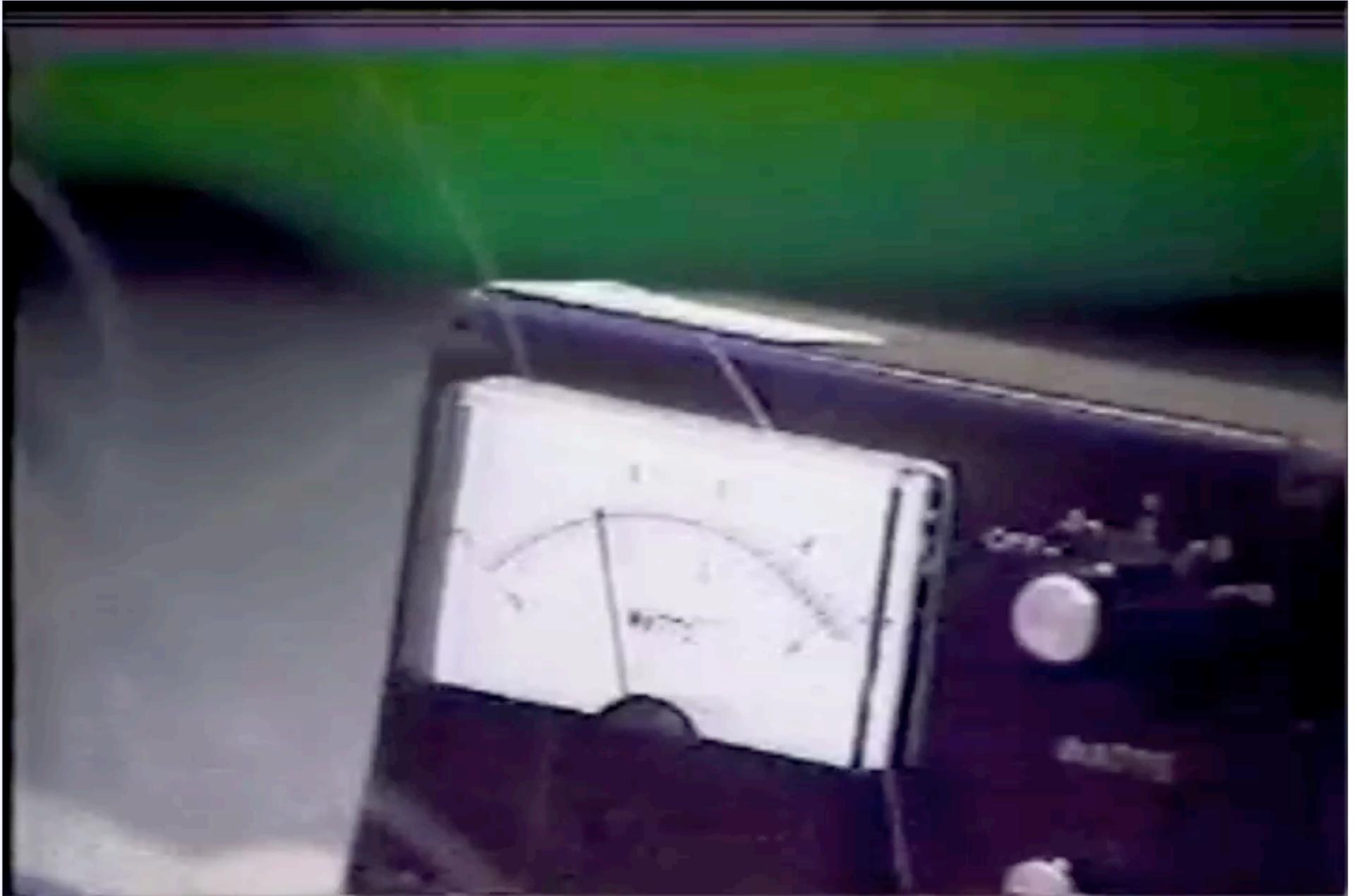
- Laser mirror for locking semiconductor diode arrays

FROM. PDL website

# Waveguide Fabrication by Direct Laser Writing

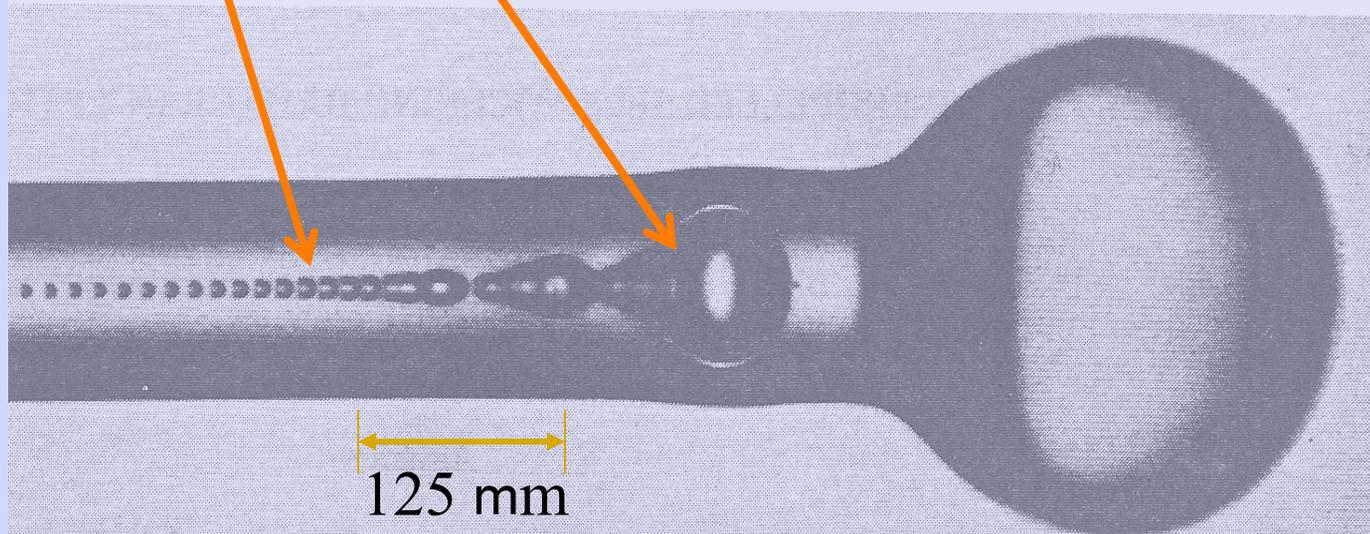
But First.....  
Optical Fibres...25 years ago.....

# Plasma Generation in Glass



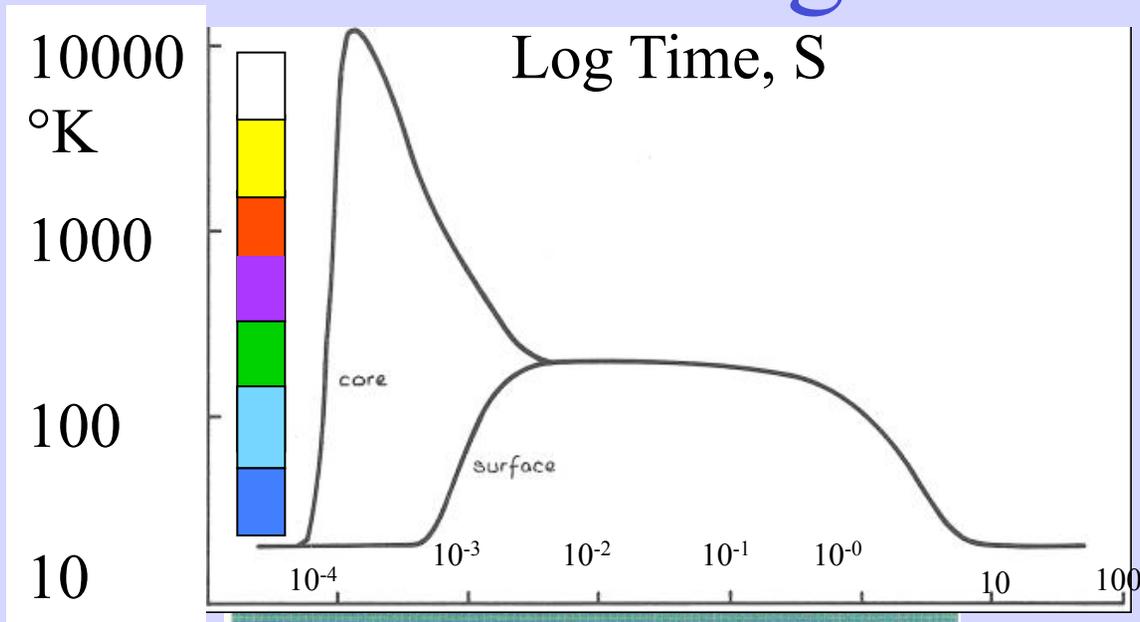
## ...Optical Fibre Damage at Low Powers\*: ‘Fibre End’

Molecular oxygen in cavities



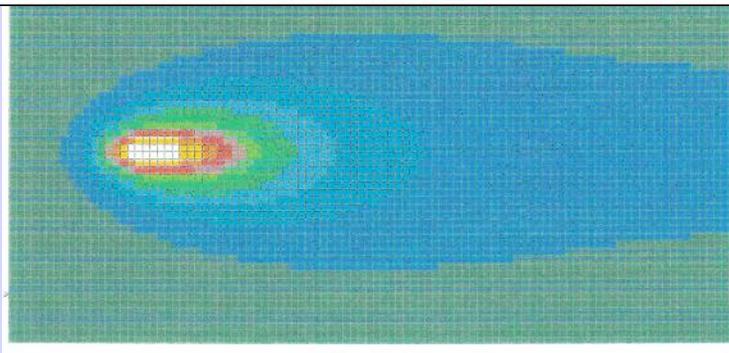
\*Kashyap R and Blow K J, “Observation of catastrophic self-propelled self-focusing in optical fibres”, *Electron. Lett.* 29 (1), pp. 47-49, 7 January 1988.

# Stationary Temperature Profile of Damage Filament



Self-Propelling  
Damage

Absorption of light by  
glass at elevated  
temperatures

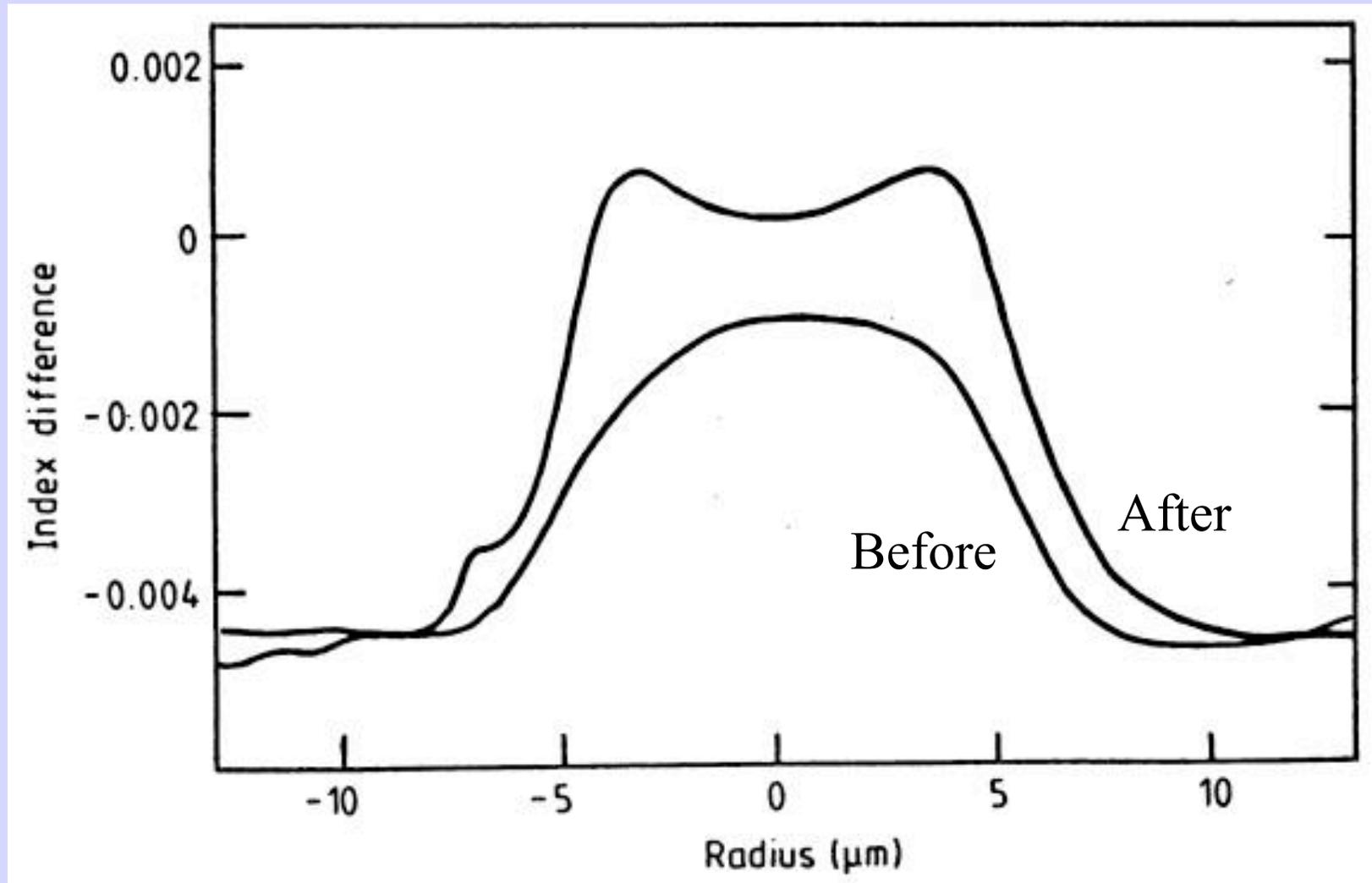


- Thermal runaway

Calculated using a heat  
diffusion model

**Kashyap R**, Sayles A & Cornwell G F, 'Heatflow modeling and visualisation of catastrophic self-propelled damage in single mode optical fibres', *Special Mini-Symposium at the Optical Fibres Measurement Symposium, Boulder, October 1996, SPIE Vol. 2966, pp. 586-591.*

# Refractive Index Increase After Damage



*E.M.Dianov et al. "Change of refractive index profile in the process of laser-induced fibre damage." Sov. Lightwave Commun. vol.2, (1992) 293-299.*

# \*Damage Summary

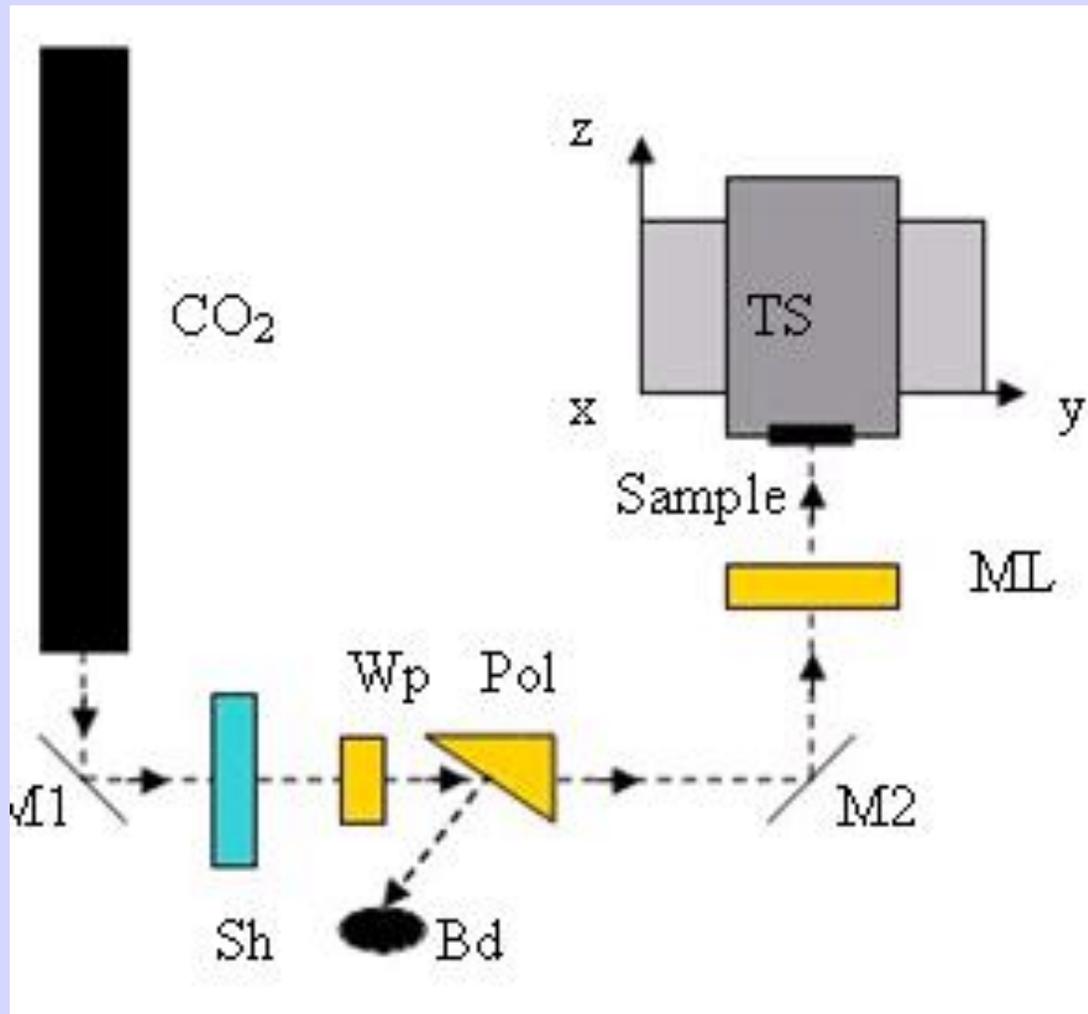
- Wild Temperatures...10,000K!
- Almost no heat loss: Only Conductive Heat
- Creates Sub Oxide of Ge/Si
- Refractive index modification....
- Catastrophic Damage....
- Similarities to fs laser processing.

