

***Web Course***  
***Physical Properties of Glass***

***Glass Transformation-  
Range Behavior***

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# Glass Transformation-Range Behavior

- Structural relaxation and the glass transition
- Rheology and configurational entropy
- Thermal history effects on glass properties

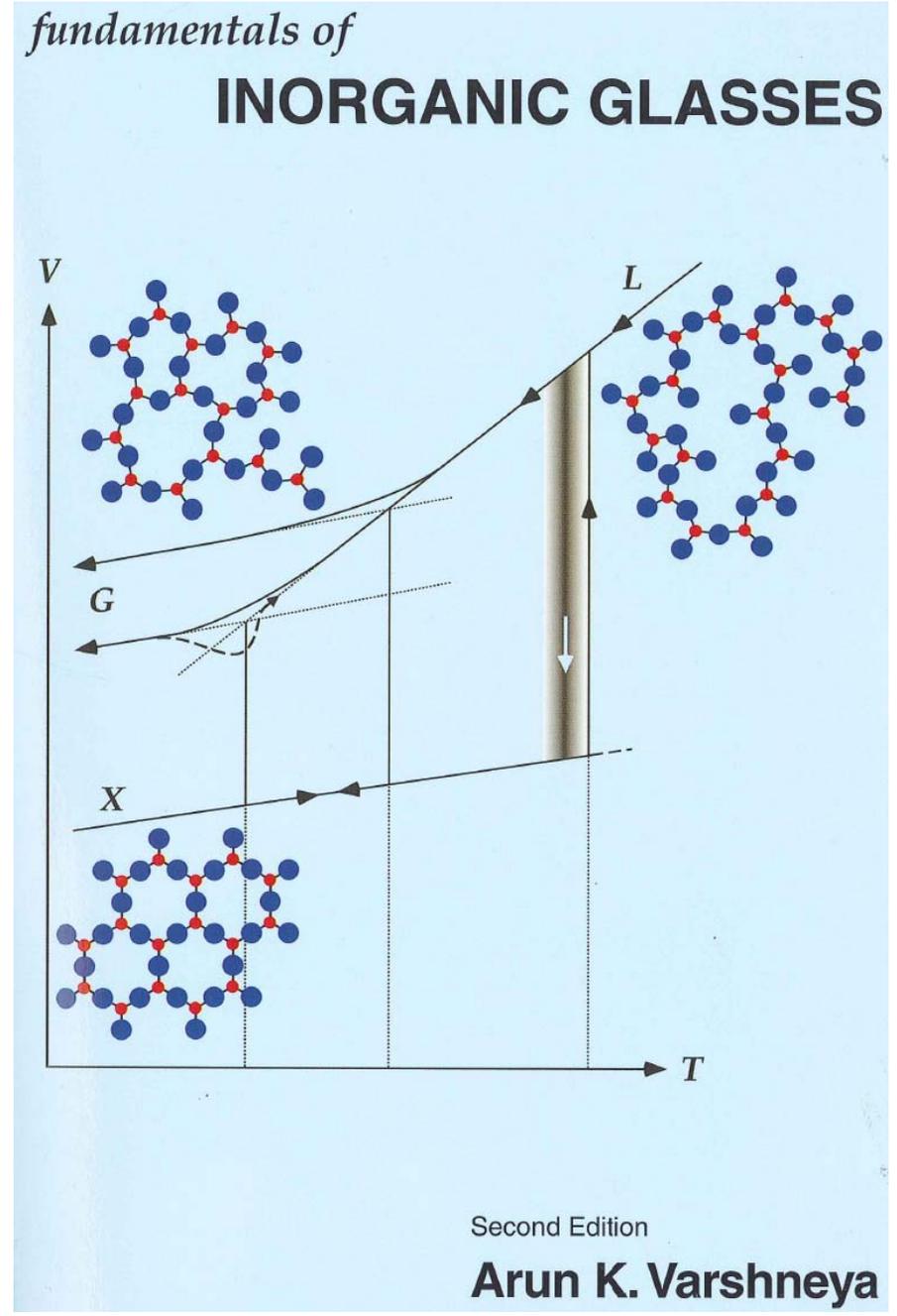
# Supplementary References on Glass transformation-range behavior

- Chapter 13 in ‘the good book’\*
- Structure, Dynamics and Properties of Silicate Melts, *Reviews in Mineralogy*, Vol. 32 (1995), ed. JF Stebbins, PF McMillan and DB Dingwell (Mineralogical Society of America)
  - CT Moynihan, Chap. 1, Structural relaxation and the glass transition
    - CT Moynihan et al, “Dependence of the Fictive Temperature of Glass on Cooling Rate,” *J. Amer. Ceram. Soc.* **59** 12 (1976)
  - DB Dingwell, Chap. 2, Relaxation in silicate melts
  - P Richet and Y. Bottinga, Chap. 3, Rheology and configurational entropy of silicate melts
- GW Scherer, Glass formation and Relaxation, Chap. 3 in *Materials Science and Technology*, Vol. 9, ed. J. Zarzycki, VCH, 1991.

\*AK Varshneya, *Fundamentals of Inorganic Glasses*, 2<sup>nd</sup> Ed (2006)

# Why should we care?

- Glass properties depend on thermal history
- The nature of the glass transition is 'the deepest and most interesting unsolved problem in solid state theory'  
-Philip W. Anderson, 1995

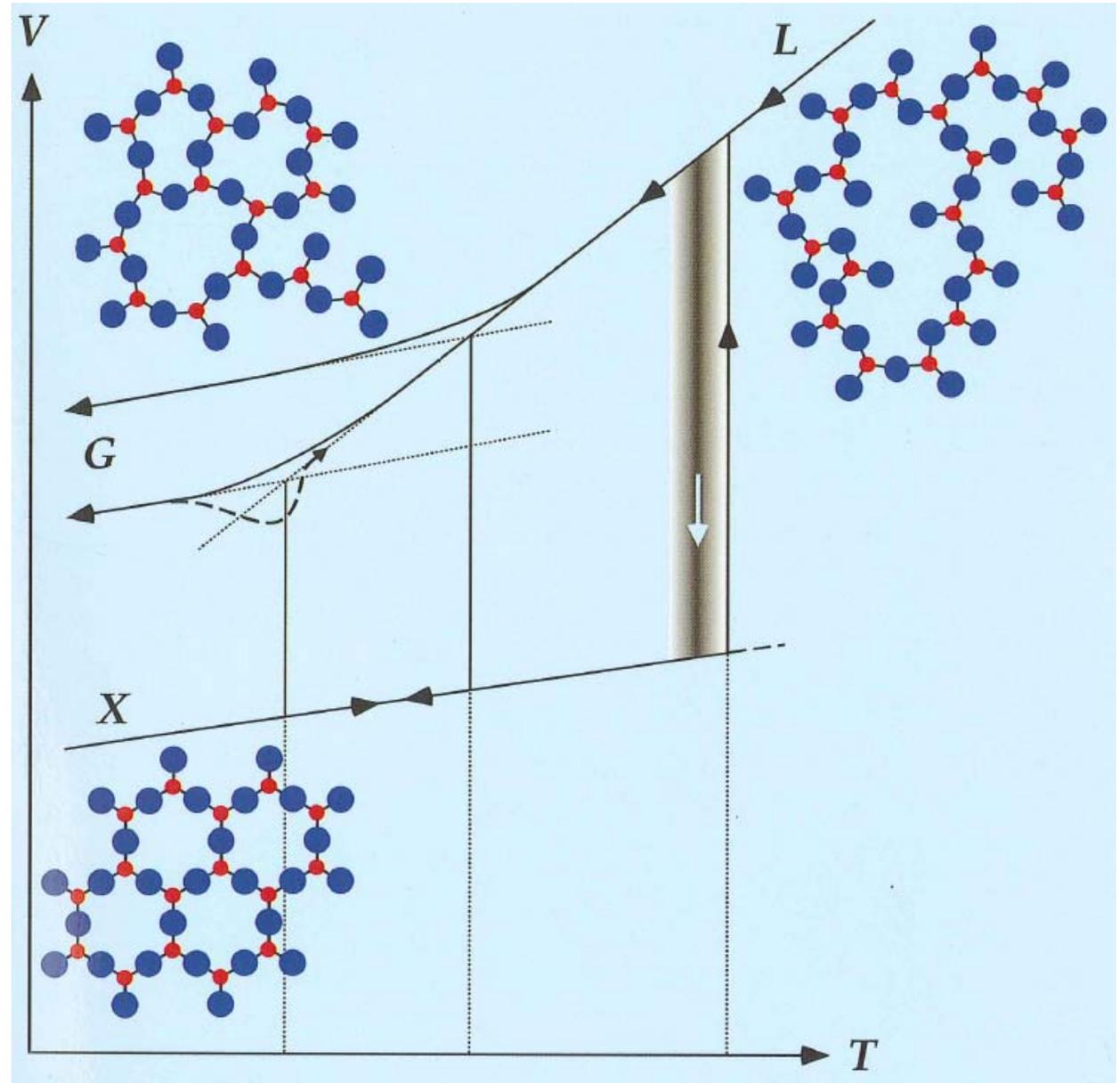


# ***Class Exercise- Consider the question 'What is the glass transition?'***

# ***Structural relaxation and the glass transition***

## ***Glass transition:***

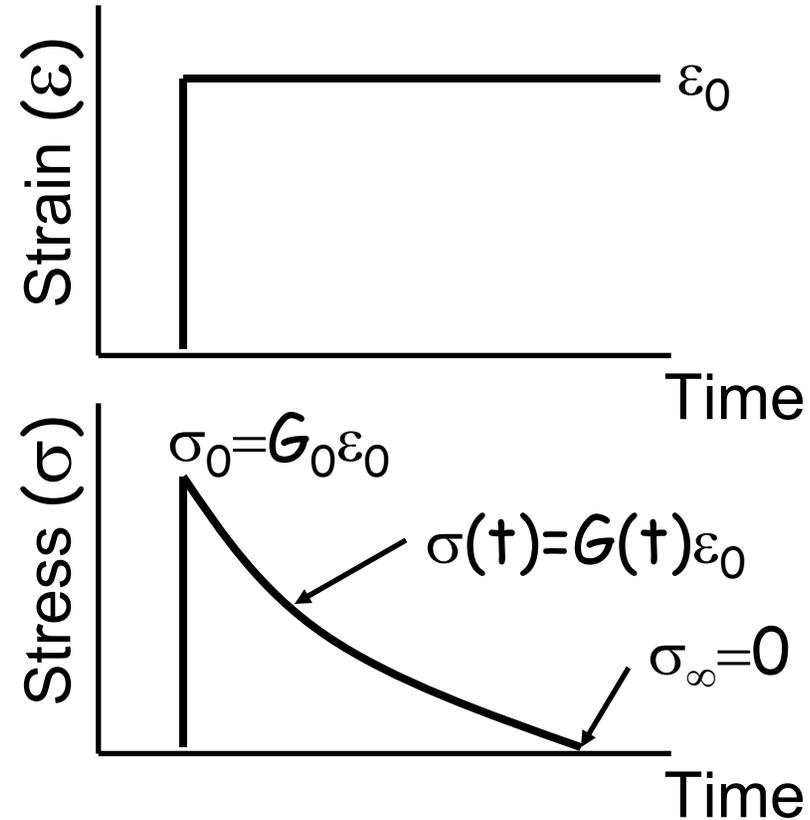
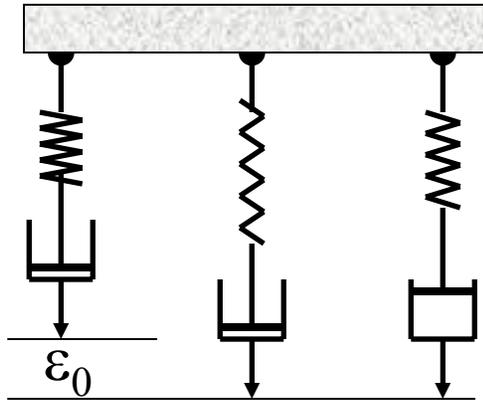
- Changes in the structure of a super-cooled liquid 'fall out of equilibrium' as the liquid is cooled
- Relaxation time is long compared with the observational time
- Properties (including  $T_g$ ) depend on thermal history; viz., quench rate through the glass transition region



# Structural relaxation

- Average structure specifies thermo-dynamic state of the liquid (T,P,V specified)
  - At equilibrium, average structure is time-independent
- Relaxation involves breaking/remaking network bonds
  - Dynamic equilibrium
  - Viscous characteristics of super-cooled liquid
  - Contribute to  $\Delta H$ ,  $\Delta S$ ,  $\Delta V$  of the liquid as  $f(T,P)$
- Structural relaxation rate decreases with decreasing temperature

# Stress Relaxation- Maxwell Model



Mathematical form:

$$\sigma_t = \sigma_0 \exp(-Gt/\eta)$$

$G$  = shear modulus (Pa)

