

# VIBRATIONAL SPECTROSCOPY STUDIES OF GLASS STRUCTURE: IR spectroscopy

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Infrared spectroscopy is widely used in both research and industry as a simple and reliable technique for measurement, quality control, and dynamic measurement.

## Grade:

- Quiz (50%): February 9<sup>th</sup>
- Homework (50%): *1 pdf/ group* emailed to [lpetit@clemson.edu](mailto:lpetit@clemson.edu) and [maffatig@coe.edu](mailto:maffatig@coe.edu) before the beginning of the class on Feb 19<sup>th</sup>

For the group assignment, please email me ([lpetit@clemson.edu](mailto:lpetit@clemson.edu)) as soon as possible.

Group assignment by Monday 5<sup>th</sup>

# OUTLINE

- Definition
- Theory
- i) IR and Raman active
- ii) Determination of the vibration frequencies
- Sample preparation
- Description of the equipments used for the IR spectrum measurement
- Examples of IR spectra: oxide, sulfide, selenide glasses

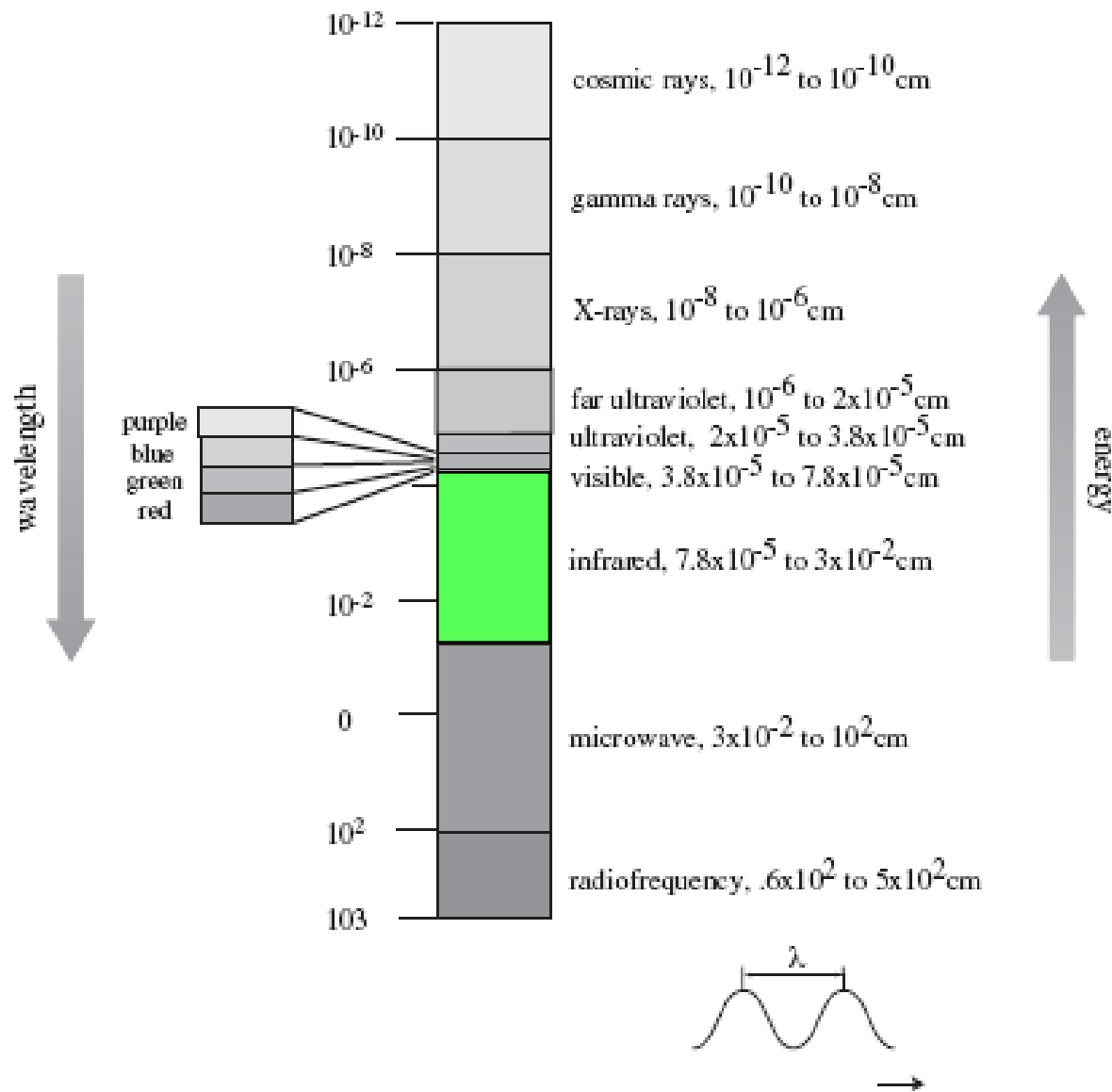
## What does this technique measure?

- IR spectroscopy measures the absorption of IR radiation by materials as the atoms vibrate about their bonds
- IR spectroscopy is primarily used to identify bond types, structures, and functional groups in organic and inorganic compounds

## Why are IR absorption spectra important?

- Molecules absorb IR radiation at frequencies related to their unique compositions, structures and the numbers, types, strengths and position of their bands.

# Introduction

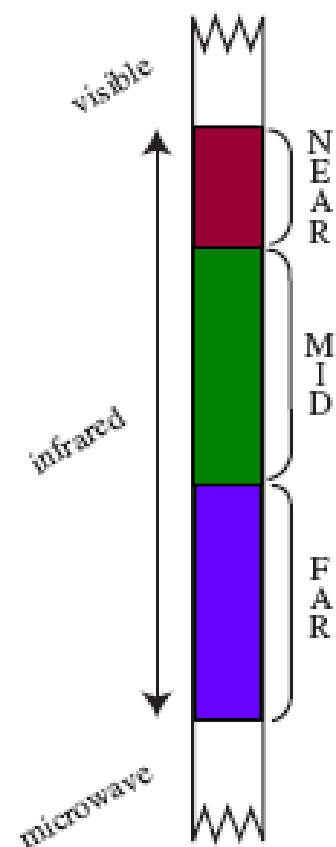


*Infrared refers to that part of the electromagnetic spectrum between the visible and microwave regions.*

# Introduction

- The energy of a molecule consists partly of translational energy, partly of rotational energy, partly of vibrational energy and partly of electronic energy.
- **Electronic energy transitions** normally give rise to absorption or emission **in the UV and visible regions** of the electromagnetic spectrum
- **Pure rotation** gives rise to absorption **in the microwave region or the far infrared**
- **Molecular vibrations** give rise to absorption bands **throughout most of the IR region of the spectrum**

# Introduction



$\lambda$ , cm	$\lambda$ , $\mu\text{m}$	$\lambda$ , $\text{cm}^{-1}$ (wavenumber)	energy (E)
$7.8 \times 10^{-5}$ to $3 \times 10^{-4}$ (.000078-.0003)	0.78 to 3	12820 to 4000	10-37 Kcal/mole
$3 \times 10^{-4}$ to $3 \times 10^{-3}$ (.0003-.003)	3 to 30	4000 to 400	1-10 Kcal/mole
$3 \times 10^{-3}$ to $3 \times 10^{-2}$ (.003-.03)	30-300	400 to 33	0.1-1 Kcal/mole

**The near-IR** can excite overtone or harmonic vibrations.

**The mid-infrared** may be used to study the fundamental vibrations and associated rotational-vibrational structure

**The far-infrared**, may be used for rotational spectroscopy.

recall:

cm =  $10^{-2}$  m  
 mm =  $10^{-3}$  m  
 $\mu\text{m}$  =  $10^{-6}$  m

# Definition

- Wavelength and frequency are inversely related:

$$\nu = \frac{c}{\lambda} \text{ and } \lambda = \frac{c}{\nu}$$

*where c is the speed of light,  $3 \times 10^{10}$  cm/sec*

- Energy is related to wavelength and frequency :

$$E = h\nu = \frac{hc}{\lambda}$$

*where h = Planck's constant,  $6.6 \times 10^{-34}$  joules-sec*

- Note that **energy is directly proportional to frequency and inversely proportional to wavelength.**

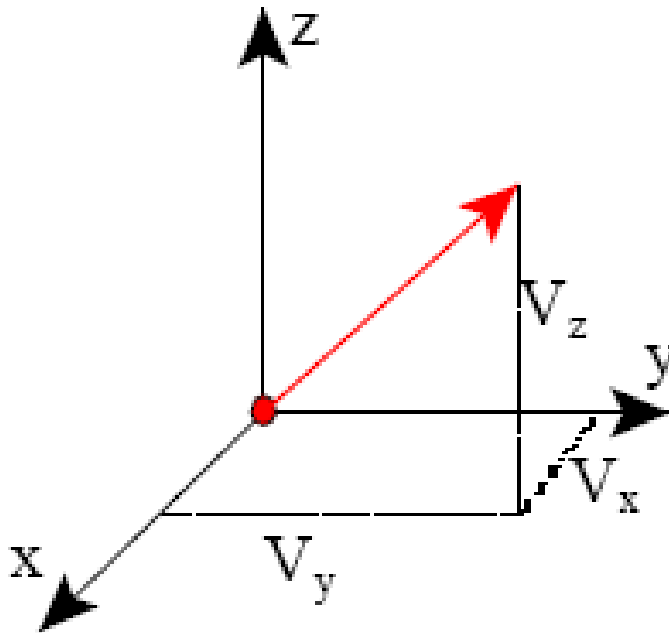


# IR spectroscopy

- IR radiation does **not have enough energy to induce electronic transitions** as seen with UV.
- Infrared spectroscopy works because chemical bonds have specific frequencies at which they vibrate corresponding to energy levels.
- **Infrared radiation is absorbed by molecules and converted into energy of molecular vibration.**
- When the radiant energy matches the energy of a specific molecular vibration, **absorption occurs.**
- **Weaker bonds require less energy, as if the bonds are springs of different strengths.**

# Degrees of Freedom of Molecular Motion

- The vibration of any structure is analyzed in terms of the degrees of freedom it possesses.
- Each mass is defined by its position such that 3 independent Cartesian coordinates define its position:  $x$ ,  $y$  and  $z$  directions. Motion in the  $x$ ,  $y$  and  $z$  directions is defined by the displacement  $V_x$ ,  $V_y$  and  $V_z$ .
- For example, a sphere has 3 degrees of freedom of rotation. Rotation does not result in a perceptibly different state. Note that a single sphere or a single atom does not have vibrational states.



# Degrees of Freedom of Molecular Motion

- What about the grouping of 2 spheres?

When two spheres are bonded, the group has **3\*2 degrees of translational freedom.**

As a unit, it possesses **3 degrees of translational freedom and 2 degrees of rotational freedom**, since rotation about the axis of the two spheres does not result in a perceptible change.

When considering vibrational states, the degrees of freedom for the grouping are subtracted from the total number of translational degrees of freedom for the individual spheres.

$$3*2 - (3+2) = 1 \text{ degree of vibrational freedom.}$$

# Degrees of Freedom of Molecular Motion

- This means that the IR/Raman spectra for a diatomic molecule such as CO will have one absorption band.
- This vibration would involve stretching and compressing of the CO bond.

## Degrees of Freedom of Molecular Motion: Classical model

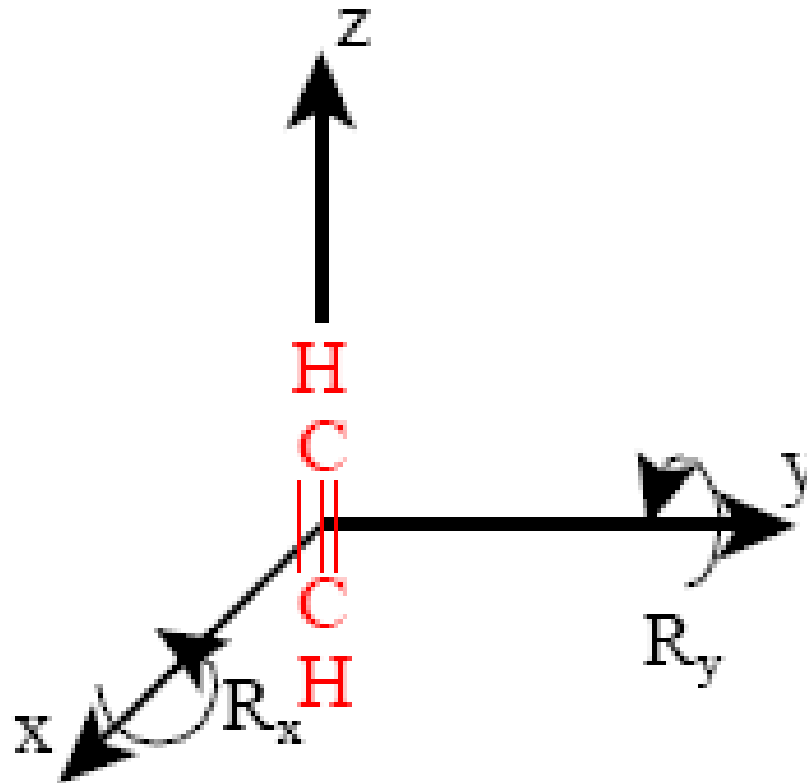
- If there are  $N$  atomic nuclei in the molecule, there will be a total of  **$3N$  degrees of freedom** of motion for all the nuclear masses in the molecule
- The center of gravity of the molecule requires 3 coordinates to define its position. It has 3 independent degrees of freedom of motion which are translation of the center of gravity of the molecule.

# Degrees of Freedom of Molecular Motion: Classical model

- When a non-linear molecule is in its equilibrium configuration to specify the position of the center of gravity.

- A linear molecule has 3 translational degrees of freedom, 2 rotational degrees of freedom, perpendicular to the axis of the molecule.

- After subtracting translational and rotational degrees of freedom, the remaining degrees of freedom are internal degrees of freedom for a linear molecule.



3 translational  
degrees of freedom  
to specify the position  
of the center of gravity.

2 rotational  
degrees of freedom  
perpendicular to the axis  
of the molecule.

After subtracting translational  
and rotational degrees of freedom,  
the remaining degrees of freedom  
are internal degrees of freedom  
for a linear molecule.

**internal degrees of freedom for a linear molecule**

## Molecule such as water?

- Vibrational states?

$3N-6 \Rightarrow 3 \times 3 - 6 = 3$  vibrational states

which results in three absorption bands in IR and Raman.

The number of *stretching vibrations* is  $N-1$  and the number of *bending vibrations* is  $2N-5$ .

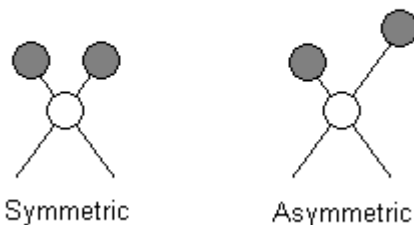
**Stretching vibrations**  $\Rightarrow 3-1 = 2$ : symmetric stretching of the H-O bonds and asymmetric stretching of the H-O bonds

**Bending vibration**  $\Rightarrow 2 \times 3 - 5 = 1$ : 1 scissors bending of the HOH structure.

# Vibrations

- **Stretching:** Change in inter-atomic distance along bond axis

## Stretching vibrations



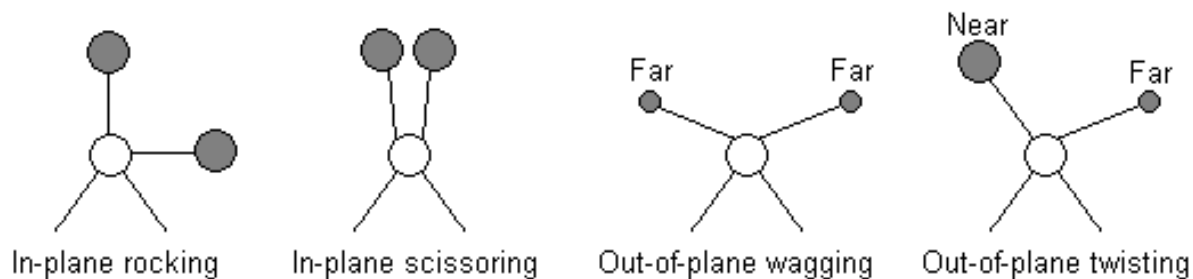
- **Bending:** Change in angle between two bonds. There are four types of bend:

Rocking

Scissoring

Wagging

Twisting





# Vibrations

- **The symmetric stretch/bend is an easier deformation than the asymmetric stretch/bend**
- **The bending vibration is much easier than stretching**

Can you find the absorption band related to:

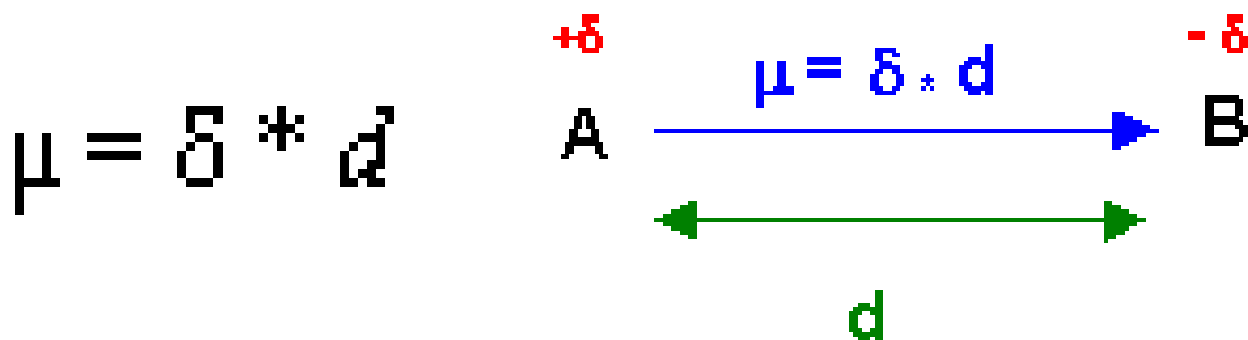
- **The symmetric stretch is an easier deformation than the asymmetric stretch**  
**⇒ occurs at lower wavenumbers**
- **The bending vibration is much easier than stretching so it occurs at lower wavenumber**

## Compared to Raman spectroscopy

- Infrared (IR) and Raman spectroscopy both measure the vibrational energies of molecules but these methods rely on only different selection rules.
- For a vibrational motion to be IR active, the dipole moment of the molecule must change.

# Dipole moment

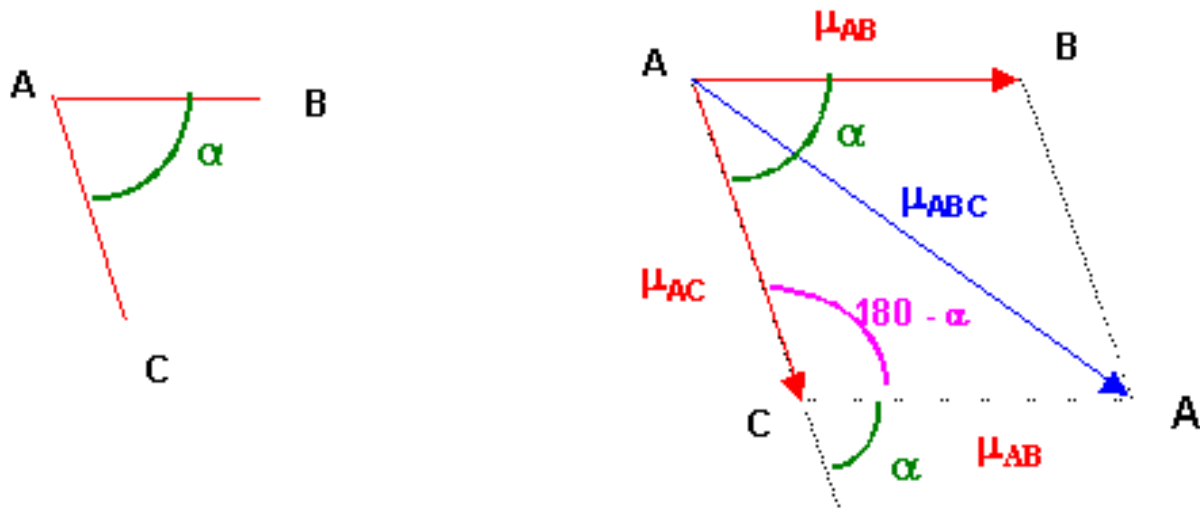
- A dipole moment is a vector quantity - it has both size and direction.



where  $\mu$  is the bond dipole moment in *coulomb-meters*,  $\delta$  is the amount of charge at either end of the dipole, given in coulombs, and  $d$  is the distance between the charges in meters

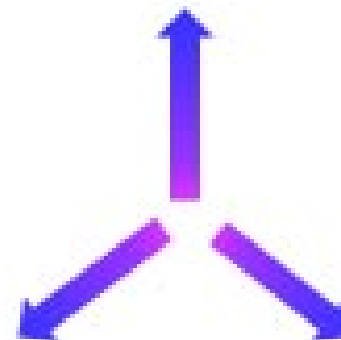
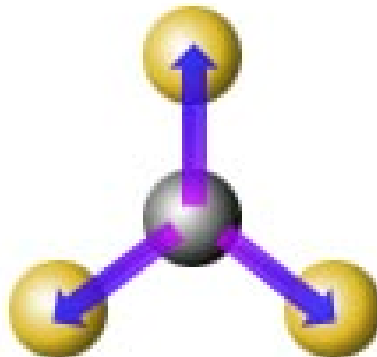
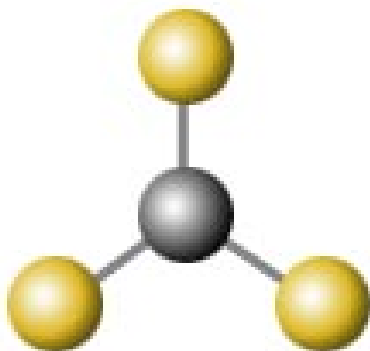
# Dipole moment

- Any molecule where the overall centre of the positive charges and the overall centre of the negative charges coincide will have **zero dipole moment**. This happens in molecules with sufficiently symmetric shape.
- **So it is the shape of a molecule that is important in determining whether it has a dipole moment.**
- For a complete molecule the overall dipole moment is calculated as the vector sum of individual dipole moments



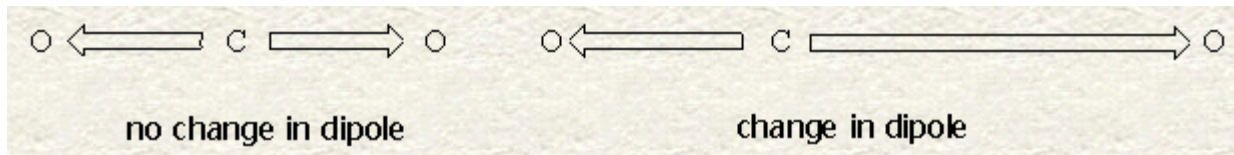
## Example: what is the dipole moment of $\text{BF}_3$ ?