\*Raman Kashyap, “The Fiber Fuse - from a curious effect to a critical issue: On the 25<sup>th</sup> year of its discovery ”, Submitted Op. Exp.

# So what else can we do?

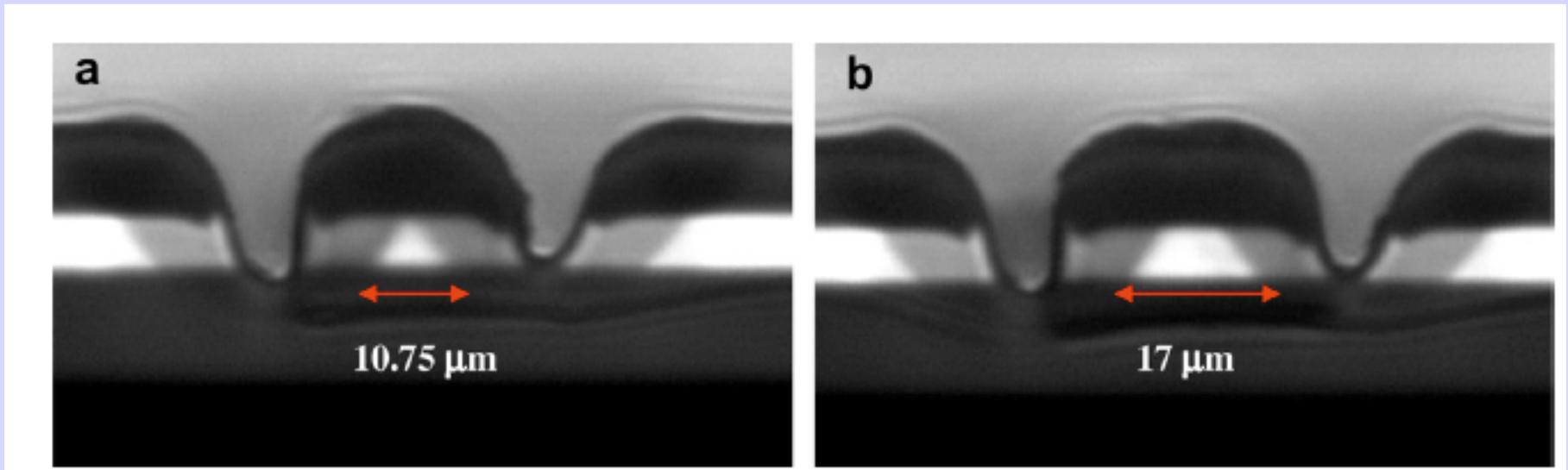
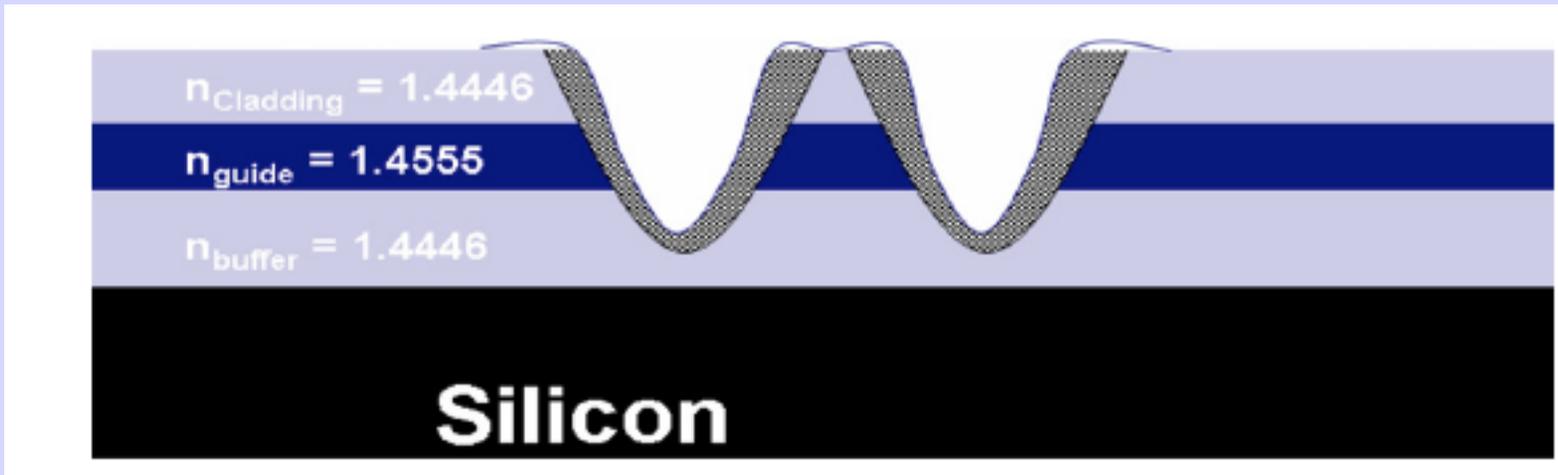
## .....Laser Ablation

# Laser Written Waveguides\*

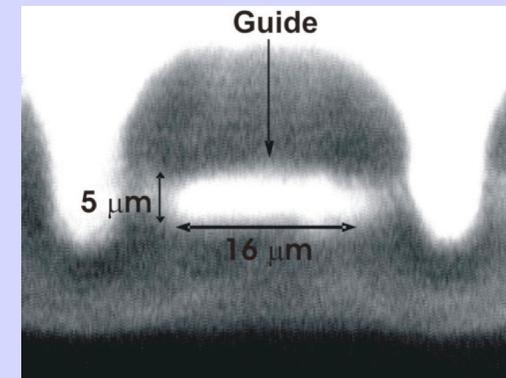
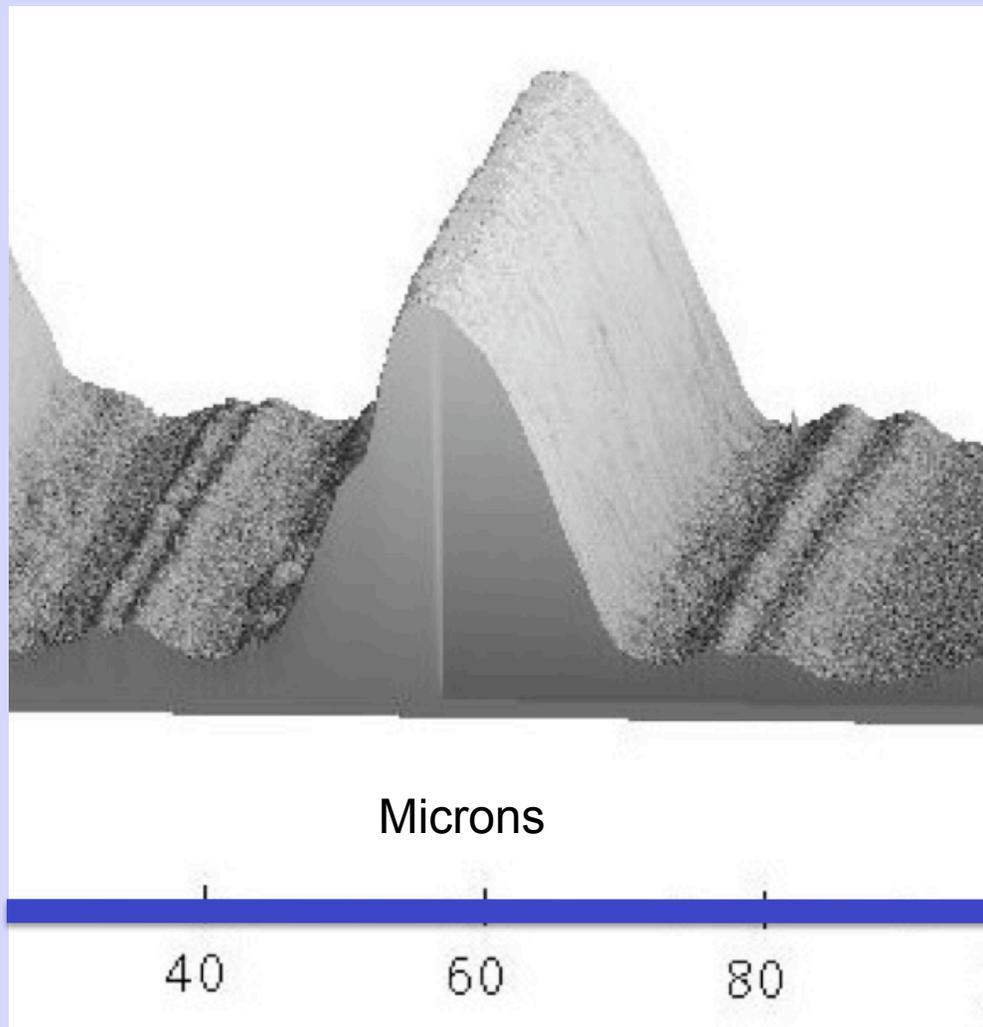


2 x NSERC I2I

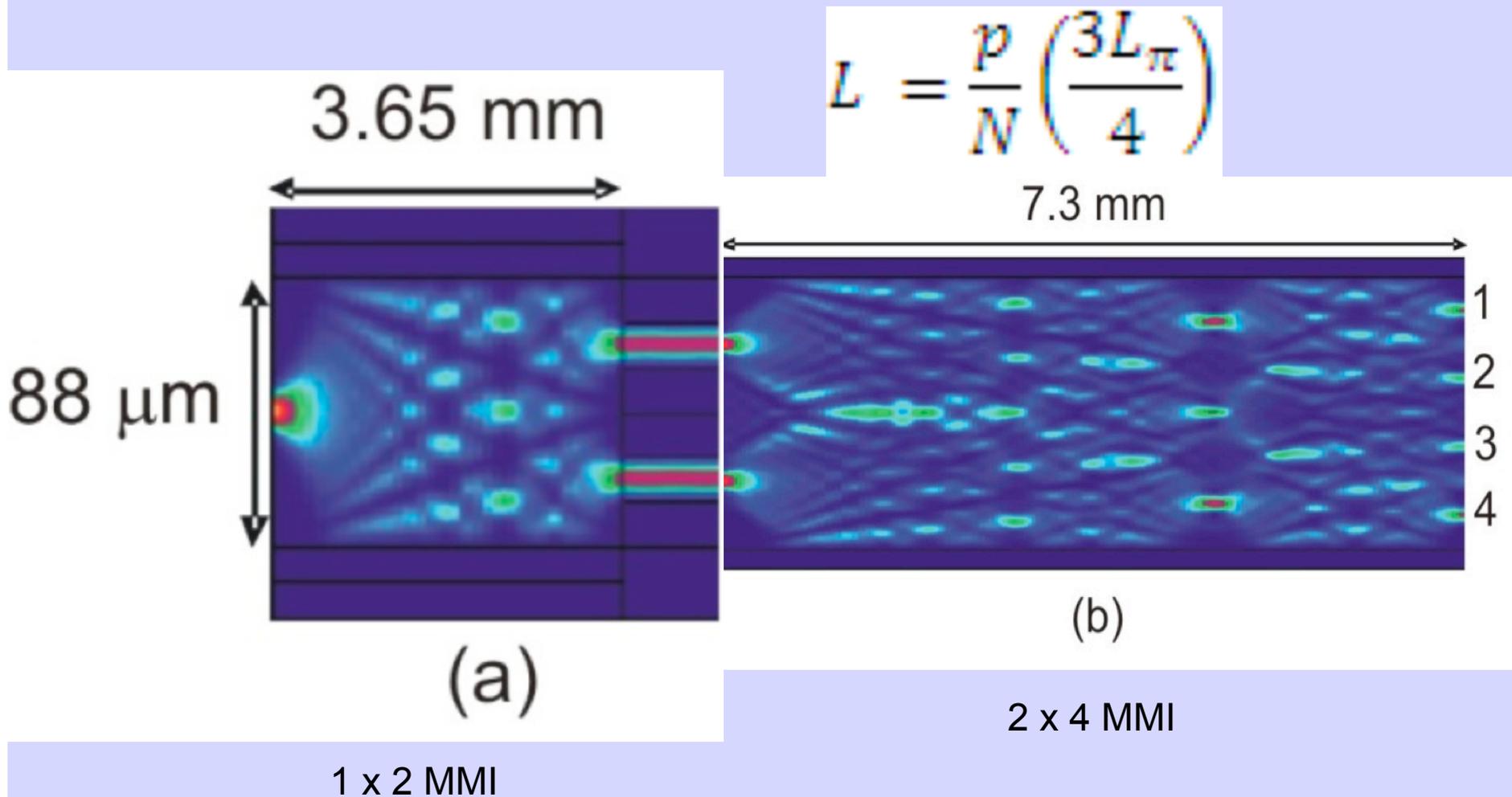
\*Ozcan L C, Guay F, Kashyap R, and Martinu L, "Investigation of refractive index modification in CW CO<sub>2</sub> laser written planar optical waveguides", Optics Communications 281, 3686–3690, 2008, DOI:10.1016/j.optcom.2008.03.074. . (Erratum to "Investigation of refractive index modifications in CW CO<sub>2</sub> laser written planar optical waveguides" [Optics Communications 281 (2008) 3686])



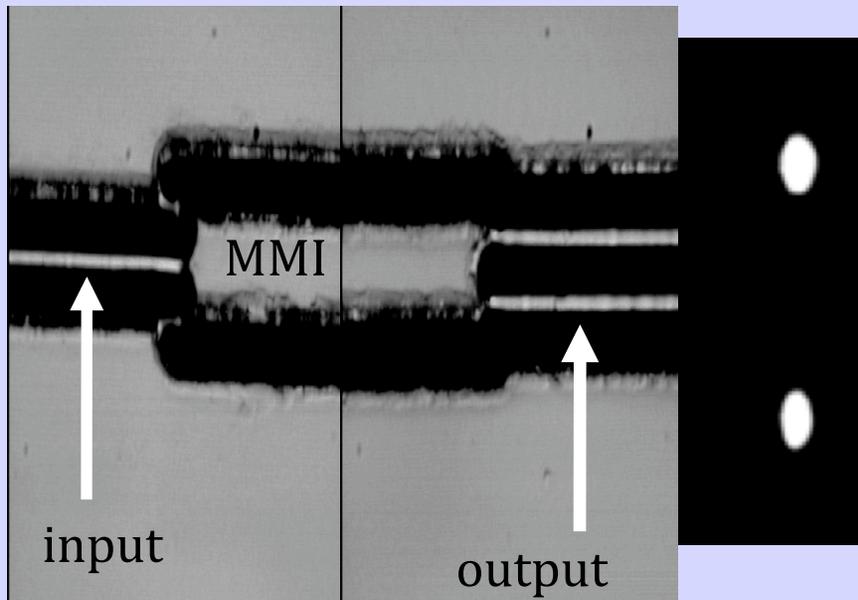
# High magnification picture of ablated waveguide



# Cascaded Multimode Interference Filters (Six Ports)

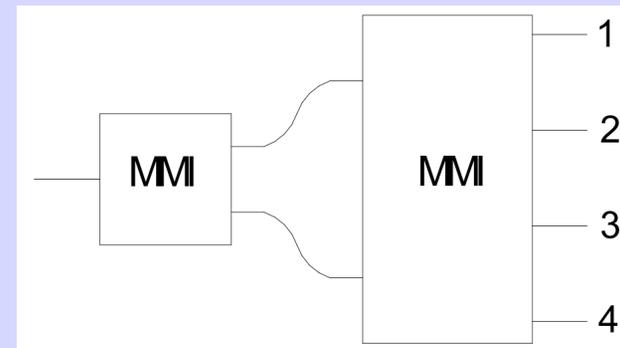
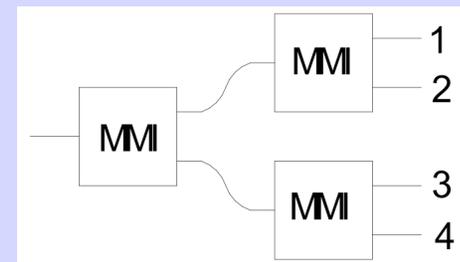