$(\eta/G) = \tau$ : time for stress to

decay to  $(1/e) \sigma_0 = (0.367 \sigma_0)$

= Relaxation Time

$$\sigma_t = \sigma_0 \exp(-t/\tau)$$

← Exponential relaxation curve

# Voigt-Kelvin Element- Anelastic behavior

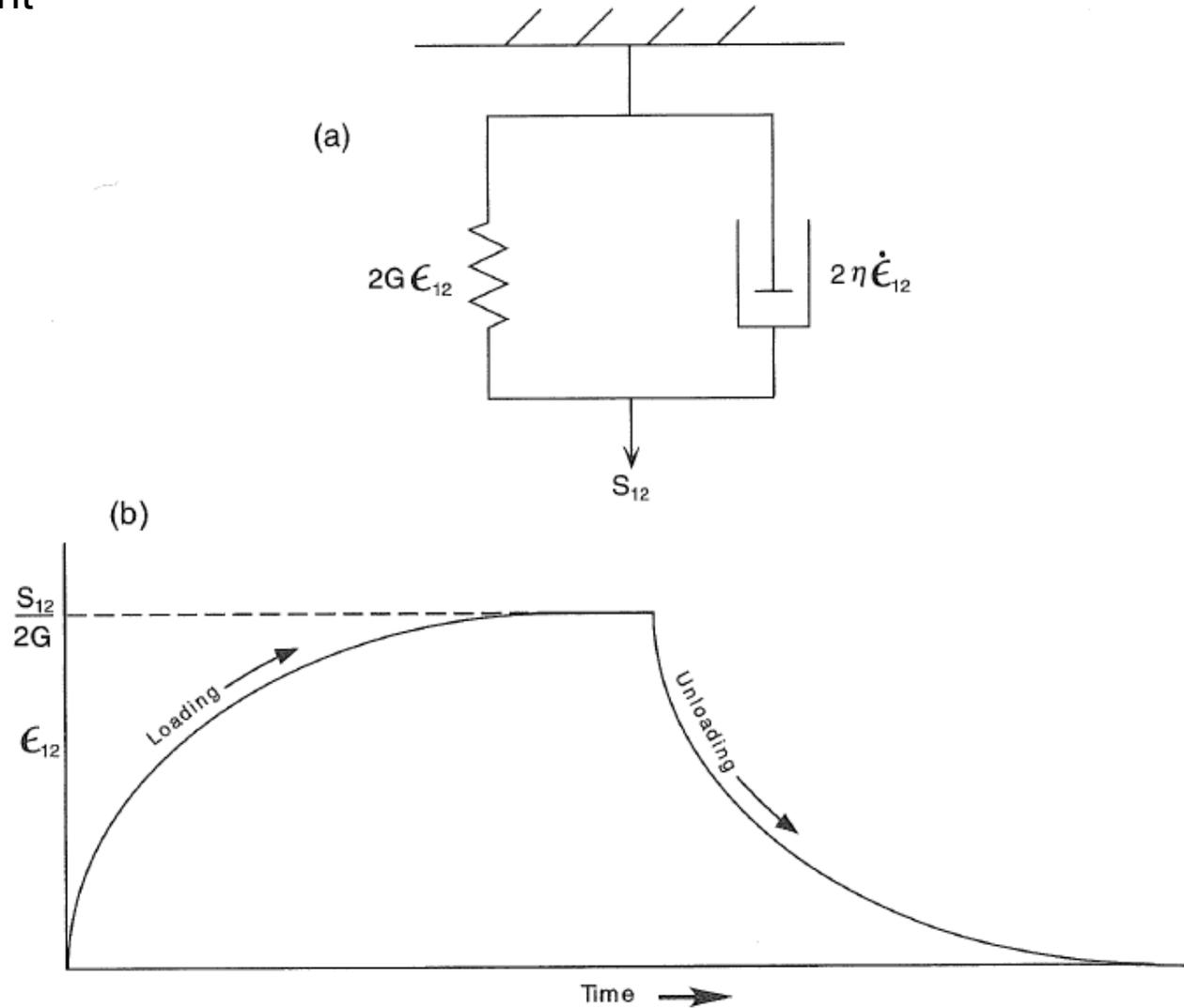
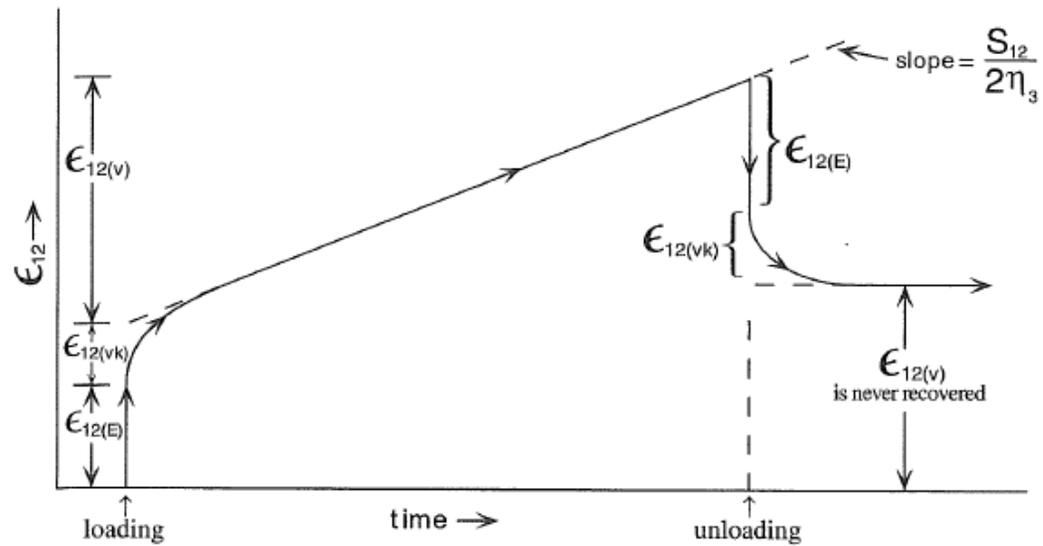


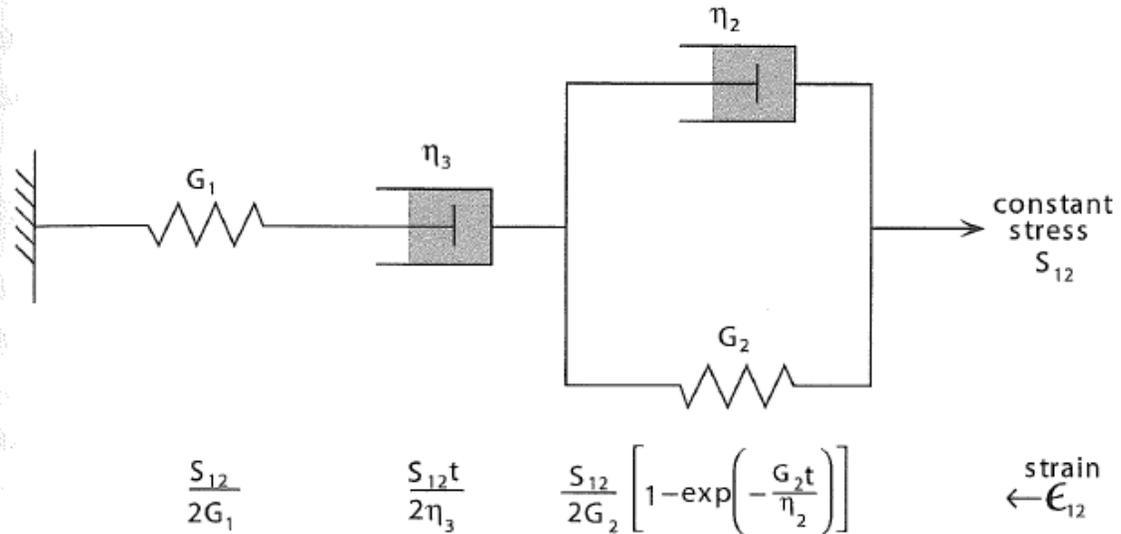
Figure 13-7. Voigt-Kelvin element. (a) Its composition. (b) Response after the application of a constant stress.

Burger element-  
permanent  
deformation

Note: viscoelastic  
substances may be  
modeled by a  
distribution of  
mechanical elements  
representing a  
distribution of structural  
features



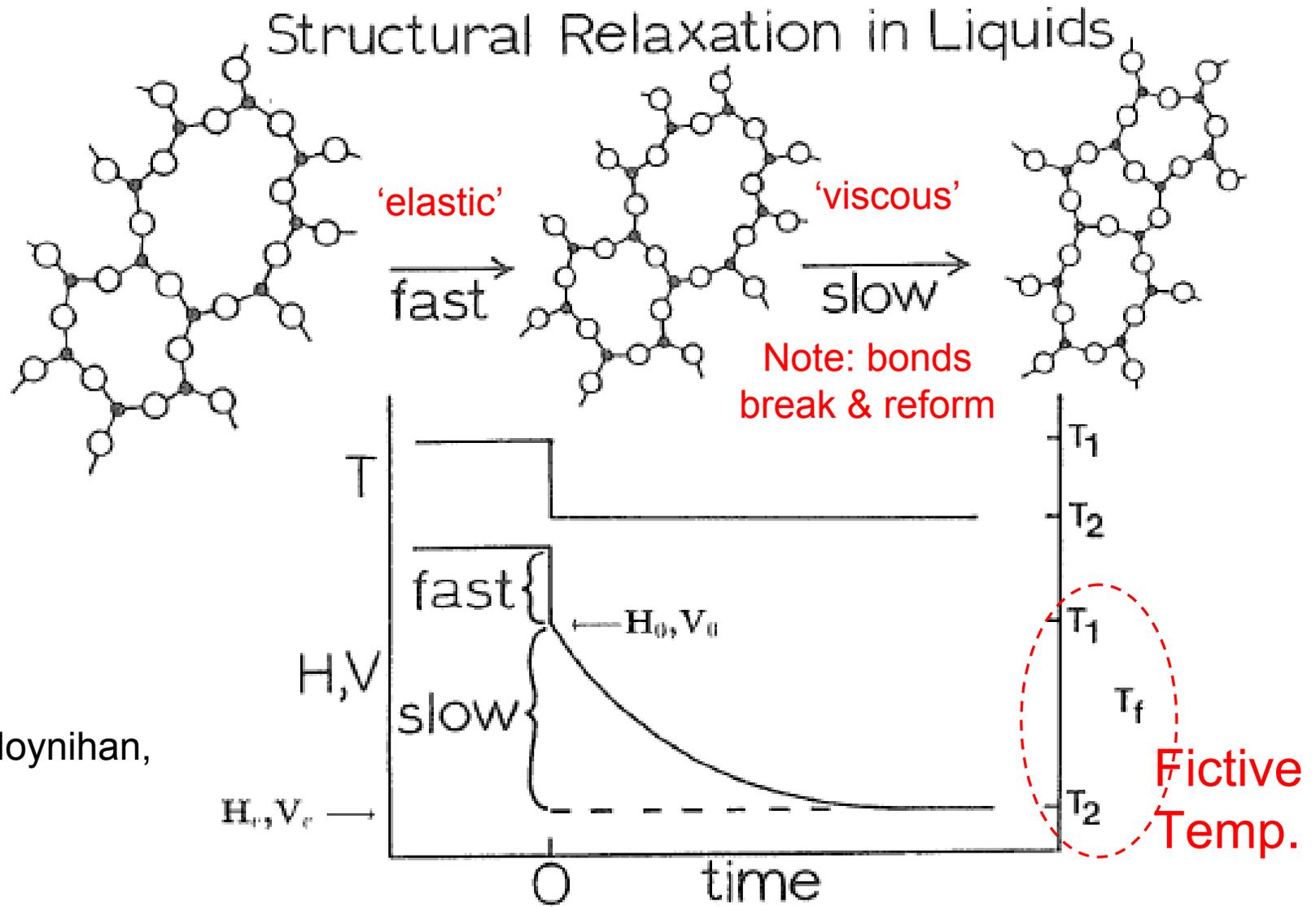
(a)



(b)

Figure 13-8. Delayed elasticity. (a) Variation of strain with time after loading and unloading. (b) Burger element.

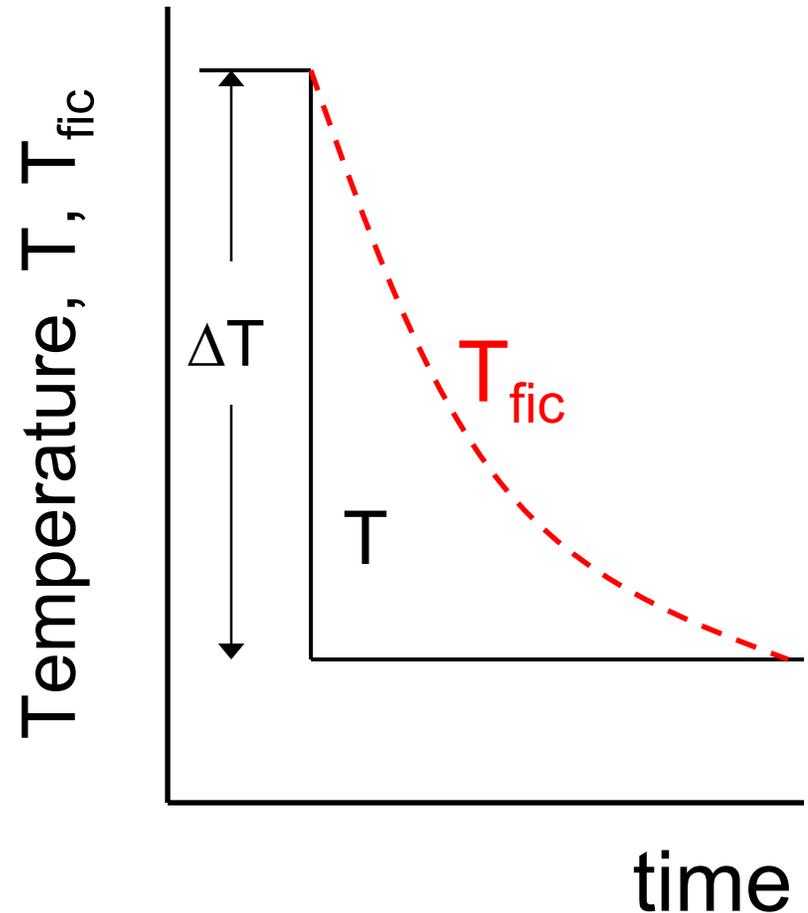
# Consider isothermal relaxation after $\Delta T$



From Moynihan,  
1995

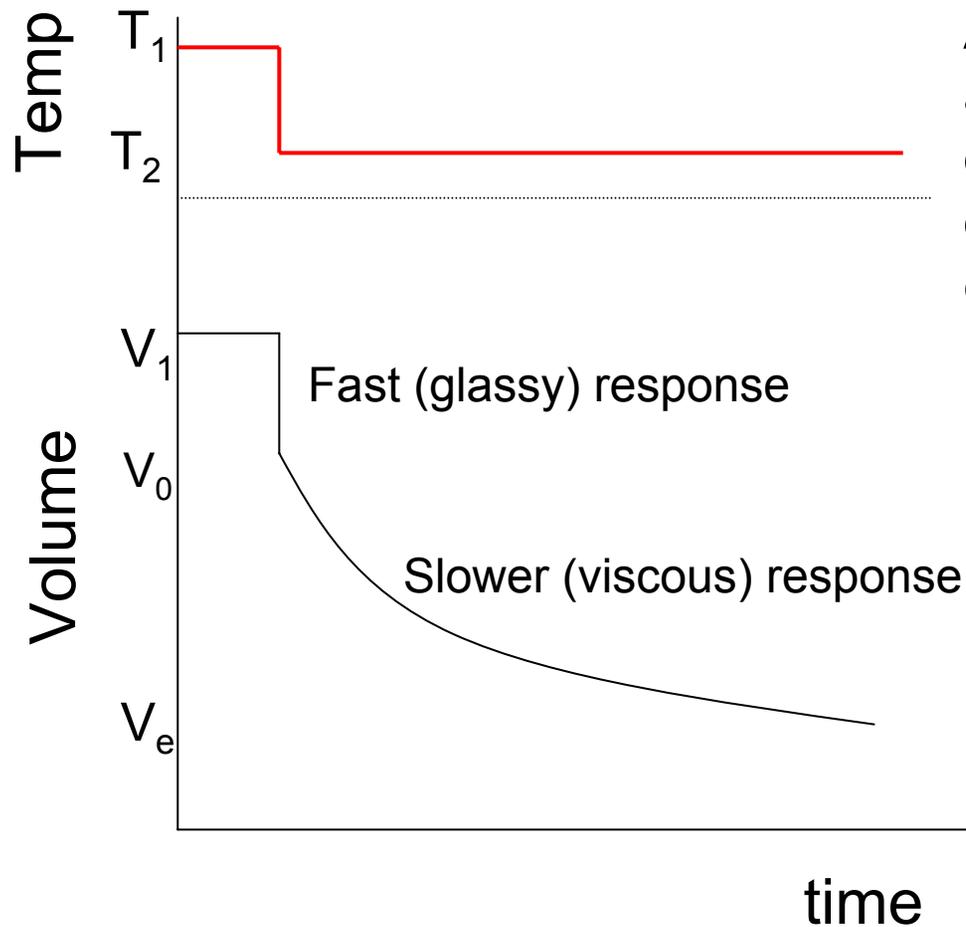
# ***Fictive Temperature***

- Introduced by Tool, NBS (1946)
- Describes the contribution of structural relaxation to a property, expressed in units of temperature
- In equilibrium:  $T_{\text{fic}}=T$  and  $dT_{\text{fic}}/dT=1$
- For glass with ‘frozen structure’:  $T_{\text{fic}}=\text{const.}$  and  $dT_{\text{fic}}/dT=0$
- *Not a fundamental property, but a conceptual view*



*Fictive: feigned, sham (from fiction)*

# Relaxation Time



Assumption 1: the rate of structural relaxation is described by a characteristic relaxation time,  $\tau$