- Shape: trigonal planar
- Each BF bond has a dipole moment with a partial negative charge on the fluorine (it is much more electronegative than the boron), but the shape of the molecule is such that the bond dipole moments add up **to zero**.



# Compared to Raman spectroscopy

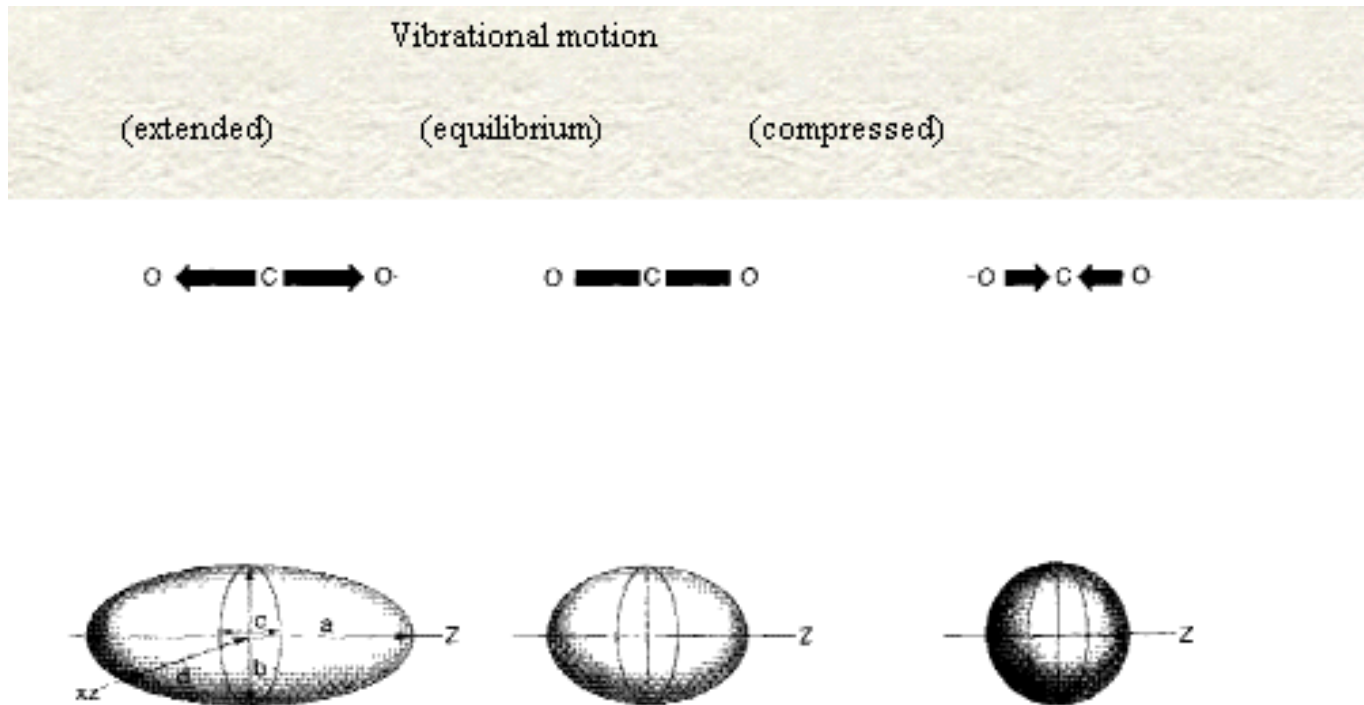
- IR sensitive vibrations are associated with changes in dipole moments



- Some of the infrared inactive vibrations (because of the lack of change in dipole moment) are active in Raman spectroscopy because **Raman activity is associated with changes in electronic polarizability**

# Compared to Raman spectroscopy

- For a transition to be Raman active, there must be a change in polarizability of the molecule.





## Molecule such as water?

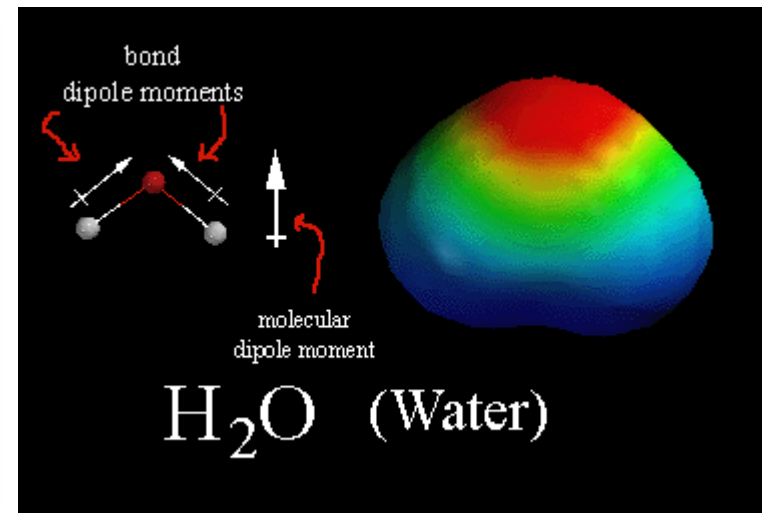
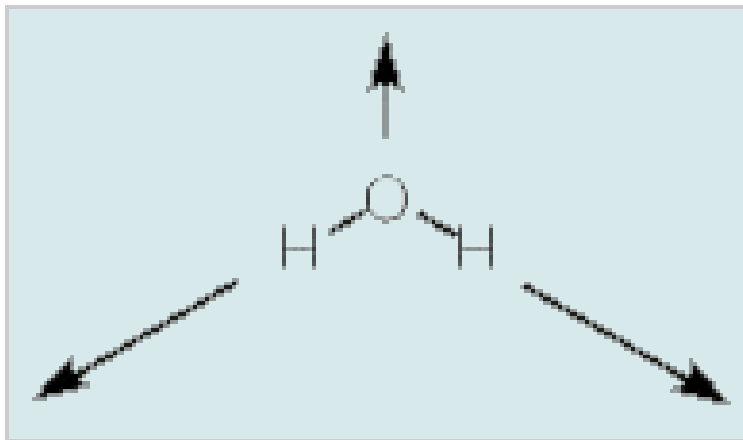
- 3 absorption bands in IR and Raman

- 1 symmetric stretching
- 1 asymmetric stretching
- 1 Bending vibration.

Are they IR or Raman active?

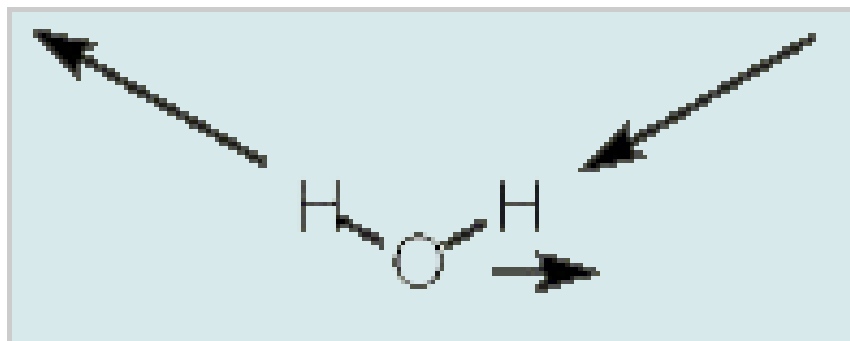
# Symmetrical stretch

- In this mode the dipole moment for the molecule does not change in direction, but it does change in magnitude. As the molecule stretches, the dipole moment increases. So the dipole moment changes and it does so along the z-axis. **This vibration is IR active**



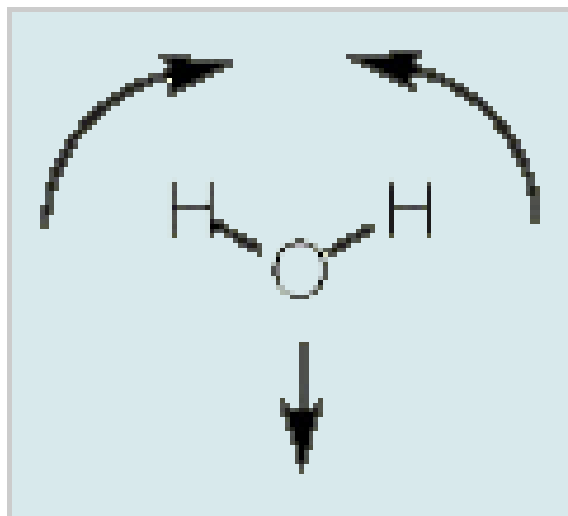
## Asymmetric stretch

- In this mode, both the direction and magnitude of the dipole moment are changing. The dipole moment switches from left to right. **This mode is also IR active.**



## Bending mode

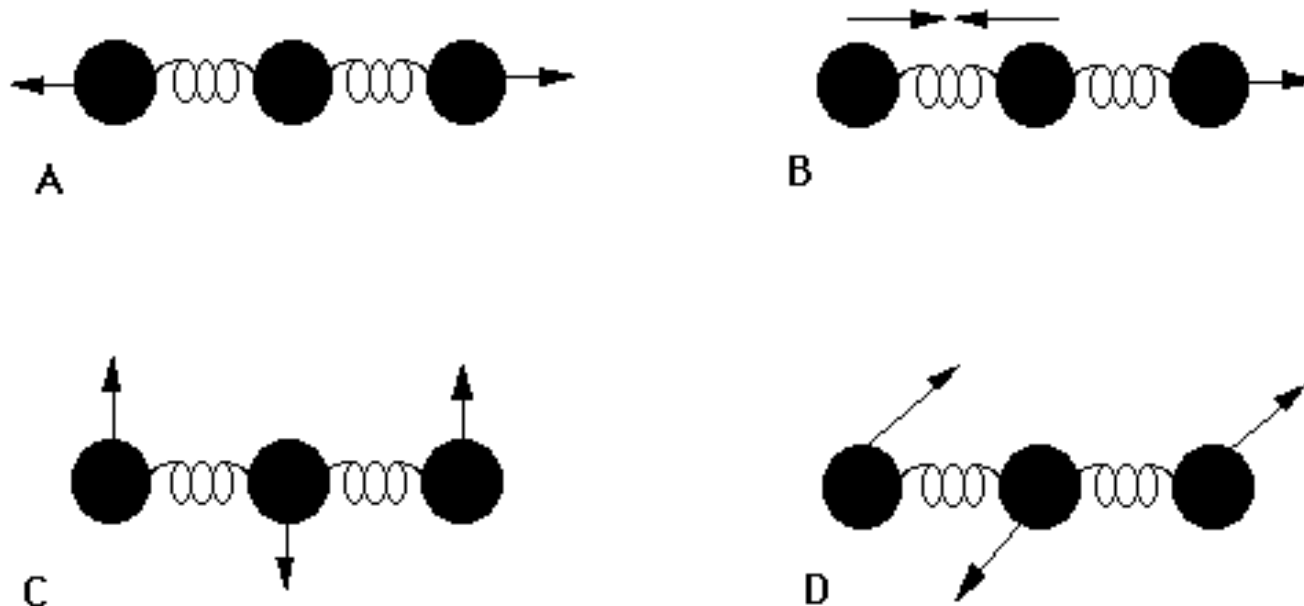
- In this bending (scissoring) mode, the dipole does not change direction. It is still pointed along the z-axis, but it does change in magnitude (increasing with the bend). Thus, **this mode is also IR active.**



# What about CO<sub>2</sub>?

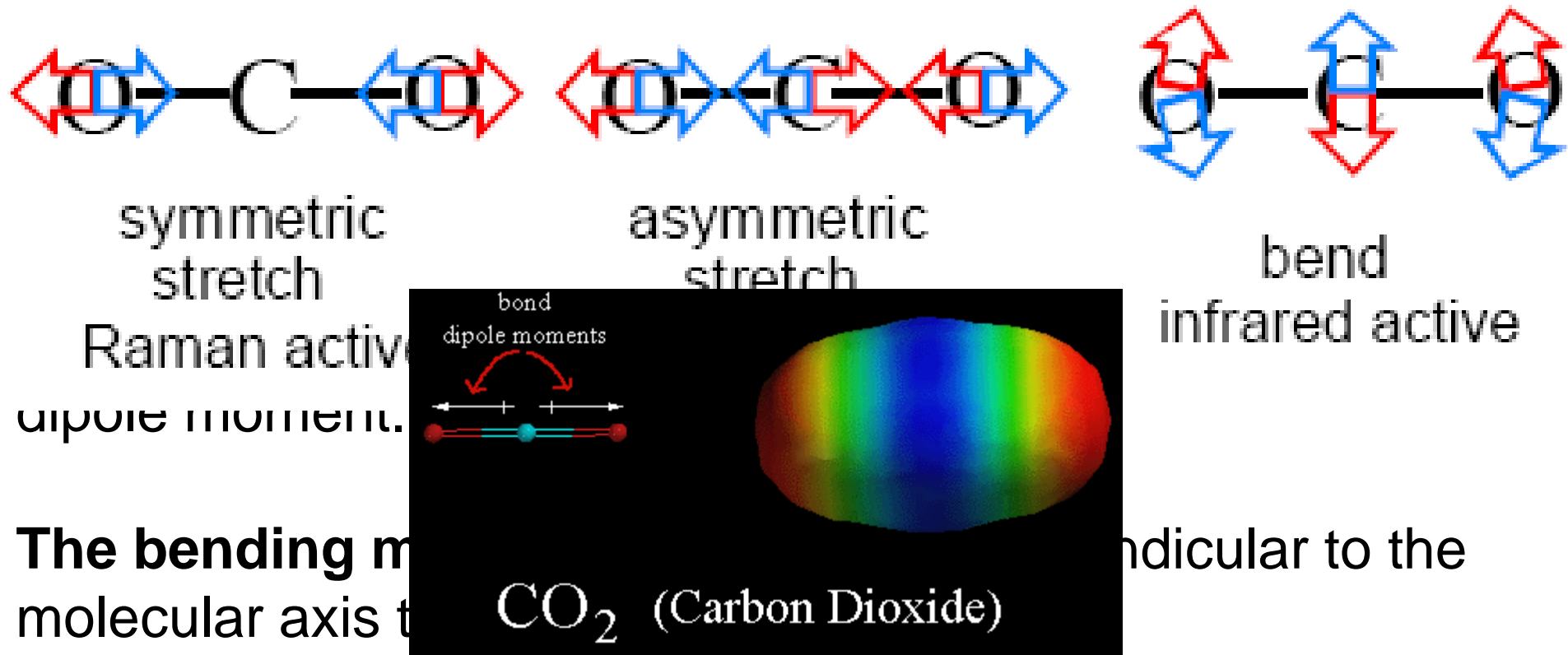
## ■ Linear Molecule! O=C=O

Vibrational states:  $3n-5 = 3 \cdot 3 - 5 = 4$

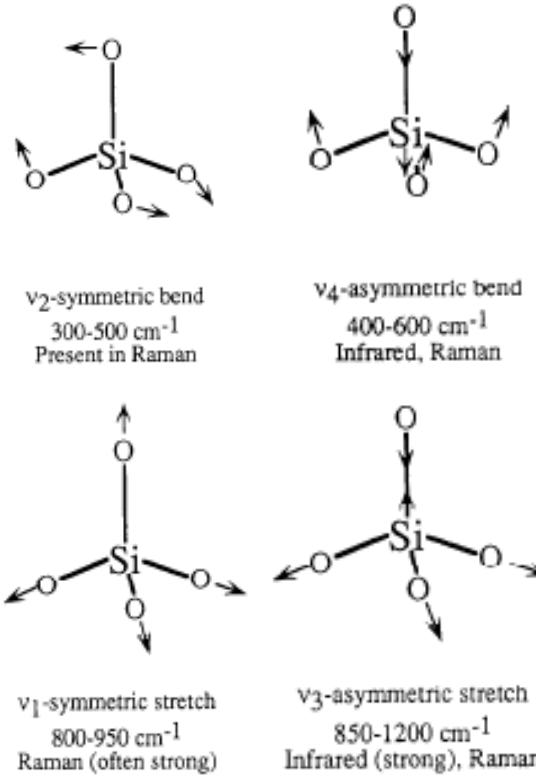
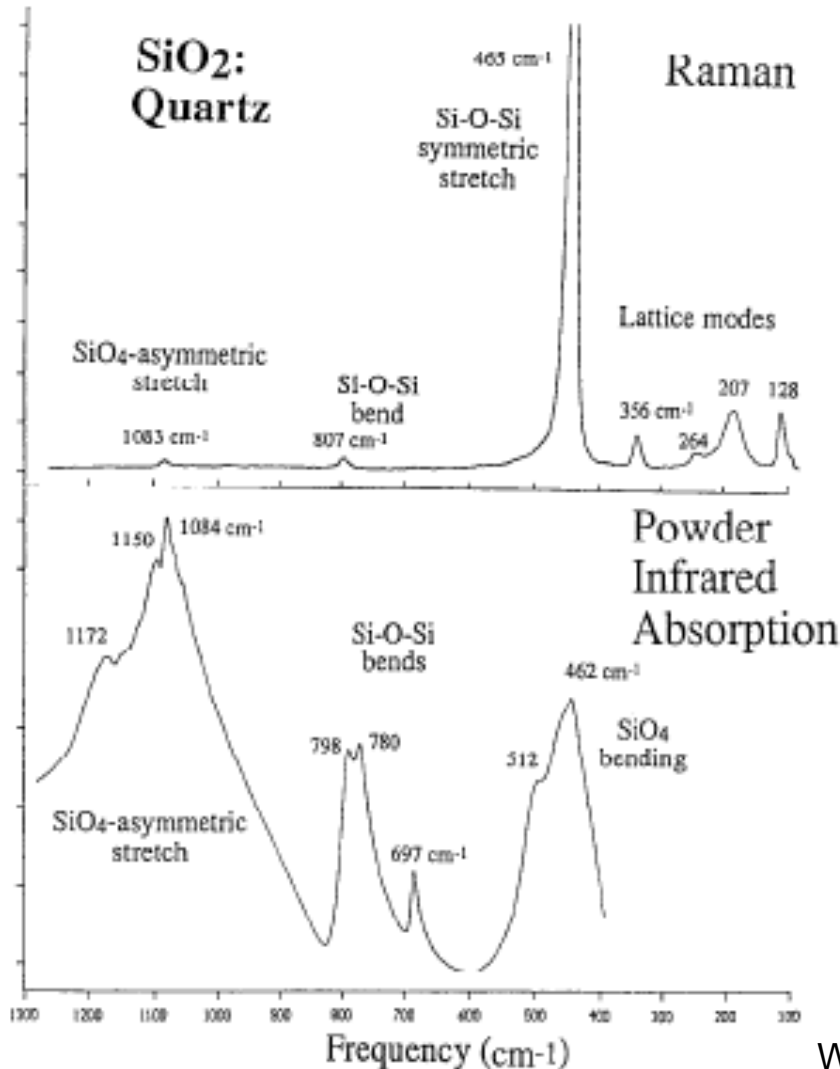


# What about CO<sub>2</sub>?

- Symmetric and asymmetric stretch and bend: IR and/or Raman active?



# Example: SiO<sub>2</sub>



Q. Williams, University of California, Santa Cruz, Institute of  
Tectonics, Mineral Physics Laboratory, Santa Cruz, CA 95064

Mineral Physics and Crystallography  
A Handbook of Physical Constants  
AGU Reference Shelf 2

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Website: [http://www.agu.org/reference/minphys/18\\_williams.pdf](http://www.agu.org/reference/minphys/18_williams.pdf)

## Theory: Hooke's law

- the frequency of the vibration of the spring is related to the mass and the force constant of the spring, **k**, by the following formula:

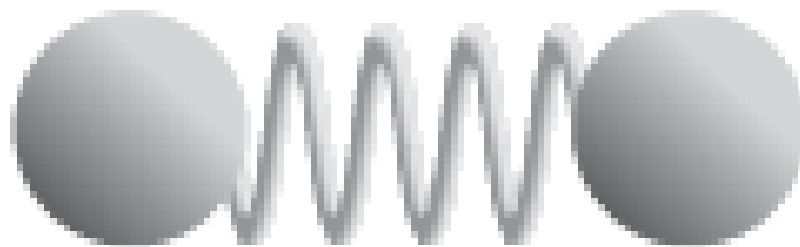
$$\nu = \frac{1}{2\pi} \sqrt{\frac{k}{m}}$$

where **k** is the force constant, **m** is the mass,  $\nu$  is the frequency of the vibration



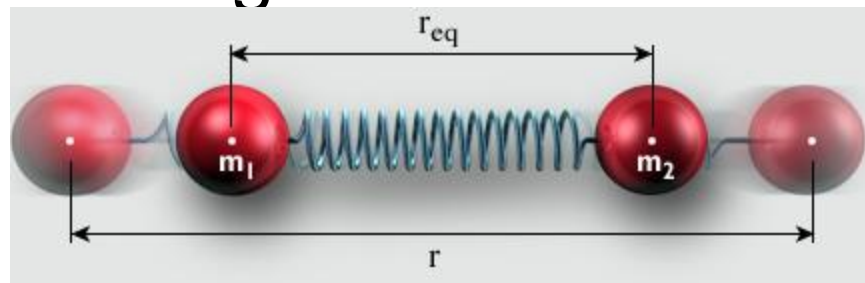
## Theory: Hooke's law

- The stretching frequency of a bond can be approximated by Hooke's Law.
- In this approximation, two atoms and the connecting bond are treated as a simple harmonic oscillator composed of 2 masses (atoms) joined by a spring:



# Theory: Classical vibrational Frequency for a diatomic molecule

- Diatomic molecule is represented by 2 masses:  $m_1$  and  $m_2$ , connected by a massless spring. *For simplicity, the masses may be allowed to move only along the molecular axis*
- The displacement of each mass from equilibrium along the axis is  $X_1$  and  $X_2$ . In this case,  $(X_2 - X_1)$  is the amount the bond length differs from the equilibrium length.