# 5 port devices using 2 configurations

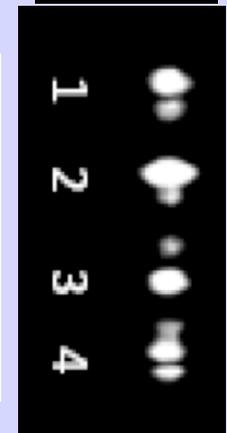


Straight Waveguide Loss: 0.36dB/cm

1 x 2 + 1 x 2

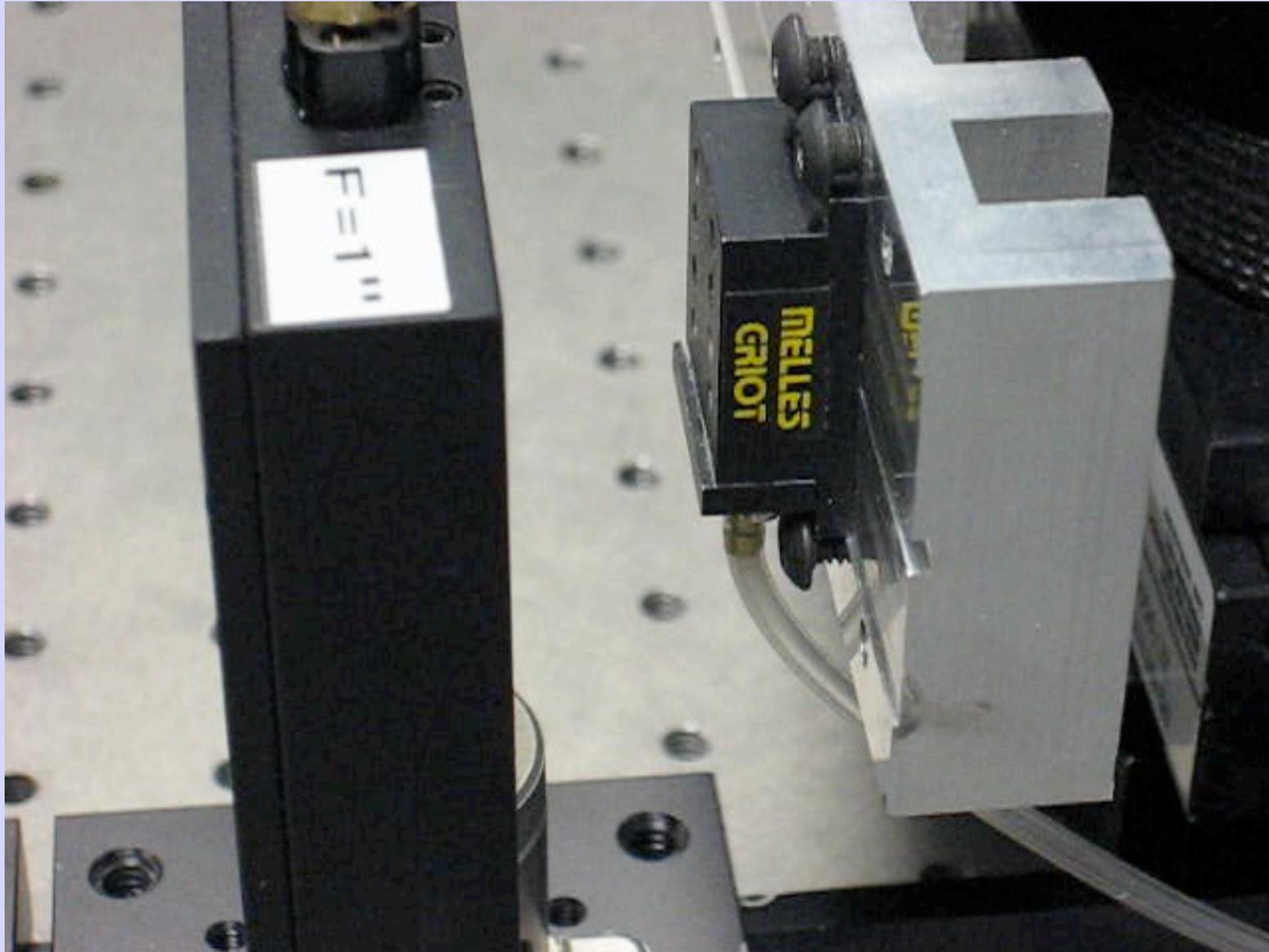


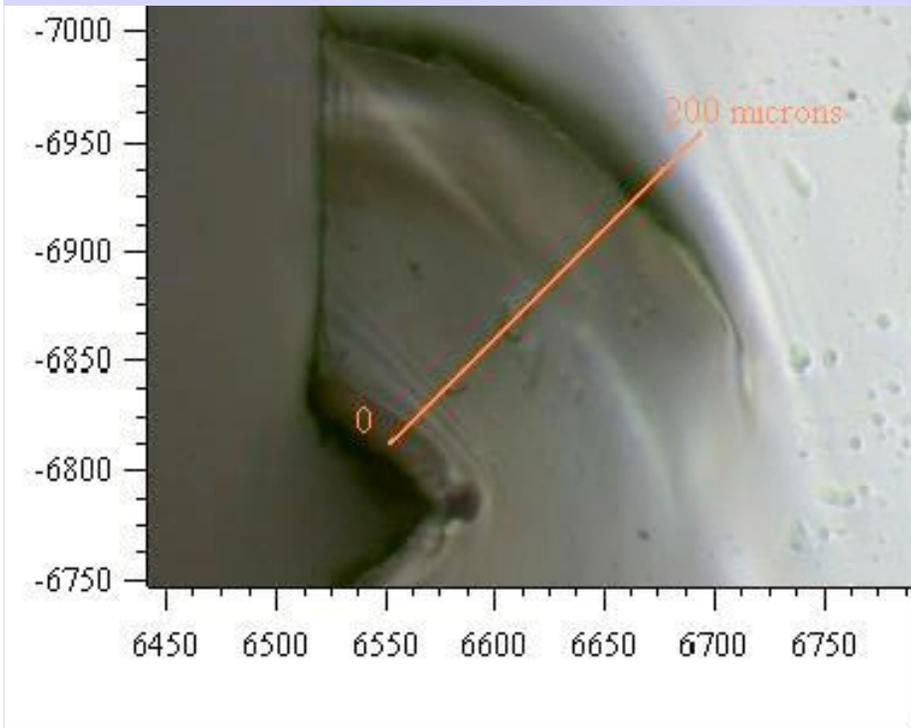
1 x 2 + 2 x 4



G. V. Vázquez, A. Harhira, R. Kashyap and R. G. Bosisio, Micromachining by CO<sub>2</sub> Laser Ablation: Building Blocks for a Multiport Integrated Device, *Accepted in Optics Communications*

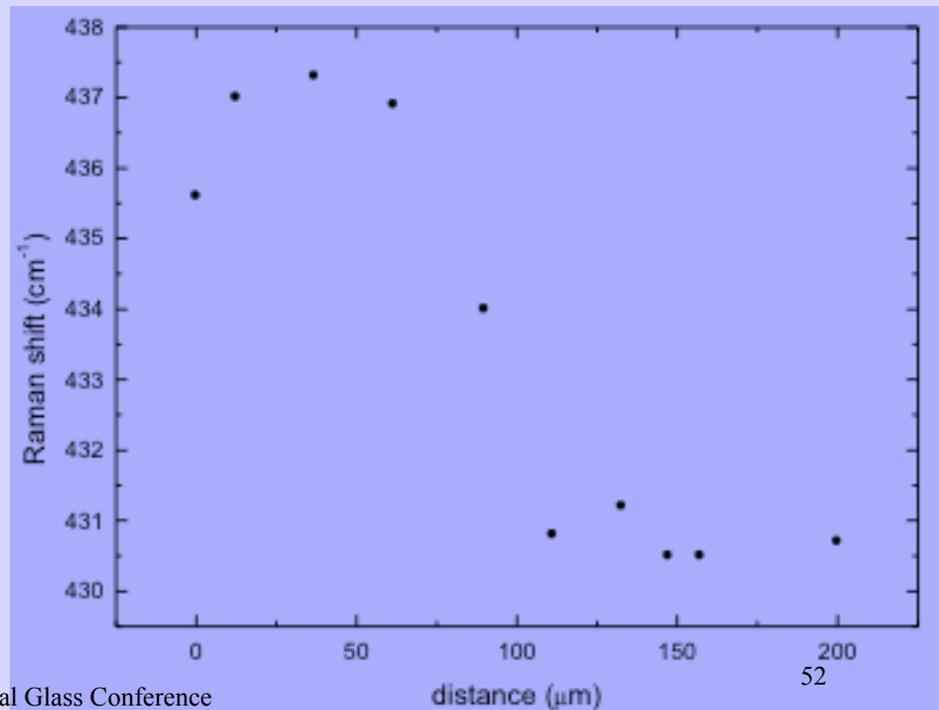
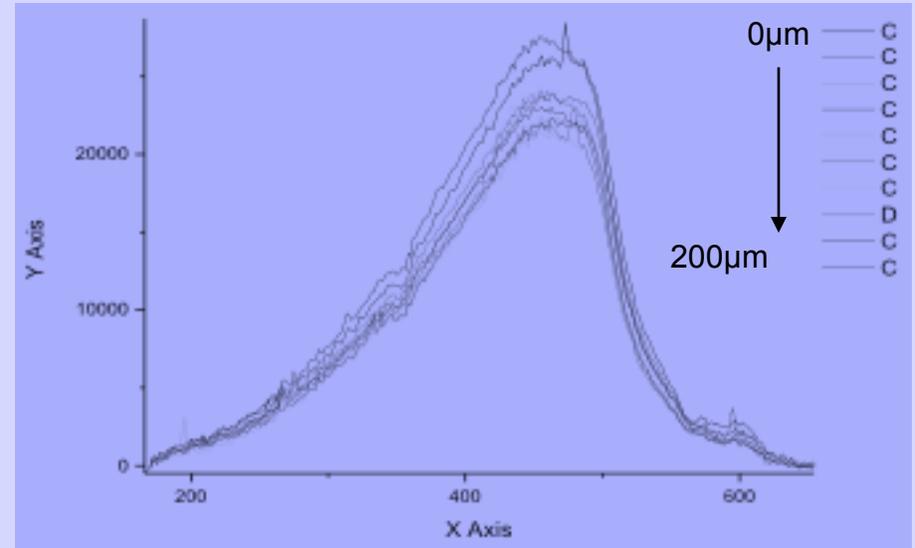
# Movie of MMI Fabrication





L'impact est dû à un laser CO<sub>2</sub> (P=1,05W). Le shift est déterminé par le shift du centroïde.  
Les spectres sont enregistrés le long de la ligne ci-dessus à partir du centre de l'impact. La densité du verre de silice dopé germanium augmente lorsque le Raman shift augmente

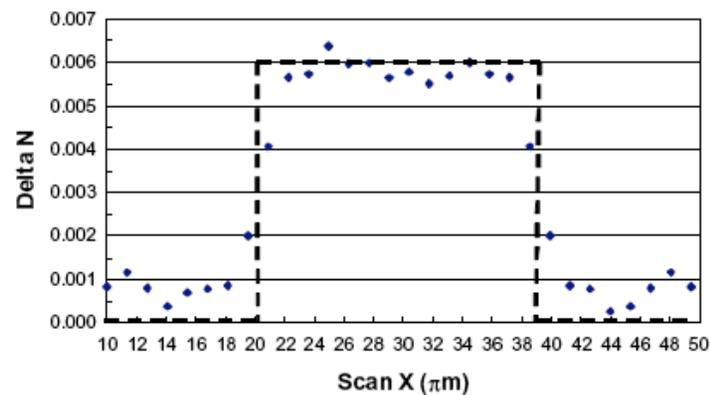
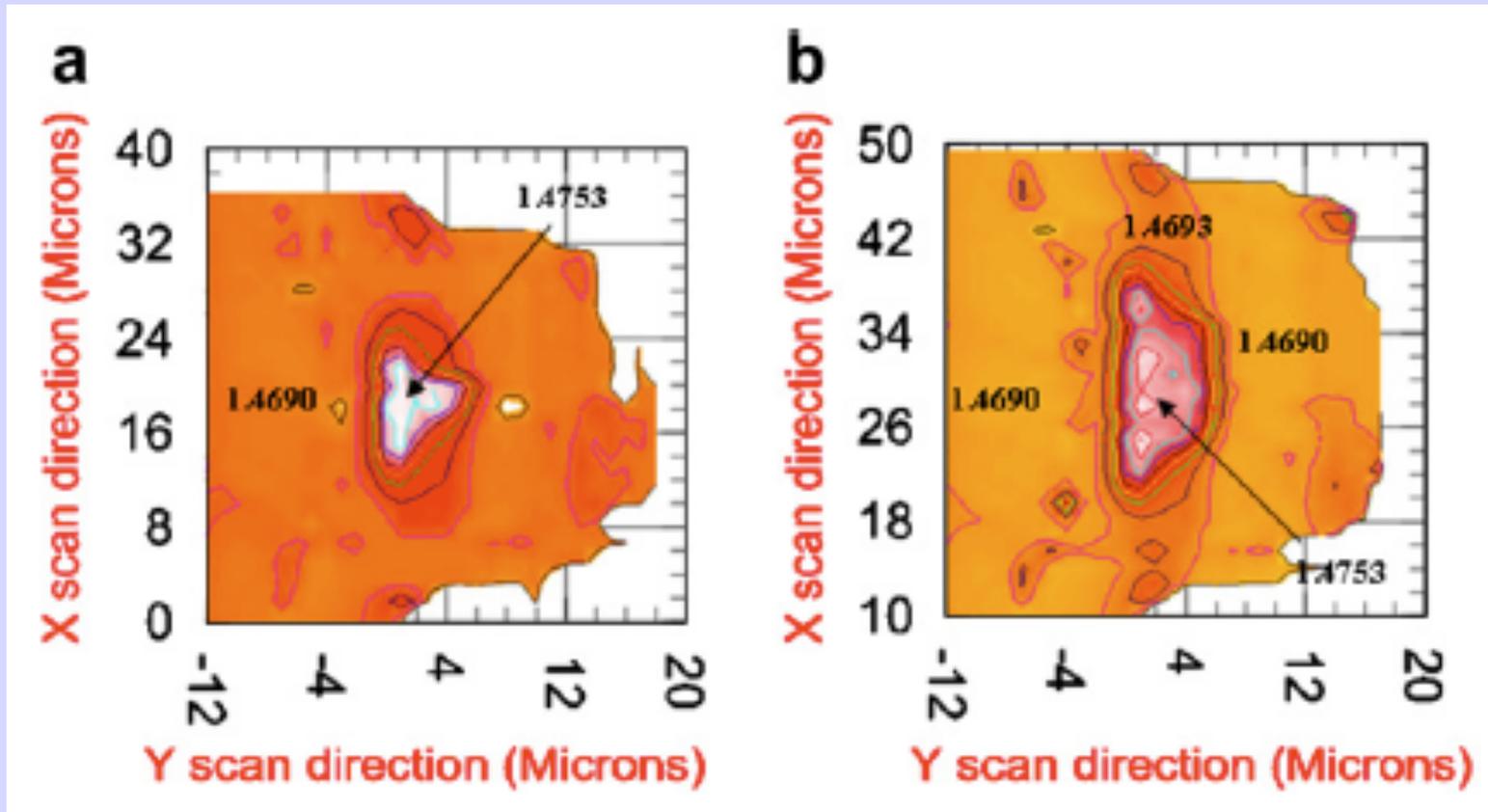
## Raman Spectra



6-11 January 2013



# Refractive Index Change (Reflectometry)



# Annealing!

- Waveguide is *buried* due to Heat Affected Zone Lowering Refractive Index!
- THEREFORE:  
Pre-annealed planar samples **do not work!**

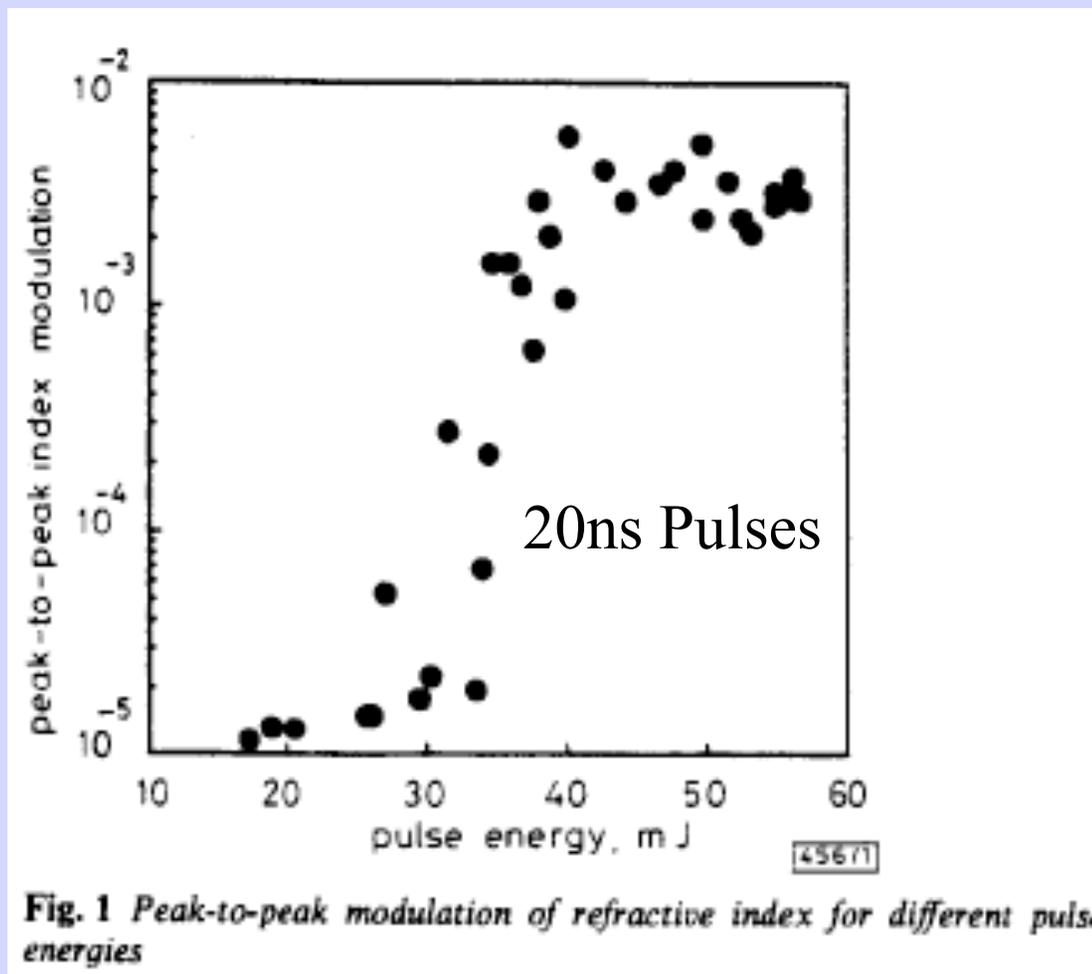
# CHANGE OF PACE FROM CW ILLUMINATION...

Femtosecond pulse photoinduced  
effects in glasses

Acknowledgement: Prof Hirao, Ravi Bhardwaj



# Question of Maximum Optical Power Limitation



**Commensurate  
with fs  
modification:  
30mJ/20ns OR  
60nJ/100fs**

J-L Archambault, L Reekie & P. St. J. Russell, "100% reflectivity Bragg reflectors Produced in optical fibres by a single excimer laser pulse", *El. Lett.* 29(5), 453, 1993.