Assumption 2: rate at which volume approaches equilibrium defined by first order rate constant ( $k=1/\tau$ ) and depends on deviation of volume from the new equilibrium value (at  $T_2$ ):

$$\frac{d(V - V_e)}{dt} = k(V - V_e)$$

$$\Phi(t) = \frac{V - V_e}{V_0 - V_e} \equiv \frac{T_{fic} - T_2}{T_1 - T_2}$$

$$\Phi(t) = \exp(-kT) = \exp\left(\frac{-t}{\tau}\right)$$

$\Phi(t)$  is a relaxation function

$\Phi(t) = 1$  at  $t=0$ ,  $\Phi(t) = 0$  at  $t=\infty$

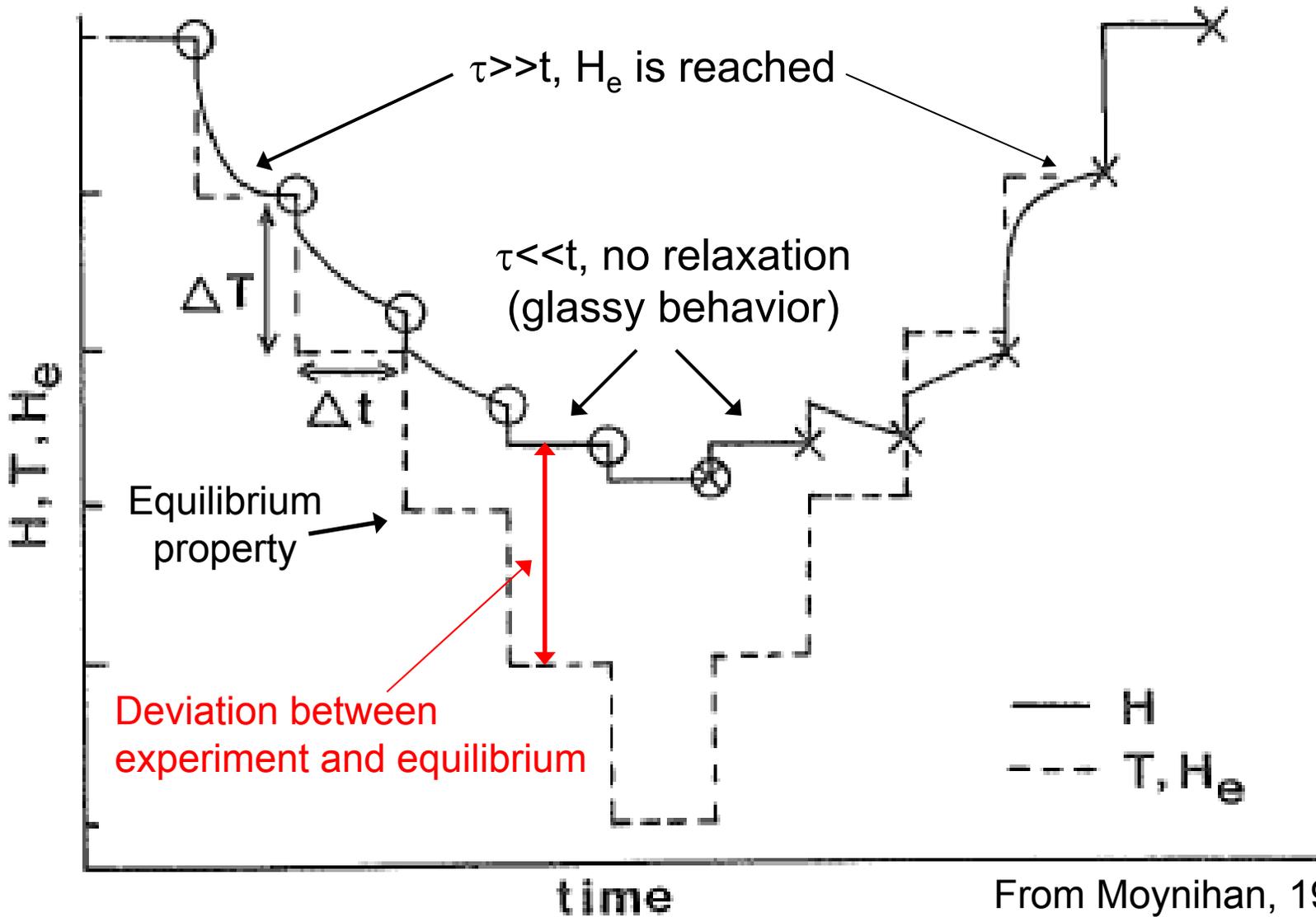
Assumption 3: for small departures from equilibrium and small  $\Delta T$ , the temperature dependence of  $\tau$  is described by the Arrhenius relationship:

$$\tau = \tau_0 \exp(\Delta H^*/RT)$$

where  $\Delta H^*$  is the activation enthalpy

$\Delta H^* > 0$ , so as  $T$  decreases,  $\tau$  increases and the *rate* of structural relaxation decreases

# Relaxation during heating and cooling



From Moynihan, 1995

Some observations:

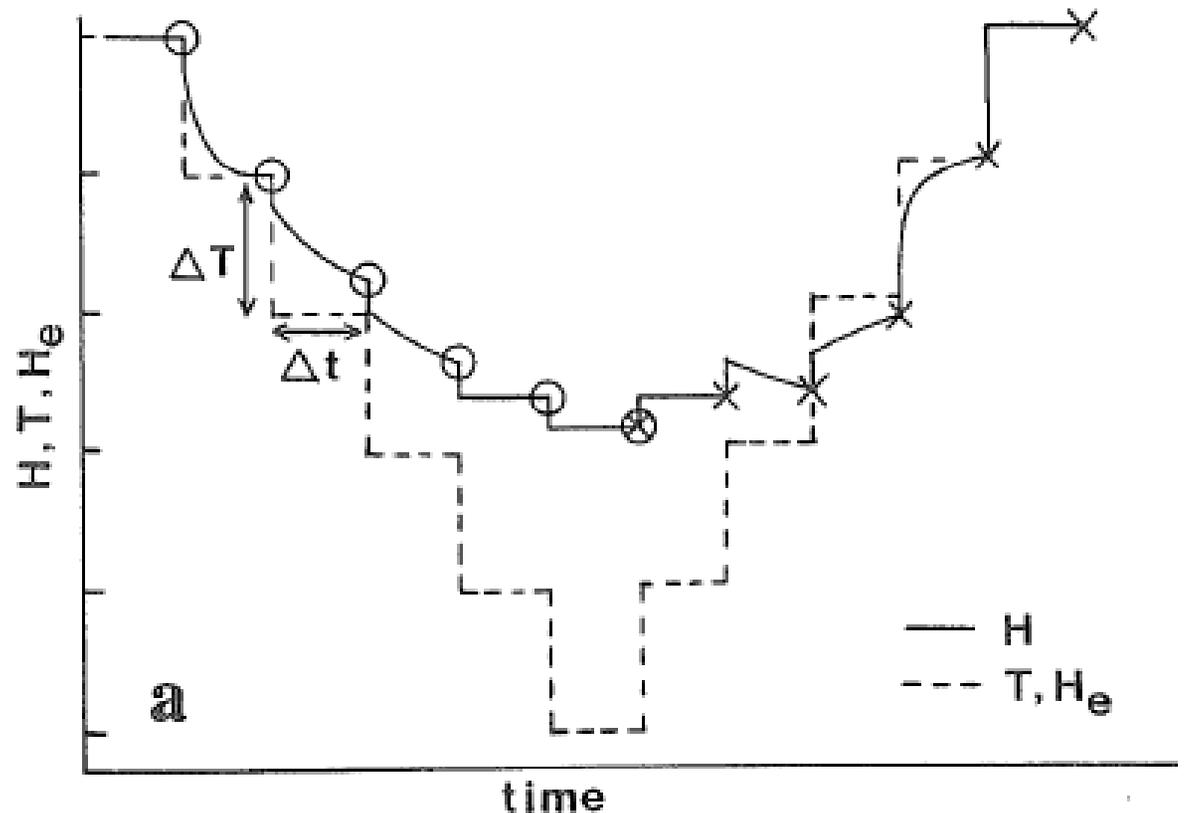
On cooling,  $H > H_e$  after  $\Delta t$

On heating,  $H < H_e$  after  $\Delta t$

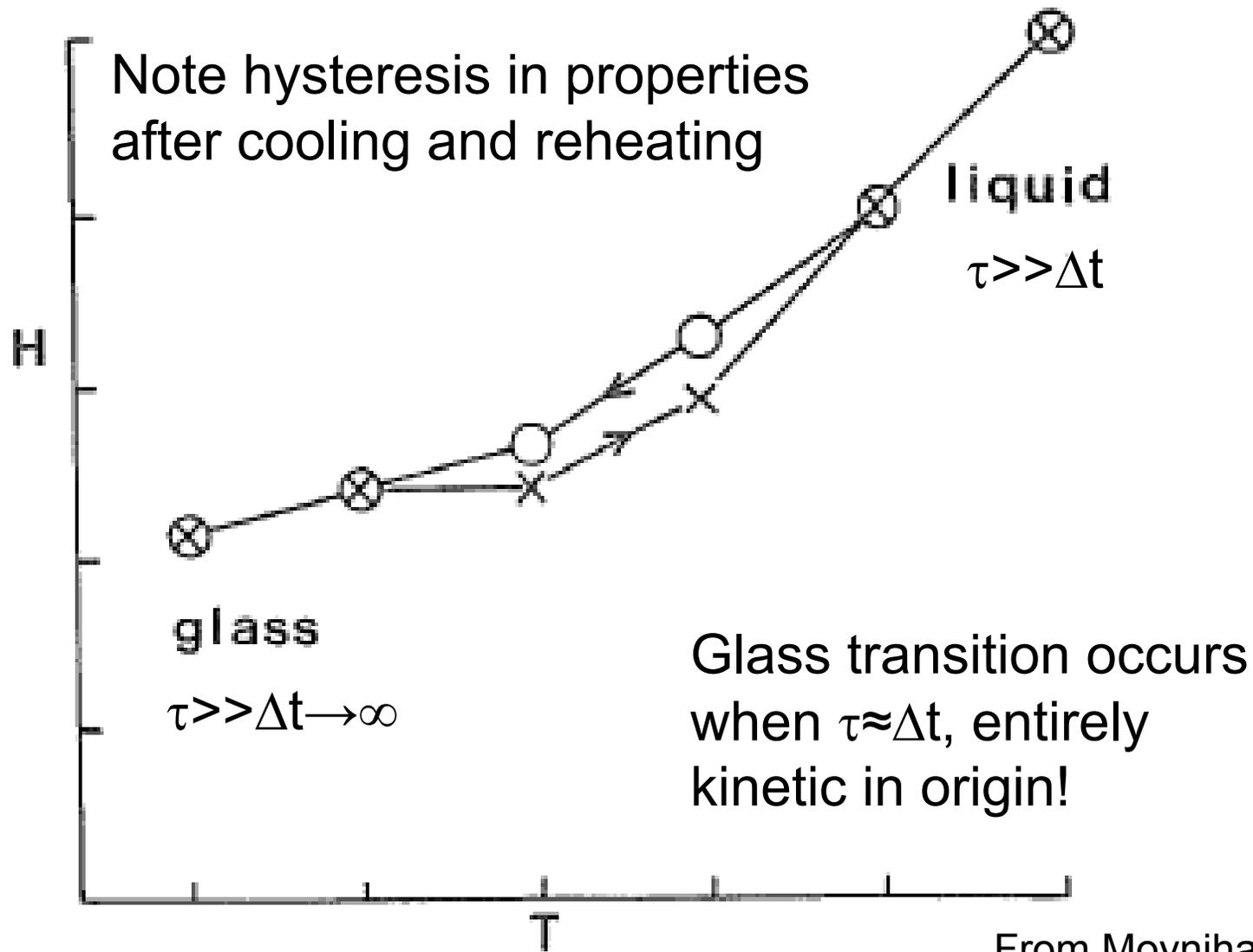
Note on heating:  $H$  initially *decreases* when approaching  $H_e$ , then *increases* at greater temperatures

cooling/heating rates are defined by  $q = dT/dt$ ;  
series of 'isothermal' holds for  $\Delta t = \Delta T/q$

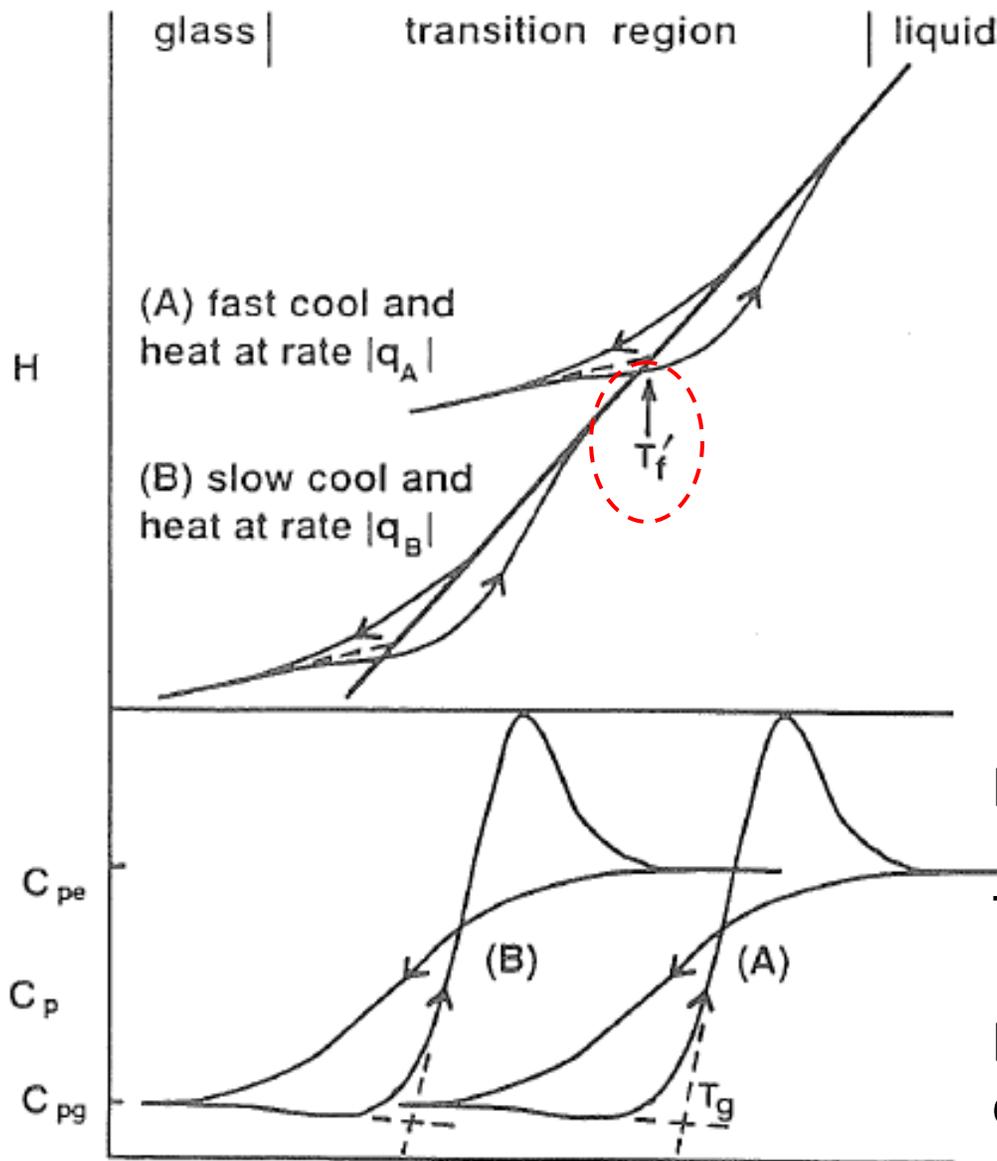
Information about relaxation time can be obtained by measuring property changes at different 'q'....