# Theory: Classical vibrational Frequency for a diatomic molecule

- Each mass will experience a force equal to a constant  $F$ .

$$F(X_2 - X_1) = m_1 \frac{d^2 X_1}{dt^2} = -F(X_2 - X_1) = m_2 \frac{d^2 X_2}{dt^2}$$

Acceleration along the X axis

$$X = \cos(\omega t) \quad \frac{dX}{dt} = -\omega \sin(\omega t) \quad \frac{d^2 X}{dt^2} = -\omega^2 \cos(\omega t)$$

# Theory: Classical vibrational Frequency for a diatomic molecule

- If we increase the time  $t$  by one period ( $1/\nu$ ) from some initial time  $t_0$  to  $(t_0 + 1/\nu)$ , the cosine function for  $X$  will go through 1 cycle and repeat itself. After one cycle:

$$X = \cos(\omega(t_0 + 1/\nu)) = \cos(\omega t_0 + 2\pi)$$

- We must add a constant  $A$  to define the maximum amplitude of the cosine function and a phase  $\alpha$  to define the cosine angle when  $t = 0$

$$X_1 = A_1 \cos(2\pi\nu t + \alpha) \qquad X_2 = A_2 \cos(2\pi\nu t + \alpha)$$

$$\frac{d^2 X_1}{dt^2} = -A_1 4\pi^2 \nu^2 \cos(2\pi\nu t + \alpha) \qquad \frac{d^2 X_2}{dt^2} = -A_2 4\pi^2 \nu^2 \cos(2\pi\nu t + \alpha)$$

# Theory: Classical vibrational Frequency for a diatomic molecule

$$F \left( X_2 - X_1 \right) = m_1 \frac{d^2 X_1}{dt^2} \quad \text{and} \quad F \left( X_2 - X_1 \right) = m_2 \frac{d^2 X_2}{dt^2}$$

$$F \left( A_2 - A_1 \right) = m_1 A_1 4\pi^2 \nu^2$$

$$\frac{A_1}{A_2} = \frac{F}{F - m_1 4\pi^2 \nu^2}$$

$$F \left( A_2 - A_1 \right) = m_2 A_2 4\pi^2 \nu^2$$

$$\frac{A_1}{A_2} = \frac{F - m_2 4\pi^2 \nu^2}{F}$$

# Theory: Classical vibrational Frequency for a diatomic molecule

$$\frac{A_1}{A_2} = \frac{F}{F - m_1 4\pi^2 \nu^2} \qquad \frac{A_1}{A_2} = \frac{F - m_2 4\pi^2 \nu^2}{F}$$

$$16\pi^4 m_1 m_2 \nu^4 - 4\pi^2 F (m_1 + m_2) \nu^2 + F^2 = F^2$$

$$\nu^4 = \nu^2 \left( \frac{F (m_1 + m_2)}{4\pi^2 m_1 m_2} \right) \longrightarrow \nu = \frac{1}{2\pi} \sqrt{F \left( \frac{1}{m_1} + \frac{1}{m_2} \right)}$$

## Theory: Classical vibrational Frequency for a diatomic molecule

- Same equation with reduced mass

$$\nu = \frac{1}{2\pi} \sqrt{\frac{F}{u}} \quad \text{with} \quad u = \frac{m_1 m_2}{m_1 + m_2}$$

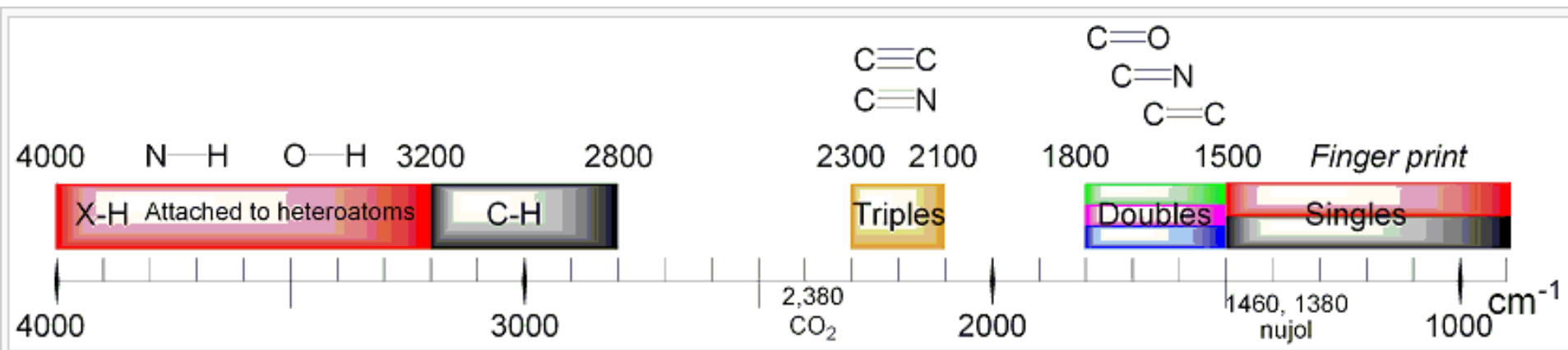
With F the force constant indicating the strength of the bond

- If there is a high value of F, i.e. **the bond is strong, it absorbs a higher frequency of light.**
- **The larger the two masses, the lower the frequency of light absorbed.**

# Examples

Which molecule has higher vibration?

1.  $\text{N}_2$ ,  $\text{O}_2$  or  $\text{F}_2$



892  $\text{cm}^{-1}$

2. HF is 3,960  $\text{cm}^{-1}$  (strong bond) and NaCl is 378  $\text{cm}^{-1}$  (weak bond).

Higher!



# Examples

The following is a list of frequency regions (in  $\text{cm}^{-1}$ ) and associated functional groups for organic compounds.\*

- 3,700 - 3,100: OH, NH and  $\equiv\text{CH}$
- 3,180 - 2,980: aryl, olefinic, and three-membered ring CH
- 3,000 - 2,700: aliphatic CH
- 3,100 - 2,400: acidic and strongly bonded hydrogens
- 2,300 - 1,900:  $\text{C}\equiv\text{C}$  and  $\text{C}=\text{C}=\text{C}$
- 2,000 - 1,700: aryl and olefinic overtones
- 1,900 - 1,550:  $\text{C}=\text{O}$
- 1,700 - 1,550:  $\text{C}=\text{C}$  and  $\text{C}=\text{N}$
- 1,660 - 1,450:  $\text{N}=\text{O}$
- 1,660 - 1,500:  $\text{NH}_2$ ,  $\text{CNH}$
- 1,620 - 1,420: aromatic and heteroaromatic rings
- 1,500 - 1,250:  $\text{CH}_3$  and  $\text{CH}_2$
- 1,350 - 1,150:  $\text{CH}_2$  and CH wag
- 1,300 - 1,000:  $\text{C}-\text{O}$
- 1,000 - 600: olefinic and acetylenic wag
- 900 - 700: aromatic wag
- 900 - 500: OH, NH and  $\text{NH}_2$  wag
- 830 - 500:  $\text{CCl}$ ,  $\text{CBr}$  and  $\text{Cl}$

\*Colthup, N. B.; Daly, L. H.; Wiberley, S. E., *Introduction to Infrared and Raman Spectroscopy*, 3rd edition, Academic Press, Boston, 1990, Chapter 13.

## In real life!

- If there are more atoms, there will be more bonds. More complex molecules may have many bonds, and vibrations can be conjugated, leading to infrared absorptions at characteristic frequencies that may be related to chemical groups. This will produce a more complicated spectrum.
- When unknown compounds are analyzed, a full spectrum is normally run. The goal is to identify the **presence of a particular functional group and determine its location on a known molecule**

## Measurement of IR spectra

- IR spectra are acquired on a special instrument, called an **IR spectrometer**.
- IR is used both to gather information about the structure of a compound and as an analytical tool to assess the purity of a compound or the structure of the glasses
- IR spectra are quick and easy to run
- Cells for holding samples must be made of infrared transmitting material.

# Infrared transmitting materials

SELECTION GUIDE FOR INFRARED TRANSMITTING MATERIALS				
Materials		Transmission Range	Index of Refraction	
NaCl	Rock Salt	0.25 - 15 $\mu$ m	1.52	Generally considered the most useful cell window. NaCl is low cost and rugged. Hygroscopic.
KBr	Potassium Bromide	0.25 - 25 $\mu$ m	1.53	KBr is an excellent, low cost material with an extended transmission range. It is softer than NaCl; stands thermal and mechanical shock fairly well. Hygroscopic.
AgCl	Silver Chloride	0.4 - 23 $\mu$ m	2.0	Soft material. Darkens under UV radiation, insoluble in water. Used as inexpensive cell windows. Corrosive to metals.
CaF <sub>2</sub>	Calcium Fluoride	0.15 - 9 $\mu$ m	1.40	Low index and very low solubility. Makes durable and precise cell for the region in which it transmits. Insoluble in water; resists most acids and alkalides. Do not use with solutions of ammonium salts.
BaF <sub>2</sub>	Barium Fluoride	0.2 - 11.5 $\mu$ m	1.46	This material is extremely sensitive to thermal shock. Do not use with solutions of ammonium salts. Insoluble in water; has good resistance to fluorine and fluorides.
CsI	Cesium Iodide	1.5 - 50 $\mu$ m	1.74	Generally easier to handle than cesium bromide. Hygroscopic; does not cleave; easily scratched.
KRS-5	Thallium Bromide-Iodide	0.5 - 35 $\mu$ m	2.37	Easily scratched; will cold flow; does not cleave; soluble in bases; insoluble in acids; slightly water soluble. Ideal for ATR work. Do not grind or polish.

## Infrared transmitting materials

- Some of the most useful window materials for the IR are quite soluble in water: NaCl, KCl, KBr, CsBr and CsI
- A typical cell for liquid which is made of NaCl has a transmission that starts to drop at about  $700\text{cm}^{-1}$ , is roughly 50% at  $600\text{cm}^{-1}$  and is nearly opaque at  $500\text{cm}^{-1}$ .

# Sample Requirements

- The detection limit for routine analysis is ~0.1 wt%; under ideal conditions greater sensitivity can be achieved
- Typically only a few milligrams of material are needed for analysis.
- Samples may be in liquid, solid, or gaseous form.

## Preparation of the samples: gas

- Gaseous samples require little preparation beyond purification!
- To obtain an infrared spectrum of a gas requires the use of a cylindrical gas cell with windows at each end composed of an infrared inactive material such as KBr, NaCl or  $\text{CaF}_2$ . The cell usually has an inlet and outlet port with a tap to enable the cell to be easily filled with the gas to be analyzed.

## Preparation of the samples: liquid

- Sandwiched between two plates of a high purity salt (commonly sodium chloride, or common salt, although a number of other salts such as potassium bromide or calcium fluoride are also used).
- The plates are transparent to the infrared light (not introduce any lines onto the spectra).



# Preparation of the samples: solid

- Solid samples can be prepared in two major ways.
  - 1) Crush the sample with a mulling agent (usually nujol) in a marble or agate mortar, with a pestle. **A thin film** of the mull is applied onto salt plates and measured.
  - 2) Grind a quantity of the sample with a specially purified salt (usually potassium bromide) finely (to remove scattering effects from large crystals). This powder mixture is then crushed in a mechanical die press to form a translucent pellet through which the beam of the spectrometer can pass.

Samples dispersed in powder must be homogeneously dispersed, with a particle size small enough not to cause scatter (theoretically  $< 2$  microns).

# VIBRATIONAL SPECTROSCOPY STUDIES OF GLASS STRUCTURE: IR spectroscopy

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Infrared spectroscopy is widely used in both research and industry as a simple and reliable technique for measurement, quality control, and dynamic measurement.

## Lecture #2

# Instruction for the quiz: Feb 9th

- [bb.clemson.edu](http://bb.clemson.edu)
- Log in between 9am and 6pm (East time)
- Go to Course documents
- Select the test **only if you are ready to begin**
- It is a 1 hour quiz, closed books
  
- **As soon as you enter in the test, you can not save your data and finish later**
  
- Don't forget to submit when you are done
- Email me when you are done

# Answer questions: definition mulling agent and nujol

A technique of sample preparation: the sample is ground, then dispersed in an oil or mulling agent. The mixture is then sandwiched between two KBr windows and placed in the infrared beam for analysis.

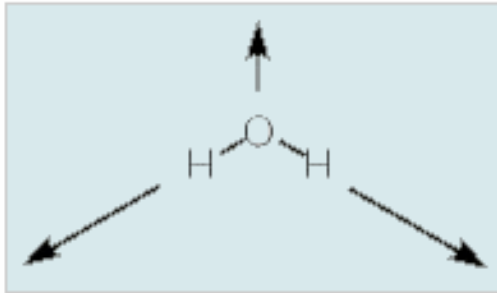
- **A mull** can be described as a suspension of a solid in a liquid. Under these conditions, light can be transmitted through the sample to afford an acceptable infrared spectrum.

**Nujol, or mineral oil**, is a long chain hydrocarbon. Most solids do not dissolve in this medium but can be ground up in its presence. A small mortar and pestle is used for this purpose..

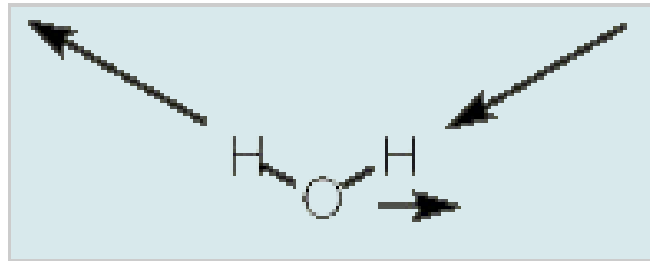
- **The major disadvantage of using a Nujol mull** is that the information in the C-H stretching region is lost because of the absorptions of the mulling agent. To eliminate this problem, it may be necessary to run a second spectrum in a different mulling agent that does not contain any C-H bonds. **Typical mulling agents** that are used for this purpose are perfluoro- or perchlorohydrocarbons. Examples include perchlorobutadiene, perfluorokerosene or a perfluorohydrocarbon oil

# Water

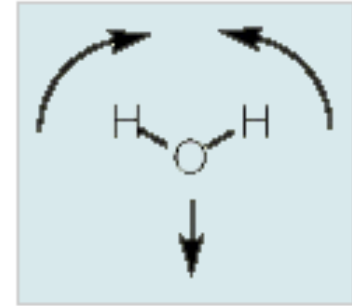
Symmetrical stretch



Asymmetric stretch

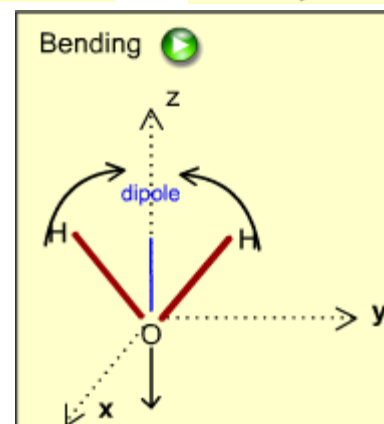
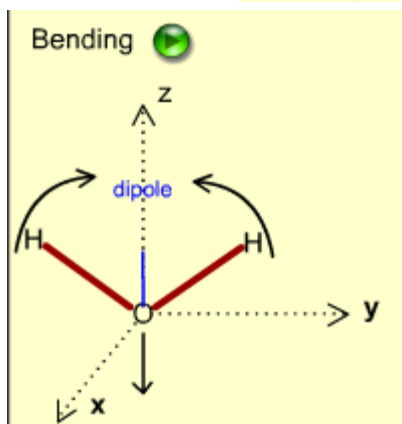
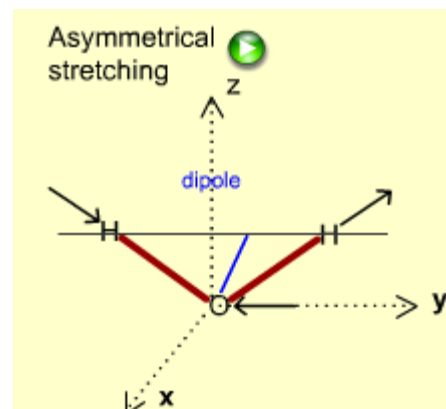
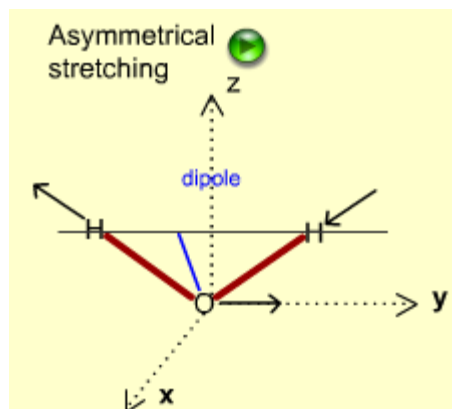
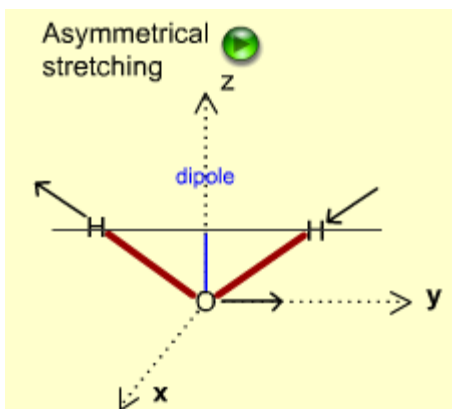
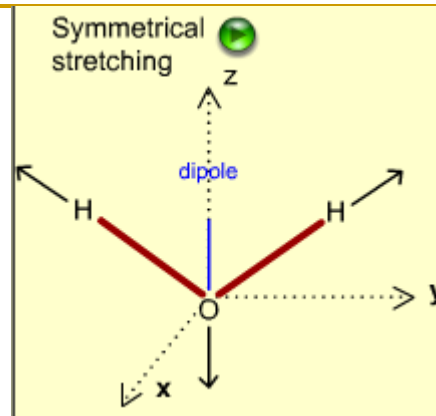
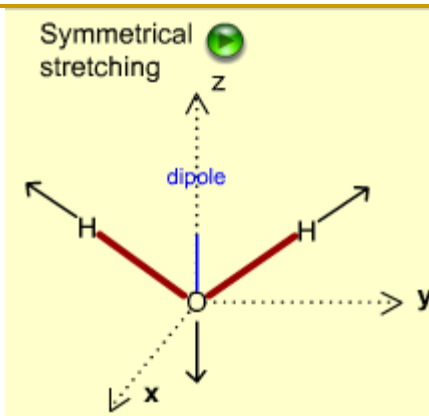


Bending mode



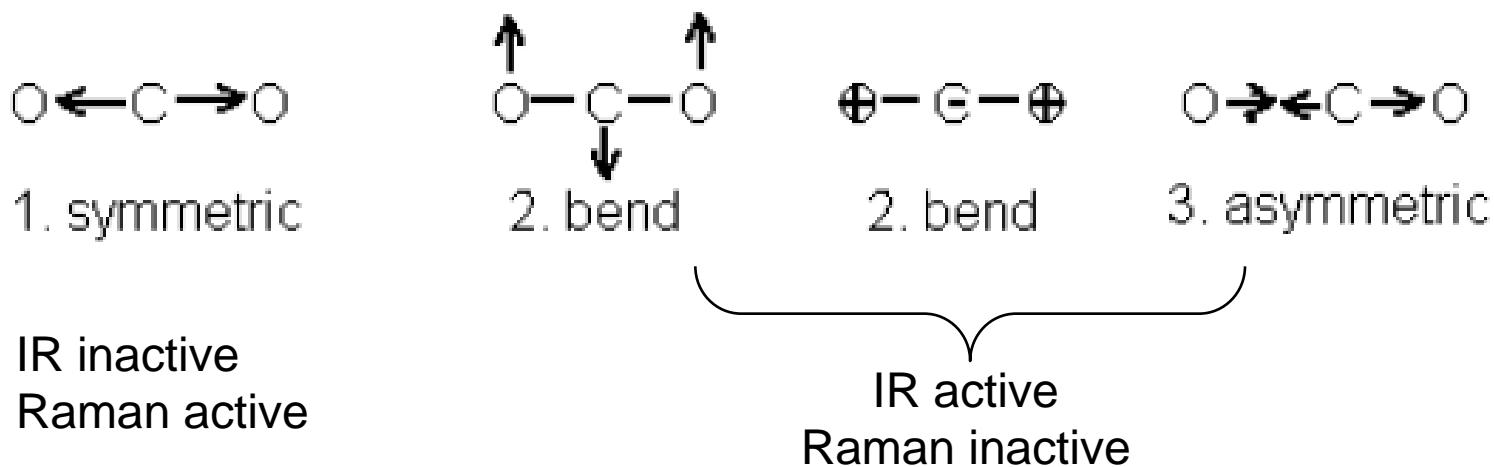
IR active: change of dipole moment  
&  
Raman active: change in electronic polarizability

# Water



# CO<sub>2</sub>

- CO<sub>2</sub> does not have a permanent dipole since the "center of gravity" for the positive charge overlays that for the negative charge.
- When a molecule has a center of symmetry Raman active vibrations are those that maintain the center of symmetry.



The CO<sub>2</sub> molecule has a center of symmetry located at the carbon atom and so obeys the exclusion rule, "In a centrosymmetric molecule no Raman-active molecule is also infrared-active and no infrared-active vibration is also Raman active."

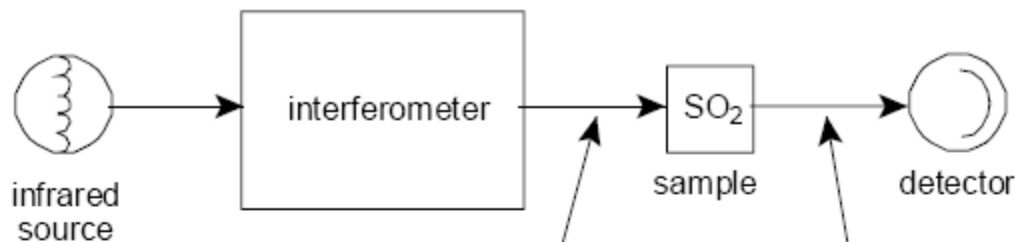
Cotton, F. A. *Chemical Applications of Group Theory*, 3rd ed., John Wiley & Sons, Inc., New York: 1990, pages 338-340.