# Fabrication platform

## Laser parameters

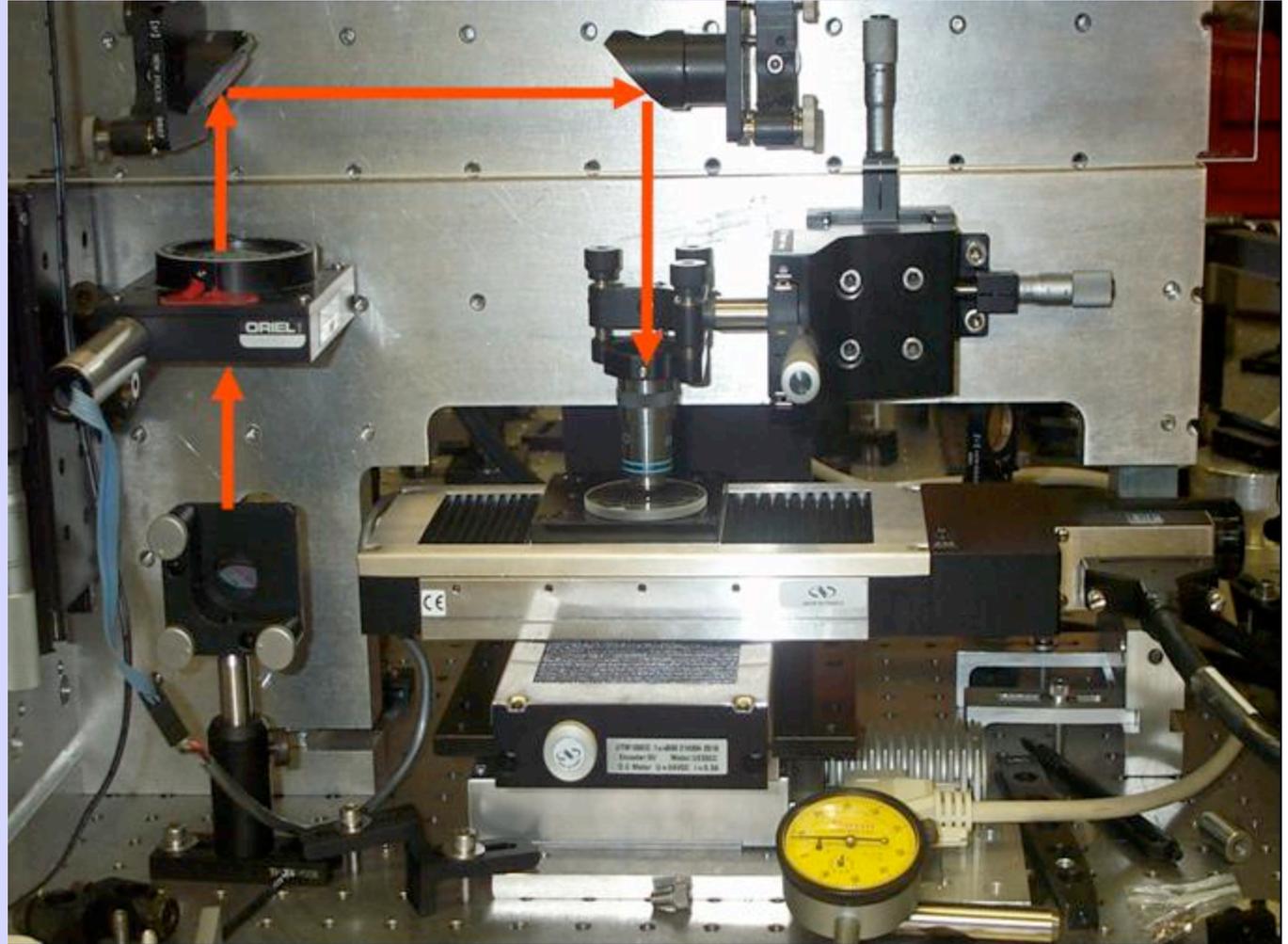
Power : 300 mW

Wavelength: 800nm

Pulse duration: 40-50 fs

Rep rate: 10 – 250 KHz

Scan rate: 10 – 500  $\mu\text{m/s}$



# Fs laser induced refractive index changes

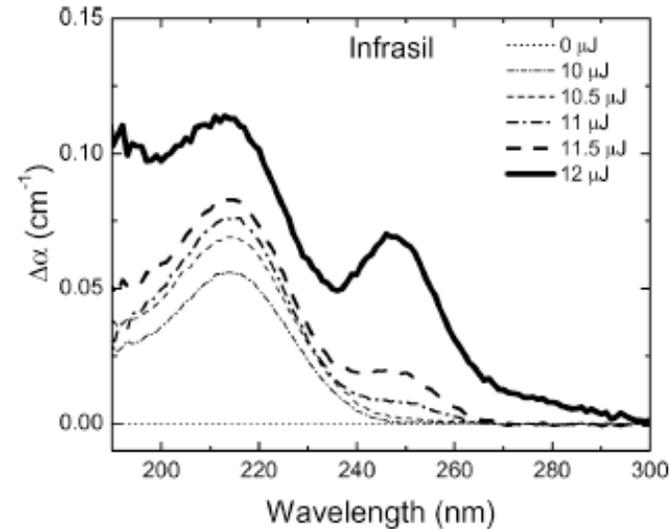
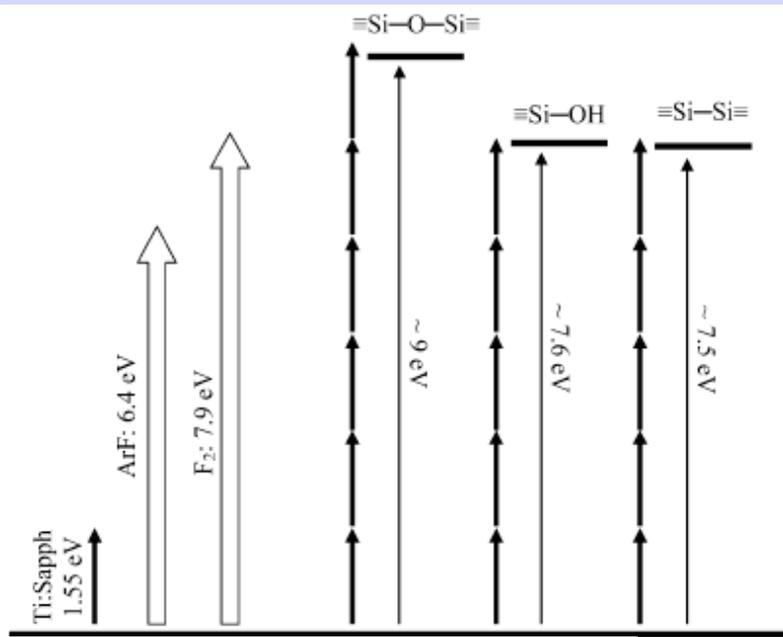
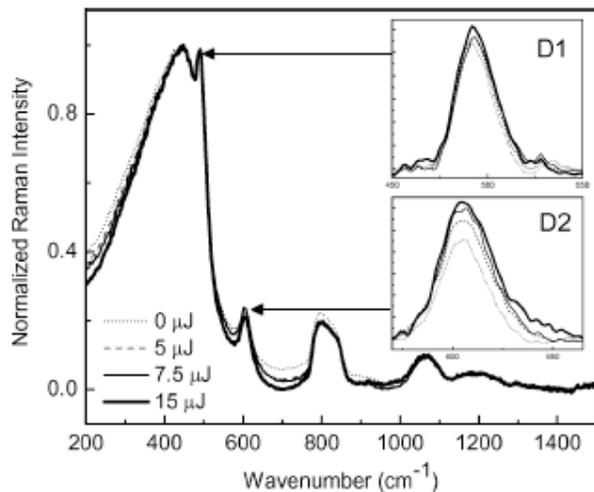


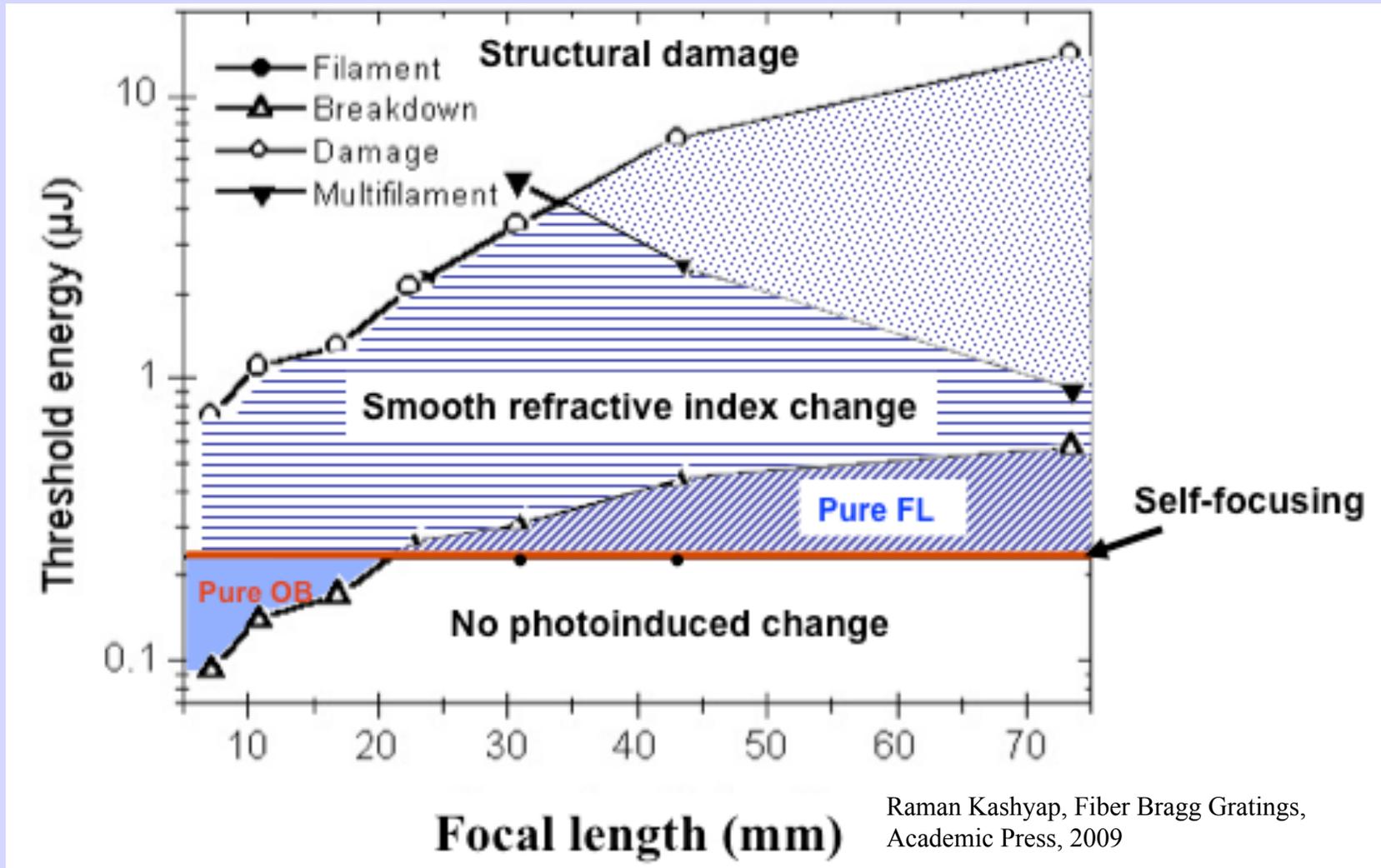
FIG. 4. Absorption change spectrum in Infrasil with smaller pulse energy increment showing the sequential development of Si  $E'$  centers (214 nm) and NBOHC (248 nm).



Laser induced generation of ODC (II) generation and optical damage in the form of scattering centers in the volume of the sample, whereas the generation of Si  $E'$  only left the samples scatter free. Finally, Raman spectroscopy confirmed the generation of threefold and fourfold rings and revealed a decrease in the Si-O-Si angle distribution as a result of the photoinduced disruption of strained bond upon IR femtosecond laser irradiation.

Zoubir et al., PR B 73, 224117, 2006.

# Material Modification with fs lasers



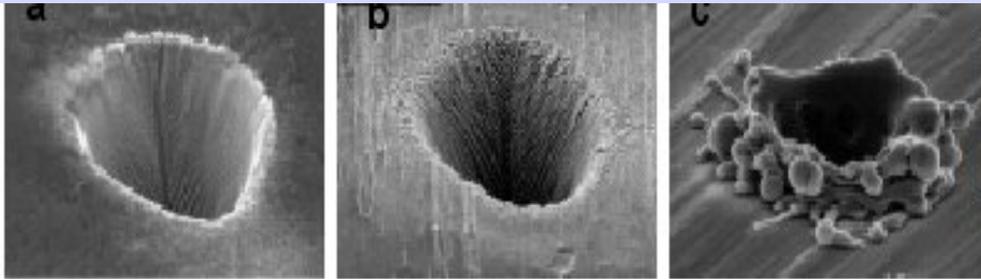
*Provided by courtesy of Dr. Réal Vallée, U Laval*

# Femtosecond Interaction with solids: Originally demonstrated by Prof. Hirao

fs laser

fs

ns laser



## On solids

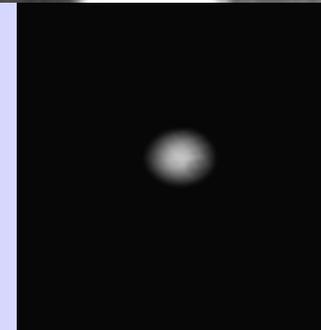
- Material ablation
- No heat diffusion
  - Rapid solid to vapour transitions
- Micromachining

## Waveguide inside glass



## Inside glass

- No material ablation
- Localized refractive index change
  - only at the focal spot
  - IR photosensitivity
- Integrated optics