From Moynihan, 1995



From Moynihan, 1995



Fast cooling rate: 'fall out of equilibrium' at greater temperature (shorter relaxation time), greater limiting fictive temperature ( $T_f'$ )- the intersection of the H-values for the glass and liquid

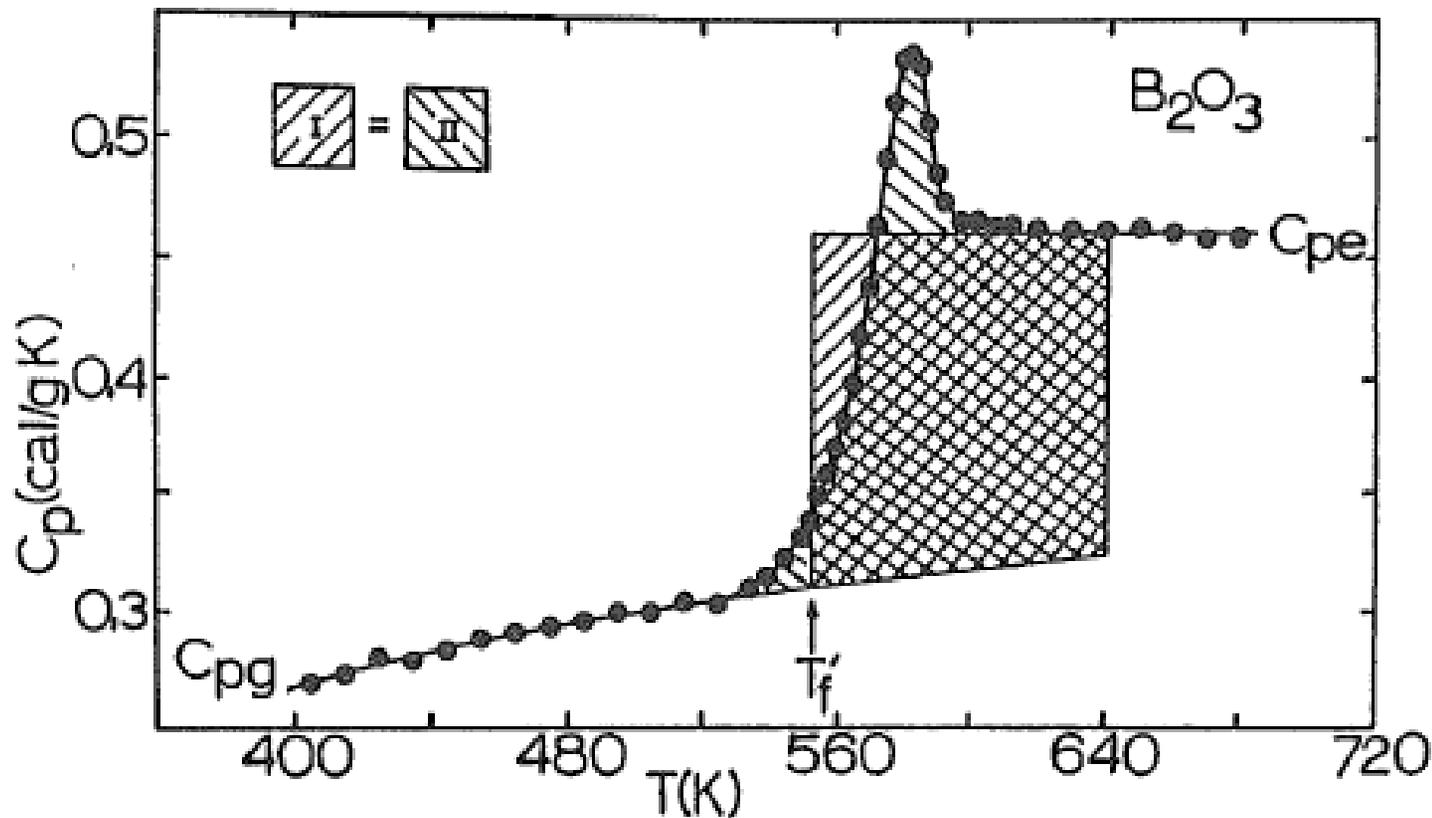
Recall Heat Capacity  $C_p = dH/dT$

$T_g$  is 'observed' when  $t \approx \Delta t = \Delta T/q$

Note: sigmoidal shape of  $C_p(T)$  is a consequence of the hysteresis in the  $\Delta H/\Delta T$  due to the relaxation kinetics

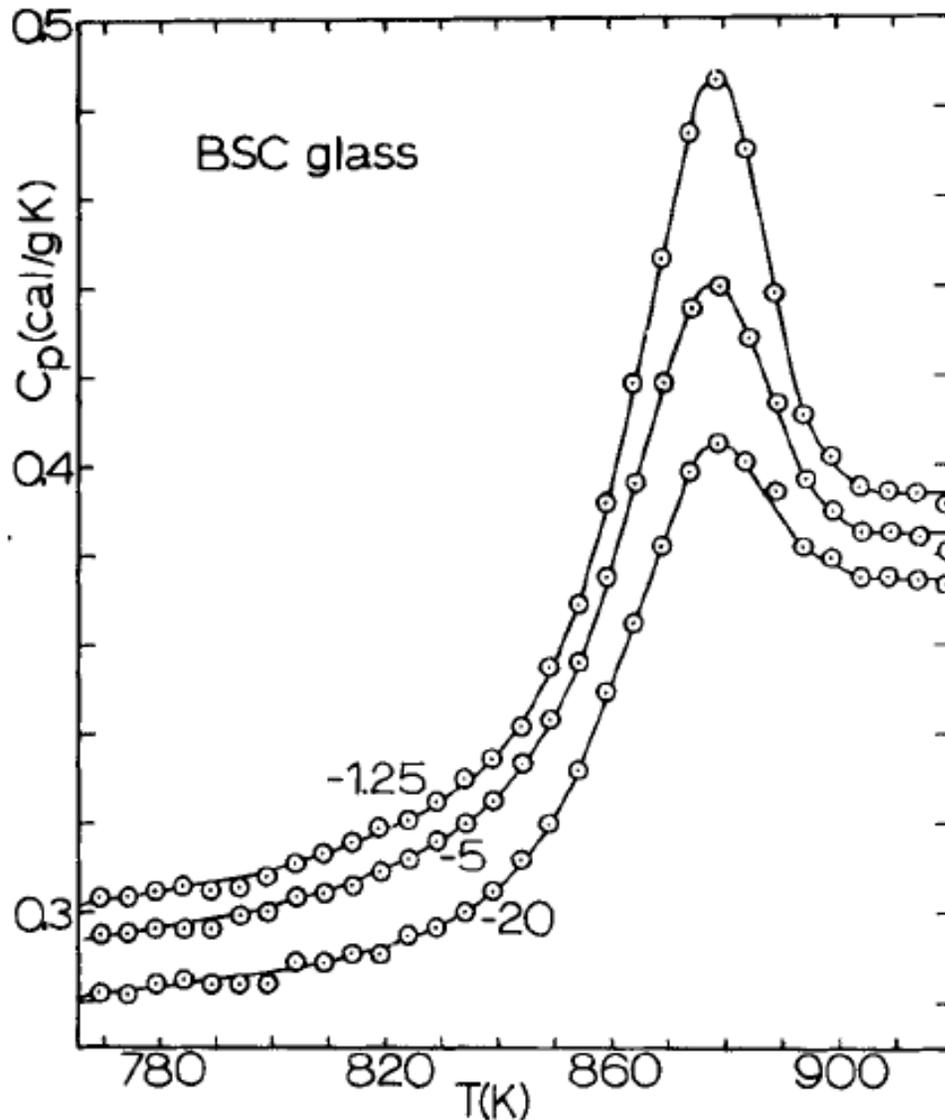
From Moynihan, 1995 *Temperature*

# Measuring the 'limiting fictive temperature'- one measure of $T_g$



$$\int_{T \gg T_g}^{T_f'} (C_{p(e)} - C_{p(gl)}) dT = \int_{T \gg T_g}^{T \ll T_g} (C_p - C_{p(gl)}) dT$$

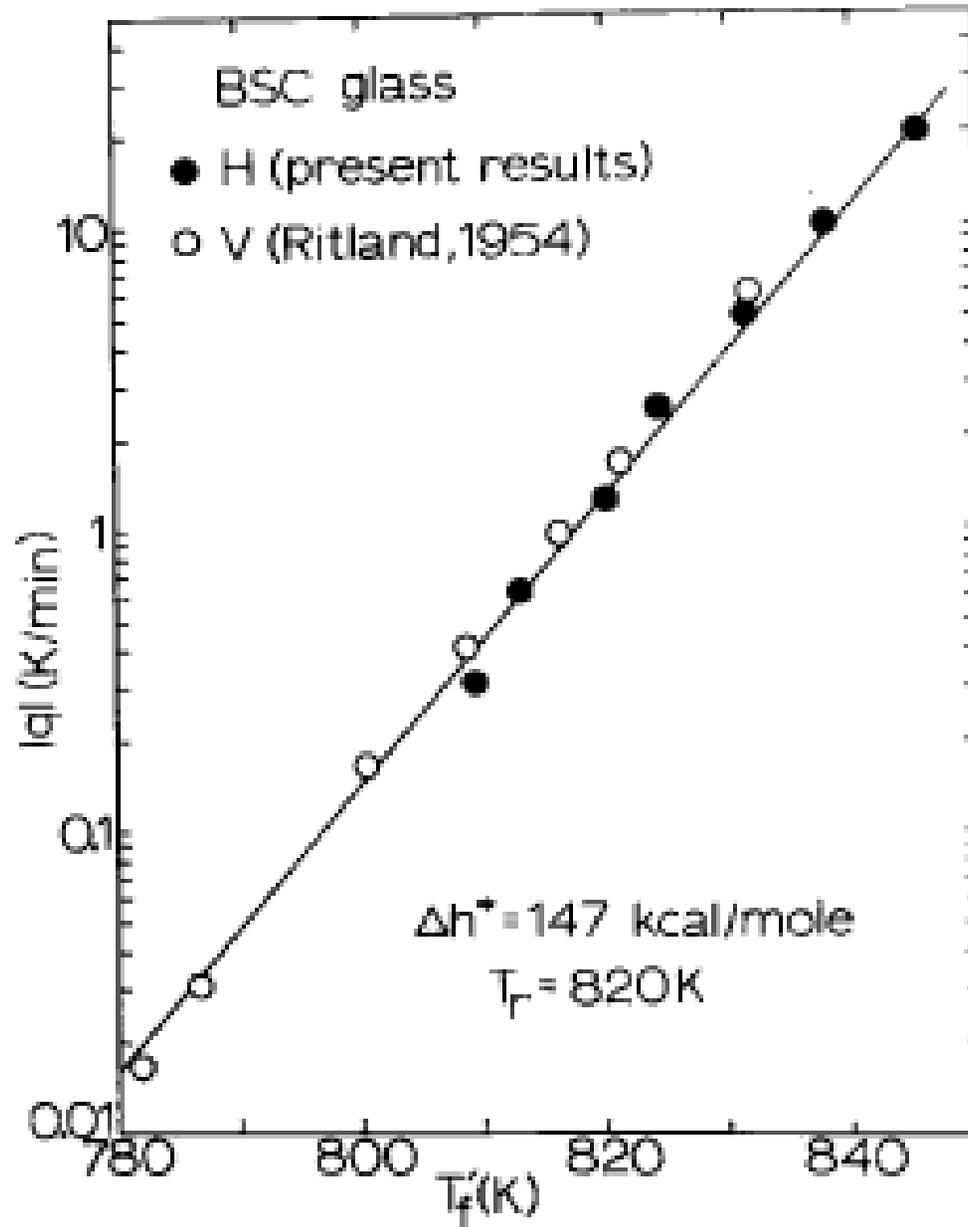
# The glass transition temperature depends on the thermal history



Borosilicate crown glass

$T_g$  (and  $T_{fic}$ ) increases with increasing quench rates ( $q_c$ )

CT Moynihan, et al., JACerS, 59 12 (1976)

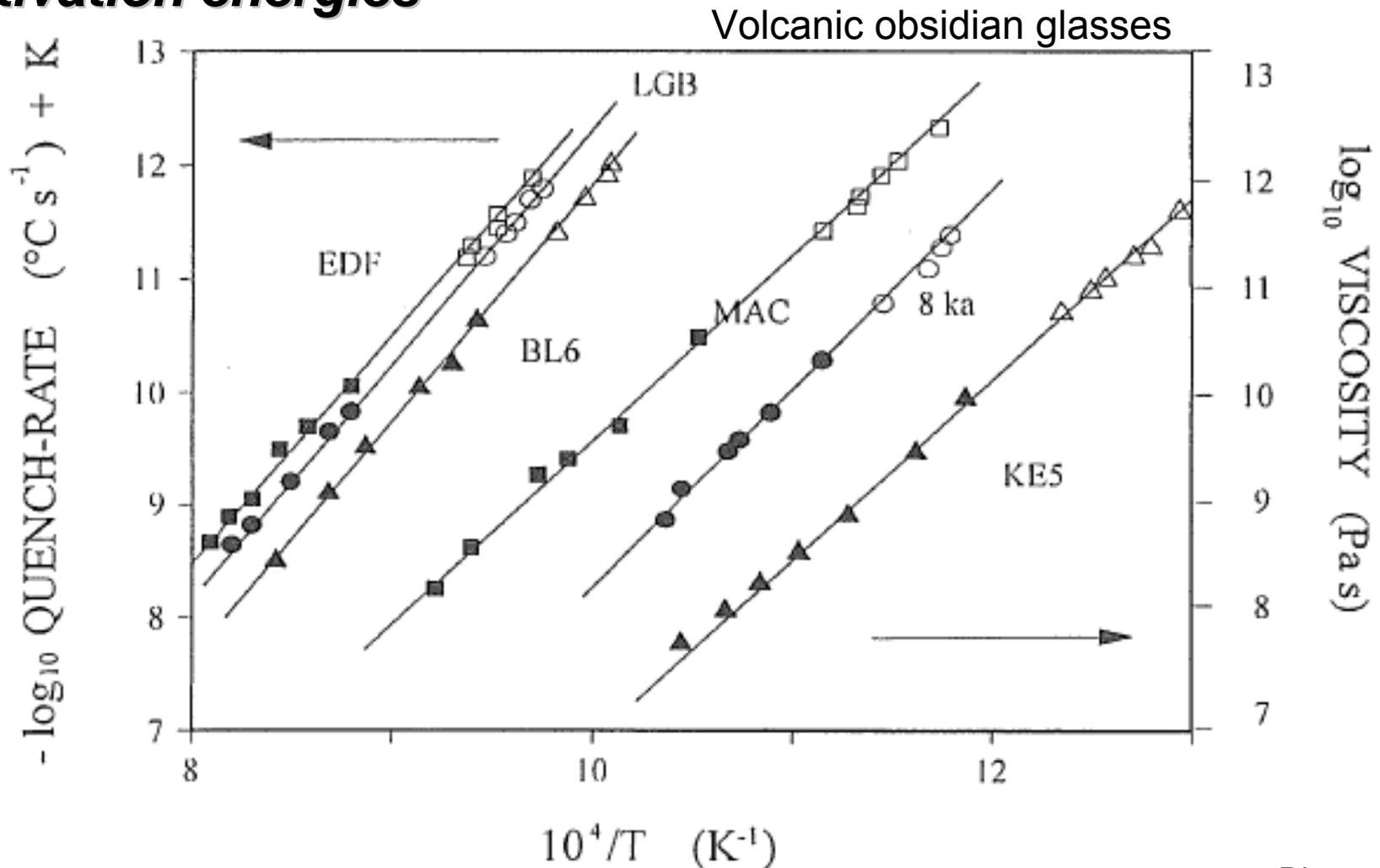


$$d(\ln q_c)/d(1/T_f') = -\Delta H^*/R$$

Note: Over small temperature intervals, centered on  $T_r$ , the activation energies for enthalpy relaxation are equivalent to those from shear-viscosity measurements (solid line) and from volume relaxation measurements (Ritland)

CT Moynihan, et al., JACerS, 59 12 (1976)

**Shear viscosity relaxation (closed symbols) and enthalpy relaxation (open symbols) processes have the same activation energies**



Dingwell, 1995

**Table 1.** Glass transition temperatures  $T_g$  measured by DSC at 10 K/min heating rate, logarithms of mean equilibrium enthalpy structural relaxation times  $\langle \tau \rangle$  and shear viscosities  $\eta$  at  $T_g$ , and activation enthalpies  $\Delta H^*$  and  $\Delta H_\eta^*$  for structural relaxation and viscous flow in the glass transition region.