## Summary

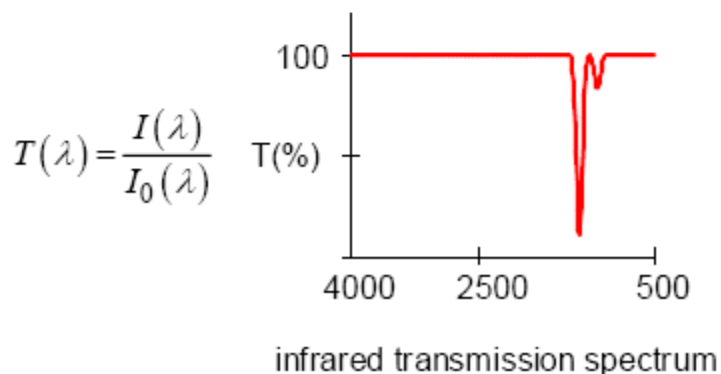
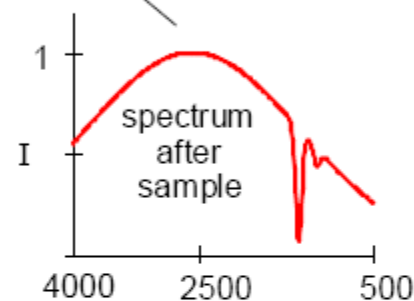
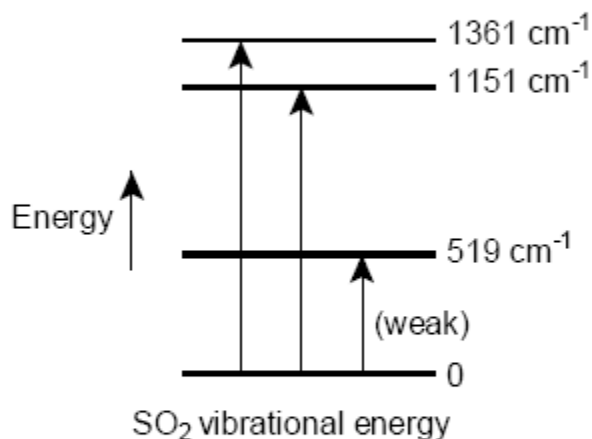
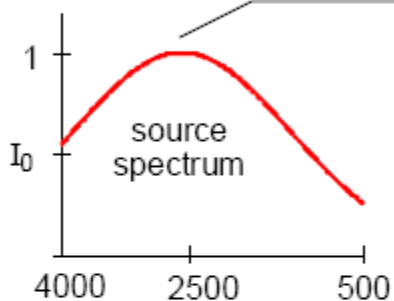
- Spectroscopy is the study of the interaction of electromagnetic radiation with a chemical substance.
- **When radiation passes through a sample (solid, liquid or gas), certain frequencies of the radiation are absorbed by the molecules of the substance leading to the molecular vibrations.**
- The frequencies of absorbed radiation are unique for each molecule which provide the characteristics of a substance.



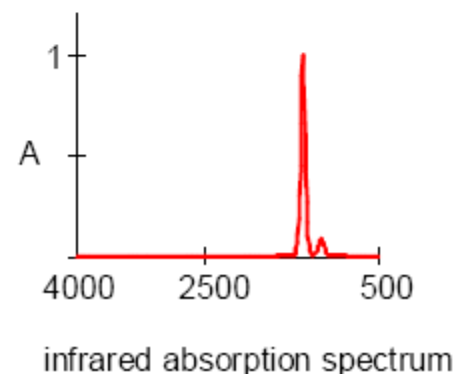
# Typical method for transmittance measurement



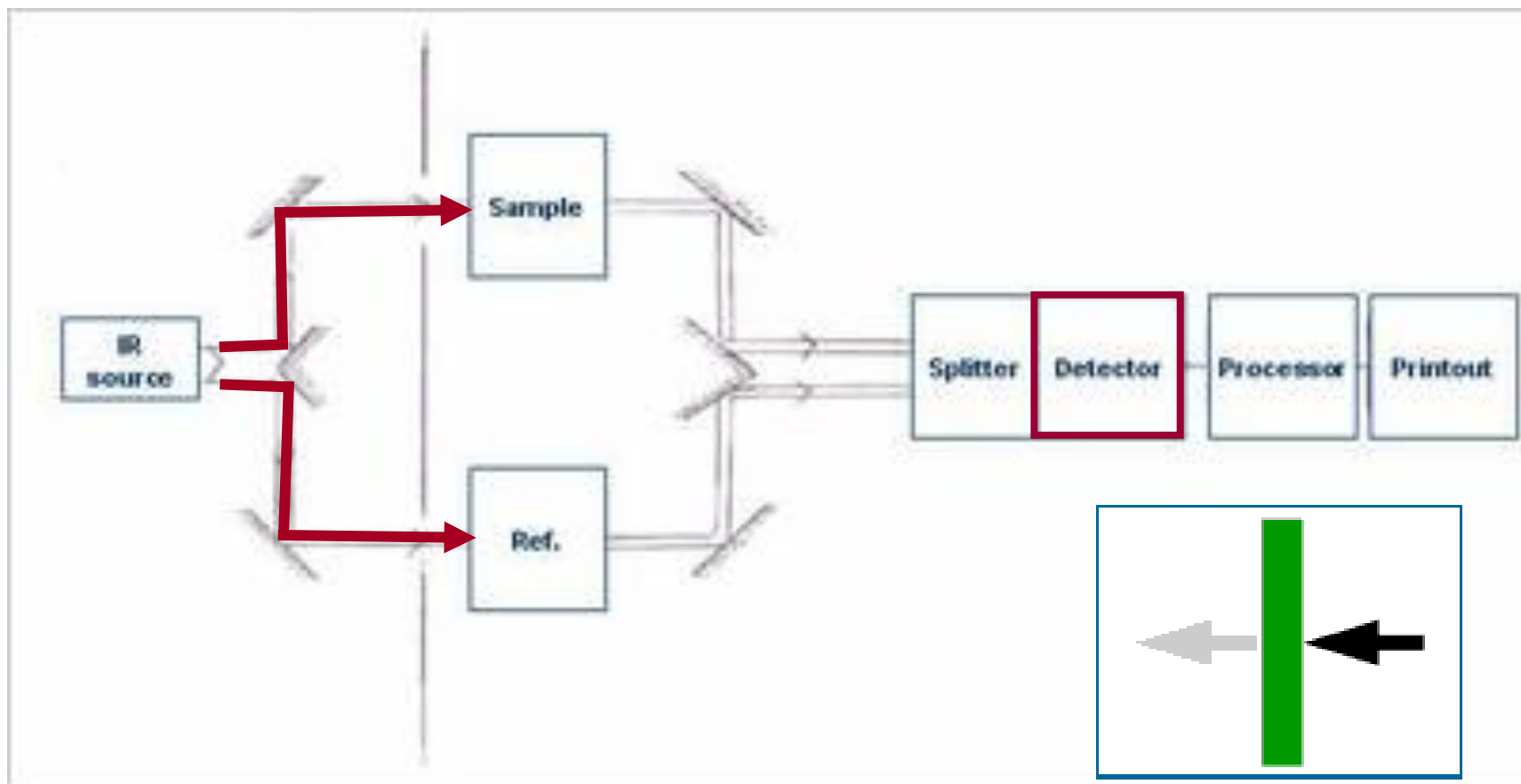
Note: The sample is removed to obtain the source spectrum.



$$A(\lambda) = \log\left(\frac{1}{T(\lambda)}\right)$$



# Typical method for transmittance measurement



# Typical method for measurement

- A beam of infra-red light is produced and split into two separate beams. One is passed through the sample, the other passed through a reference which is often the substance the sample is dissolved in.
- **The beams are both reflected back towards a detector**, however first they pass through a splitter which quickly alternates which of the two beams enters the detector. The two signals are then compared and a printout is obtained.
- *A reference can be used for two reasons:*
  - i) This prevents fluctuations in the output of the source affecting the data
  - ii) This allows the effects of the solvent to be cancelled out (the reference is usually a pure form of the solvent the sample is in)

## Source

- All the manufacturers use a **heated ceramic source**.
- The composition of the ceramic and the method of heating vary but the idea is always the same, the production of a heated emitter operating at as high a temperature as with a very long life.
- The manufacturers tend to go for either a conducting ceramic or a wire heater coated with ceramic.

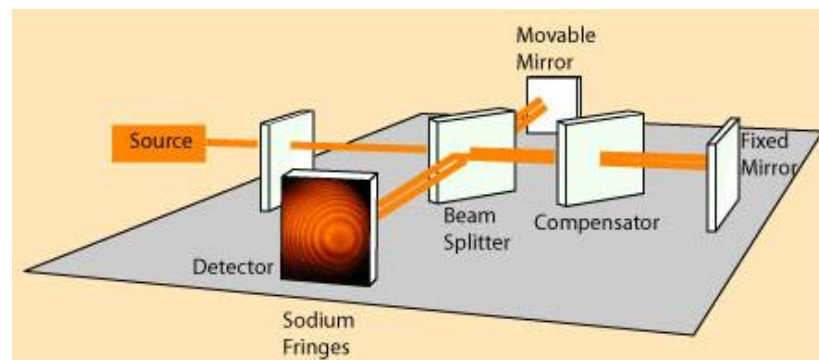
# Interferometer: heart of the instrument

- This device splits and recombines a beam of light such that the recombined beam produces a wavelength-dependent interference pattern or an **interferogram**. The Michelson interferometer is most commonly used.

The Michelson interferometer produces interference fringes by splitting a beam of monochromatic light

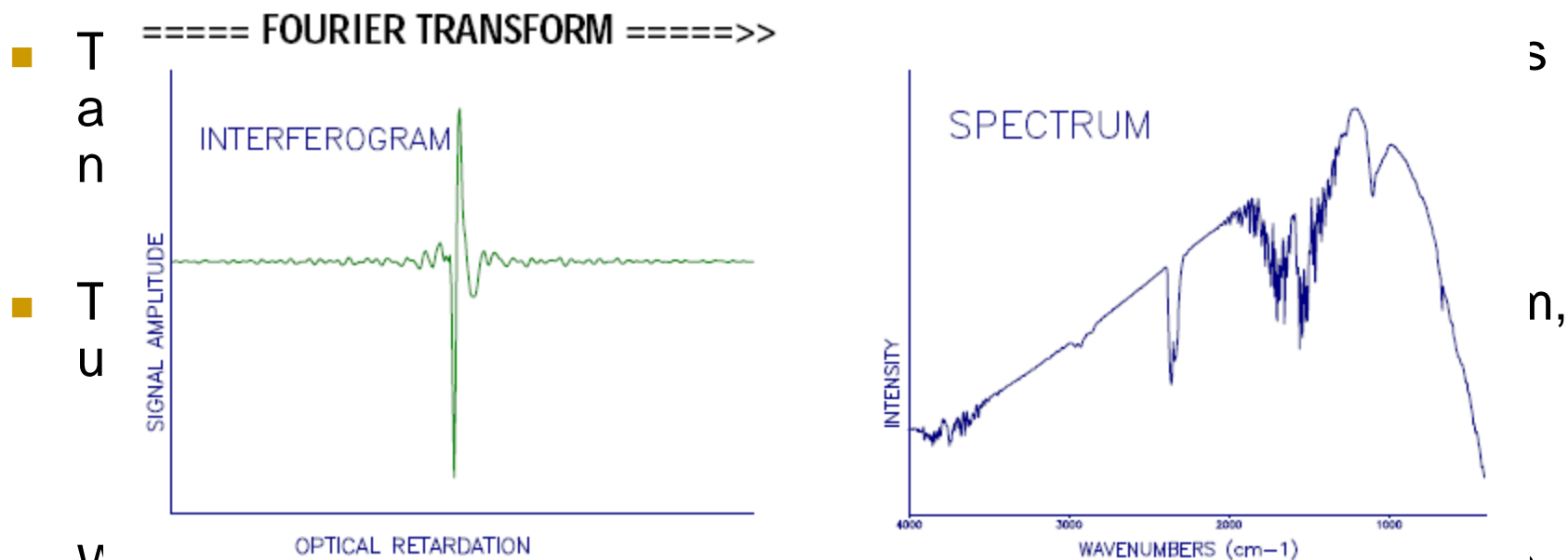
- one beam strikes a fixed mirror
- the other a movable mirror.

When the reflected beams are brought back together, an interference pattern results.



# Interferometer: Michelson Interferometer

- The signal is recorded as a function of the optical path difference between the fixed and the movable mirror.



- With an infrared data station, the computer acquires, processes, stores and retrieves spectral data.

# Infrared Detector

- It is a device which measured the infrared energy of the source which has passed through the spectrometer. *These devices change radiation energy into electrical energy which can be processed to generate a spectrum.*
- 2 basic types:
  - **Thermal detectors** which measure the heating effect of radiation and respond equally well to all wavelengths
  - **Selective detectors** whose response is markedly dependent on the wavelength

# Infrared detectors

- Examples of thermal detectors:

**Thermocouples:** when incident radiation is absorbed at the junction, the temperature rise causes an increase in the electromotive potential developed across the junction leads

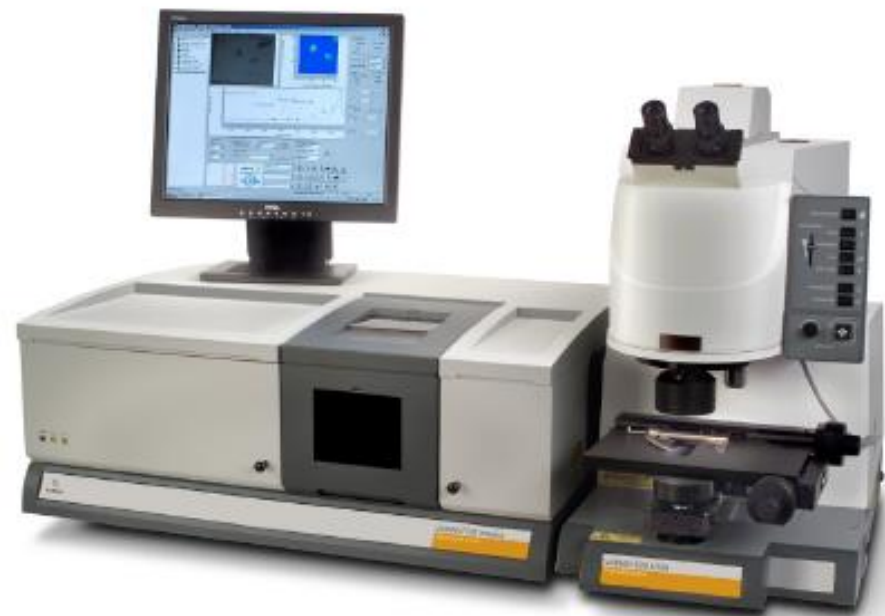
**Bolometers:** detecting device which depends on a change of resistance with temperature

**Pyroelectric:** it consists of a thin pyroelectric crystal. If it is electrically polarized in an electric field, it retains a residual electric polarization after the field is removed.



# Nicolet Continuum Infrared Microscope

- Acquisition of mid-IR spectra from very small ( $>10\ \mu\text{m}$ ) samples with diffraction limited spatial resolution.
- Material science applications including:
  - Forensic analysis
  - Surface analysis
  - Art conservation
  - Mineralogy
  - Biochemistry



Varian 7000 FT-IR imaging system

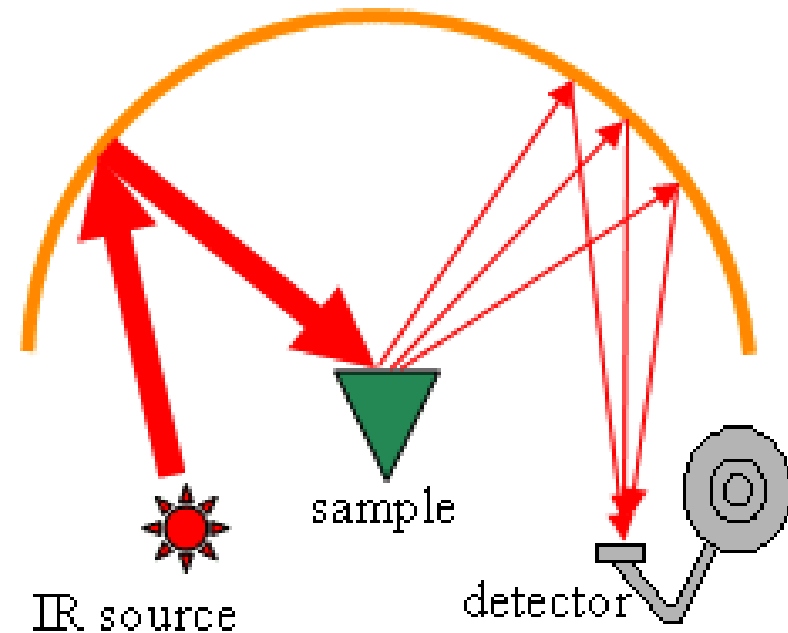
# Diffuse Reflectance Spectroscopy



- Diffuse Reflectance Infrared Fourier Transform Spectroscopy (DRIFTS) is a technique that **collects and analyzes scattered IR energy**. It is used for measurement of fine particles and powders, as well as rough surface (e.g., the interaction of a surfactant with the inner particle, the adsorption of molecules on the particle surface). Sampling is fast and easy because little or no sample preparation is required.

# Diffuse Reflectance Spectroscopy

- When the IR beam enters the sample, it can either be reflected off the surface of a particle or be transmitted through a particle.
- The IR beam that passes through a particle can either reflect off the next particle or be transmitted through the next particle.
- This transmission-reflectance event can occur many times in the sample.
- Finally, such scattered IR energy is collected by a **spherical mirror that is focused onto the detector**. The detected IR light is partially absorbed by particles of the sample, bringing the sample information



# Preparation of the samples

- There are three ways to prepare samples for DRIFTS measurement:
  - 1) Fill the micro-cup with the powder (or the mixture of the powder and KBr).
  - 2) Scratch the sample surface with a piece of abrasive (SiC) paper and then measuring the particles adhering to the paper.
  - 3) Place drops of solution on a substrate. If colloids or powders are dissolved or suspended in a volatile solvent, you can place a few drops of the solution on a substrate, and then evaporate the solvent, subsequently analyze the remaining particles on the substrate.

## Other techniques:

- Attenuated Total Reflectance (ATR)



required for analysis is that the sample of interest be brought into contact with the ATR crystal. Under this condition total internal reflection of the beam occurs

- Specular Reflectance (SR)



Occurs from bulk samples with a glossy surface such as crystal faces, glasses, and monolithic polymers.

## Other techniques:



- Reflection-Absorption (RA)

Occurs when thin films are present on a reflective substrate.

- Photoacoustic (PA)

Quite complex and difficult to perform.



The photoacoustic signal is generated when the infrared radiation absorbed by a sample is converted to heat within the sample. This heat diffuses to the sample surface and into the adjacent gas atmosphere. The thermal expansion of this gas produces the photoacoustic signal. Assuming a suitable signal level is attainable, the PAS spectrum of almost any sample can be obtained without preparation.

# Furnace and high-temperature measurements

- High-temperature spectra recorded by heating samples in a furnace.
- Sample pellets are positioned within a cylindrical platinum-wound furnace with a recycle-water-cooling system.
- The cooling system is used to prevent the outside of the furnace from becoming too hot. Two thermocouples are used in the furnace. The temperature of the furnace is controlled by using a Pt/PtRh thermocouple located close to the heating platinum wires in the furnace and a Eurotherm 815 temperature controller, which allows a temperature variation of less than  $\pm 1\text{K}$ . A heating rate of 8-15 K/min is recommended for most experiments.

## ■ Water diffusivity in rhyolitic glasses as determined by in situ IR spectroscopy

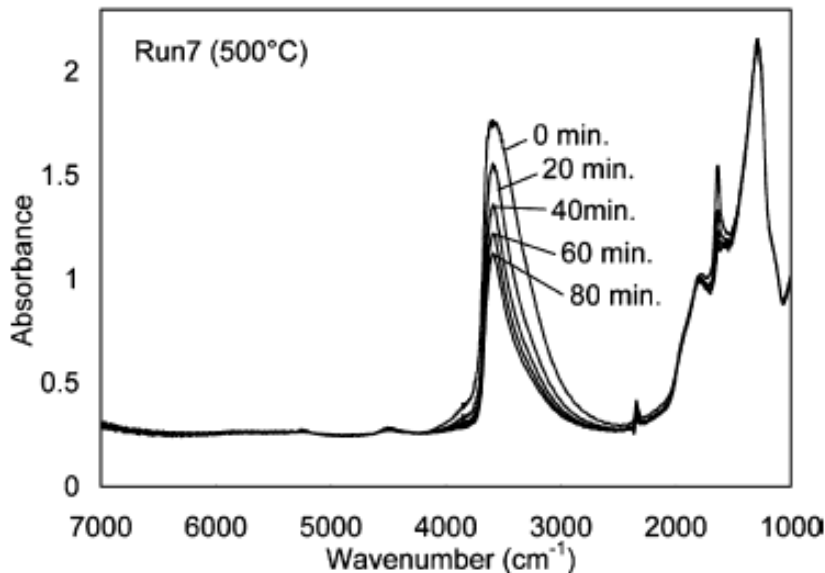


Fig. 2 Successive infrared (IR) spectra obtained by in situ heating experiments for a synthetic water-containing obsidian of 2.8 wt% total water (*Run7 sample*) at 500 °C. The spectra after 0, 20, 40, 60 and 80 min are shown

Rhyolitic glass:  
mainly  $\text{SiO}_2$  with  $\text{Al}_2\text{O}_3$ ,  $\text{Na}_2\text{O}$ ,  $\text{K}_2\text{O}$

Goal: Determination of the diffusion coefficients of total water in rhyolitic glasses at high temperatures.