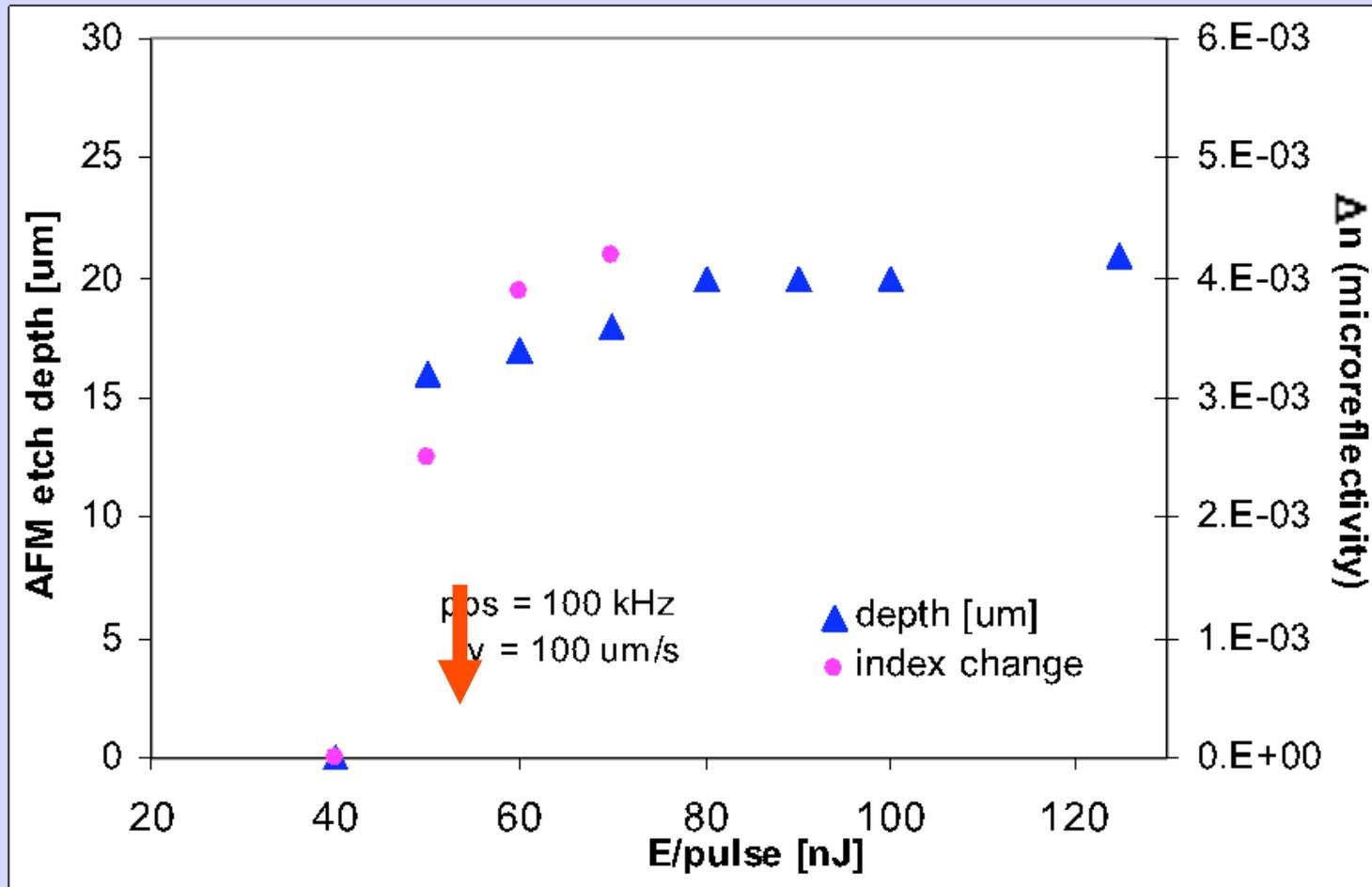


Output mode

Bhardwaj *et al* Proceedings of SPIE,  
TD01 (2002) 211



# Threshold for refractive index modification

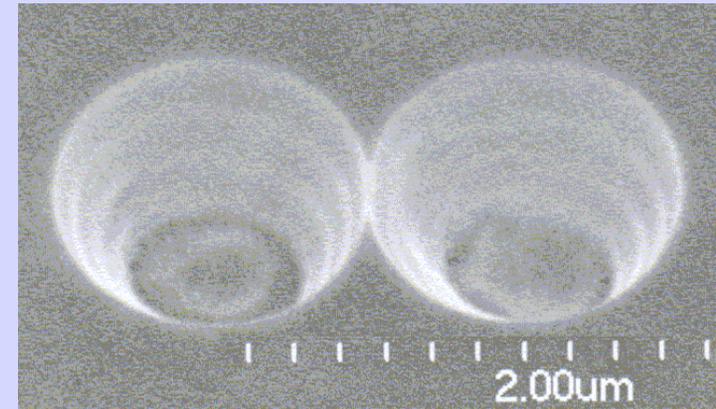
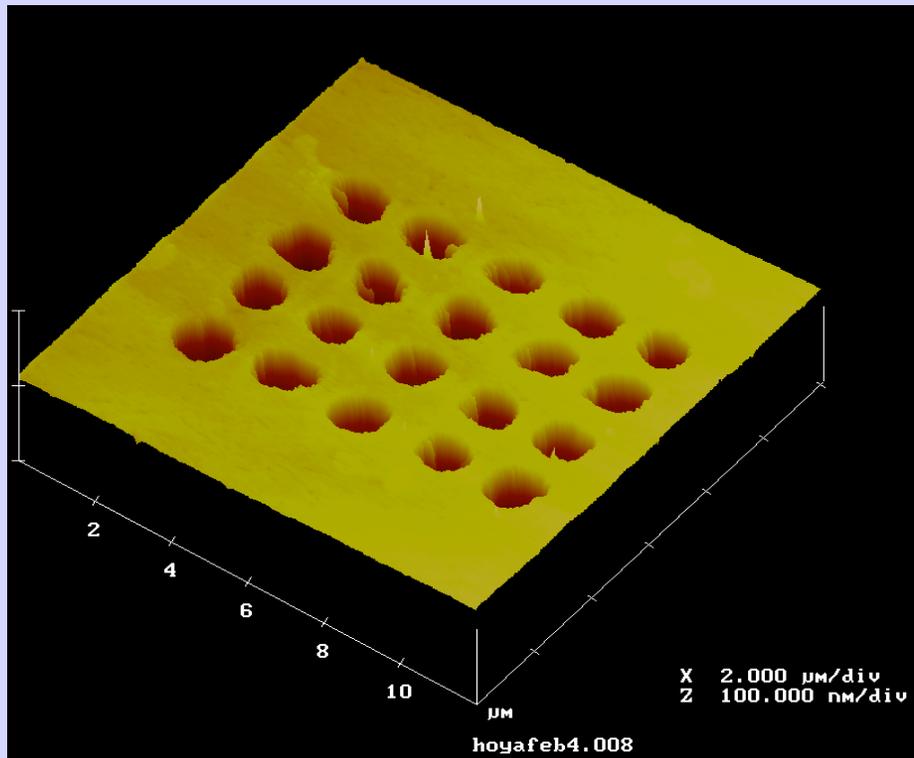


Threshold agrees with the atomic ionization energy

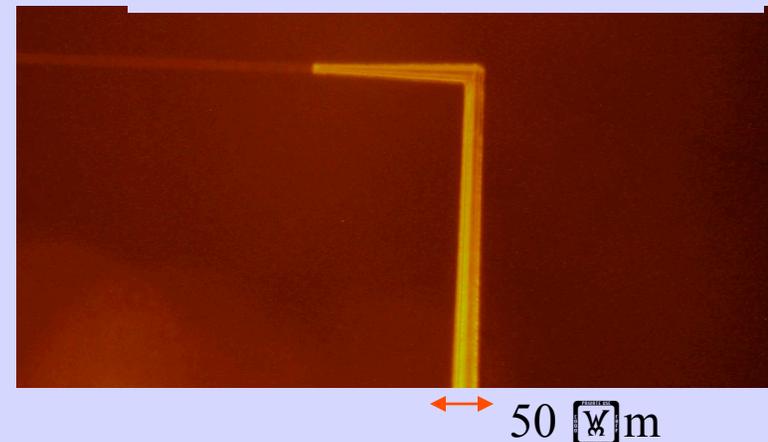


# Fabrication of nanostructures

Closely spaced microwells in fused silica  
**Well densities ~ 33 million/cm<sup>2</sup> !!!**



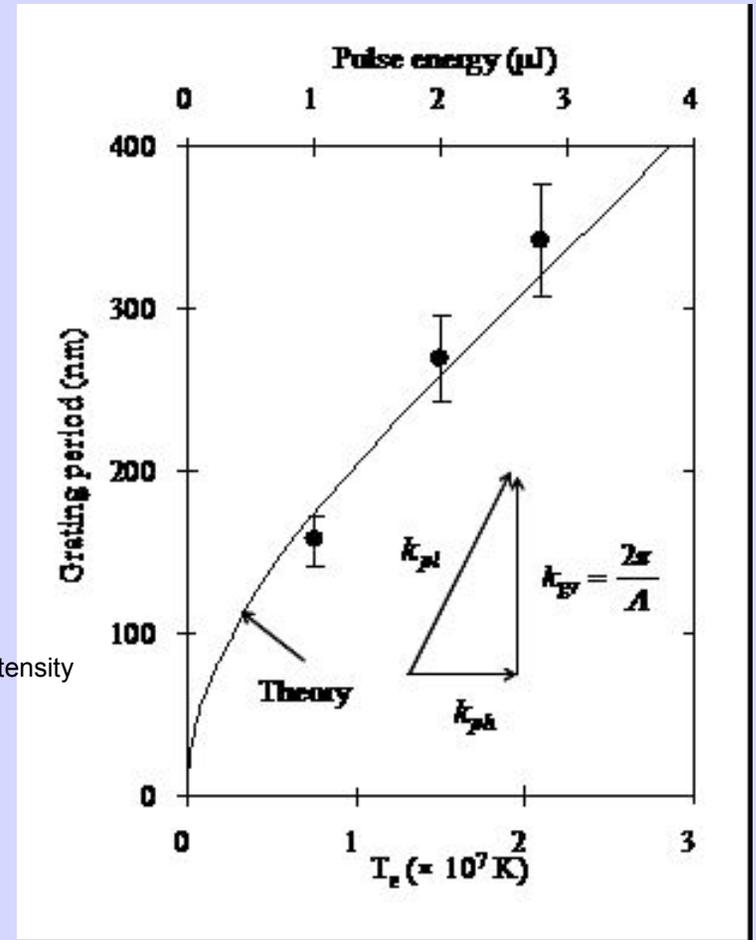
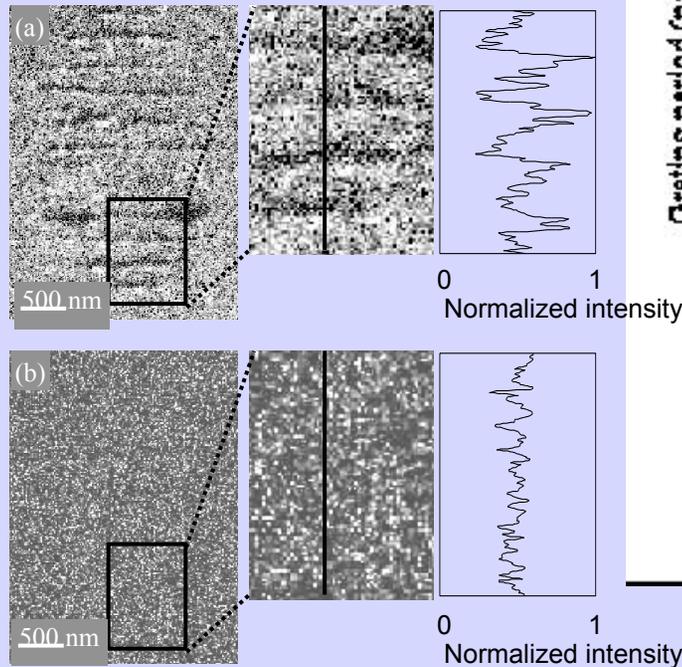
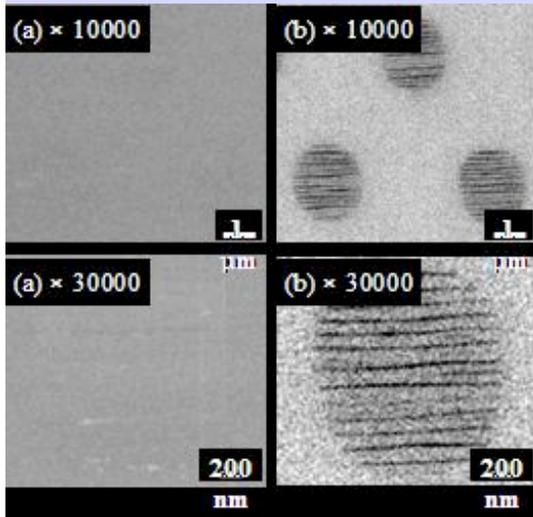
**Mixing biological fluids in  
3D microfluidic channels**



R.S. Taylor *et al*, Optics Letters **28**,  
1043 (2003).

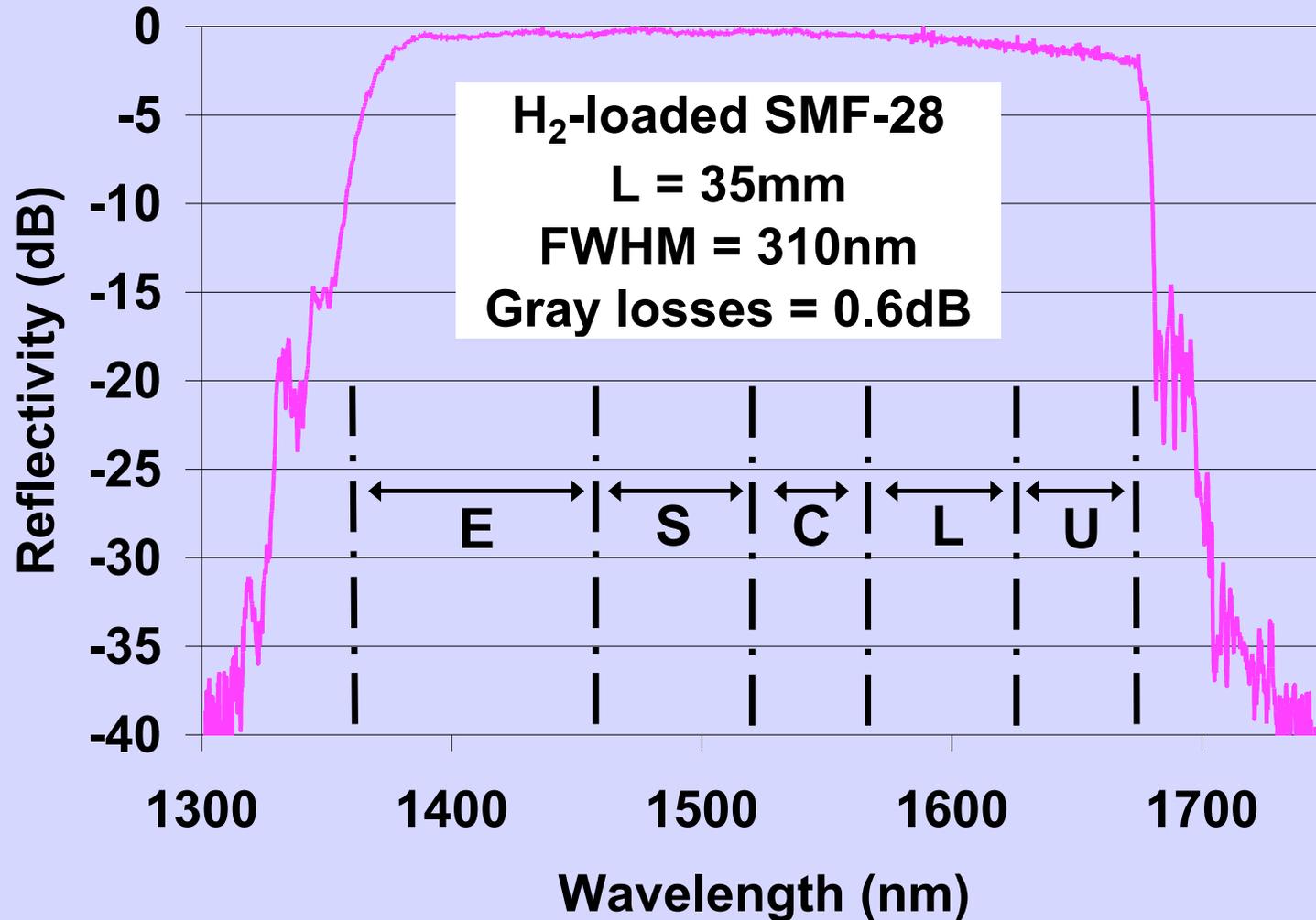


# Femtosecond pulse interaction with glass



Courtesy: Prof. Hirao

# Broadband reflectors

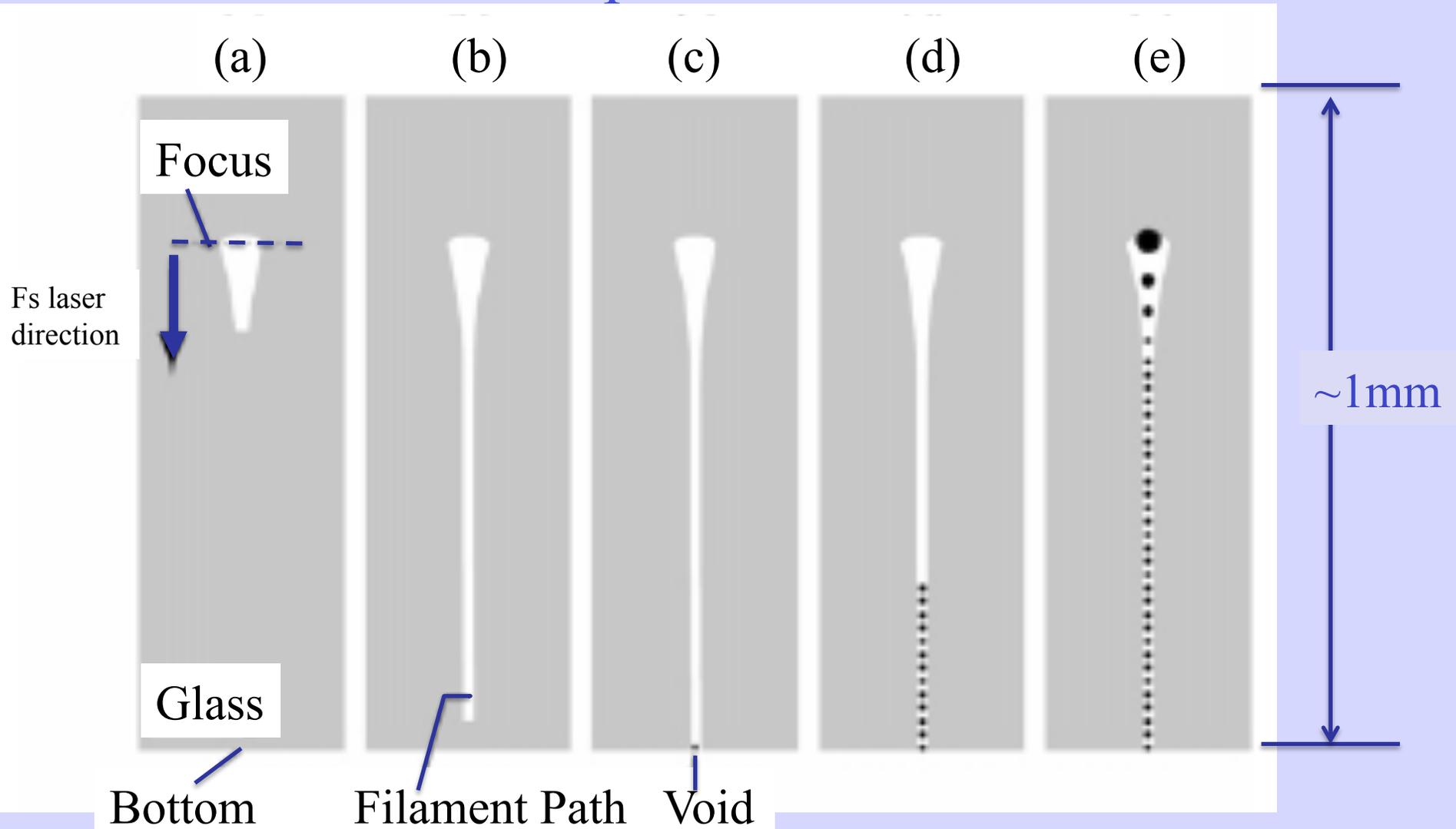


M. Bernier, Y. Sheng, R. Vallée, "Ultrabroad fiber Bragg grating using femtosecond pulses", Opt. Express, 17(5), 3285-3290 (2009).

# Novel Observations with fs lasers

- Preferential crystallisation in Ge: glass (*c.f.* A. Stone *et al.* , “New advances and current challenges in femtosecond laser-induced crystallization for 3D precision patterning of nonlinear optic structures inside glass” [Poster, This Conf.])
  
- Refractive Index Modification and Self Structuring

# Fs Laser Generated Void Formation: Similar to Optical Fibre



Shingo Kanehira, Jinhai Si, Jianrong Qiu, Koji Fujita, and Kazuyuki Hirao, "Periodic Nano void Structures via Femtosecond Laser Irradiation", NANO LETTERS 2005, 5 (8), 1591-1595

# ISSUES in fs structuring

- High propagation Loss
- Control of waveguide shape
- Birefringence
- Better understanding of glass chemistry
- Relationship and similarity to low power damage
- .....