Glass	$T_g$ (K)	$\log \langle \tau \rangle$ (s)	$\log \eta$ (Pa·s)	$\Delta H^*$ (kJ/mol)	$\Delta H_\eta^*$ (kJ/mol)
As <sub>2</sub> Se <sub>3</sub>	454	2.4	10.8	342	322
B <sub>2</sub> O <sub>3</sub>	557	2.6	11.4	385	385
ZBLA	587	2.8	11.6	1400	1140
lead silicate (NBS 711)	714	2.6	11.9	374	411
0.25Na <sub>2</sub> O-0.75SiO <sub>2</sub>	748	2.7	11.6	410	435
GeO <sub>2</sub>	810	—	11.5	—	303
alkali lime silicate (NBS 710)	832	2.6	11.8	612	612
alkali borosilicate (BSC)	836	—	12.1	615	615
diopside (CaMgSi <sub>2</sub> O <sub>6</sub> )	973	—	12.7	—	965
anorthite (CaAl <sub>2</sub> Si <sub>2</sub> O <sub>8</sub> )	1109	—	12.6	—	1084

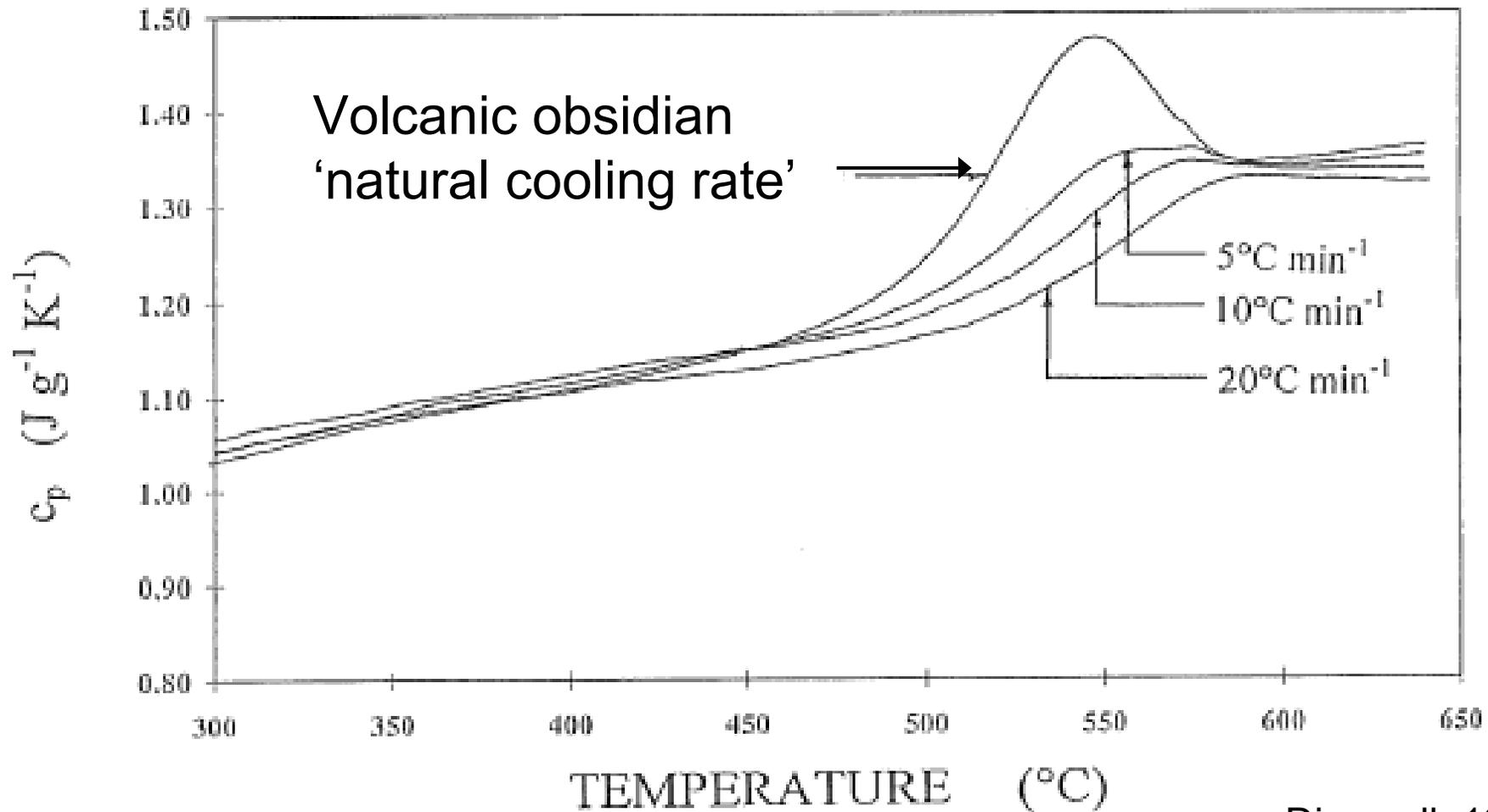
Enthalpy  
Relaxation  
vs.  
Viscous Flow

From Moynihan, 1995

# Example: Geospeedometry

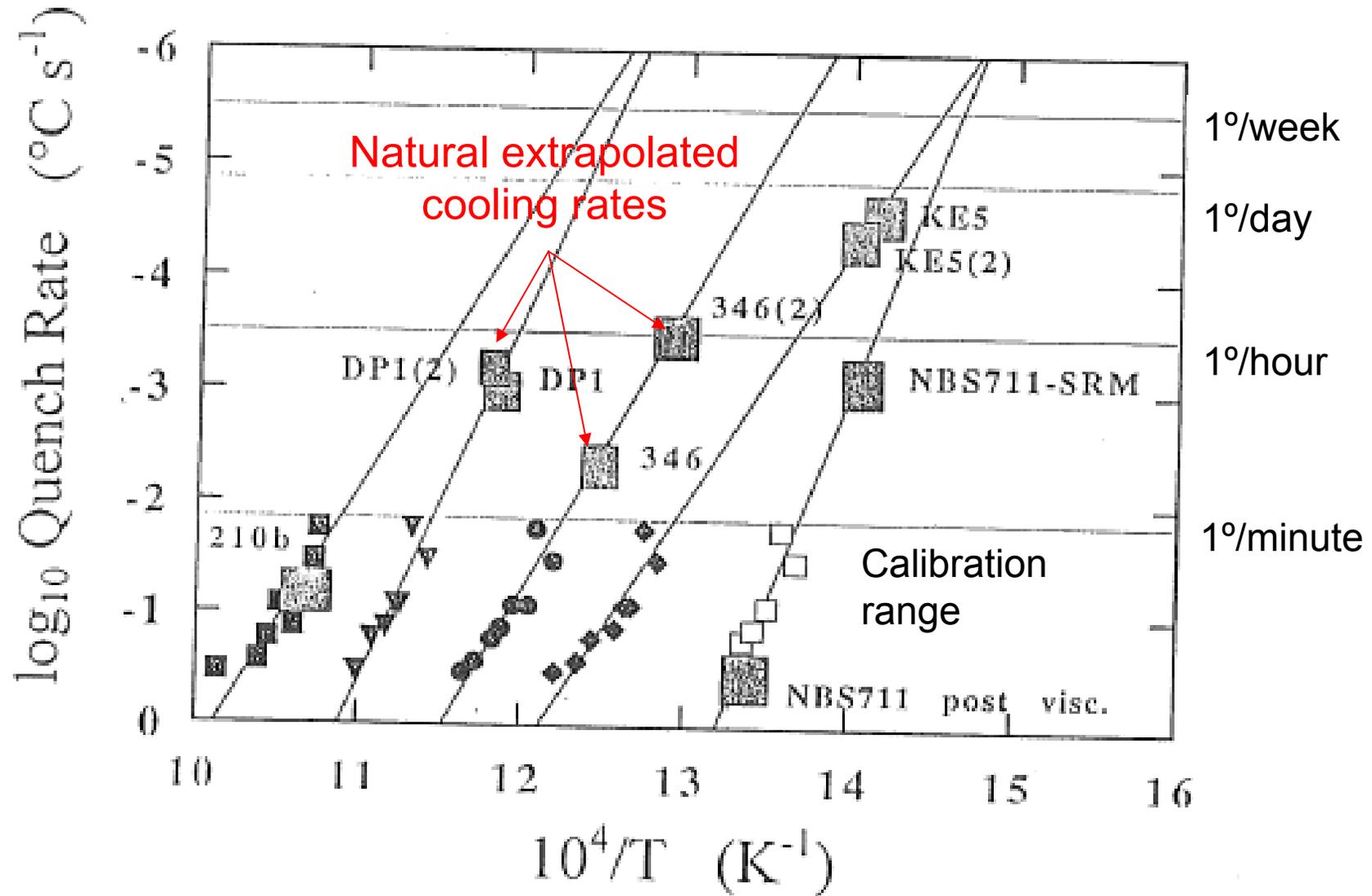
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Dingwell: *Relaxation in Silicate Melts: Applications*



Dingwell, 1995

# Example: Geospeedometry



# Kinetics of structural relaxation

- Qualitative 'first order' kinetic model

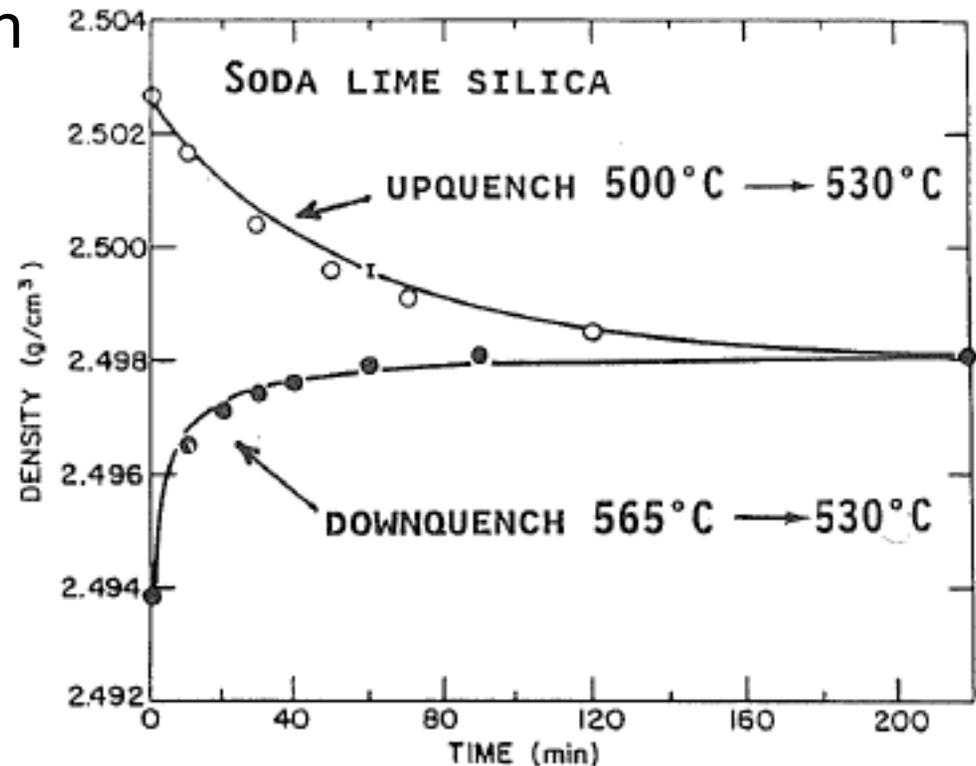
$$\Phi(t) = \exp\left(\frac{-t}{\tau}\right)$$

$$\tau = \tau_0 \exp(\Delta H^*/RT)$$

- Quantitative model must account for
  - **Non-linear character** of the relaxation function
  - **Non-exponential character** of the relaxation function

## Non-linear isothermal relaxation

**Figure 8.** Isothermal relaxation at 530°C of the density of a soda lime silicate glass following an upward step change in temperature from 500°C (upper curve) and a downward step in temperature from 565°C (lower curve). Solid lines are calculated from Equations (8), (14) and (15) using the parameters  $\tau_0 = 3.9 \times 10^{-37}$  s,  $\Delta H^* = 607$  kJ/mol,  $x = 0.45$  and  $\beta = 0.62$ .



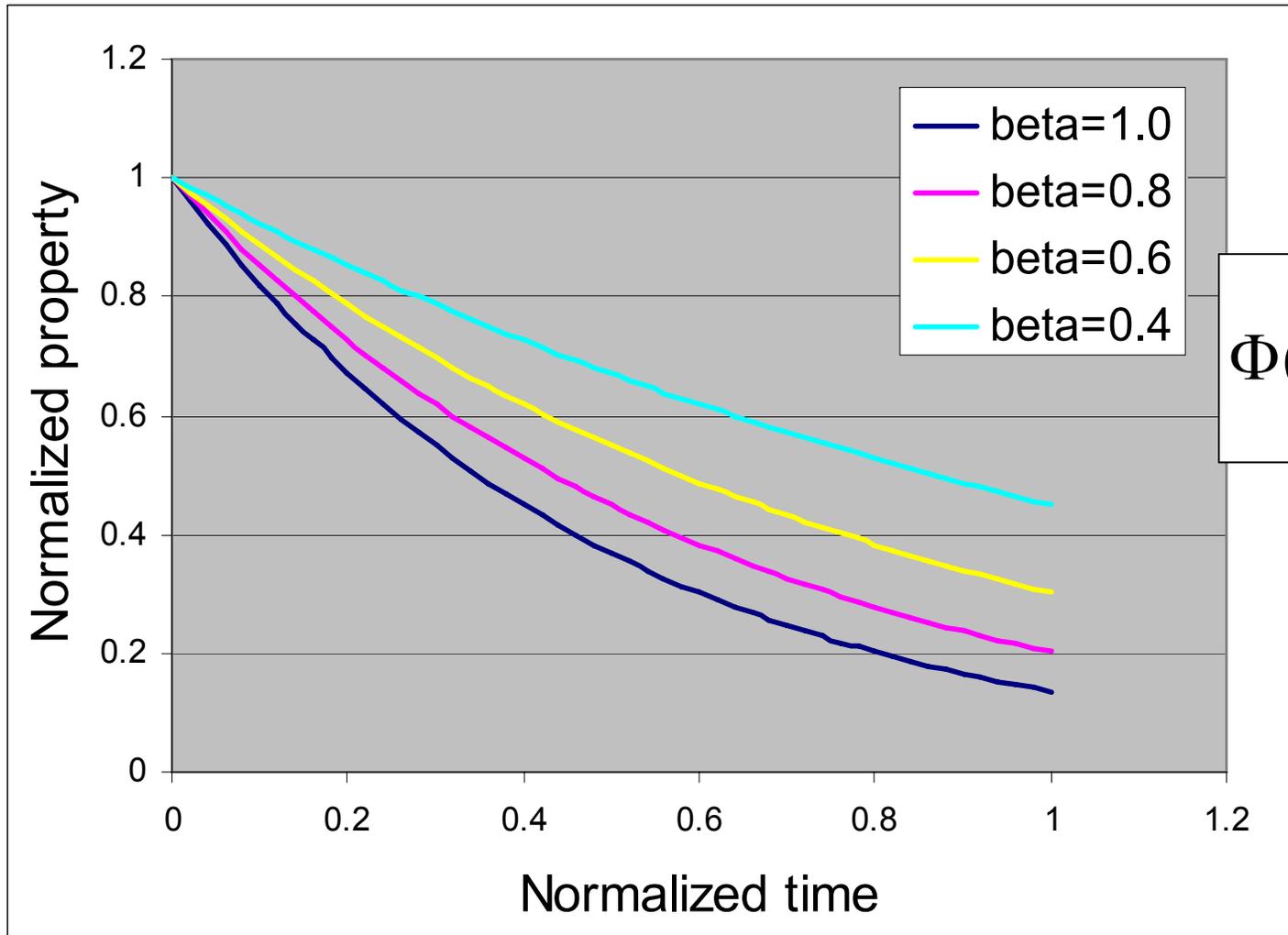
Note that the relaxation time depends on the instantaneous structure (fictive temp)

$$\tau = \tau_0 \exp \left[ \frac{x\Delta H^*}{RT} + \frac{(1-x)\Delta H^*}{RT_f} \right]$$