Dehydration experiments

The absorption bands of water species at 5200, 4500, 3550 and 1630  $\text{cm}^{-1}$ , corresponding to  $\text{H}_2\text{O}$ , OH, OH +  $\text{H}_2\text{O}$  and  $\text{H}_2\text{O}$ , respectively



- Er<sup>3+</sup>-doped SiO<sub>2</sub>-GeO<sub>2</sub>-Al<sub>2</sub>O<sub>3</sub> prepared by sol-gel.
- Film deposited on Silicon using spin coating

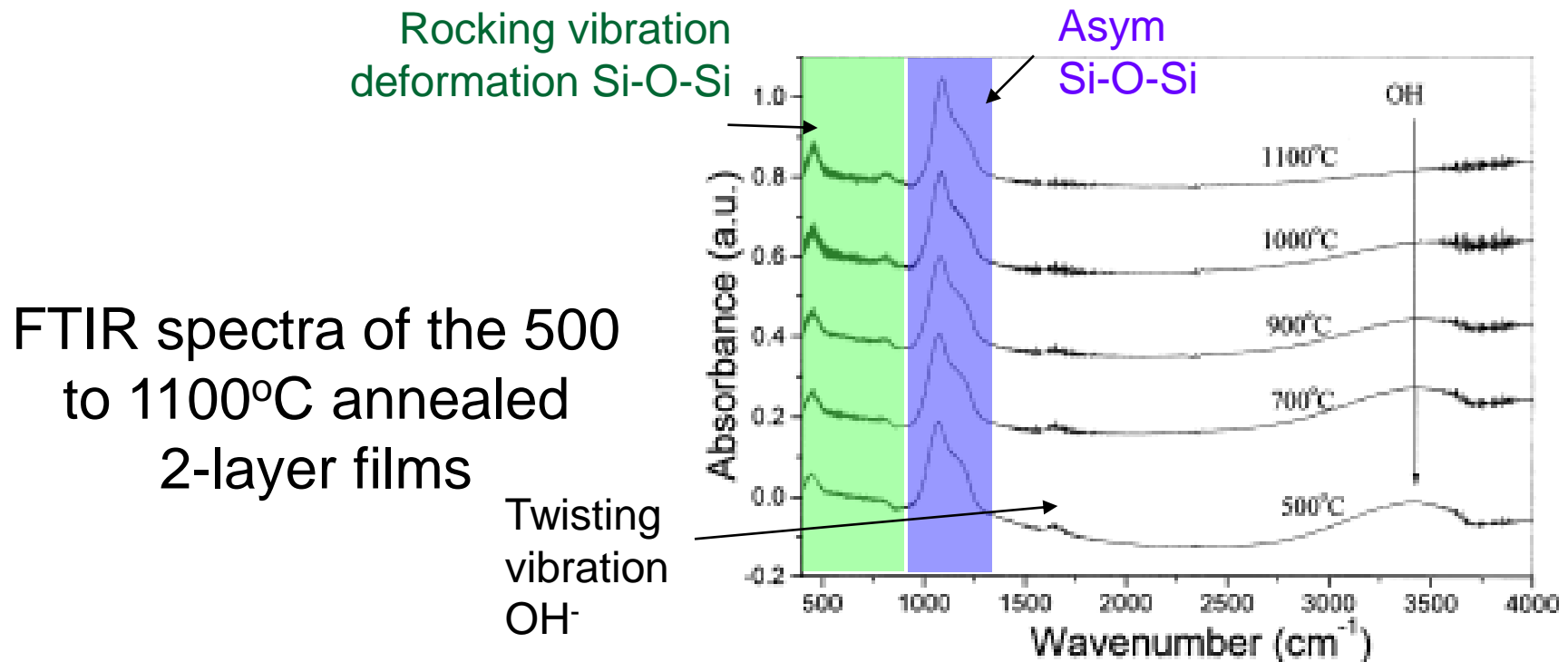
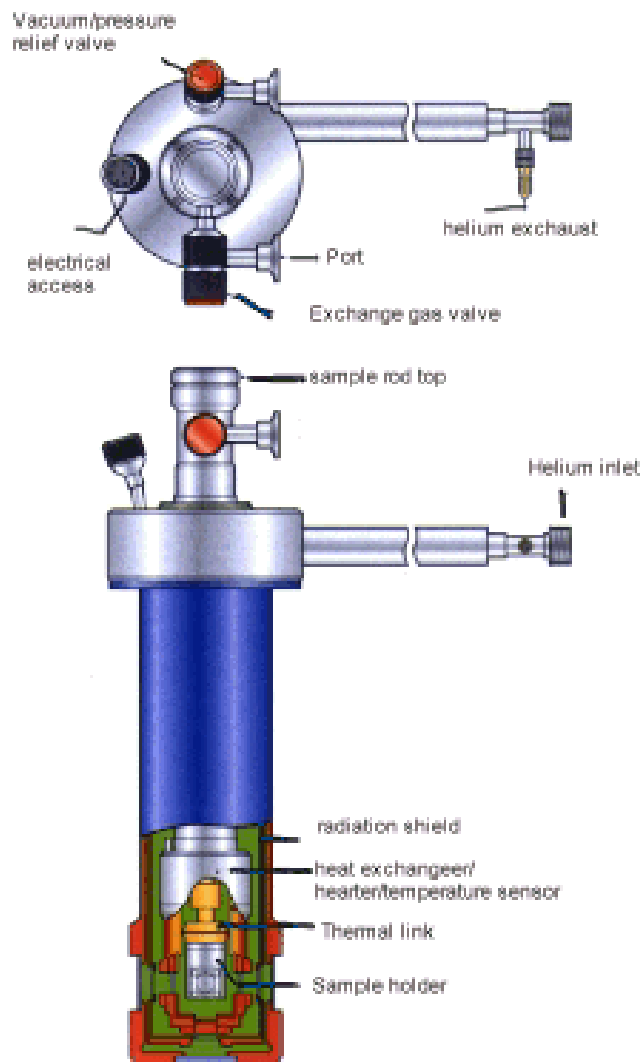


Fig. 3. FTIR spectra of the Er<sup>3+</sup>-doped SiO<sub>2</sub>-GeO<sub>2</sub>-Al<sub>2</sub>O<sub>3</sub> thin films annealed at different temperatures.

# Cryostats and low-temperature measurements

- Temperature range of 20-310 K is used for most low-temperature measurements.
- A sample holder is made from high-thermal-conductivity oxygen-free copper. A gold-coated lattice made from oxygen-free copper is installed at the sample position to improve the thermal contact between the sample and the sample holder. One temperature sensor, positioned near the heating unit, is used to control the temperature of the cryostat while another calibrated Si-diode temperature sensor is glued on the centre of the sample holder for measuring the sample temperature.



## Ordered low-temperature structure in $K_4C_{60}$ detected by infrared spectroscopy

- Infrared spectra of a  $K_4C_{60}$  single-phase thin film measured between room temperature and 20 K.

- At low temperatures, the two high-frequency  $T1u$  modes appear as triplets, indicating a static  $D2h$  crystal-field stabilized Jahn-Teller distortion of the  $C_{60}$  42 anions. The  $T1u(4)$  mode changes into a known doublet above 250 K, a pattern which could have three origins:

- a dynamic Jahn-Teller effect,
- static disorder between ‘staggered’ anions,
- a phase transition from an orientationally ordered phase to one where molecular motion is significant.

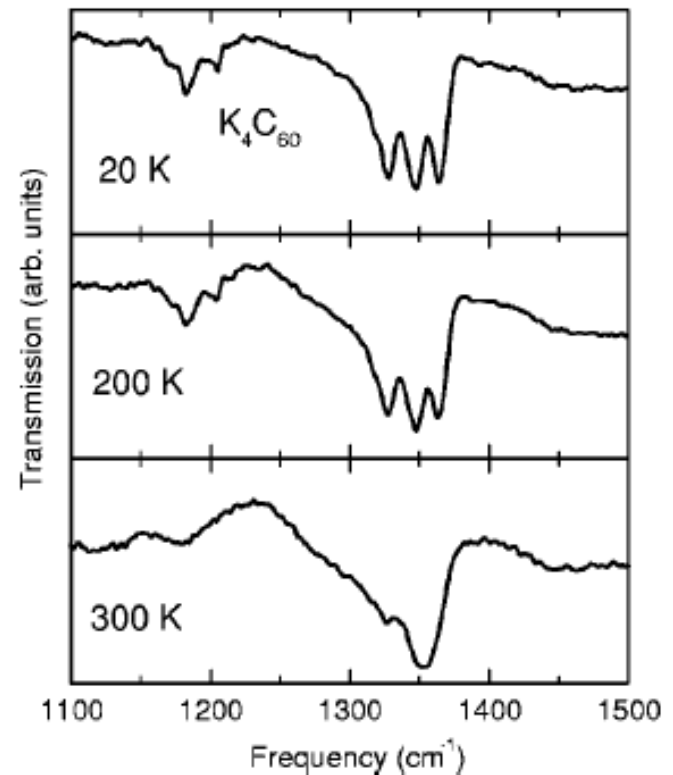
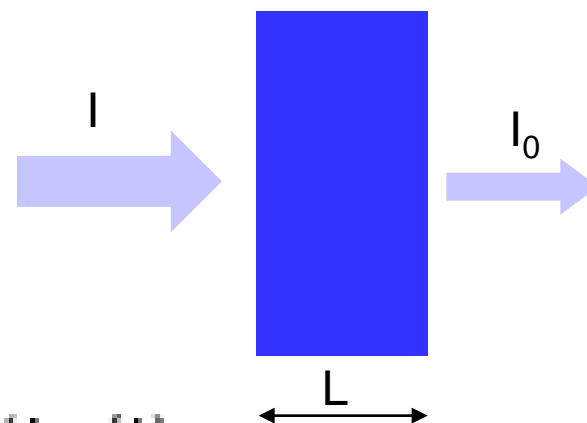


FIG. 2. Infrared spectra of  $K_4C_{60}$  in the region of the high-frequency  $C_{60}$  molecular vibrations, at three different temperatures.

# Quantitative analysis

Transmittance  $T = I/I_0$

Absorbance  $A = \log(1/T) = \log(I_0/I)$



$I_0$  = Intensity of incident radiation

$I$  = Intensity of transmitted radiation

$e$  = molar extinction coefficient

$c$  = concentration (mole/l)

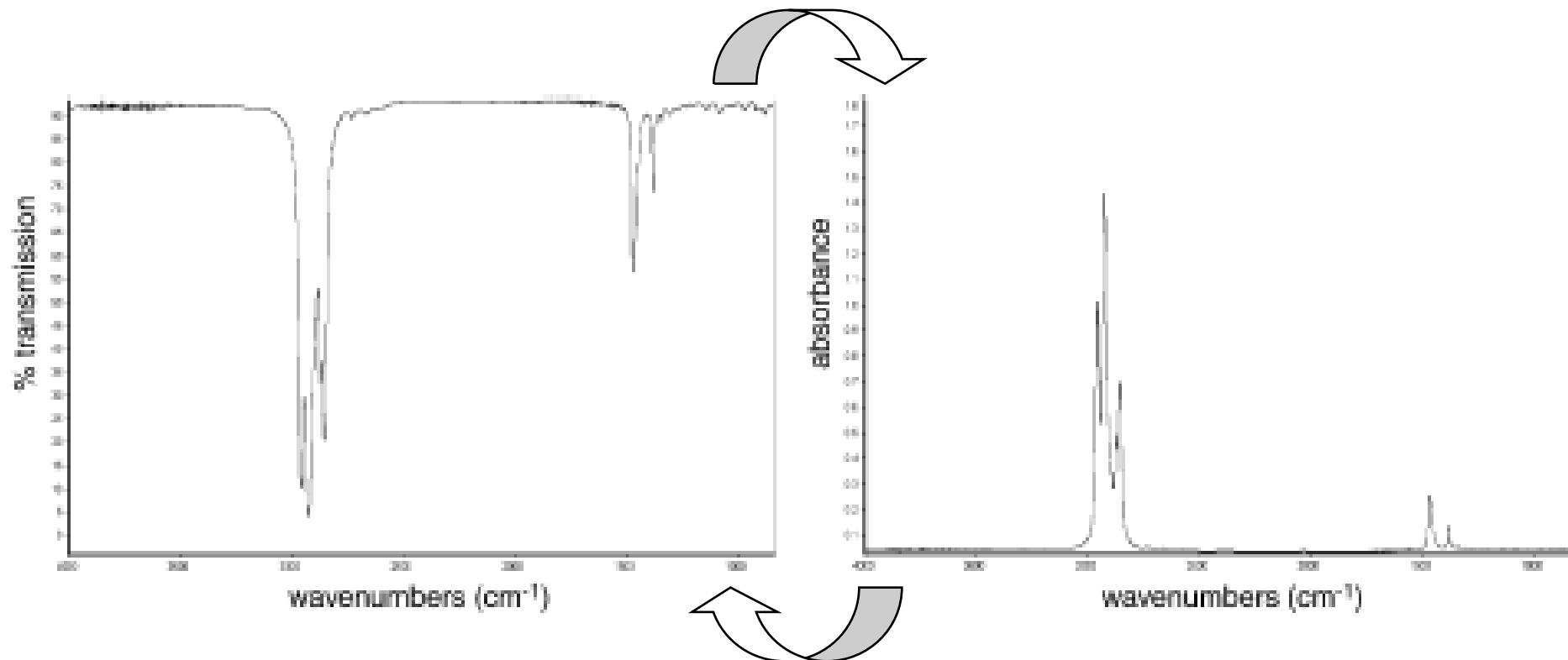
$L$  = sample pathlength (cm)

$$T = \frac{I}{I_0} = 10^{-ecL}$$

# Example of transmittance and absorbance spectra

Band intensities can also be expressed as absorbance (A).

$$A = \log_{10} (1/T)$$

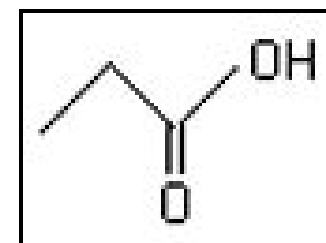


# Infrared Absorption due to vibrational transitions

- 3 absorptions:
  - **Impurity absorption due to gases or bound hydrogen isotopes** (dissolved  $\text{CO}_2 \Rightarrow$  IR absorption band @  $4.26\mu\text{m}$ )
  - **The IR cutoff or multiphonon edge due to IR absorption due to stretching & bending of glass structure bonds.**
  - **Fundamental structural vibrations such as water bands**
    - are problems when designing fiber optic systems
    - Si—OH stretching frequencies @  $2.7\mu\text{m}$  etc.

The following is a list of frequency regions (in  $\text{cm}^{-1}$ ) and associated functional groups for organic compounds.\*

3,700 - 3,100:	OH, NH and $\equiv\text{CH}$
3,180 - 2,980:	aryl, olefinic, and three-membered ring CH
3,000 - 2,700:	aliphatic CH
3,100 - 2,400:	acidic and strongly bonded hydrogens
2,300 - 1,900:	$\text{C}\equiv\text{C}$ and $\text{C}=\text{C}=\text{C}$
2,000 - 1,700:	aryl and olefinic overtones
1,900 - 1,550:	$\text{C}=\text{O}$
1,700 - 1,550:	$\text{C}=\text{C}$ and $\text{C}=\text{N}$
1,660 - 1,450:	$\text{N}=\text{O}$
1,660 - 1,500:	$\text{NH}_2$ , $\text{CNH}$
1,620 - 1,420:	aromatic and heteroaromatic rings
1,500 - 1,250:	$\text{CH}_3$ and $\text{CH}_2$
1,350 - 1,150:	$\text{CH}_2$ and $\text{CH}$ wag
1,300 - 1,000:	$\text{C}-\text{O}$
1,000 - 600:	olefinic and acetylenic wag
900 - 700:	aromatic wag
900 - 500:	OH, NH and $\text{NH}_2$ wag
830 - 500:	$\text{CCl}$ , $\text{CBr}$ and $\text{Cl}$



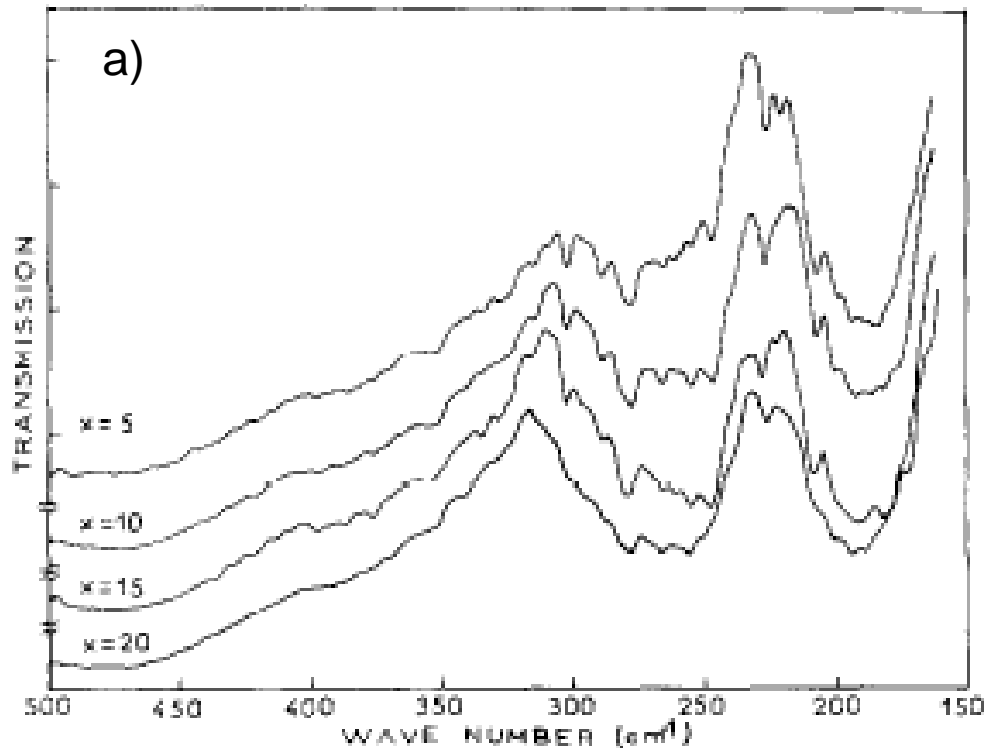
\*Colthup, N. B.; Daly, L. H.; Wiberley, S. E., *Introduction to Infrared and Raman Spectroscopy*, 3rd edition, Academic Press, Boston, 1990, Chapter 13.

Strong absorption at just over  $1710\text{ cm}^{-1}$  and a medium absorption at  $2500\text{-}3300\text{ cm}^{-1}$ . (Formula  $\text{C}_3\text{H}_6\text{O}_2$ )

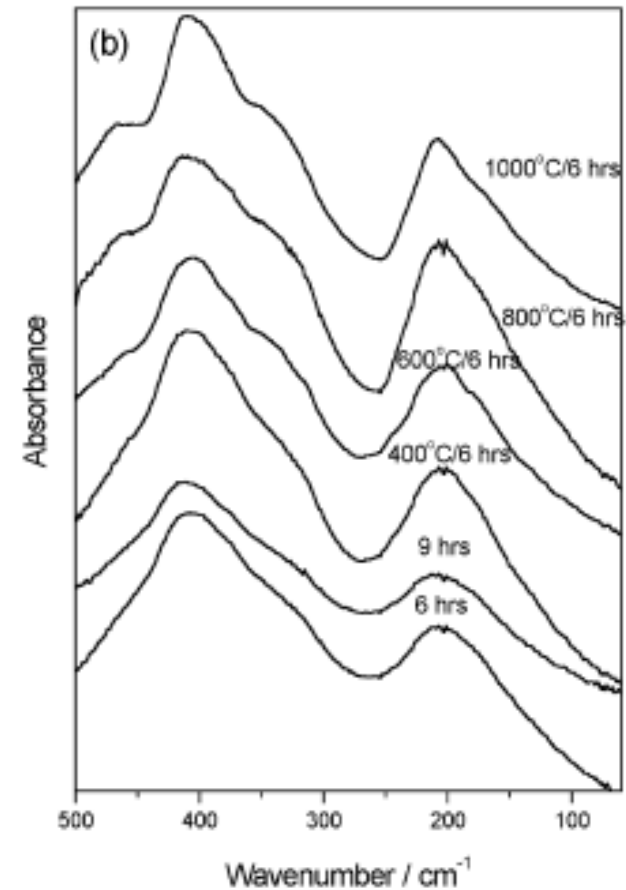
Looking at the table, these bonds correspond to the  $\text{C}=\text{O}$  and  $\text{O}-\text{H}$  groups found in a carboxylic acid.

## Few examples:

- IR spectrum of  $\text{GeSe}_2$  and  $\text{GeO}_2$  based glasses?



$\text{GeSe}_2$  based glass



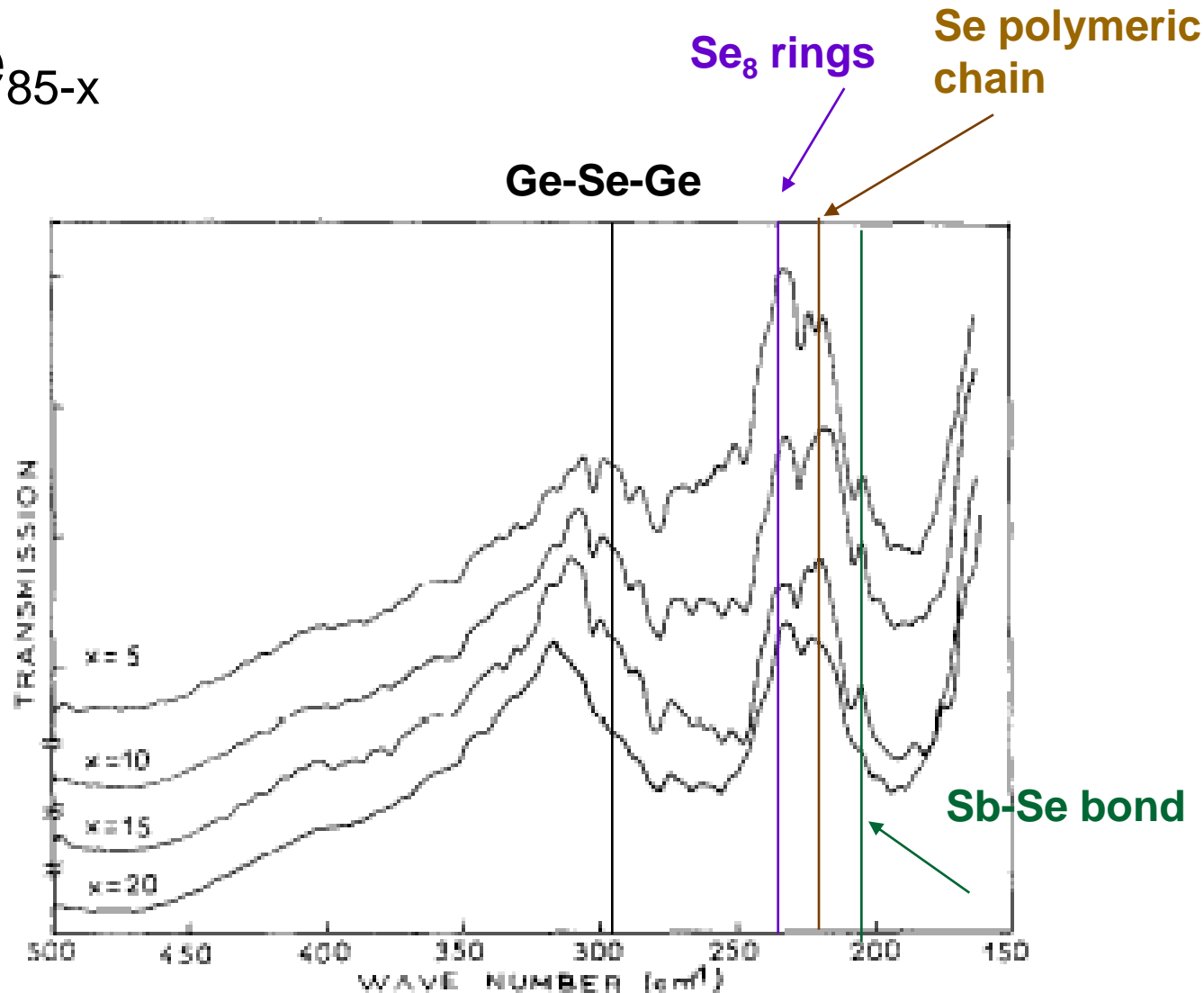
$\text{GeO}_2$  based glass



*D.R. Goyal, AS. Maan/Journal of Non-Crystalline Solids 183 (1995) 182-185*



Presence of much fewer modes than expected because frequency difference between some modes is small and bandwidth so large that the corresponding bands are not resolved in the spectra measured for powder samples.