# Other Photosensitive Materials of Potential Interest for Photonics Applications:

Photopolymers  
Chalcogenides



6-11 January 2013

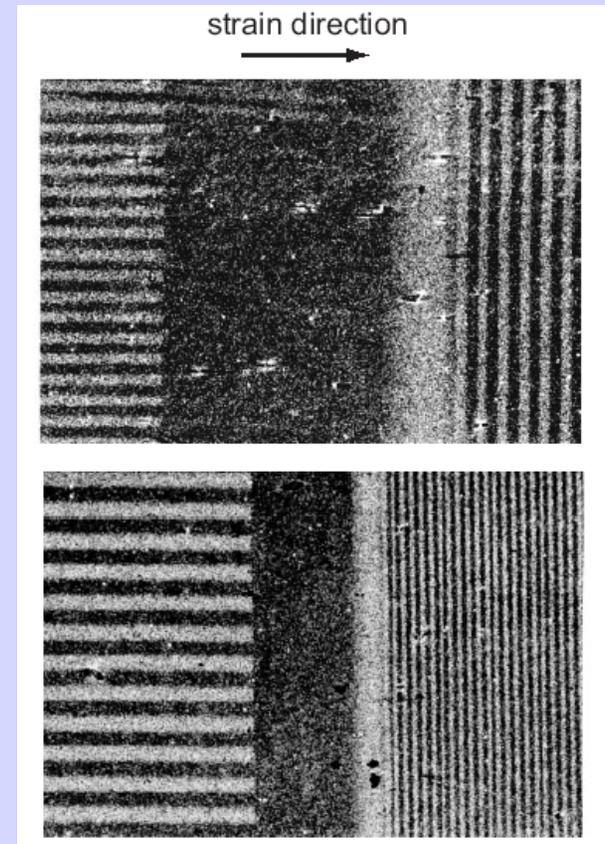
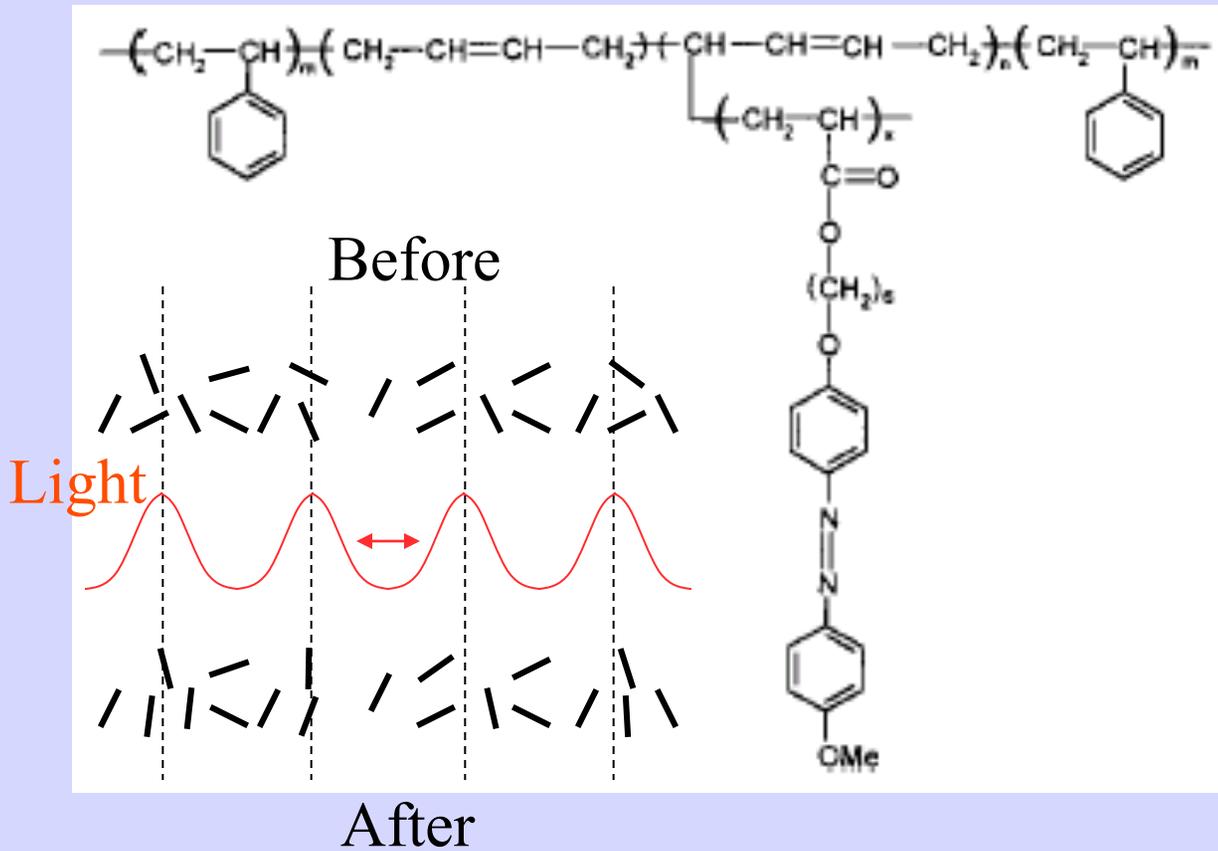
Raman Kashyap ECI Functional Glass Conference



# PhotoPolymers: Issues for devices

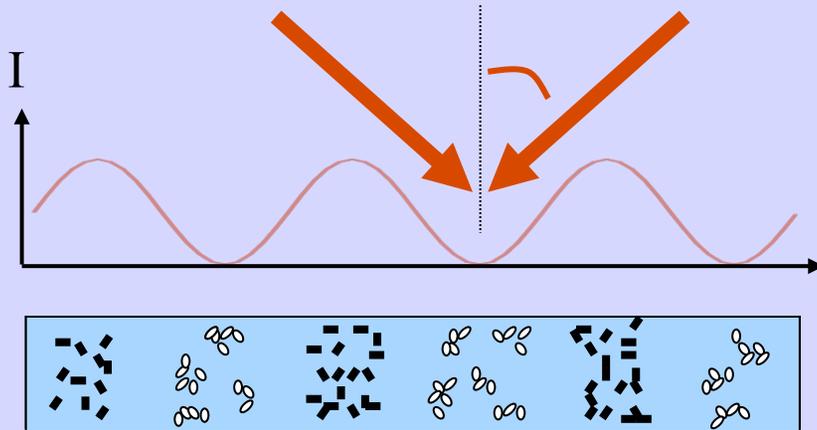
- Low Energy for cross-linking
- Once fixed: high reversal energy
- Low scatter....multiple gratings
- Polarisation sensitivity
- Transparency
- Relaxation
- Stability.....

# Optically recorded mechanically tunable gratings on azo-elastomers



Collaboration *T. Galstian & Y. Zhao*

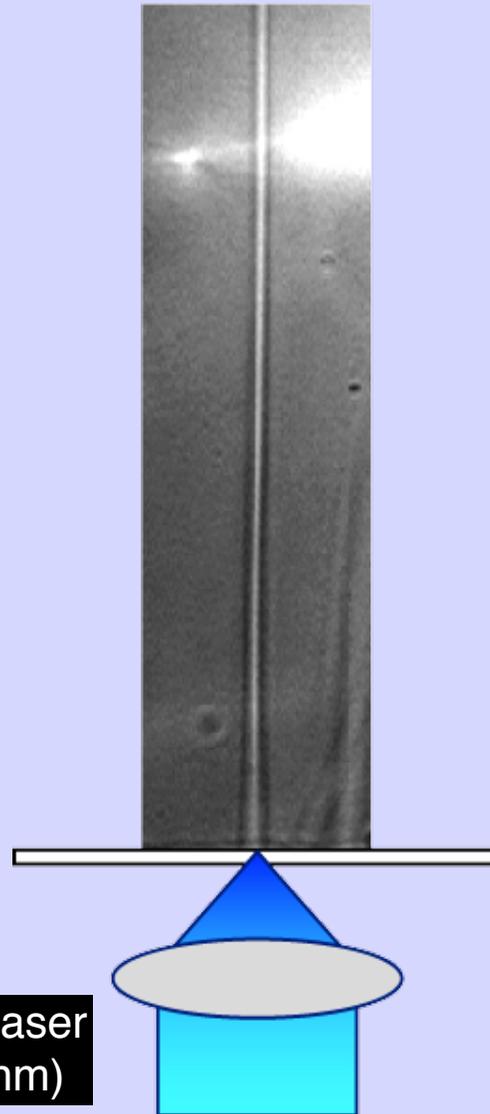
# High diffraction efficiency (>95%) photopolymers sensitive to 850 nm light



- Infrared photo-polymerization



# Self-growing of a single waveguide in photopolymerizable resin



photopolymerizable resin:  
SCR500 (JSR Co., Ltd.)

He-Cd laser  
(441.6 nm)

N.A. : 0.23  
Power : 0.1mW  
exposure time : 2s

**(S. Shoji and S. Kawata, Appl. Phys. Lett. 75, 737 (1999))**

# Self-Guiding in Photo-polymerising resin:

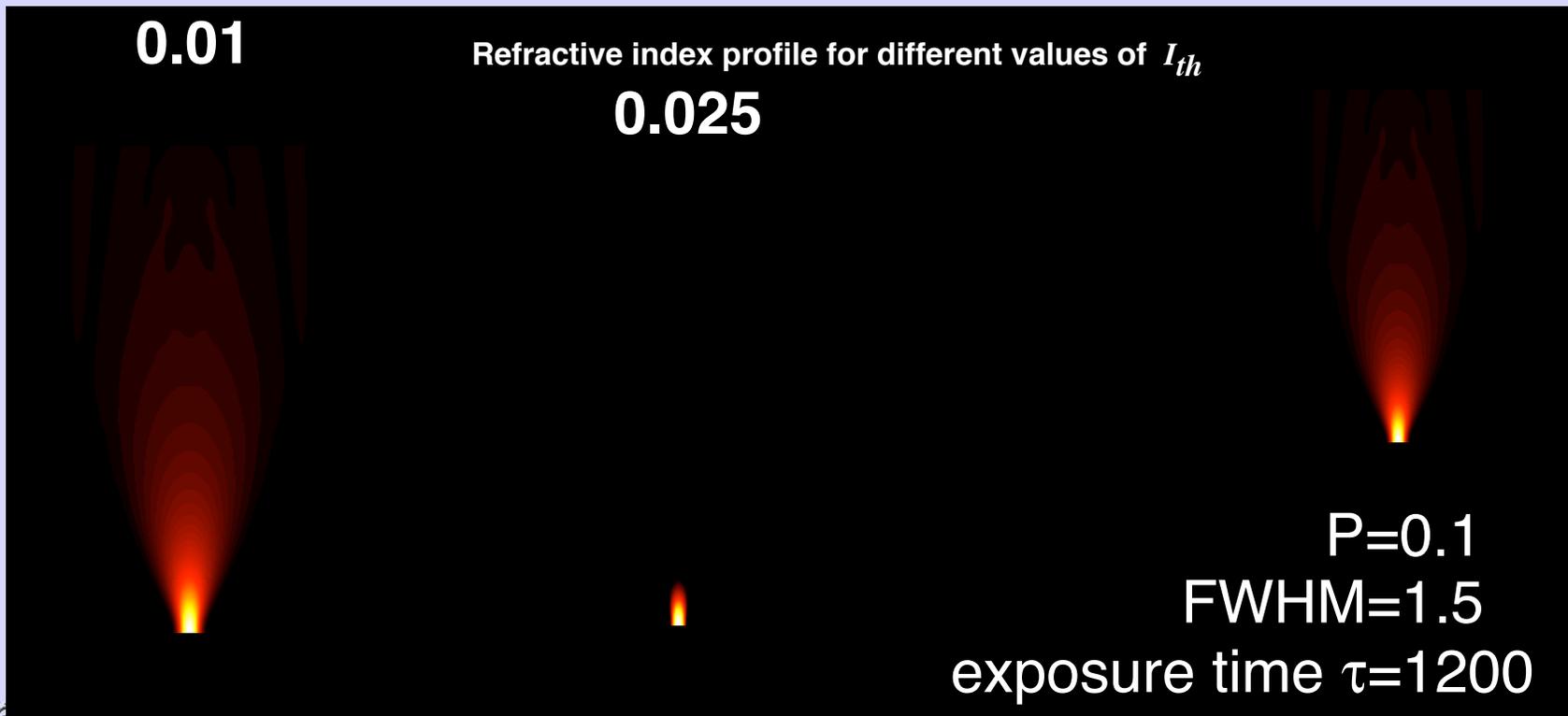
## SELF-WRITTEN WAVEGUIDES IN PHOTSENSITIVE MATERIALS

ANDREY A. SUKHORUKOV, SATORU SHOJI and YURI S. KIVSHAR

*Nonlinear Physics Group, Research School of Physical Sciences and Engineering, Australian National University, Canberra ACT 0200, Australia*

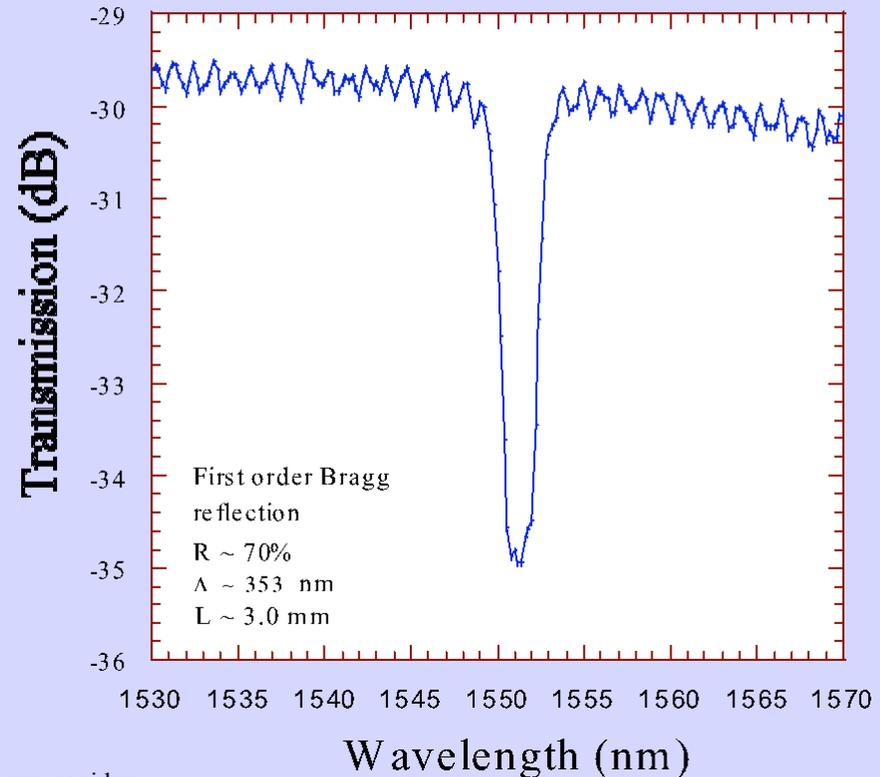
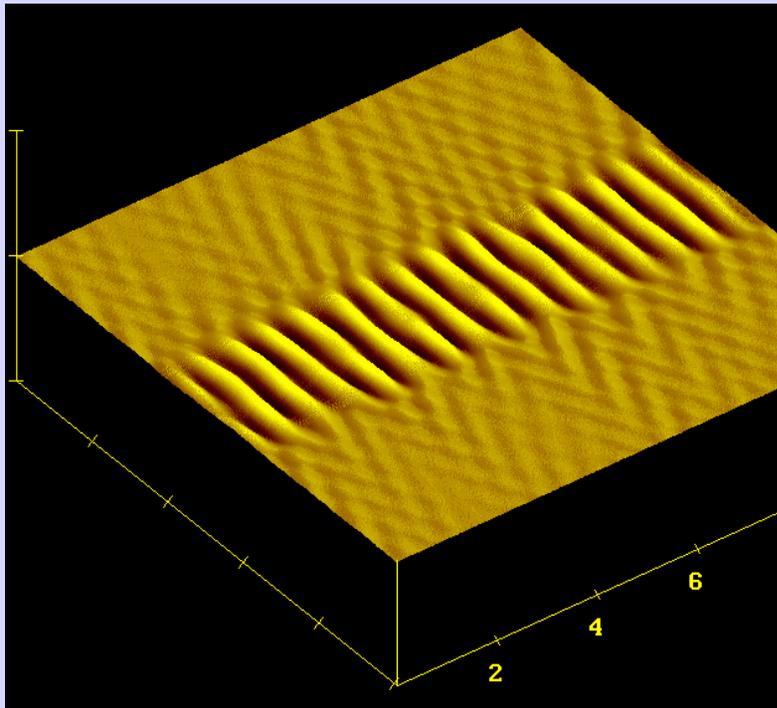
SATOSHI KAWATA

*Department of Applied Physics, Osaka University, Yamadaoka 2-1, Suita, Osaka 565-0871, Japan  
Nanophotonics Laboratory, RIKEN, Wako, 351-0198, Japan*



# Bragg gratings in amorphous semiconductor waveguides

Integrated IR components (semiconductor glasses  $\text{As}_2\text{S}_3$ )  
 First order Bragg grating filter ( $L=353 \text{ nm}$ ) in waveguides



\*Prof. Younes Messaddeq, U Laval

R. VALLÉE, S. FRÉDÉRIK, K. ASATRYAN, M. FISCHER, T.V. GALSTIAN,  
 « Real-time Observation of a Bragg grating formation in  $\text{As}_2\text{S}_3$  chalcogenide ridge waveguides », *Optics Communications*, **230**, pp. 301-307 (2004).