Tool-Narayanaswamy (TN) Equation  
 $x$  is the nonlinear parameter ( $0 \leq x \leq 1$ )

From Moynihan, 1995

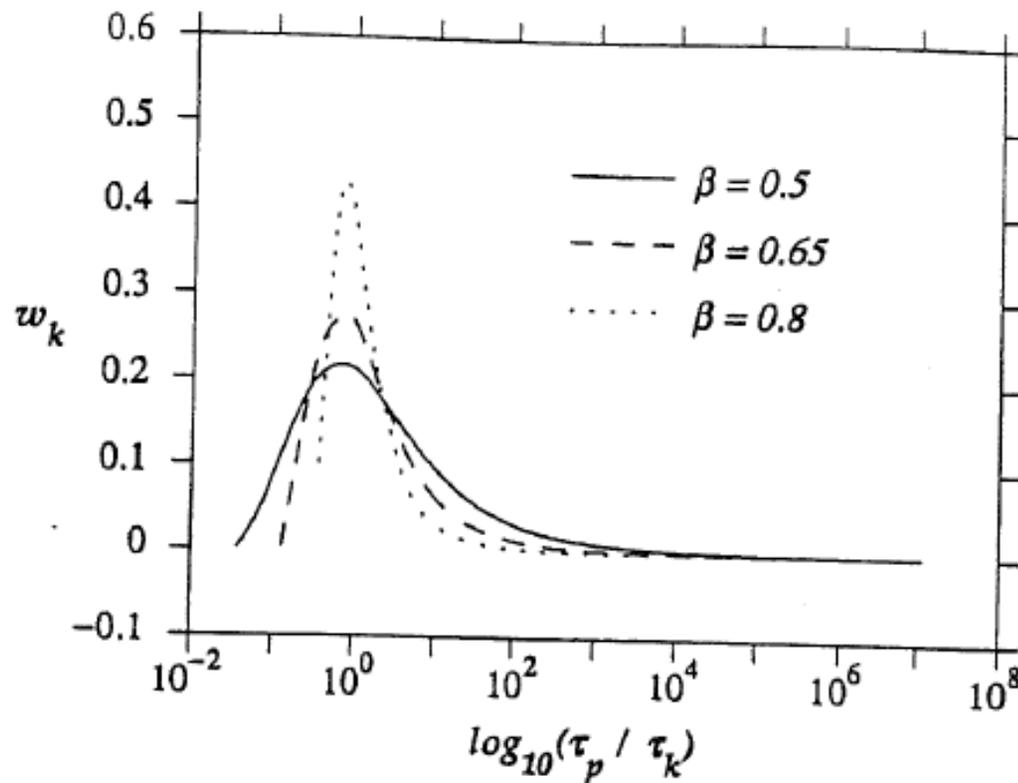
# Relaxation is non-exponential



$$\Phi(t) = \exp\left(\frac{-t}{\tau}\right)^\beta$$

Stretched  
exponential  
function  
(KWW)-  $\beta$  is  
the non-  
exponentiality  
parameter  
( $0 \leq \beta \leq 1$ )

# Distribution of relaxation times



$$\exp\left[-\left(\frac{t}{\tau_p}\right)^\beta\right] \approx \sum_{k=1}^N w_k \exp\left(-\frac{t}{\tau_k}\right)$$

Broader distributions are associated with smaller values of  $\beta$

**Figure 3-18.** Distribution of relaxation times obtained when the KWW function is represented by sum of exponential terms, as in Eq. (3-44);  $\beta = 1$  corresponds to a single relaxation time. As  $\beta$  decreases the distribution broadens, but always remains skewed to short times. Scherer, 1991

# ***Is there a physical source for non-exponentiality?***

Consider a distribution of relaxation times ( $\tau_i$ ) incorporated into the relaxation function with a weighting coefficient ( $g_i$ , where  $\Sigma g_i=1$ ), and each relaxation time described by the T-N form:

$$\Phi(t) = \sum_i g_i \exp\left[-\int_0^t dt' / \tau_i\right]$$
$$\tau_i = \tau_0 \exp\left[\frac{x\Delta H^*}{RT} + \frac{(1-x)\Delta H^*}{RT_f}\right]$$

Microscopic interpretation:

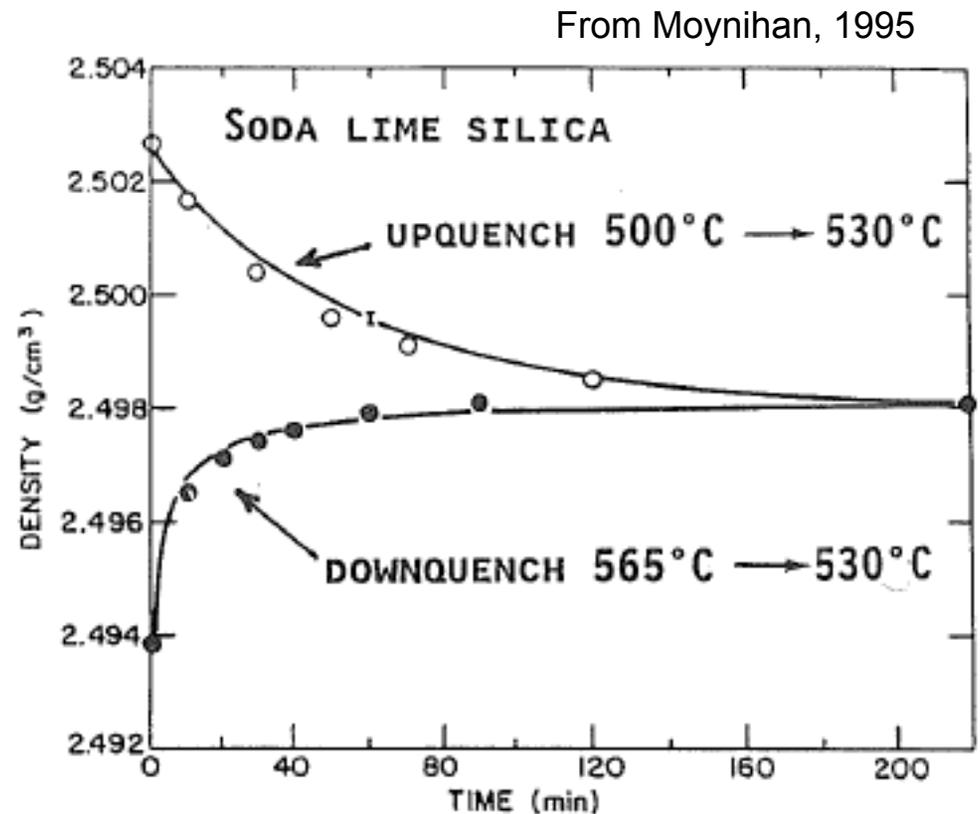
- Relaxation involves coupled responses of a series of processes with different 'reaction rates'- bond 1 breaks, then bond 2.....
- Different regions within liquid relax at different rates because of structural differences (differences in configurational entropy from  $\mu$ -region to  $\mu$ -region)

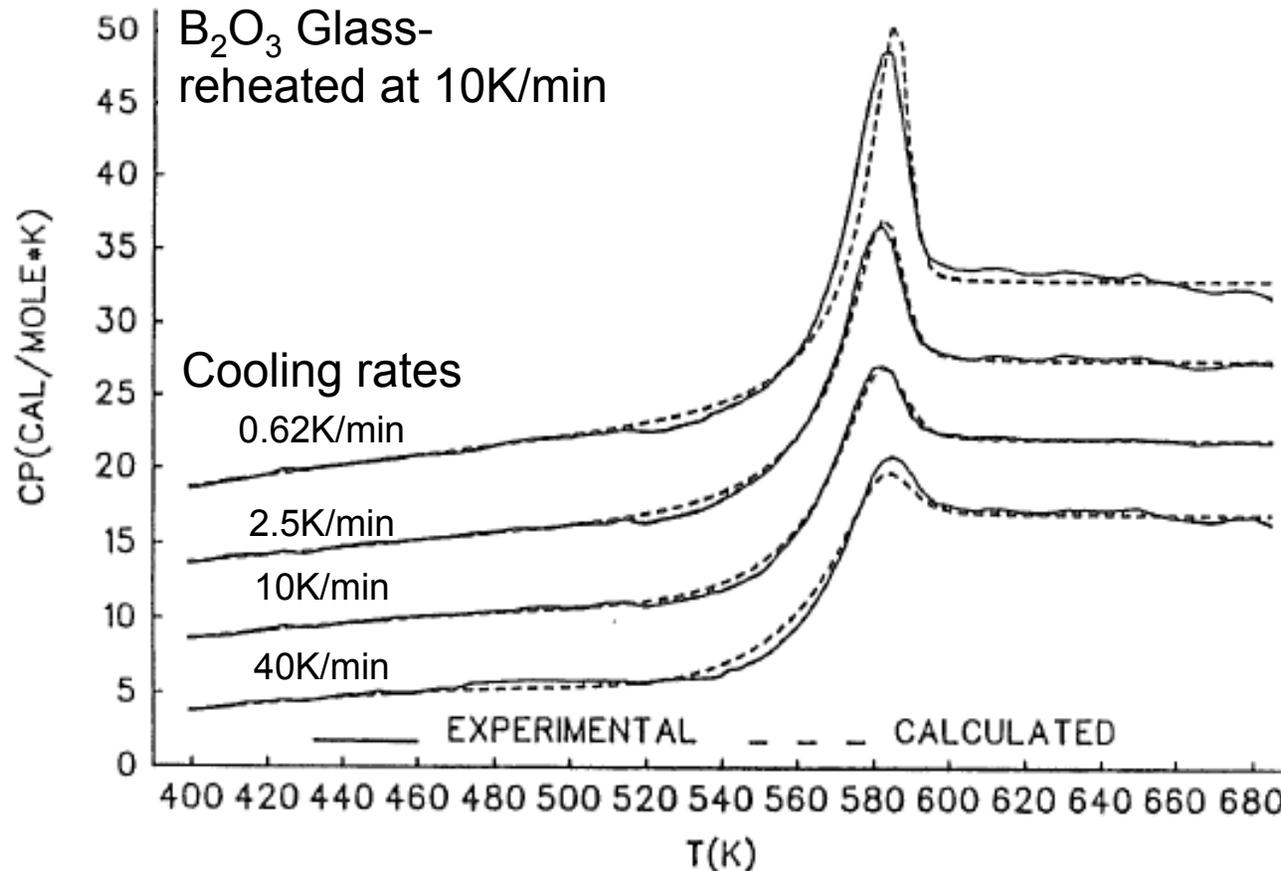
# Structural relaxation models

- Four adjustable parameters:  $\tau_0$ ,  $\Delta H^*$ ,  $x$ ,  $\beta$

$$\Phi(t) = \frac{P(t) - P_e}{P_0 - P_e} \equiv \frac{T_{fic}(t) - T_2}{T_1 - T_2}$$

**Figure 8.** Isothermal relaxation at 530°C of the density of a soda lime silicate glass following an upward step change in temperature from 500°C (upper curve) and a downward step in temperature from 565°C (lower curve). Solid lines are calculated from Equations (8), (14) and (15) using the parameters  $\tau_0 = 3.9 \times 10^{-37}$  s,  $\Delta H^* = 607$  kJ/mol,  $x = 0.45$  and  $\beta = 0.62$ .

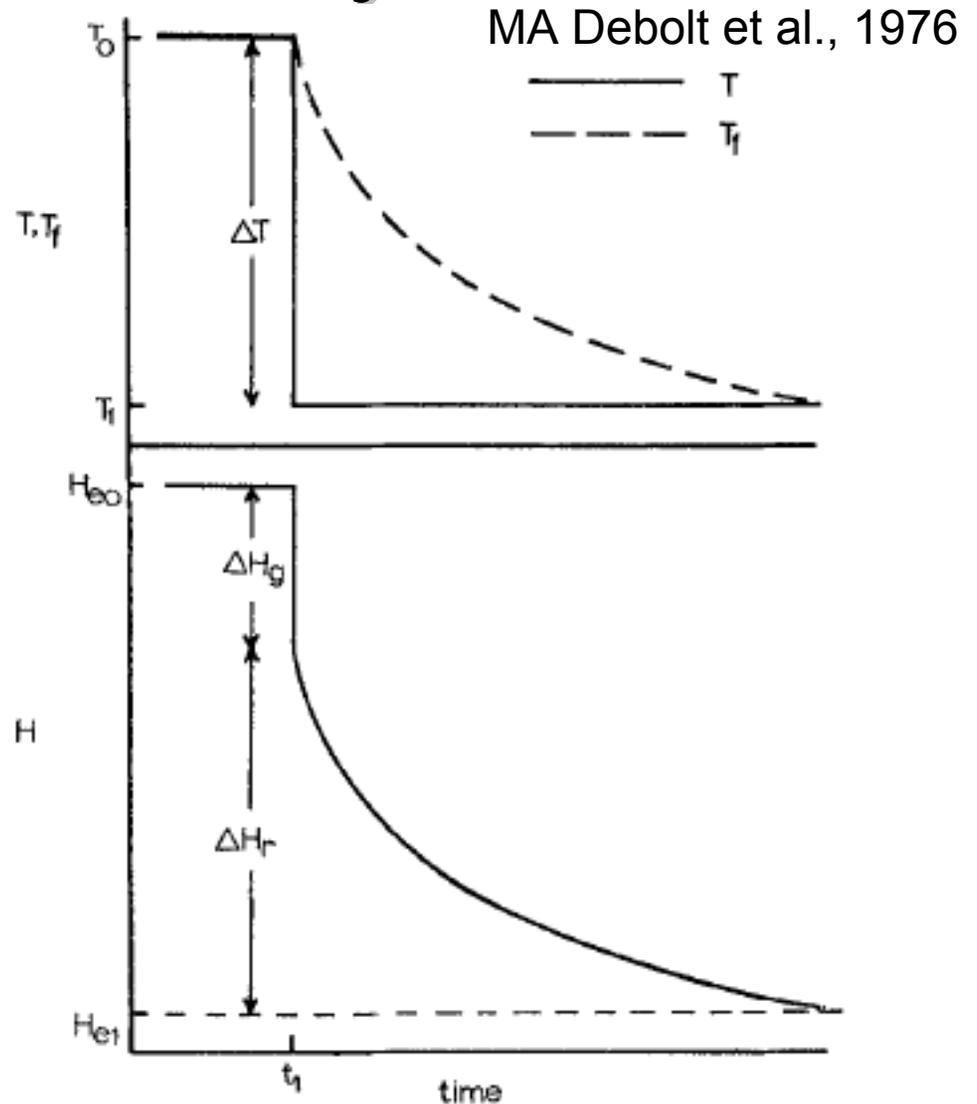




**Figure 9.** Heat capacities of B<sub>2</sub>O<sub>3</sub> glass measured during heating at 10 K/min after cooling through the transition region at rates of 0.62, 2.5, 10 and 40 K/min (top curve to bottom curve). Heat capacity scale is correct for top curve; other curves have been displaced downward for clarity. Solid lines are experimental data. Dashed lines are calculated from Equations (8), (16), (17) and (18) using the parameters  $\tau_0 = 1.3 \times 10^{-33}$  s,  $\Delta H^* = 377$  kJ/mol,  $x = 0.39$  and  $\beta = 0.62$ .