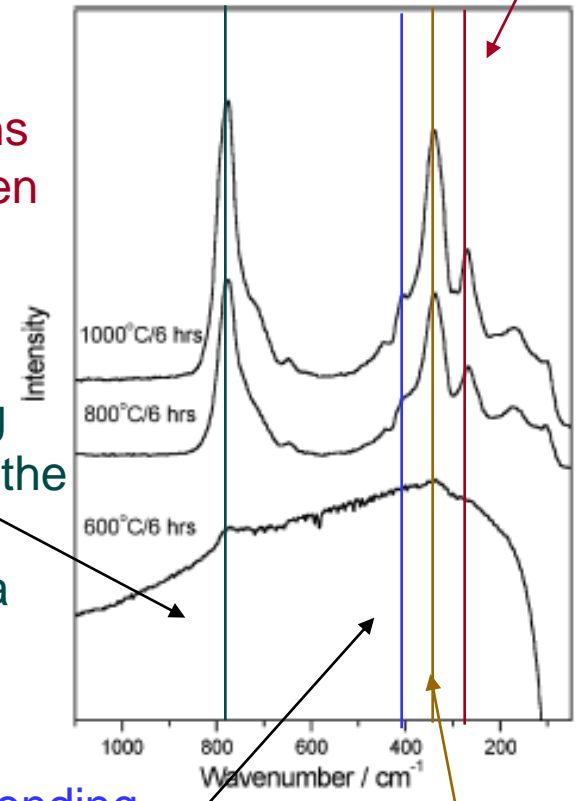
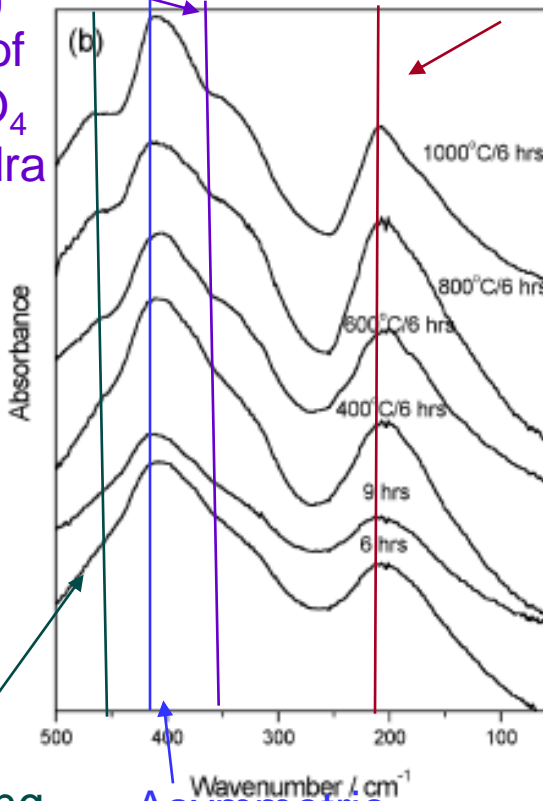
# IR and Raman spectra of $\text{La}_2\text{O}_3\text{-GeO}_2$ based glasses

La-O bond vibration

IR spectrum

La-O bond vibration & Coupled translational motions of germanate ions and vibration of the oxygen atoms located inside the hexagonal channels

Raman spectrum



Bending modes of the  $\text{GeO}_4$  tetrahedra

Stretching modes of the  $\text{GeO}_4$  tetrahedra.

Asymmetric Bending modes of the  $\text{GeO}_4$  tetrahedra.

Sym. Stretching modes of the  $\text{GeO}_4$  tetrahedra

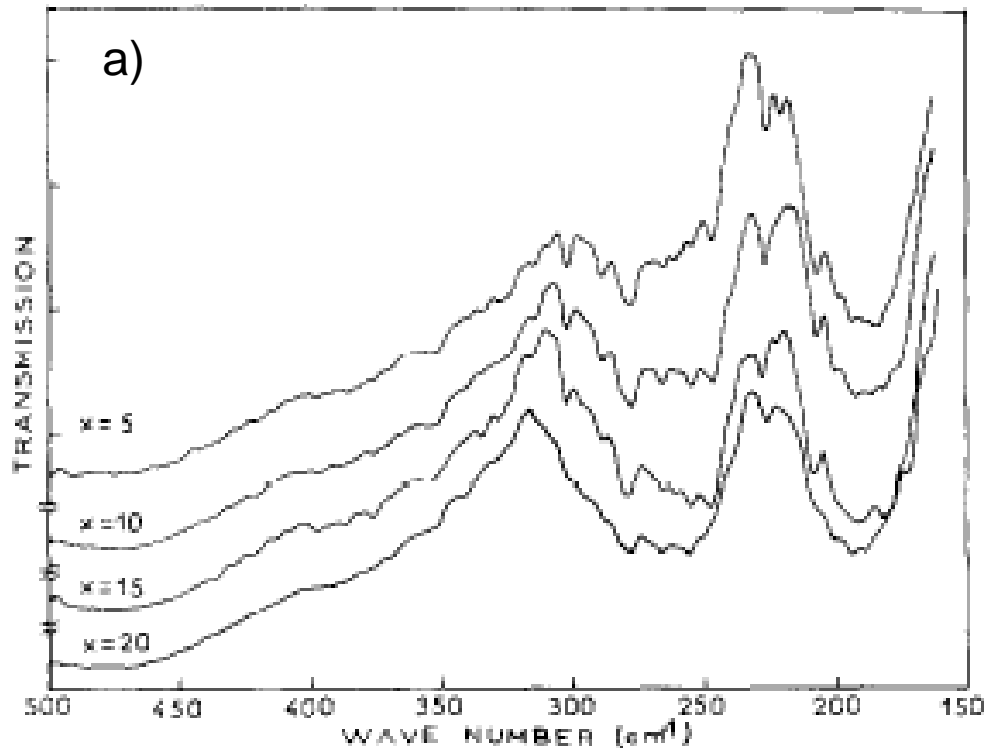
Asymmetric Bending modes of the  $\text{GeO}_4$  tetrahedra.

Asym. Stretching modes of the  $\text{GeO}_4$  tetrahedra

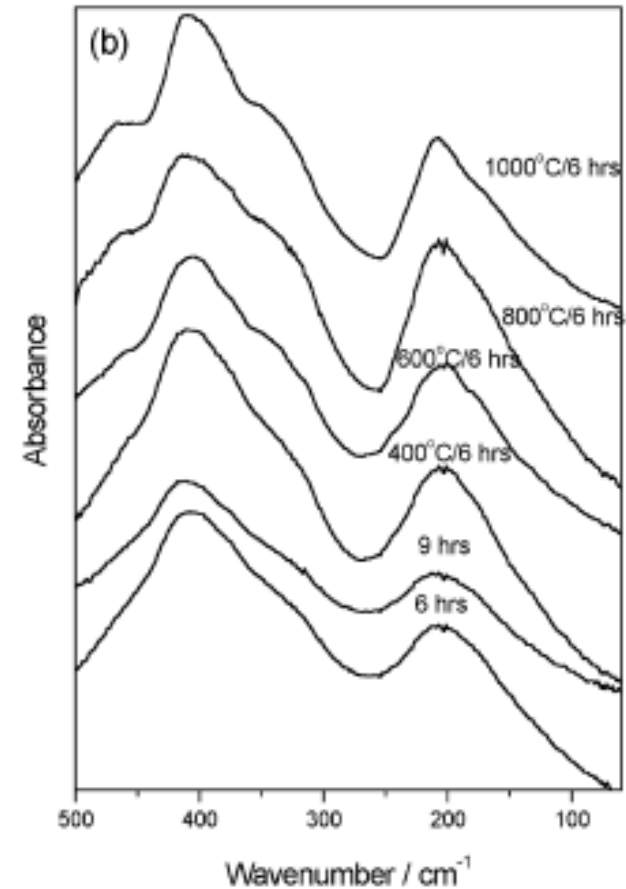
*E. Rodríguez-Reyna et al. / Solid State Sciences 8 (2006) 168–177*

## Few examples:

- IR spectrum of  $\text{GeSe}_2$  and  $\text{GeO}_2$  based glasses?



$\text{GeSe}_2$  based glass



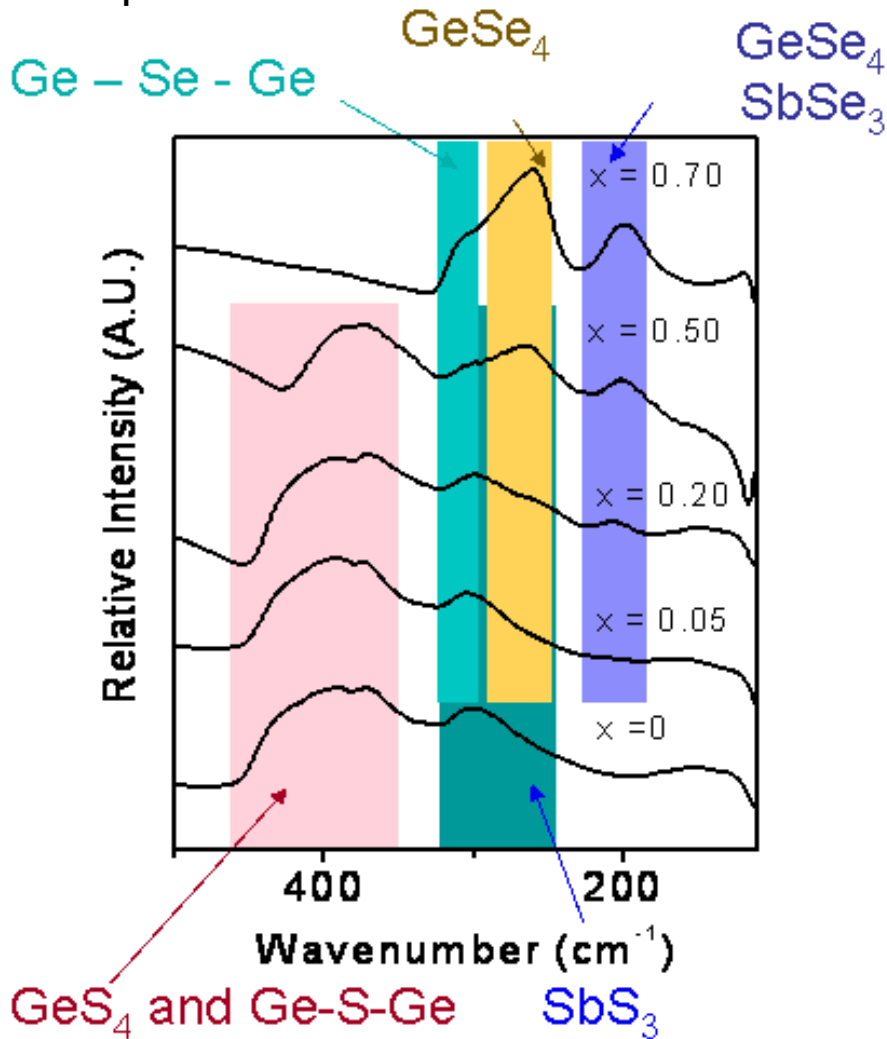
$\text{GeO}_2$  based glass

# Anion Exchange: Sulfoselenide glasses

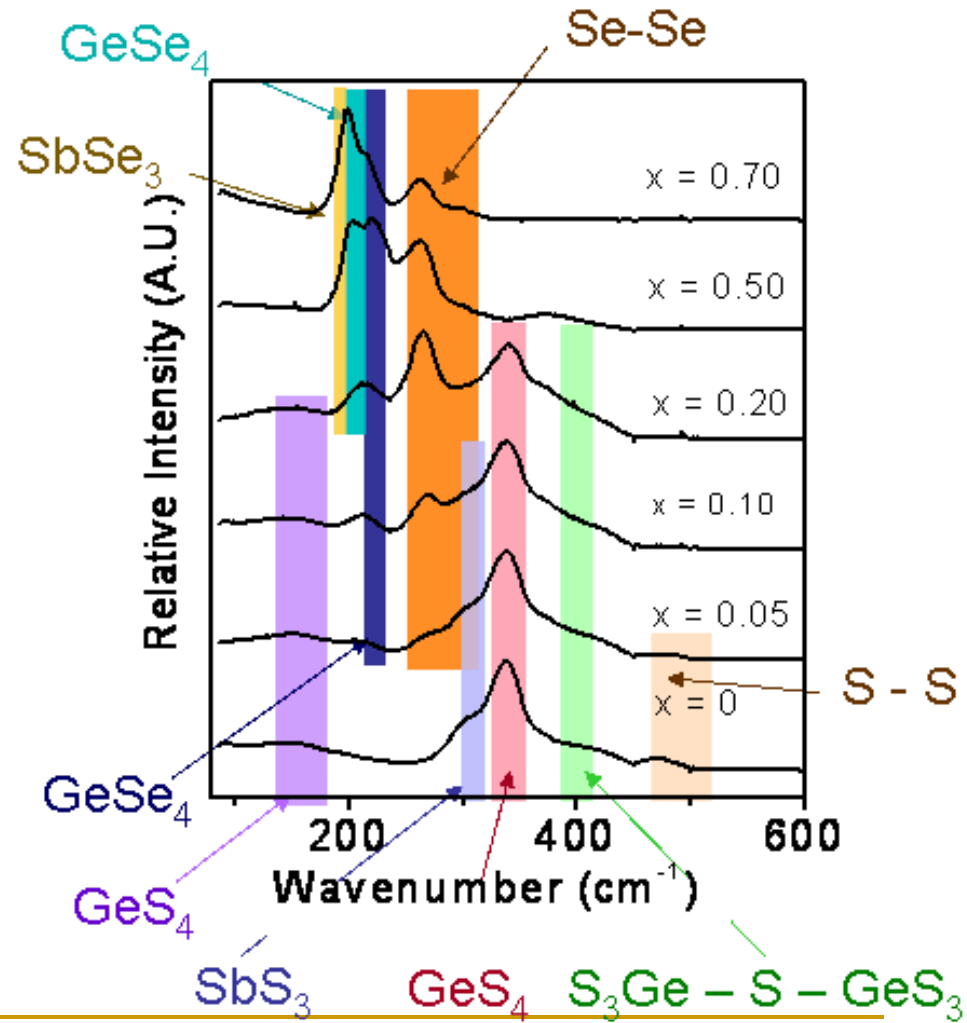
J. Phys. and Chem. of Solids, 66 (2005) 1788-1794

## Glass Systems: $Ge_{0.23-y}Sb_{0.07}S_{0.70-x}Se_x$

IR spectra



Micro Raman spectra ( $\lambda_{exc}=752\text{ nm}$ )



Infrared spectroscopy is one of the best methods to examine the results of sulfination of oxide gel films: : Exchange of O by S

J. Xu, R.M. Almeida, Materials Science in Semiconductor Processing 3 (2000) 339-344

■ Sulfination of GeO<sub>2</sub> Films prepared by sol-gel.

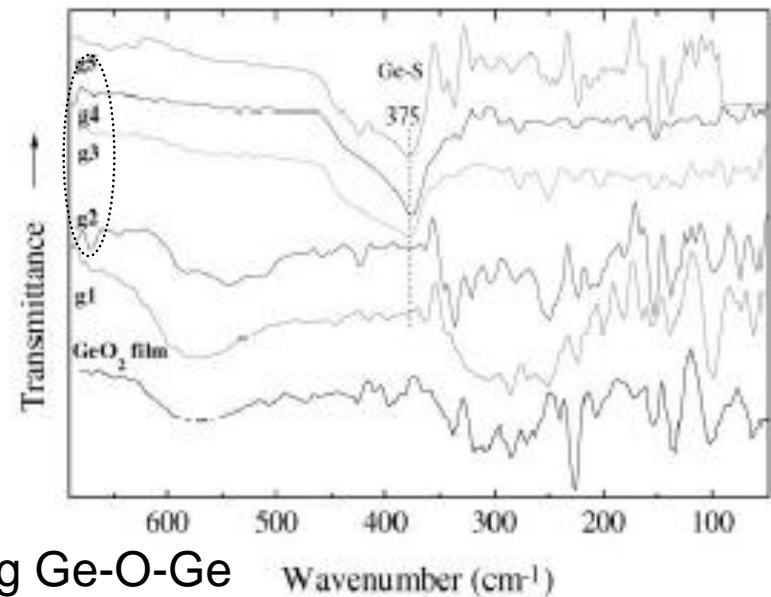
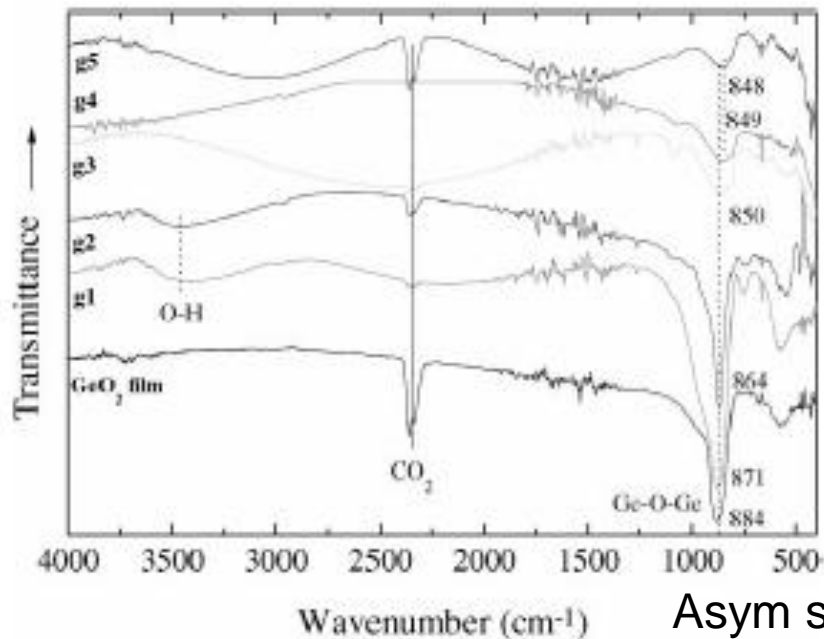


Fig. 2. Mid-IR spectra of gel films, after heat treatments in H<sub>2</sub>S gas.

Fig. 3. Far IR spectra of gel films, after heat treatments in H<sub>2</sub>S gas.

H<sub>2</sub>S heat treatment @ near

160 (g1), 260 (g2), 320 (g3), 360 (g4) and 400°C (g5), for 4 days, using a hot plate.

# Infrared spectroscopy is one of the best methods to examine the results of sulfination of oxide gel films: Exchange of O by S

J. Xu, R.M. Almeida, *Materials Science in Semiconductor Processing* 3 (2000) 339-344

Using IR and Raman spectroscopies:  
successful preparation of  
Ge-O-S films

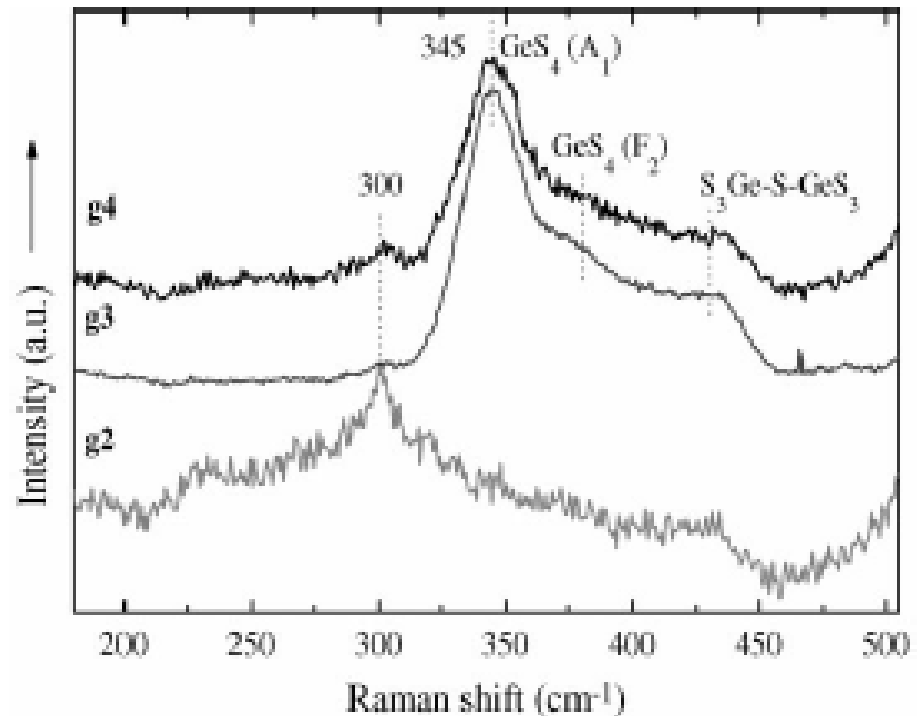


Fig. 4. Micro-Raman spectra of sulfide films, after heat treatment in H<sub>2</sub>S gas.