\*\*Sandor Kokenyesi et al. "In situ surface relief recording in light sensitive chalcogenide glasses, This conf. Poster

# League Table of Photosensitive Materials For Photonic Devices

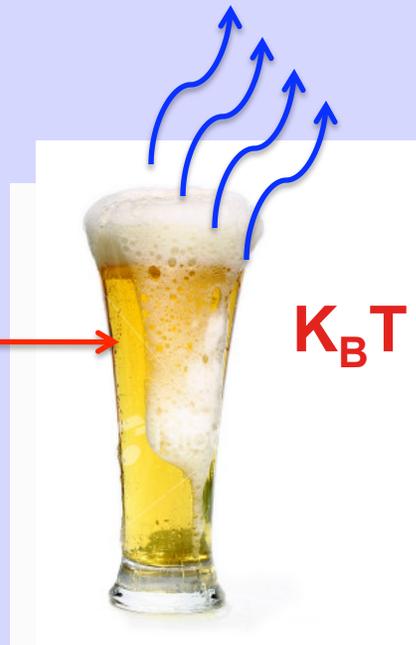
| Material                         | Processing                           | Refractive index change | Comment                  | Long term Stability |
|----------------------------------|--------------------------------------|-------------------------|--------------------------|---------------------|
| Ge doped Silica                  | UV laser                             | ~ +0.02                 | Waveguide                | >500-1000°<br>C     |
| Silica, Borosilicate glass       | Femtosecond laser<br>Visible-IR      | +/- 0.01-0.001          | Bulk and waveguide       | >900°C              |
| Foturan ® Schott                 | UV 290 – 330nm,<br>Chemical          | 0.001                   | Bulk<br>Low resolution   | >500°C              |
| PTR Glasses                      | UV 300nm,<br>Heat treatment          | 0.006<br>+ Lossy        | Two step process<br>Bulk | >500°C              |
| Photopolymer<br>LC doped polymer | Self-inducing blue-<br>red radiation | 0.001<br>0.1            | Two step process         | Poor<br>150°C       |

Chalcogenides | Self-inducing red  $\lambda$  | 0.1 | Single step | Poor ~70C

# Laser Cooling

# Solid and *Liquid* State COOLING WITH LASERS

Cool Projet  
Laser



Jerome  
Poulin:  
*Cold  
Atom  
Guiding*



Elton  
Soares



Sebastien  
Loranger

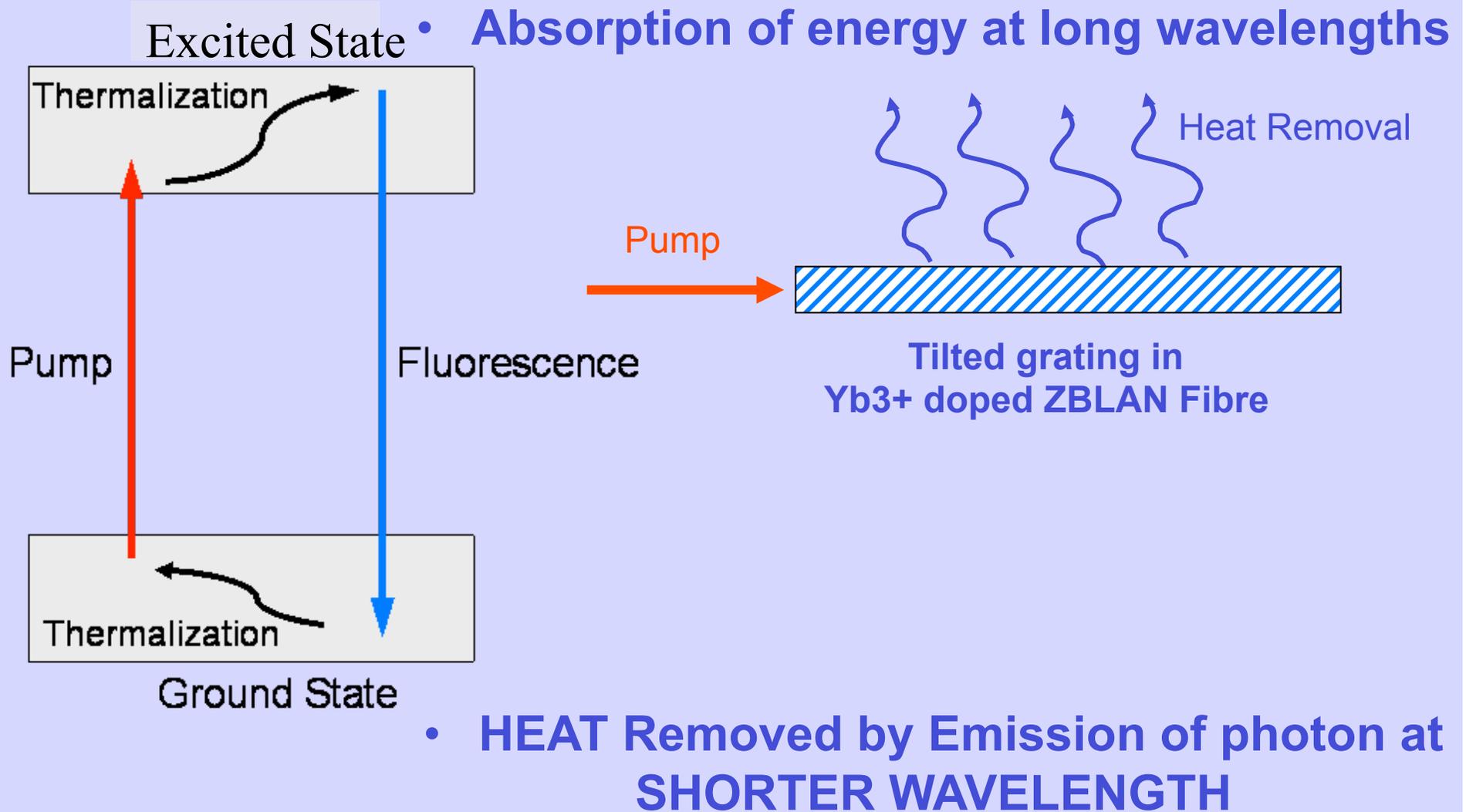


Galina  
Nemova



More  
People

# Solid State Laser Cooling



# Maximum Cooling Efficiency (ANTI-STOKES RADIATION)

$$\eta = \frac{\Delta\lambda}{\lambda_f} \left( 1 - \frac{k_B T}{h\nu_f} \right)$$

$$\Delta\lambda = \lambda_{\text{fluorescence}} - \lambda_{\text{pump}}$$

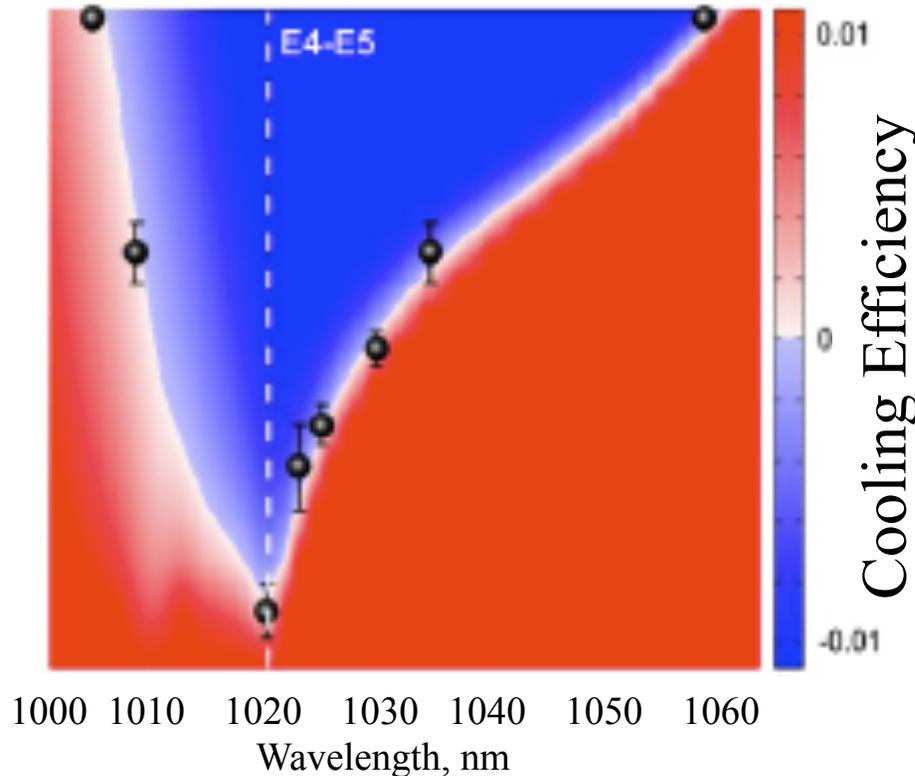
# Cooling in Yb: YLF to 110 K

Temp (K)

300

200

110



## PROBLEMS:

Need very pure sample

Non-radiative rate must be LOW

Low phonon energy material

Need laser to match absorption

No tunability

**CANNOT USE  
SIMPLE DOPED  
GLASSES!**

$$CE = P_{\text{cool}}/P_{\text{absorbed}}$$

D.V. Seletskiy, et al., J. Lumin. (2011), doi:10.1016/j.jlumin.2011.09.045

# Laser Cooling: The Challenges

- MATERIAL REQUIREMENTS:
  - Low Phonon Energy glasses:  $E_g > 8$  phonons
  - Very high purity of materials
  - High quantum efficiency of fluorescence
  - Low background absorption
  - Low NON-RADIATIVE decay rates
  - Low Auger recombination rates
  - Pump wavelength selection
  - Reabsorption of Fluorescence

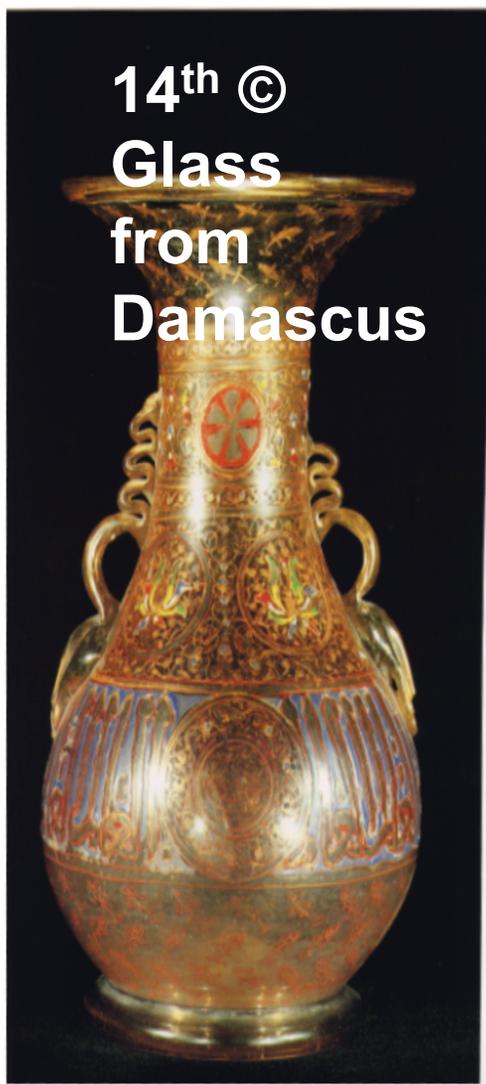
# Cooling with QDs

- How can we improve cooling efficiency?
  - Through reduced radiative lifetime of the excited level
- How to improve the figure of merit?
  - By increasing the absorption cross section
- How can we use new materials with higher phonon energy as hosts?
  - When we reduce the radiative lifetime
- How to tune the absorption properties of material?

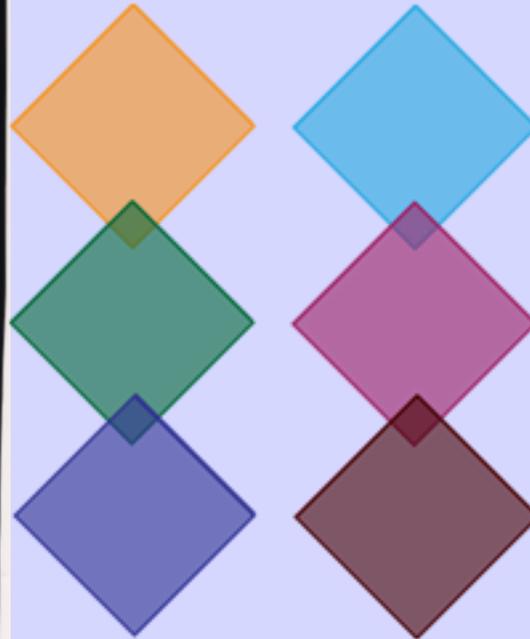
➤ Solution: **quantum dots (artificial atoms)**

G. Nemova and R. Kashyap, "Laser cooling with PbSe colloidal quantum dots," *Journal of the Optical Society of America B: Optical Physics*, vol. 29(4), pp. 676-682 (2012).

# Quantum Dots and Size Dependent Effects



## QD Doped Filter



## 15<sup>th</sup> © QD Stained Glass Windows

**The First Nanotechnologists**  
Ancient stained-glass makers knew that by putting varying, tiny amounts of gold and silver in the glass, they could produce the red and yellow found in stained-glass windows. Similarly, today's scientists and engineers have found that it takes only small amounts of a nanoparticle, precisely placed, to change a material's physical properties.

| Gold particles in glass                            |  | Silver particles in glass                          |  |
|--|--|--|--|
| Size*: 25 nm<br>Shape: sphere<br>Color reflected:  |  | Size*: 100 nm<br>Shape: sphere<br>Color reflected: |  |
| Size*: 50 nm<br>Shape: sphere<br>Color reflected:  |  | Size*: 40 nm<br>Shape: sphere<br>Color reflected:  |  |
| Size*: 100 nm<br>Shape: sphere<br>Color reflected: |  | Size*: 100 nm<br>Shape: prism<br>Color reflected:  |  |

Had medieval artists been able to control the size and shape of the nanoparticles, they would have been able to use the two metals to produce other colors. Examples:

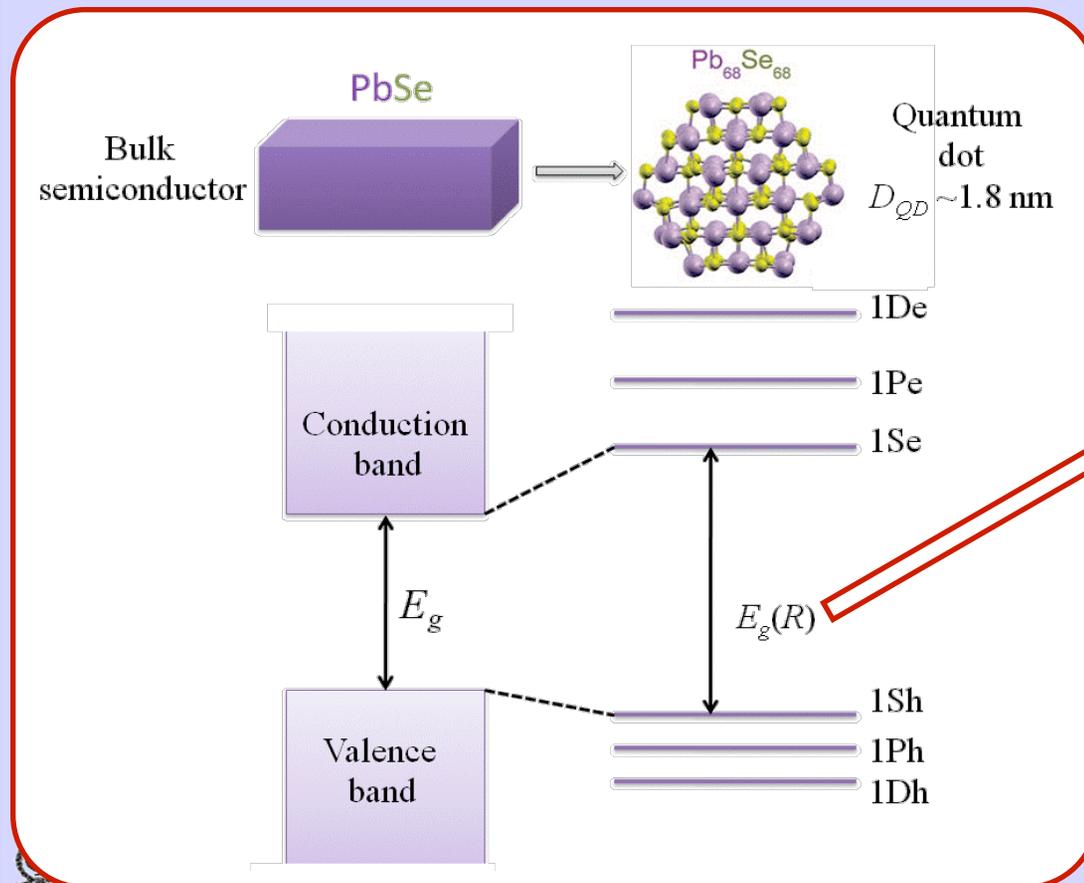
Source: Dr. Chad A. Mirkin, Institute of Nanotechnology, Northwestern University \*Approximate

# Quantum dots (*artificial atoms*)

- Small size semiconductor (of order exciton Bohr radius) becomes a quantum dot (QD)
- States become quantized

- Bulk semiconductors have fixed band-gaps – material structure dependent.