# Structural Relaxation Summary

- The glass transition is a kinetic phenomena
  - Thermal history dependence
- Thermal history effects on glass properties described using the 'fictive temperature' concept.
  - $T_{fic}$  represents the contribution of structural relaxation to the property of interest, expressed in temperature units



**Fig. 1. Schematic of isothermal evolution of enthalpy and fictive temperature following a single temperature jump.**

# ***Structural Relaxation Summary***

The relaxation function  $\Phi(t)$

## **1. Is non-linear**

- Up-quench  $\neq$  down-quench relaxation rates
- $\Phi(t)$  depends on instantaneous structure ( $T_{fic}$ )
- Tool-Narayanaswamy 'non-linearity' parameter 'x'

$$\tau = \tau_0 \exp \left[ \frac{x\Delta H^*}{RT} + \frac{(1-x)\Delta H^*}{RT_f} \right]$$

## **2. Is non-exponential**

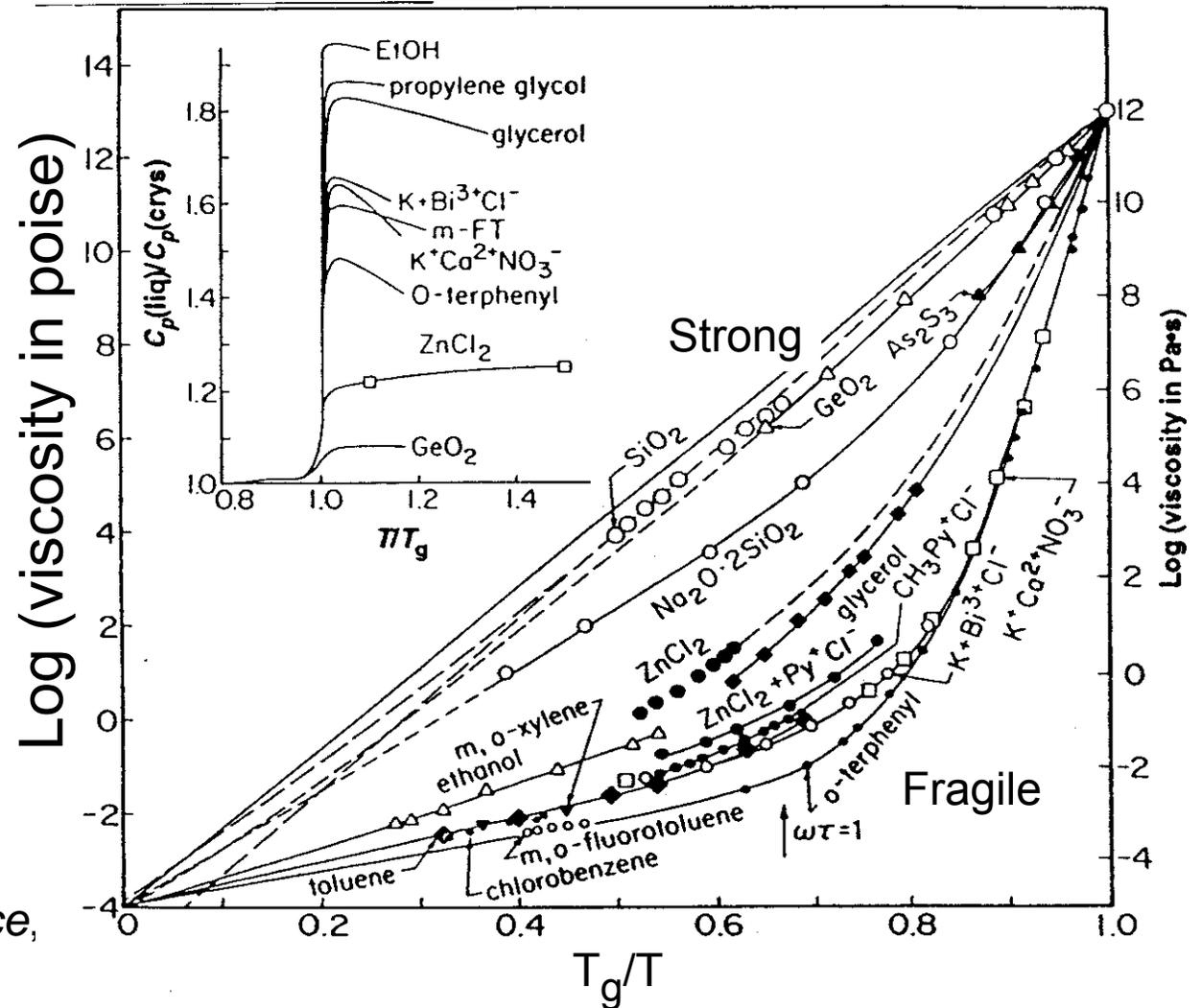
- 'Stretched exponential' function (KWW)-  $\beta$

$$\Phi(t) = \exp \left( \frac{-t}{\tau} \right)^\beta$$

- Modeled by a distribution of relaxation times
- Is there a 'microscopic' explanation?

# How is enthalpy relaxation connected to viscosity?

$$\frac{d(\log \eta)}{d(T_g/T)} = \frac{\Delta H_\eta^*}{2.3RT_g}$$



From C. A. Angell, *Science*, **267**, (1995), 1924.

# How is enthalpy relaxation connected to viscosity?

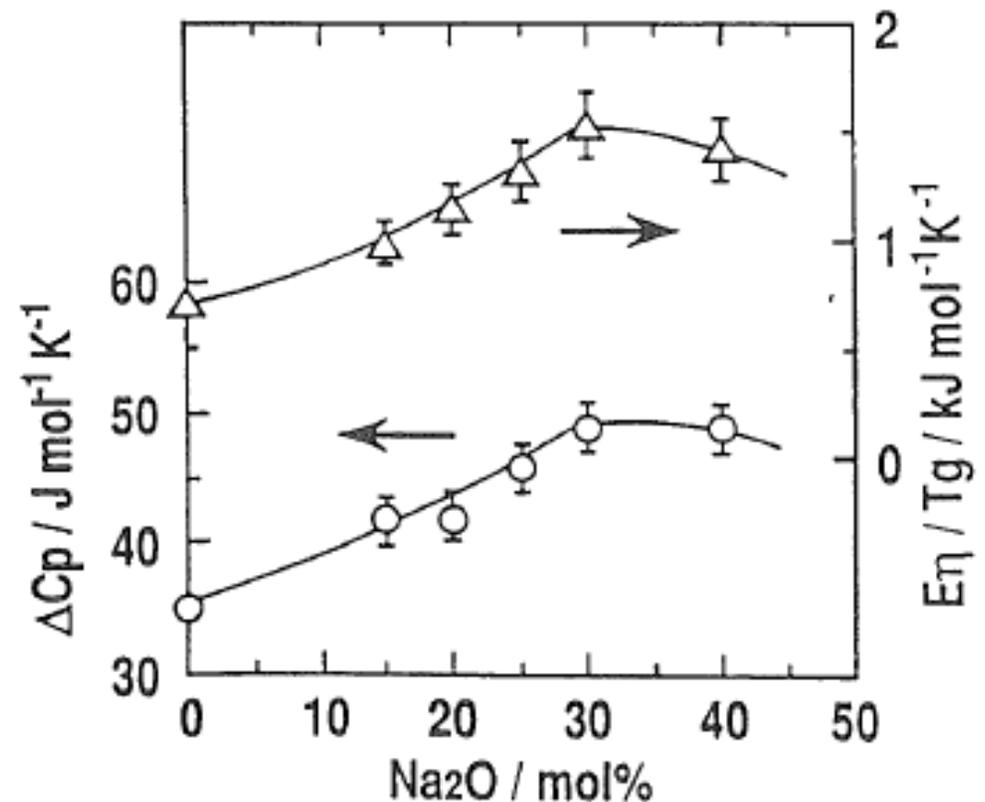
$x\text{Na}_2\text{O} (1-x)\text{B}_2\text{O}_3$  glasses

Fragile melt behavior (greater  $E_\eta/T_g$ ) correlated with larger  $\Delta C_p$  at  $T_g$ ...

$$\frac{d(\log \eta)}{d(T_g/T)} = \frac{E_\eta}{2.3RT_g}$$

Related to greater structural changes as glass is heated through transition range?

**Figure 12.** Composition dependences of  $\Delta C_p$  and  $H_\eta^*/T_g (= E_\eta/T_g)$  at  $T_g$  for  $x\text{Na}_2\text{O}-(100-x)\text{B}_2\text{O}_3$  melts (Lee et al., 1995).



Moynihan, 1995

Table 1. Glass transition temperatures  $T_g$  measured by DSC at 10 K/min heating rate, logarithms of mean equilibrium enthalpy structural relaxation times  $\langle \tau \rangle$  and shear viscosities  $\eta$  at  $T_g$ , and activation enthalpies  $\Delta H^*$  and  $\Delta H_\eta^*$  for structural relaxation and viscous flow in the glass transition region.

Glass	Relaxation time at $T_g \approx$	$T_g$ (K)	$\log \langle \tau \rangle$ (s)	$\log \eta$ (Pa·s)	$\Delta H^*$ (kJ/mol)	$\Delta H_\eta^*$ (kJ/mol)
$As_2Se_3$	400-600 sec	414	2.4	10.8	342	322
$B_2O_3$		557	2.6	11.4	385	385
ZBLA		587	2.8	11.6	1400	1140
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Angell defines  $T_g$  at  $10^{12}$  Pa·s

Enthalpy Relaxation and Viscous Flow have similar activation energies-similar 'structural' mechanisms?

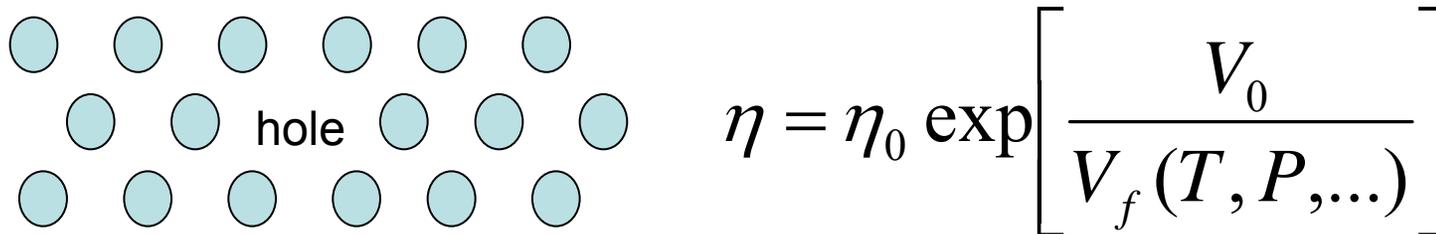
From Moynihan, 1995

# Glass Transition Theories

- Free Volume-Viscosity
- Adam-Gibbs Cooperative Relaxations
  - Configurational Entropy

# Free Volume Theory

- Turnbull, Cohen, J Chem Phys 52 3038 (1970); Cohen, Grest Phys Rev B, 20 1077 (1979)
- Consider 'ideal' close-packed structure representing a thermodynamic minimum volume,  $V_0$
- Flow occurs by movement of molecules into voids or holes larger than a critical size,  $V_h$ 
  - Thermal/density fluctuations open up voids
  - Increase temperature, increase specific volume ( $V$ ) of melt
  - Free volume ( $V_f$ ) within a structure becomes available to accommodate viscous flow



# ***Free volume depends melt properties***

$$V_f(T, P) = V_0 \left[ \int_{T_0, P_0}^{T, P_0} \Delta \alpha_P dT - \int_{T_0, P_0}^{T_0, P} K_T dP \right]$$

$\alpha_P$  = isobaric expansion coefficient

$K_T$  = isothermal compressibility

For constant pressure,

$$\frac{V_f}{V_0} \approx \int_{T_0}^T (\alpha_{liq} - \alpha_{glass}) dT \approx (\alpha_{liq} - \alpha_{glass})(T - T_0)$$

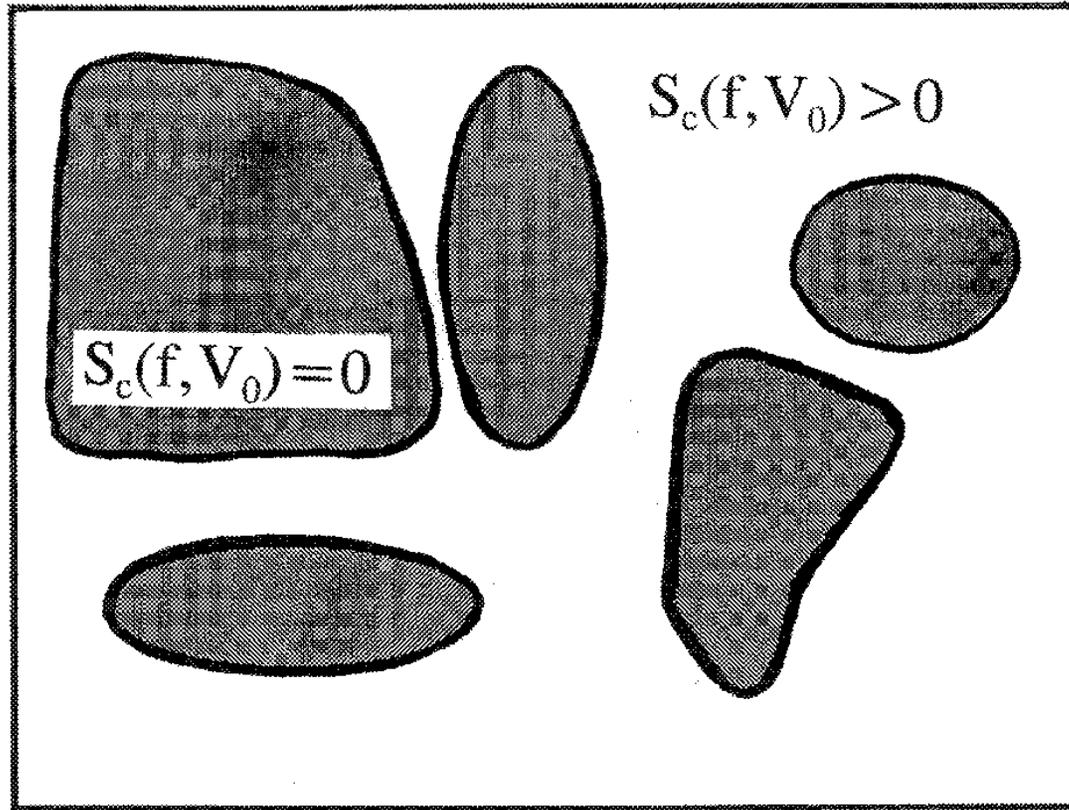
Substitute into the Arrhenian  $\eta$  equation to get the VFT eq.:

$\log \eta = A + \frac{B}{T - T_0}$	“ $T_0$ ” represents the temperature at which free volume disappears
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# ***Configurational Entropy Model***

- G. Adam, JH Gibbs, J. Chem. Phys., 43 139 (1965); GW Scherer, J. Am Ceram Soc, 67 504 (1984)
- Fluidity of a system depends on the rate of disappearance of the configurational entropy
- A system at the ideal glass transition temperature ( $T_2$ ) has no more configurational entropy to lose
  - System is 'frozen' into 'ground state' of amorphous packing
- Adam-Gibbs model assumes that a liquid consists of a number of regions that can cooperatively rearrange
  - Each region consists of 'Z' molecules that can rearrange independently in response to an enthalpy fluctuation
- As a liquid is supercooled, configurational entropy of the system is reduced and the size of the cooperatively rearranging subsystems grows larger
  - Increased coupling between neighboring molecules with decreasing temp.