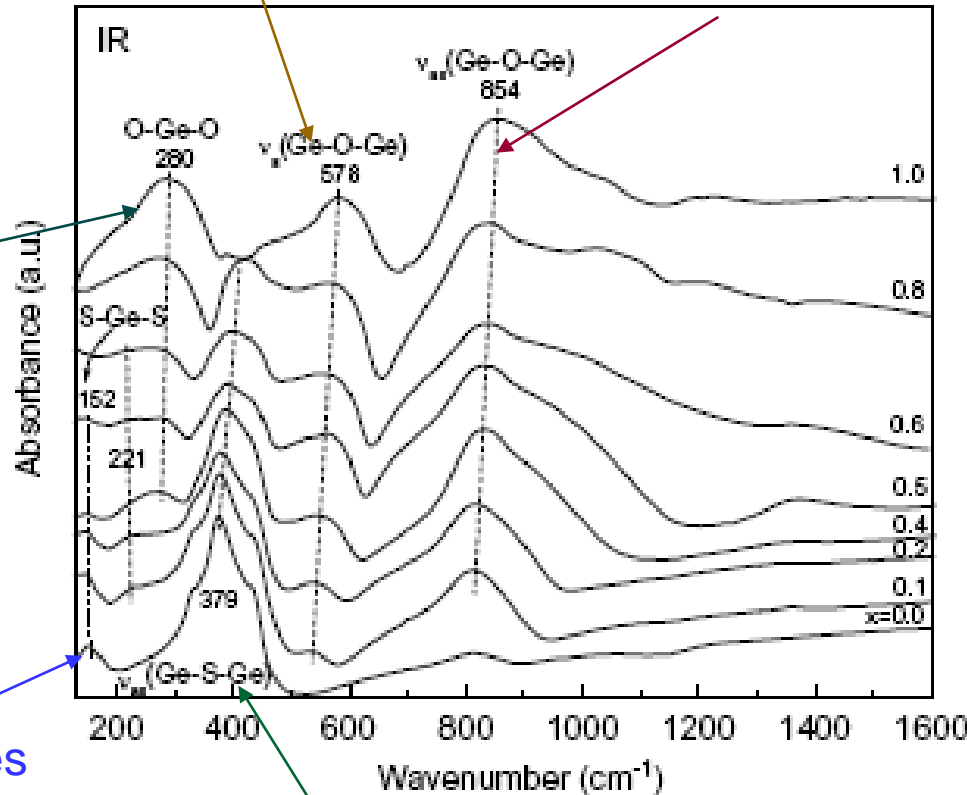
# Anion exchange: Oxysulfide bulk glasses

Y. Kim et al. / Journal of Non-Crystalline Solids 351 (2005) 1973–1979

symmetric stretching  
modes of bridging Ge–O–Ge

asymmetric stretching modes of  
bridging Ge–O–Ge bonds

bending modes  
of O–Ge–O bonds



bending modes

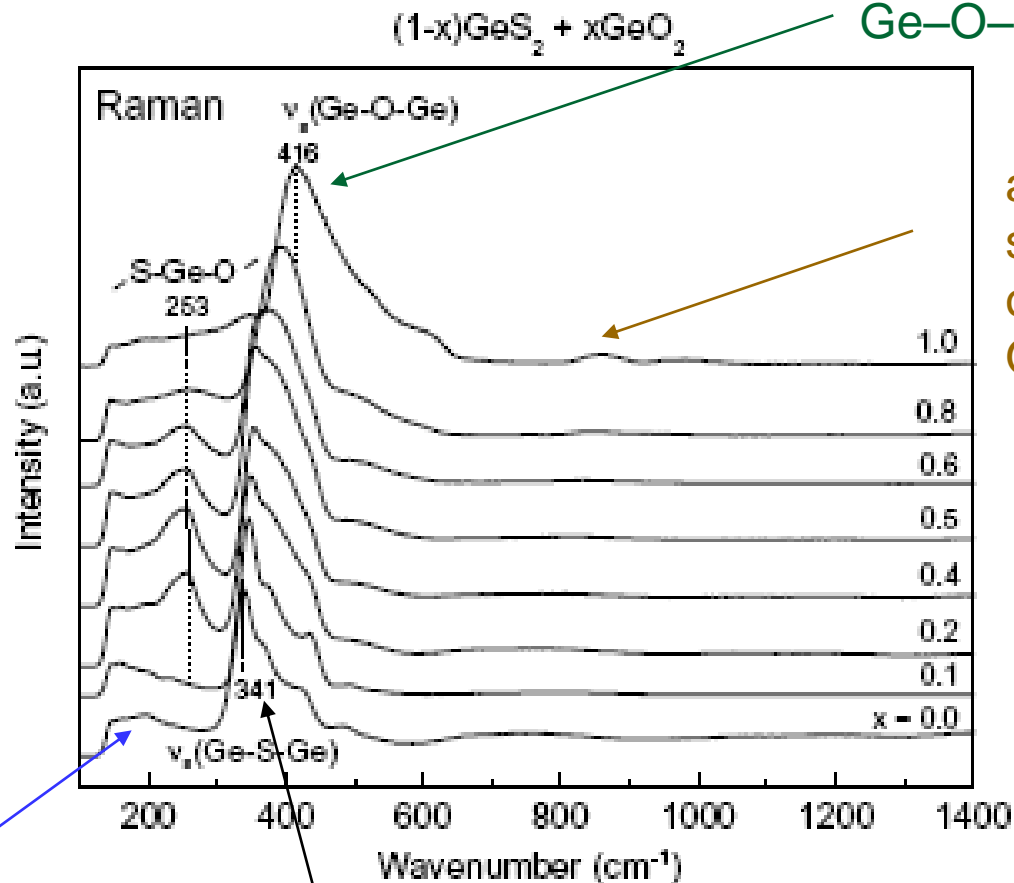
asymmetric stretching  
modes of the bridging Ge–S–Ge bonds

# Anion exchange: Oxysulfide bulk glasses

Y. Kim et al. / Journal of Non-Crystalline Solids 351 (2005) 1973–1979

$\lambda_{exc}=488\text{nm}$

symmetric stretching  
modes of bridging  
Ge–O–Ge



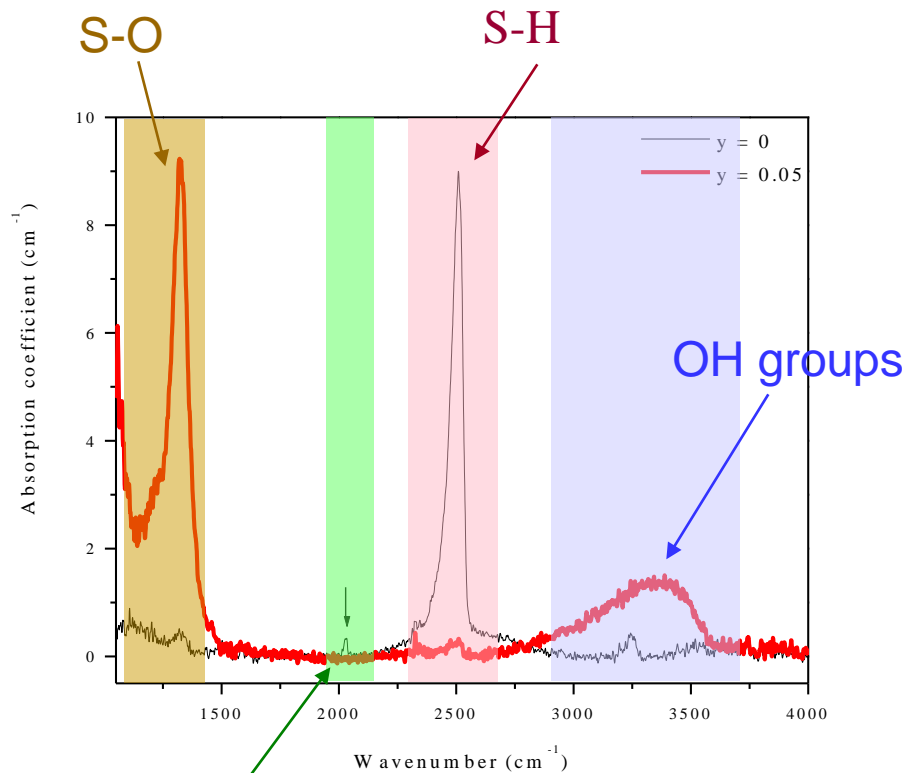
asymmetric  
stretching modes  
of bridging Ge–  
O–Ge

bending  
modes of Ge–S–Ge bonds

symmetric  
stretching mode of bridging Ge–S–Ge  
bonds.



# Replacement of Ge by Ga: $\text{Ge}_{0.23-y}\text{Ga}_y\text{Sb}_{0.07}\text{S}_{0.70}$ : IR spectra

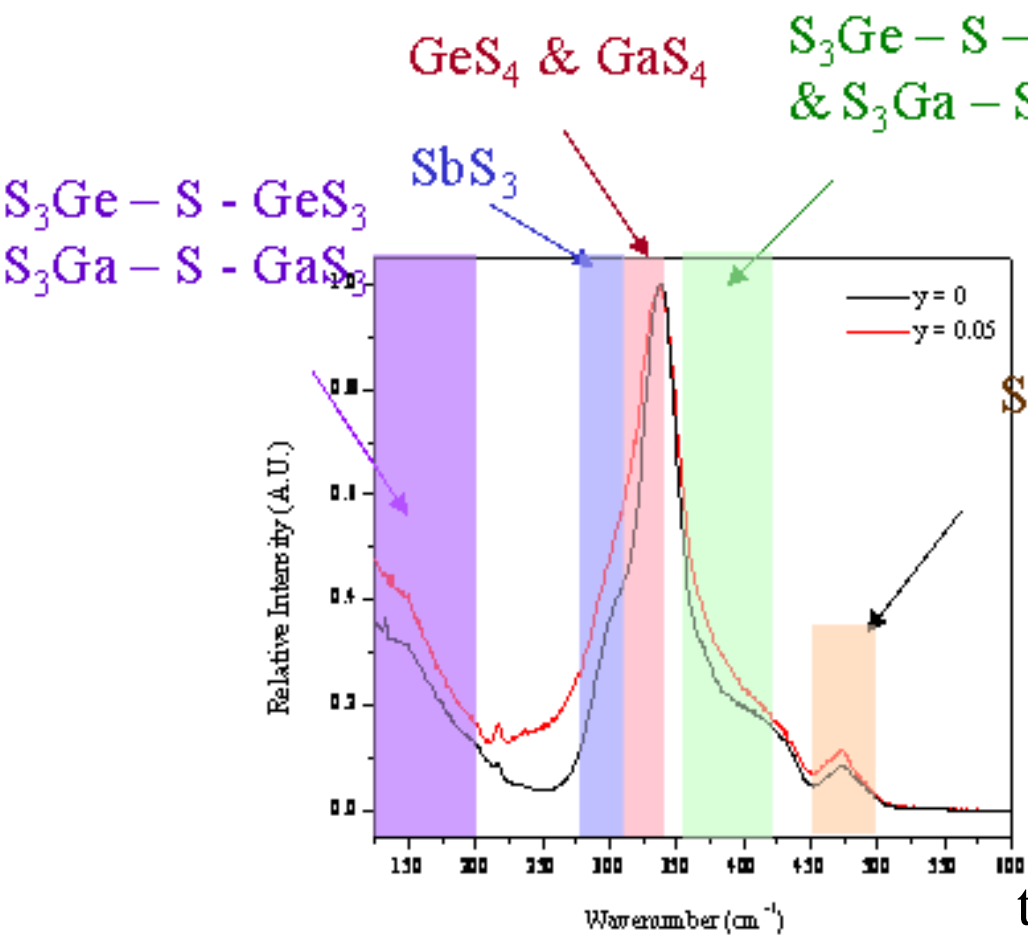


C-O-S (pollution of ChG especially Ge)

Addition of Ga

⇒ more O contamination (S-O and OH groups)

# Replacement of Ge by Ga: $\text{Ge}_{0.23-y}\text{Ga}_y\text{Sb}_{0.07}\text{S}_{0.70}$ : Raman spectra ( $\lambda_{\text{exc}}=752 \text{ nm}$ )

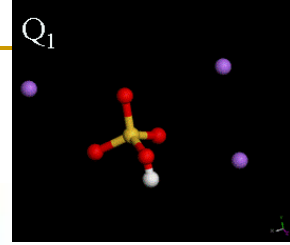


Raman spectrum larger when addition of Ga

⇒ Related to the presence of  $\text{GaS}_4$

the glass network more open and so more hygroscopic

# Examples: $(100-x) \text{NaPO}_3 - x \text{Nb}_2\text{O}_5$



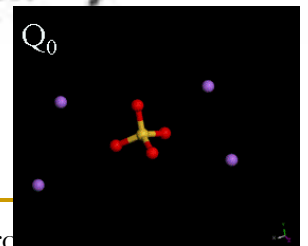
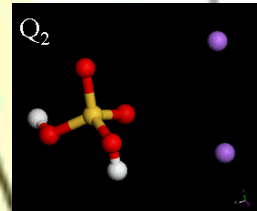
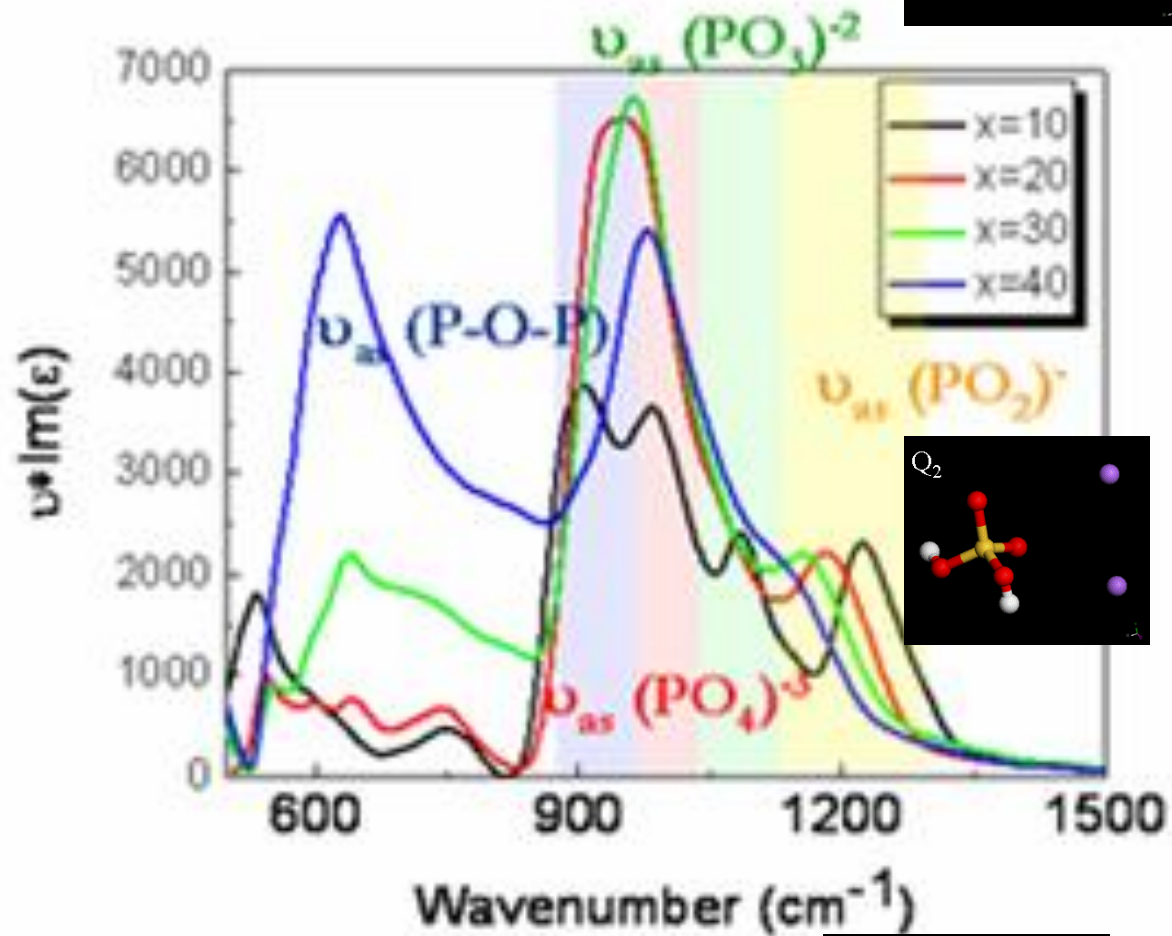
$x = 10$

IR spectrum = signature of a Phosphate network

When  $x$  increases

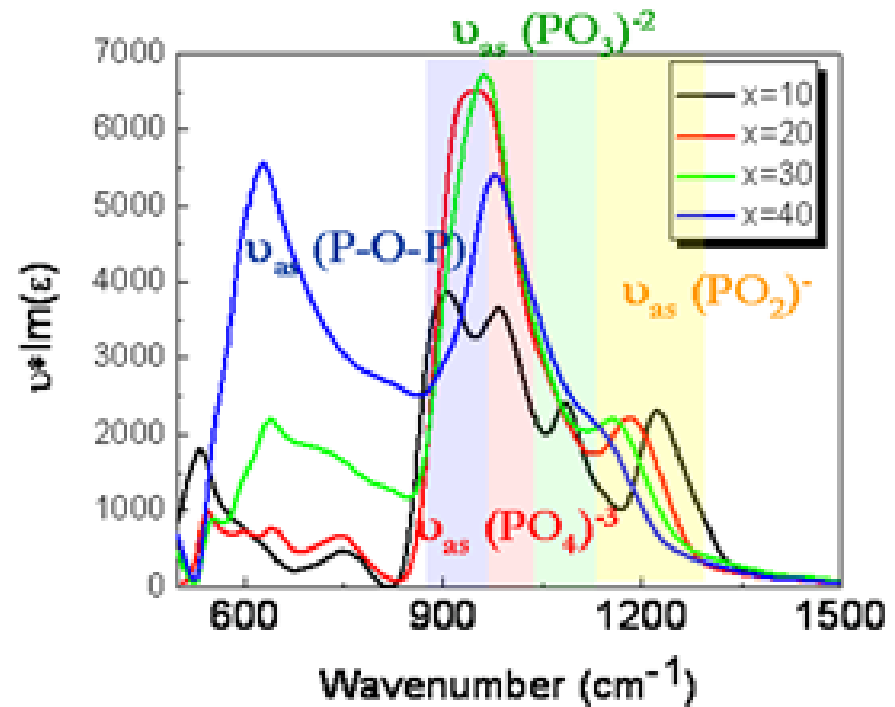
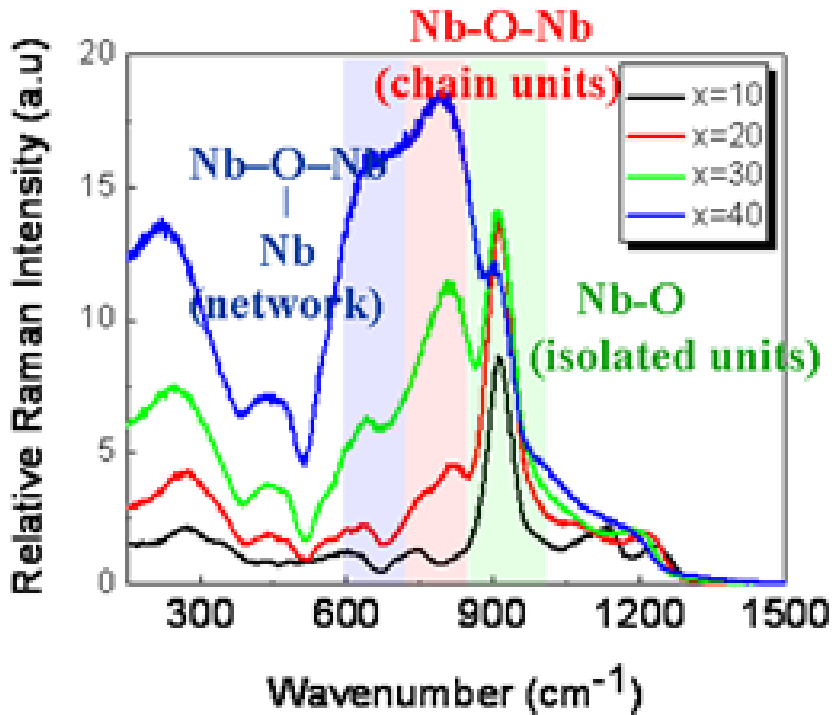
Diminution of the IR bands related to P-O-P,  $Q^2$  and  $Q^1$

more isolated  $\text{PO}_4$  units



C. Rivero, PhD thesis, CREOL/College of Optics (UCF), 2005

# Examples: $(100-x) \text{NaPO}_3 - x \text{Nb}_2\text{O}_5$



## Raman & IR Spectroscopies:

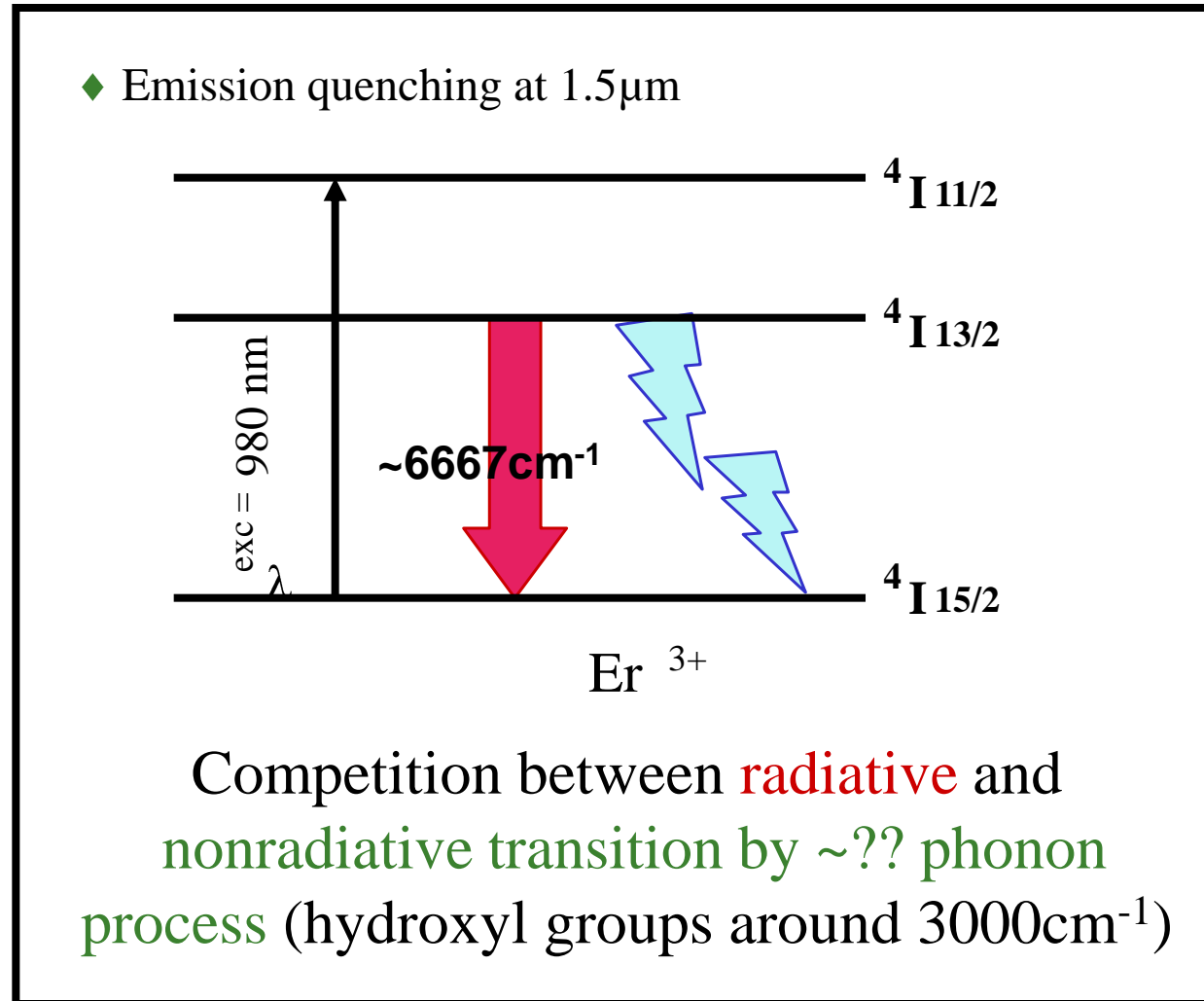
→ Complementary Techniques

→ Allow full characterization of vibrational spectrum of material

*C. Rivero, PhD thesis, CREOL/College of Optics (UCF), 2005*

# Why is that important to measure OH groups?

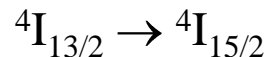
- Telecommunication applications



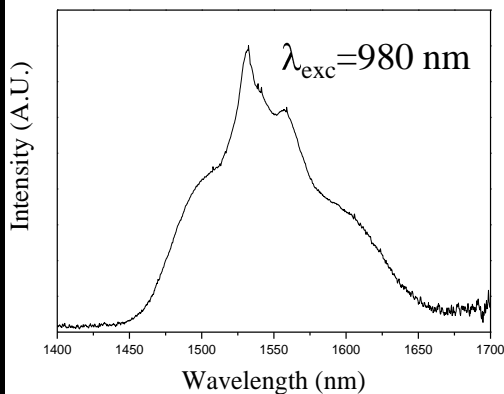
# Why is that important to measure OH groups?