- QD band gap becomes a function of the size of the QD.



$$E_g(R) = E_g + \frac{2\hbar^2 \pi^2}{m_{eh} D_{QD}^2},$$

where  $m_{eh} = m_e m_h / (m_e + m_h)$ ,

$m_e$  = effective mass of electron

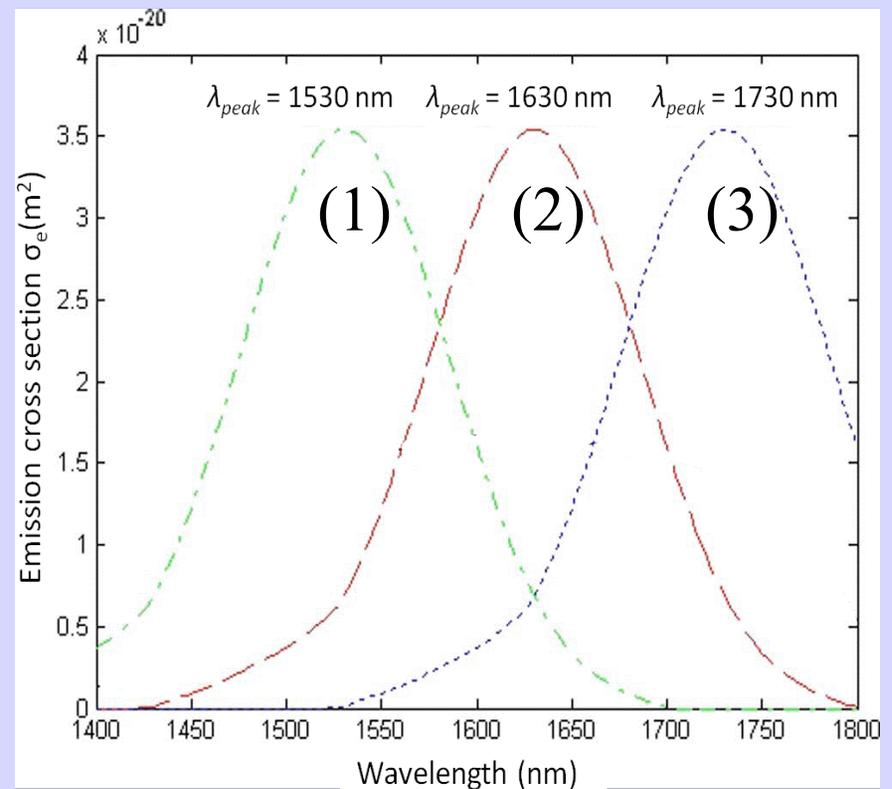
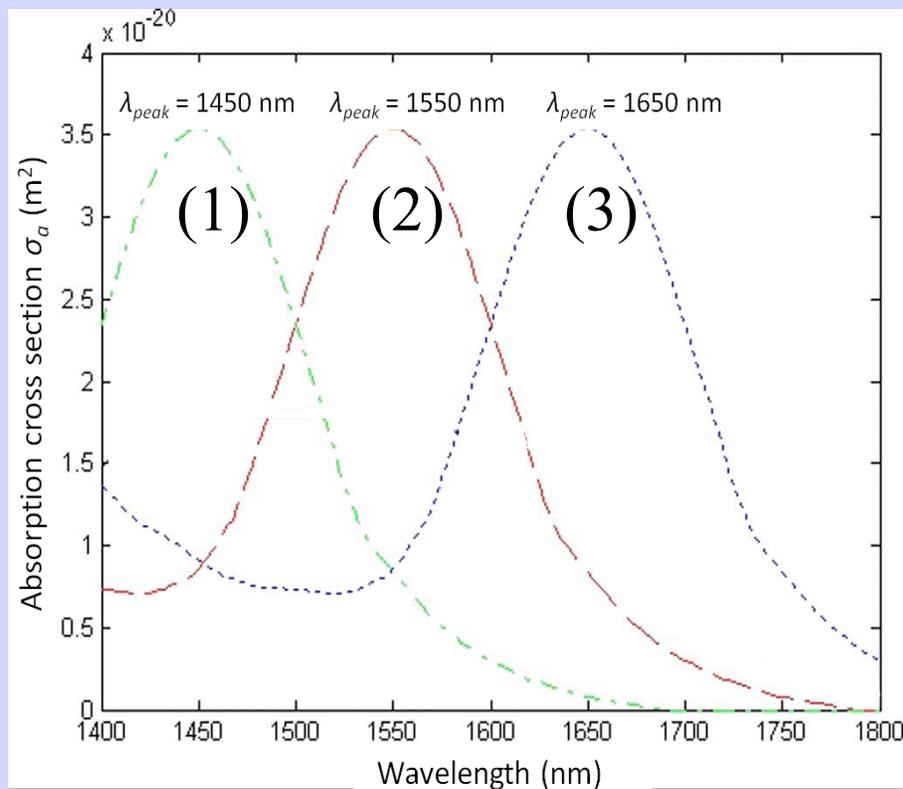
$m_h$  = effective mass of hole

$D_{QD}$  = diameter of QD

# Advantages of PbSe QDs for cooling

- Lead-salt QDs (e.g. PbS, PbSe, and PbTe) have **strong quantum confinement**
- Exciton Bohr radius of a PbSe QD is **huge**:  $a_{exc} = 46\text{nm}$
- Due to **high permittivity** ( $\epsilon = 23$ ) + **small effective masses** ( $< 0.1m$ ) of electron/hole, where  $m =$  rest mass of the electron
- Radiative lifetime of 1Sh level of the PbSe QDs doped glass is **microseconds**
- Radiative lifetime of rare-earth (RE) ions is **milliseconds (1000x)**
- Size of QDs, as artificial atoms, changes distance between the 1Se and 1Sh levels to **match any available pump sources**
- **Large absorption** cross section makes QDs very attractive for laser cooling

# Cooling with quantum dots (spectra)



$$D^{(1)}_{QD} \approx 5.0 \text{ nm},$$
$$D^{(2)}_{QD} \approx 5.5 \text{ nm},$$
$$D^{(3)}_{QD} \approx 6 \text{ nm}.$$

**C. Cheng et al, *J. Lightwave. Technol*  
26, 1404-1410 (2008)**

Robodots  
CdSe QD  
with CdS, CdZnS and ZnS shell  
From Laval University

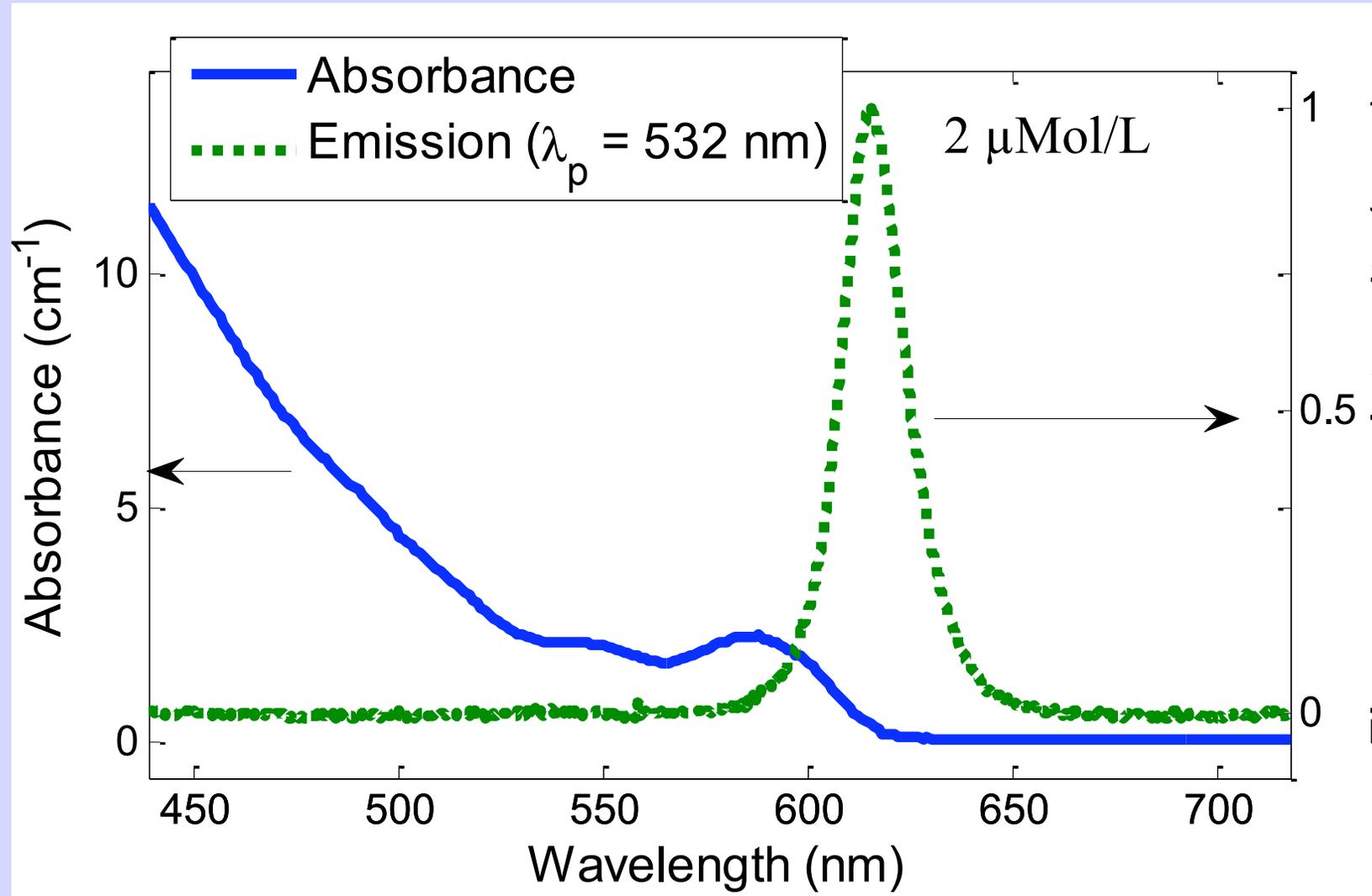


6-11 January 2013

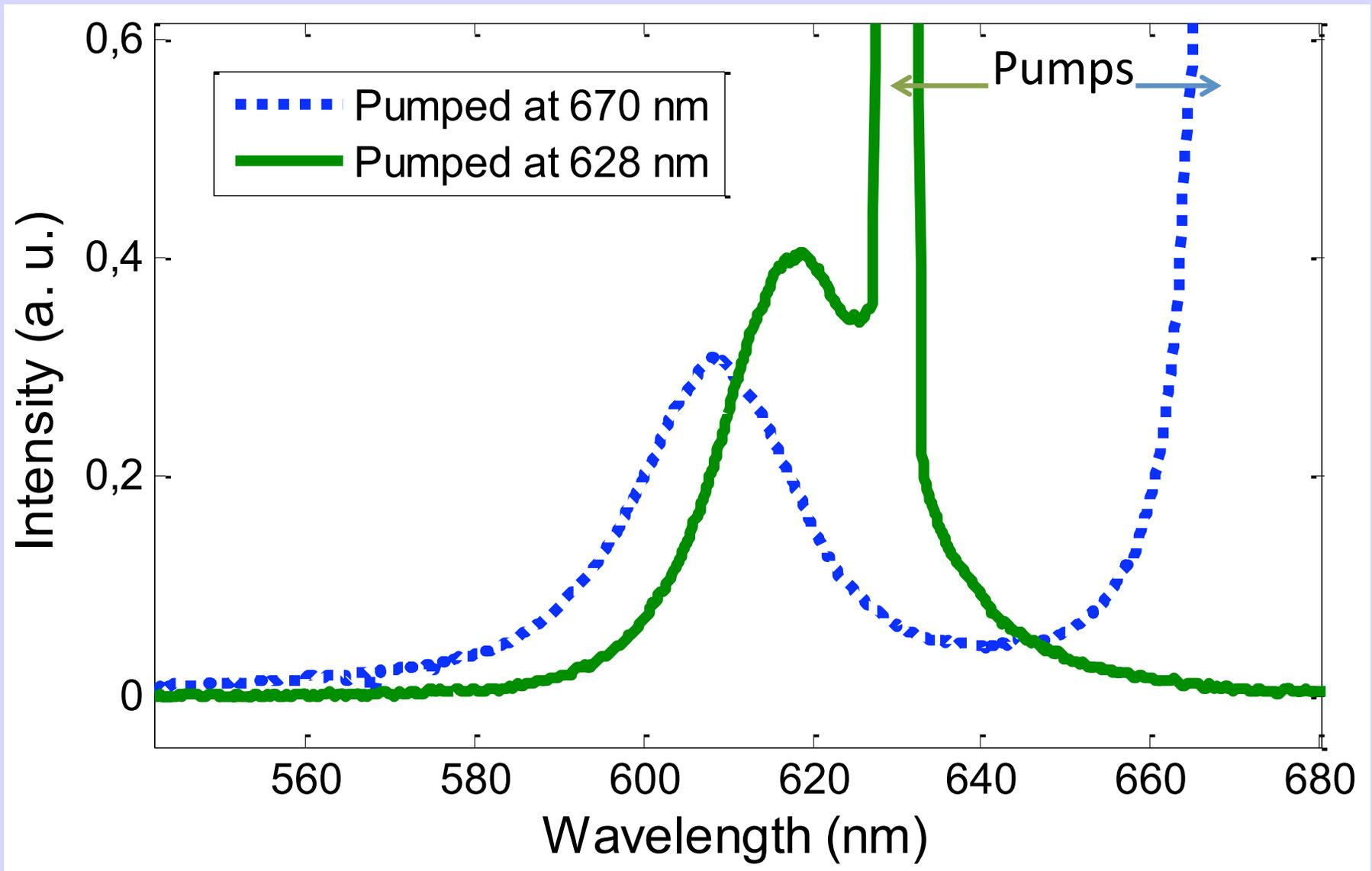
Raman Kashyap ECI Functional Glass Conference



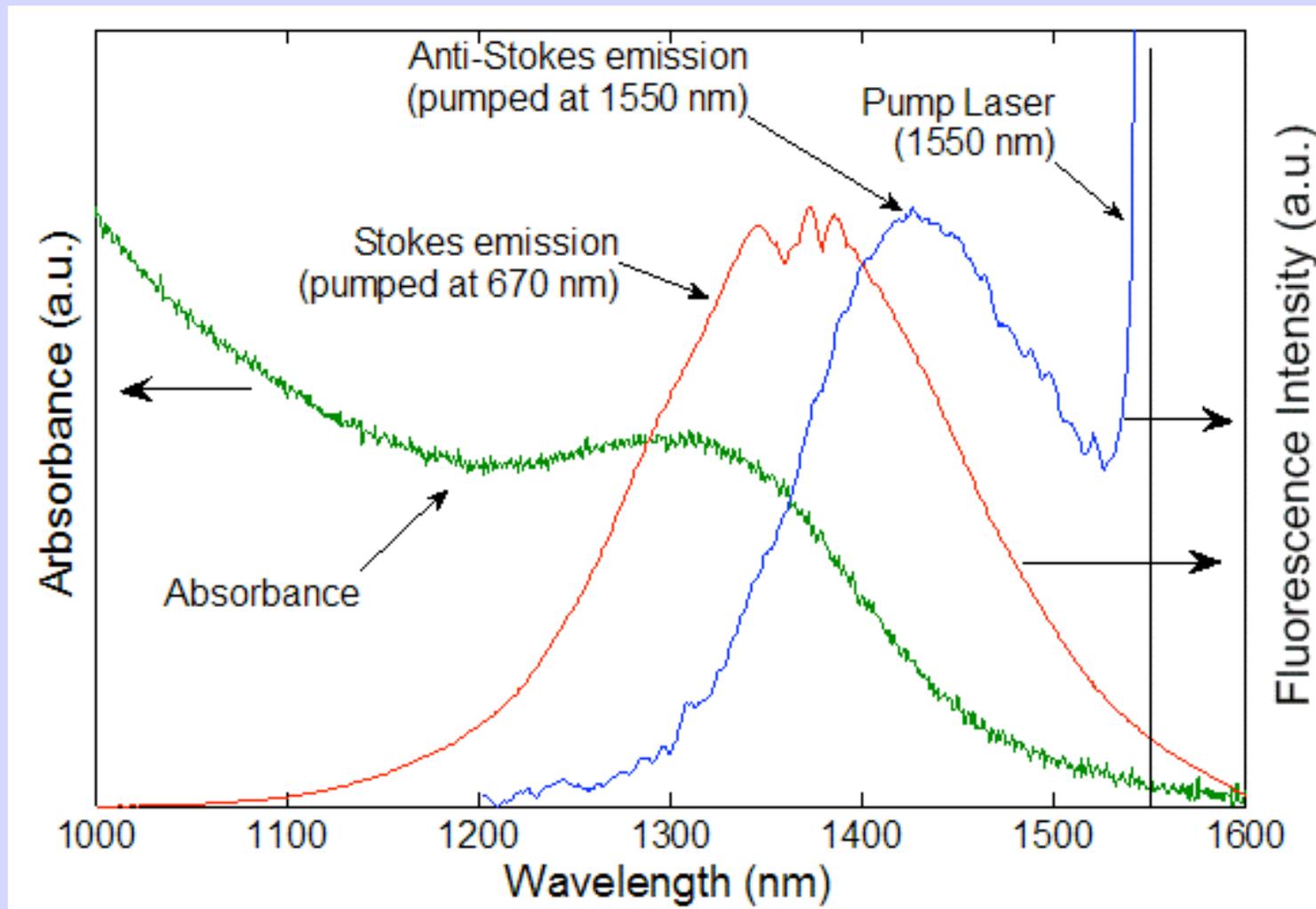
# Absorbance and emission spectrum



# Anti-Stokes emission in QDs



# Anti-Stokes Emission in PbS QDs in SNAB Glass



Sébastien Loranger, Antoine Lesage-Landry, Elton Soares de Lima Filho, Galina Nemova, Noelio O. Dantas, Paulo C. Morais, Raman Kashyap "Spectroscopic and life-time measurements of quantum dot doped glass for optical refrigeration: A feasibility study", Photonics West Feb 2013.

# Results

- Despite ~80% efficiency of QDs no Cooling yet!
- Work is progressing to change this with better QDs.

# Challenges with Semiconductor QDs

- High QE of passivated QDs in liquids >80%
- Excellent Control of QD size in liquids
- Control sizes to 1% in glass
- Reduce Non-radiative effects in glass
- Increase QE to 95% in glass
- Passivate QDs in glass host: Core-Shell?

# CONCLUSIONS AND SOME THOUGHTS FOR THE FUTURE

- Materials must have a *key* advantage over existing solutions
- It is not just necessary to be better in *one* respect
- Applications can be *very* demanding of material properties
- With the correct materials, photosensitivity must lead to:
  - Direct writing of interconnect....point to point/ multipoint
  - 3D-ICs: High density chips
- Bio-compatible photosensitive materials are needed
  - Integrated functionality for micro-fluidics and waveguides
- Need Techniques for Passivation of QDs in solids
- Bubble Formation in Optical Fibres for Nuclear Fusion.....???

# The Advanced Photonics Concepts Group



# Acknowledgements:

- Dr. Kivshar, *Australian National University, Canberra*
- Mr Shoji, *Department of Applied Physics, Osaka University*
- Prof. Tigran Galstian, U Laval, Canada
- Prof. Real Vallee, U Laval, Canada
- Dr Ravi Bhardwaj, NRC, Canada
- Prof. Hirao, Japan
- Prof. Peter Kazansky, U. Southampton

# Mille Grazie!