# Visualizing configurational entropy...



Lattice model version: glass regions imbedded in sea of liquid-glass transition occurs (on cooling) when the percolation limit for imbedded regions is reached

EA DiMarzio, J. Res. Natl. Inst. Stand. Technol. **102**, 135 (1997)

# Adam-Gibbs (cont.)

- Probability for a single cooperative transition:

$$p(T) = A \exp\left(-\frac{z\delta\mu}{k_B T}\right)$$

where  $A$  is a frequency factor and  $\delta\mu$  is the energy barrier (per molecule) to rearrangement. The average transition probability depends on the lower limit to the sizes of the cooperative regions ( $z^*$ ):

$$\langle p(T) \rangle = \sum_{z=z^*}^{\infty} A \exp\left(-\frac{z\delta\mu}{k_B T}\right) \approx \langle A \rangle \exp\left(-\frac{z^* \delta\mu}{k_B T}\right)$$

Entropy of the entire system ( $S_c$ ) depends on the number ( $n$ ) of rearranging units of size  $z$  and the entropy contribution of each unit:  $S_c = ns_c$ . For one mole of molecules, there are  $n = N_A/z$  independent regions, so  $z^* = s_c^* N_A / S_c$  and  $s_c^* \approx k_B \ln 2$  is the configurational entropy of a minimally sized region.

$$\langle p(T) \rangle = \langle A \rangle \exp\left(-\frac{s_c^* N_A \delta\mu}{k_B T S_c}\right) = \langle A \rangle \exp\left(-\frac{C}{T S_c}\right)$$

# Adam-Gibbs (cont.)

Since fluidity ( $1/\eta$ ) is proportional to the transition probability, then

$$\eta = \eta_0 \exp\left(\frac{C}{TS_c}\right)$$

Note that  $\eta \rightarrow \infty$  as  $S_c$  decreases ( $\Delta s \rightarrow 0$ ); viz., fewer configurations are accessible, more molecules must cooperate to permit flow. At some temp ( $T_K$ ),  $Z \rightarrow \infty$  and flow no longer occurs.

$$S_c \approx \int_{T_K}^T \frac{\Delta C_p}{T}, \quad \Delta C_p = \frac{D}{T}$$

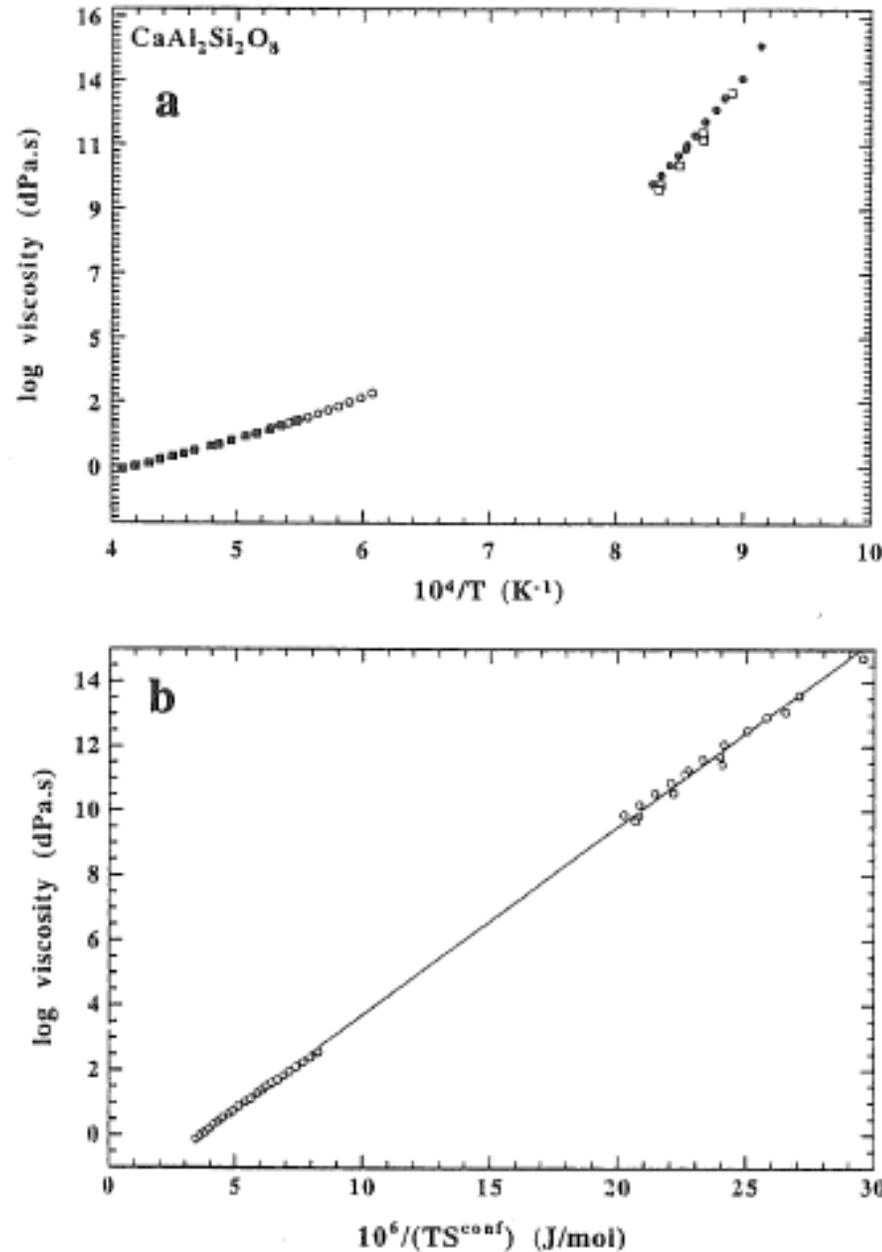
Angell: Fragile liquids have small values for 'D', strong liquids have large values.

$$S_c \approx D \left( \frac{1}{T_K} - \frac{1}{T} \right)$$

$$\eta \approx \eta_0 \exp\left(\frac{B}{T - T_K}\right)$$

VFT-form

**A-G Model  
fits viscosity  
data very  
well.**

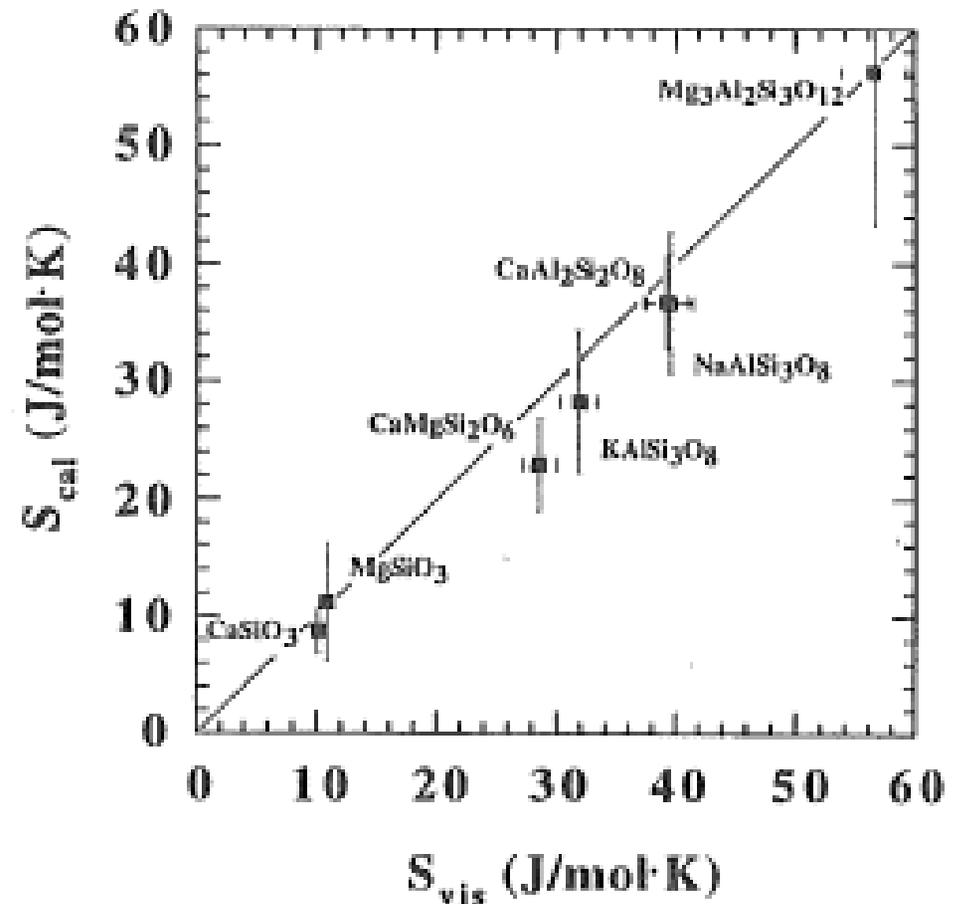


**Figure 7.** Viscosity of liquid  $\text{CaAl}_2\text{Si}_2\text{O}_8$  as a function of reciprocal temperature (a) and as a function of  $1/TS^{\text{conf}}$  (b), where  $S^{\text{conf}}$  is the calorimetrically determined configurational entropy. Data from Urbain et al. (1982; solid squares), Scarfe et al. (1983; open circles), Hummel and Arndt (1985; open squares) and Sipp (1993; solid circles).

Richet and Bottinga, 1995

***A-G Model  
fits viscosity  
data very  
well.***

**Figure 8.** Comparison between configurational entropies at the glass transition determined from either calorimetric or viscosity measurements.



Richet and Bottinga, 1995

# Kauzmann Temperature ( $T_K$ )

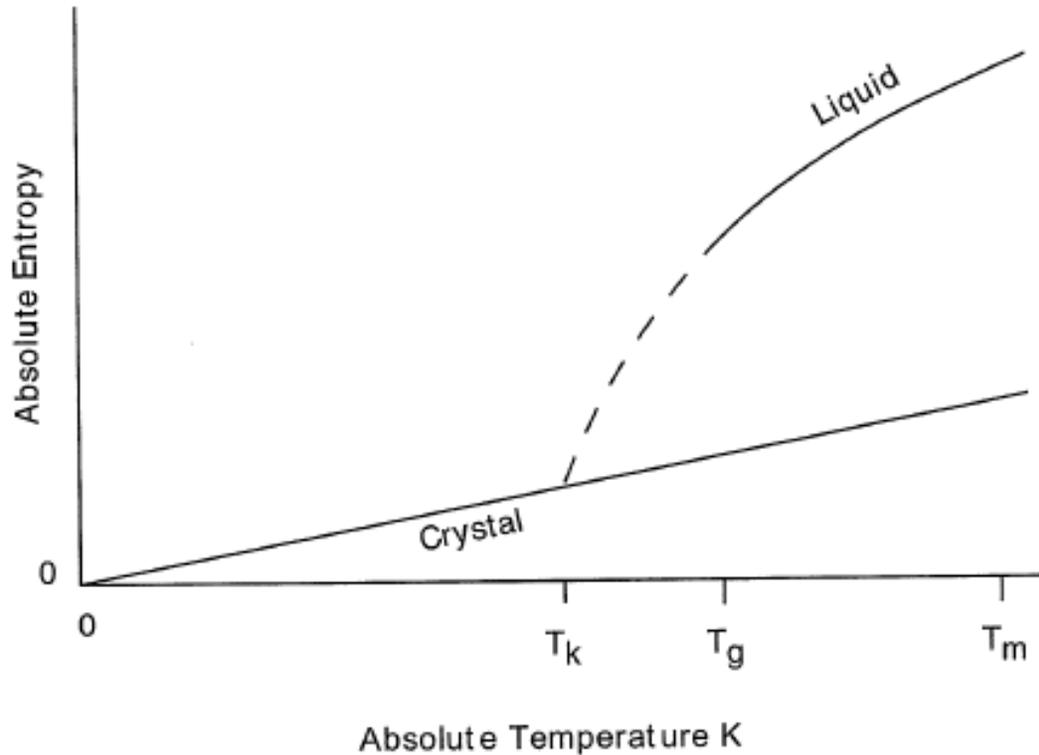


Figure 13-16. Absolute entropy of liquid and crystal with temperature. Note discontinuity of slope at  $T_K$  (Kauzmann temperature).

Does the extension of the supercooled liquid entropy below  $T_g$  by slower cooling lead to the condition where this entropy is equal to that of the crystal?

- Kinetic barrier to a thermodynamic catastrophe
- *“Frozen in transition” without any specific thermodynamic order\**
- $T_K$  provides a thermodynamic basis for the VFT relationship

\*Varshneya (p.328)

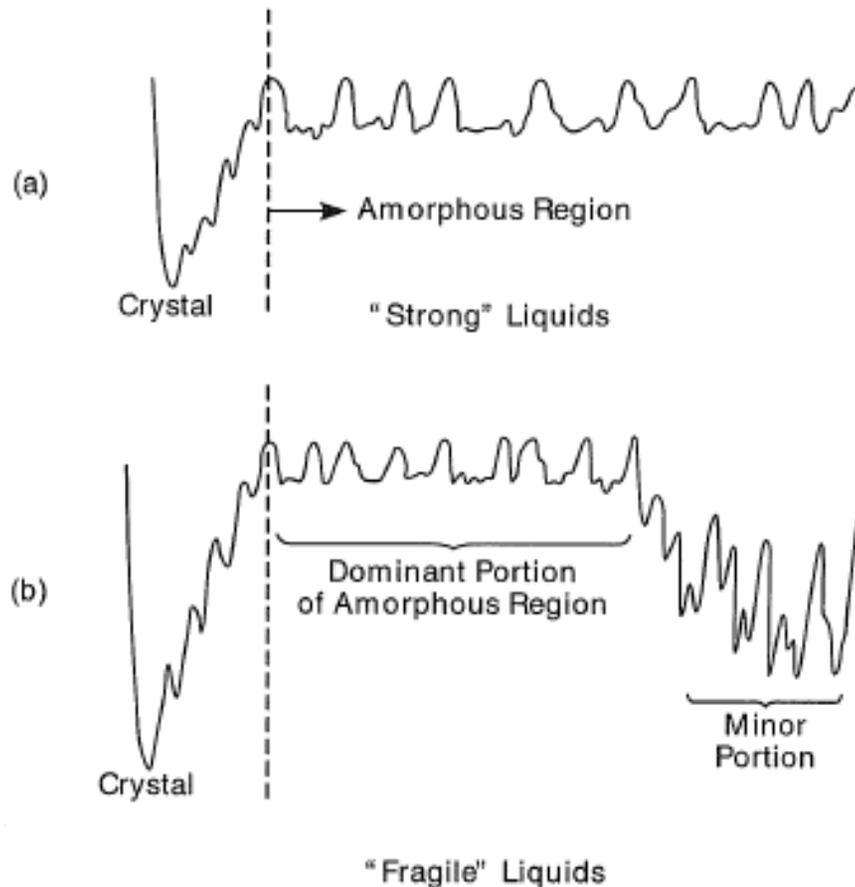


Figure 13-19. Representation of energy landscape for (a) strong and (b) fragile liquids. (After Stillinger<sup>(12)</sup>.)

Potential energy landscapes represent configuration distributions in a system

From Adam-Gibbs, each transition probability depends on  $\delta\mu$ , the energy barrier (per molecule) to rearrangement

$$p(T) = A \exp\left(-\frac{z\delta\mu}{k_B T}\right)$$

Fragile liquids are characterized by many different configurations that are accessible at greater temps: greater  $S_c$ , lower  $\eta$ .

Strong liquids have few local minima- single barrier, Arrhenius dependence?

# Summary- Glass Transition

- Upon cooling a liquid through the supercooled region, viscosity rapidly rises
  - Glass transition range:  $10^8$ - $10^{15}$  Pa-s
  - Glass transition temperature sometimes defined at  $10^{12}$  Pa-s
- Rapid rise in viscosity implies a rapid reduction in free volume and a loss of configurational entropy
- Fictive temperature
  - Divergence of the temperature dependent properties of a supercooled liquid and glass; e.g., V-T curve
  - structure of a glass corresponding to the structure of the liquid at  $T_{fic}$
- A change in temperature in the transition range produces a nonlinear approach to equilibrium for glass properties, like viscosity
  - Properties depend on changing experimental temperature and changing fictive temperature
  - Fictive temperature history determines the physical properties of a glass