## ■ Example: $(1-x)\text{TeO}_2 - x\text{Na}_2\text{B}_4\text{O}_7$

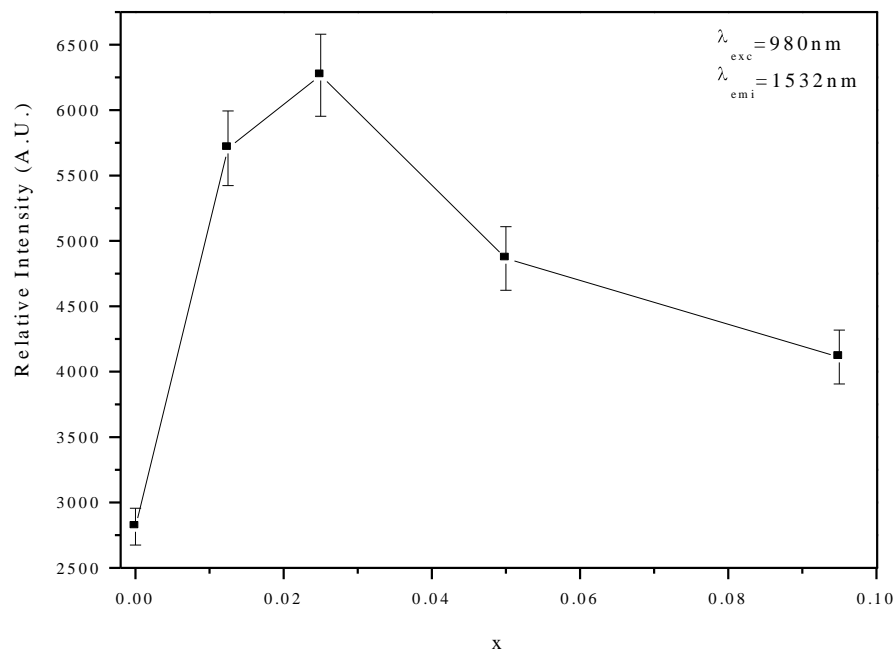
- ◆ Emission spectrum corresponding to



$x=0.025$



- ◆ Intensity of emission at 1.5  $\mu\text{m}$  versus  $\text{Na}_2\text{B}_4\text{O}_7$  molar fraction  $x$

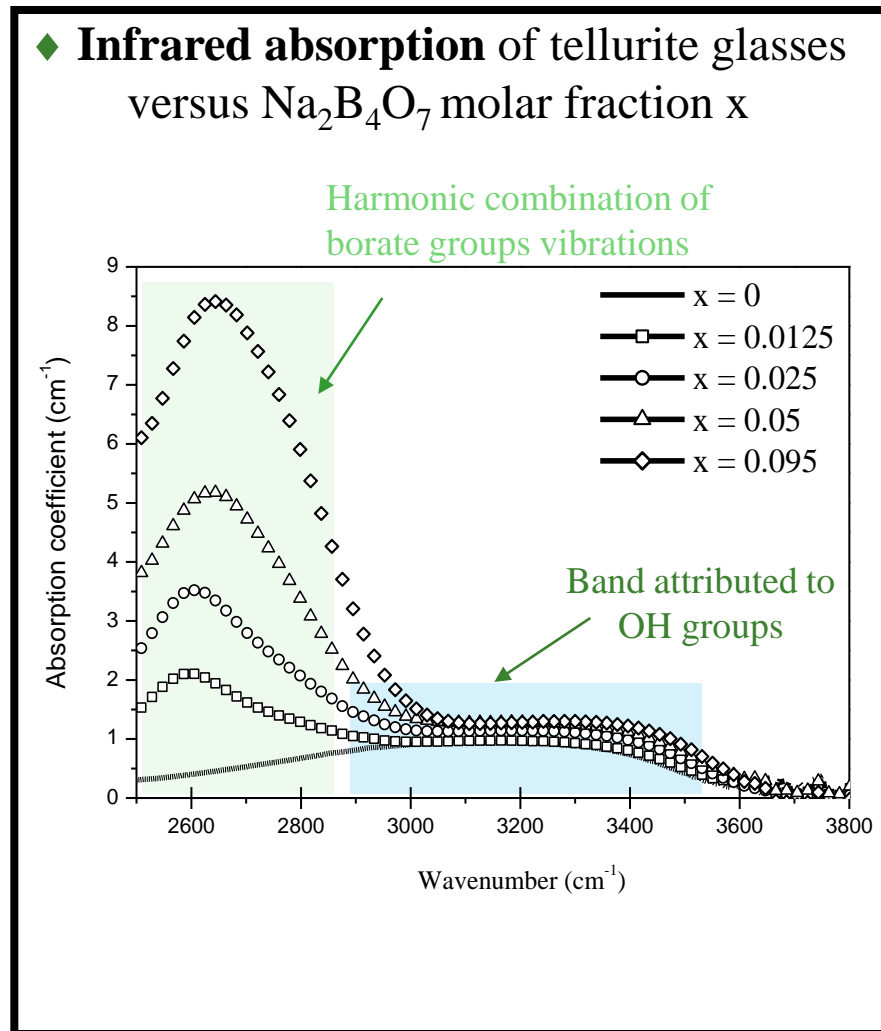


High emission at 1534 nm for  $x=0.025$

J. Non-Cryst. Solids, 298 (1) (2001) 76-88

# Why is that important to measure OH groups?

- Example:  $(1-x)\text{TeO}_2 - x\text{Na}_2\text{B}_4\text{O}_7$

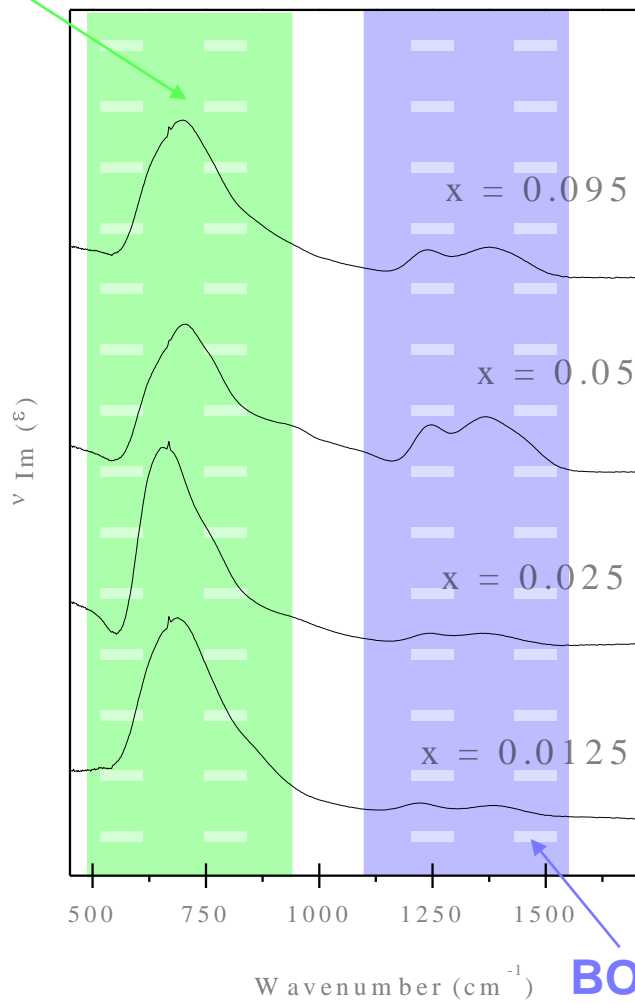


J. Non-Cryst. Solids, 298 (1)  
(2001) 76-88

# Why is that important to measure OH groups?

Infrared spectra

TeO<sub>4</sub> units

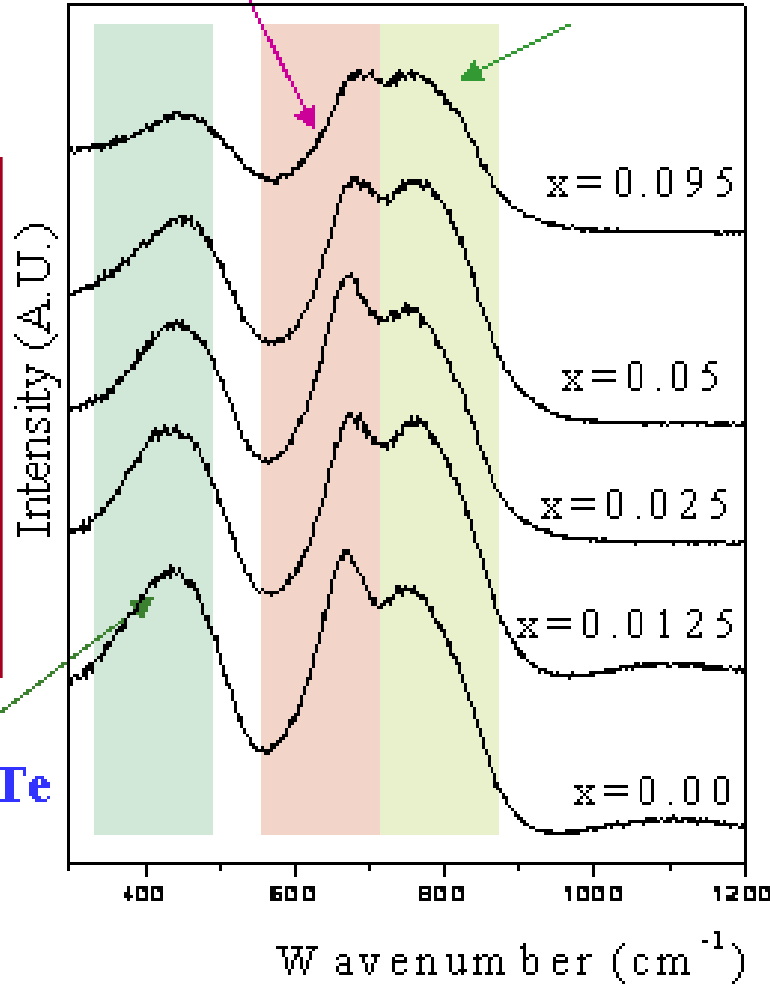


Increase of Na<sub>2</sub>B<sub>4</sub>O<sub>7</sub> content  
 → More distorted TeO<sub>4</sub> called TeO<sub>3+1</sub>  
 → Formation of BO<sub>3</sub> groups

Raman spectra

TeO<sub>4</sub>

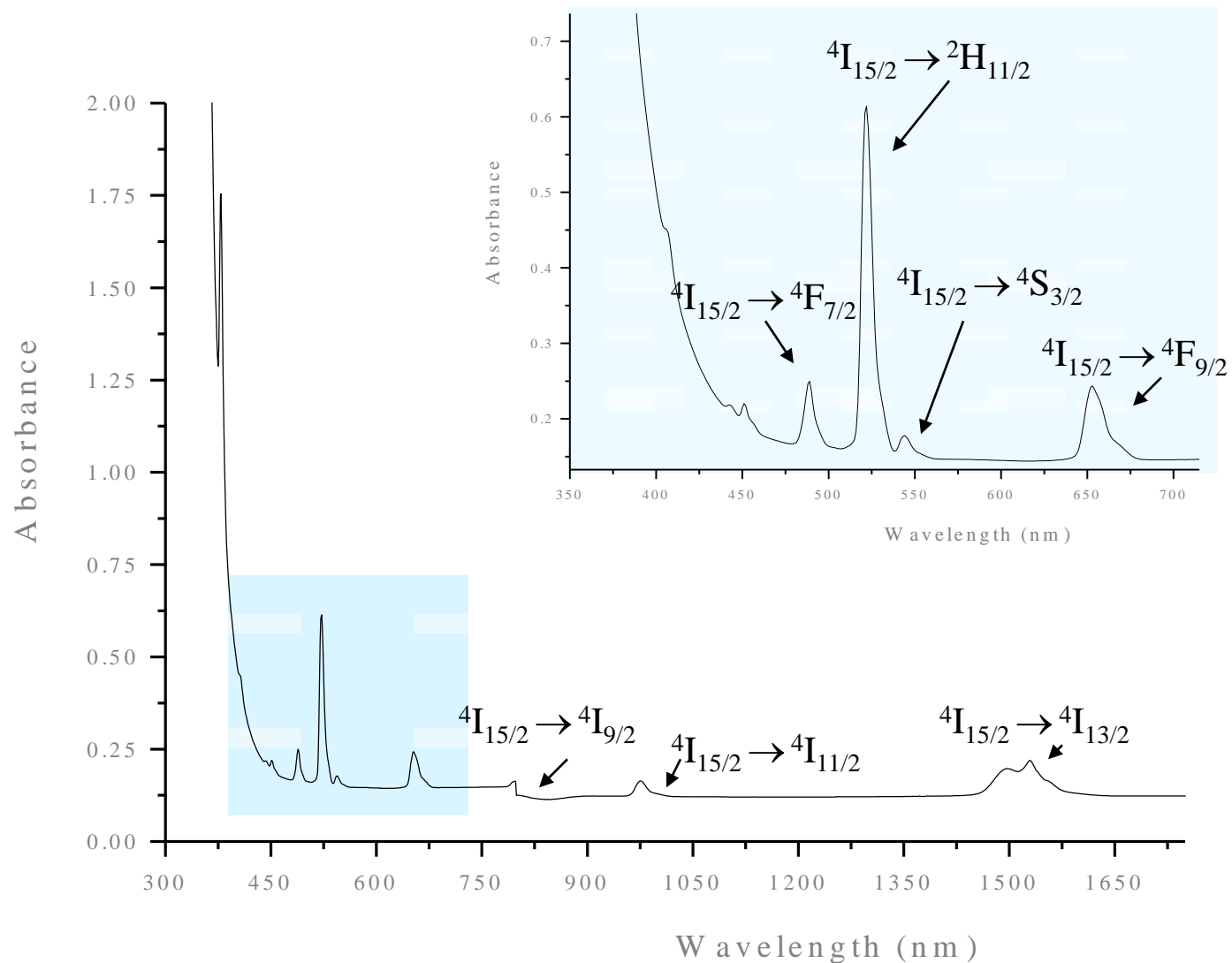
TeO<sub>3</sub>



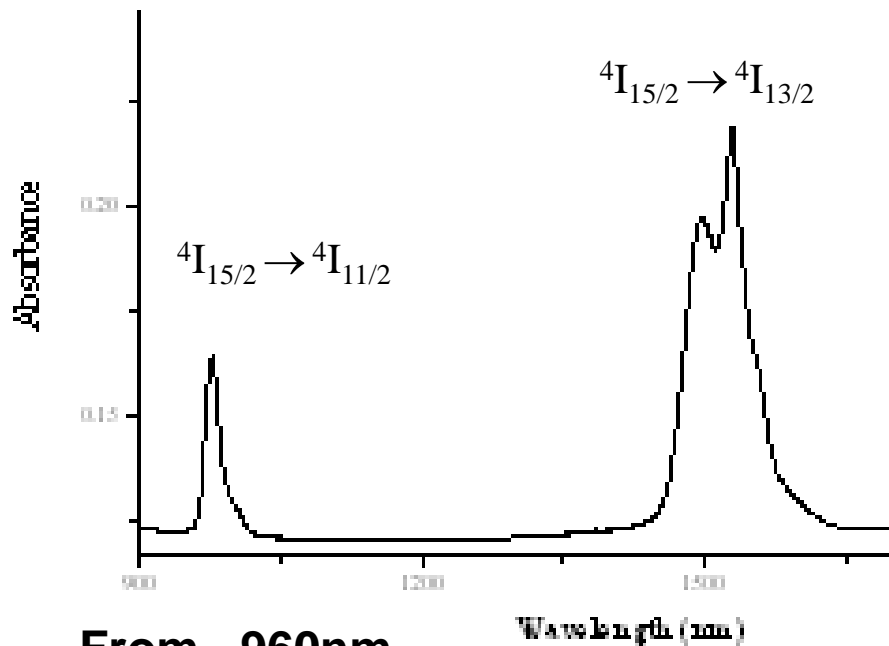
Te-O-Te



# Absorption spectrum: $(1-x)\text{TeO}_2 - x\text{Na}_2\text{B}_4\text{O}_7$ with $x = 0.025$



# Absorption spectrum: $(1-x)\text{TeO}_2 - x\text{Na}_2\text{B}_4\text{O}_7$ with $x = 0.025$

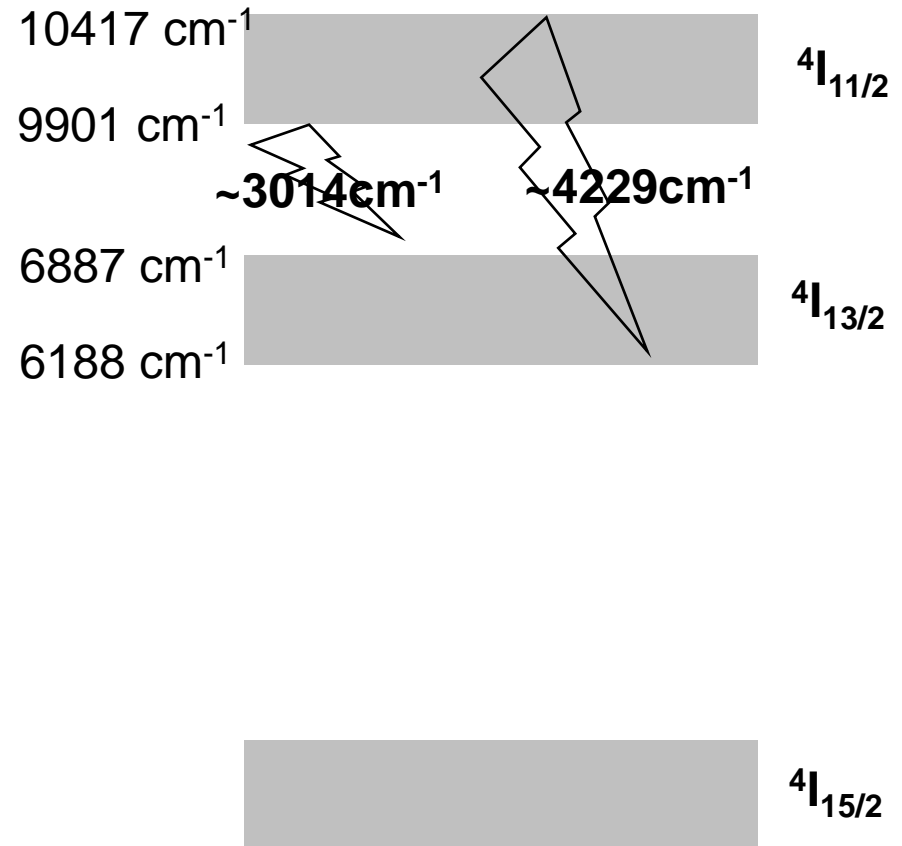


From ~960nm  
to ~1010nm

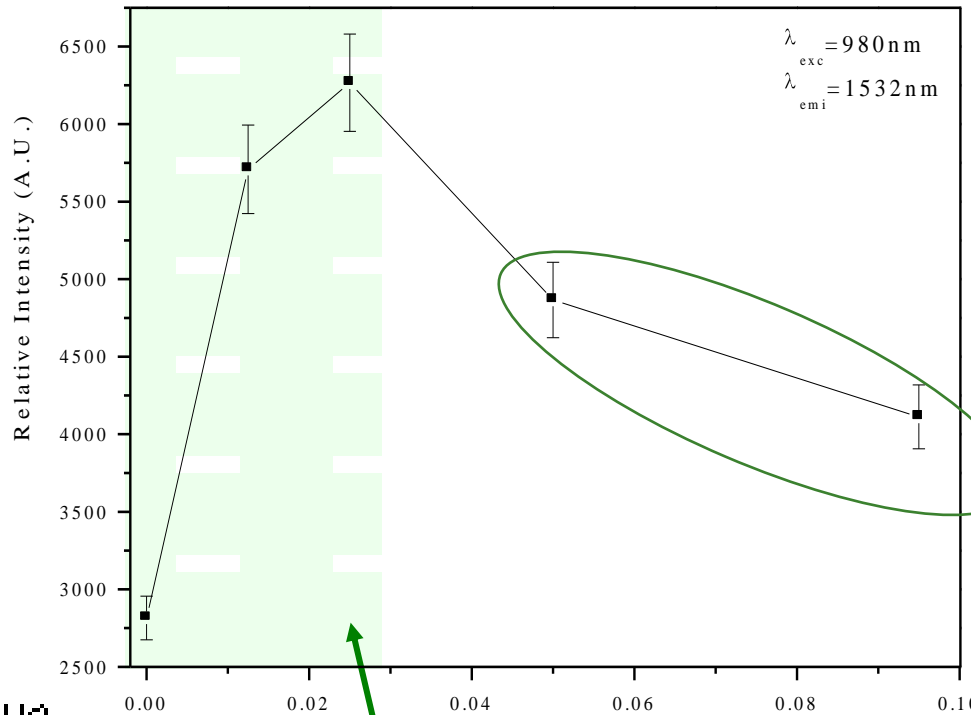
Wavelength (nm)

From ~1452nm  
to ~1616nm

## Frequency of non-radiative transition?



# Example: $(1-x)\text{TeO}_2 - x\text{Na}_2\text{B}_4\text{O}_7$

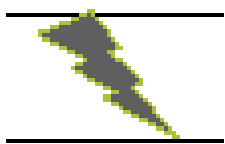


x Decrease due to high OH<sup>-</sup> content

*Vibration of BO<sub>3</sub> groups ( $\nu_{\text{BO}_3} = 1450\text{cm}^{-1}$ )*

$\Rightarrow \uparrow$  multiphonon relaxations  ${}^4\text{I}_{11/2} \rightarrow {}^4\text{I}_{13/2}$

**Better population inversion on the  ${}^4\text{I}_{13/2}$  excited level of Er<sup>3+</sup>**



${}^4\text{I}_{11/2}$

${}^4\text{I}_{13/2}$



${}^4\text{I}_{13/2}$

Er<sup>3+</sup>

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## Conclusion

- IR spectroscopy is simple, fast and non destructive
- IR spectroscopy is used to analyze the structure and check the presence of impurities of
  - Bulk
  - Film
  - Powder

# References

- <http://www.organicworldwide.net/indexnew.html?infrared.html&boven.html&onder.html>
- <http://sis.bris.ac.uk/~sd9319/spec/IR.htm>
- <http://www.nuance.northwestern.edu/KeckII/ftir1.asp>
- <http://www.ijvs.com/volume5/edition5/section1.html>
- <http://www.reciprocalnet.org/edumodules/symmetry/pointgroups/use.html#Spectroscopy>